

PROXIMALITY OF CERTAIN SPACES OF COMPACT OPERATORS

CHONG-MAN CHO AND WOO SUK ROH

ABSTRACT. For any closed subspace X of ℓ_p , $1 < p < \infty$, $K(X)$ is proximal in $L(X)$, and if X is a Banach space with an unconditional shrinking basis, then $K(X, c_0)$ is proximal in $L(X, \ell_\infty)$.

1. Introduction

A closed subspace J of a Banach space X is said to be proximal in X if for every $x \in X \setminus J$, there is $j_0 \in J$ such that

$$\|x - j_0\| = \inf\{\|x - j\| : j \in J\}.$$

An element $j_0 \in J$ satisfying the above equality is called a best approximation of x in J .

Obviously, every finite dimensional subspace of a Banach space X is proximal in X and every closed subspace in a Hilbert space H is proximal in H . It is known that a Banach space X is reflexive if and only if every closed subspace is proximal in X [11].

Many authors have studied the problem of determining those Banach spaces X and Y for which $K(X, Y)$, the space of compact linear operators from X to Y is proximal in $L(X, Y)$, the space of bounded linear operators from X to Y [2, 3, 4, 6, 8, 9, 13, 14]. We will write $L(X)$ for $L(X, X)$ and $K(X)$ for $K(X, X)$. It is known that $K(c_0)$, $K(X, c_0)$ for every Banach space X and $K(\ell_p, \ell_q)$ for $1 < p, q < \infty$ are M-ideals in the corresponding spaces of bounded linear operators [11, 13, 14], and hence are proximal, while $K(X)$ is not proximal in $L(X)$ if $X = \ell_\infty$ or $L_p(0, 1)$, $1 \leq p \leq \infty$, $p \neq 2$ [4, 6].

An M-ideal has a very strong approximation property. If J is an M-ideal in a Banach space X and $x \in X \setminus J$, then the set of best approximations of x in J algebraically spans J [8]. The notion of an M-ideal was introduced

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by Alfsen and Effros [1]. They [1] also characterized an M-ideal by n -ball properties of open balls. In terms of closed balls, a closed subspace J of a Banach space X is said to have n -ball property in X if for any family $\{B(a_i, r_i)\}_{i=1}^n$ of closed balls in X with centers a_i and radii r_i such that $\bigcap_{i=1}^n B(a_i, r_i) \neq \emptyset$ and $J \cap B(a_i, r_i) \neq \emptyset$ ($i = 1, 2, \dots, n$) we have

$$J \cap (\bigcap_{i=1}^n B(a_i, r_i + \varepsilon)) \neq \emptyset \quad \text{for all } \varepsilon > 0.$$

One of the main results of Alfsen and Effros [1] is that J is an M-ideal in X if and only if it has 3-ball property (equivalently, n -ball property for all $n \geq 3$).

2-ball property with one of a_i in J is called $1\frac{1}{2}$ -ball property. If a closed subspace J of a Banach space X has $1\frac{1}{2}$ -ball property then J is proximal in X [13, 15].

The purpose of this paper is to investigate the proximality of spaces of compact operators. From Corollary 3, we obtain a fact that $K(X)$ is proximal in $L(X)$ for every closed subspace of ℓ_p ($1 < p < \infty$). In Theorem 4 we will also prove that $K(X, c_0)$ is proximal in $L(X, \ell_\infty)$ if X is a Banach space with an unconditional shrinking basis.

2. Results

For a Banach space X , B_X will denote the closed unit ball of X . To prove part of our results we need the following theorem of Godini [7].

THEOREM 1 [7]. *Let M be a closed subspace of a Banach space X and $\pi : X \rightarrow X/M$ the natural projection onto the quotient space X/M . Then M is proximal in X if and only if $\pi(B_X)$ is closed in X/M .*

Using the above theorem we can easily obtain a useful general fact about a proximality.

THEOREM 2. *Suppose Y is a closed subspace of a Banach space Z . If Z_1 is a proximal subspace of Z and Y_1 is a closed subspace of Y with $Y_1 \subseteq Z_1$, then Y_1 is proximal in Y .*

Proof. Let $\pi_Z : Z \rightarrow Z/Z_1$ and $\pi_Y : Y \rightarrow Y/Y_1$ be natural projections onto corresponding quotient spaces. Obviously the map $\phi : Y/Y_1 \rightarrow Z/Z_1$ defined by $\phi(y + Y_1) = y + Z_1$ for $y \in Y$ is a norm decreasing linear map.

Since Z_1 is proximal in Z , by Theorem 1, $\pi_Z(B_Z)$ is closed in Z/Z_1 and hence $\pi_Y(B_Y) = \phi^{-1}(\pi_Z(B_Z))$ is closed in Y/Y_1 . Therefore, Y_1 is proximal in Y . \square

There are several Banach spaces X and Y for which $K(X, Y)$ is proximal in $L(X, Y)$ [2, 3, 5, 8, 13, 14, 15]. It is known that if X is a closed subspace of ℓ_p ($1 < p < \infty$), then $K(X, \ell_p)$ is an M-ideal in $L(X, \ell_p)$ [5]. By Theorem 2 we can find a larger class of spaces of compact operators which are proximal in the corresponding spaces of bounded linear operators. More specifically we have the following corollary.

COROLLARY 3. *Suppose X and Y are Banach spaces for which $K(X, Y)$ is proximal in $L(X, Y)$. If Z is a closed subspace in Y , then $K(X, Z)$ is proximal in $L(X, Z)$. In particular, if X is a closed subspace of ℓ_p ($1 < p < \infty$), then $K(X)$ is proximal in $L(X)$.*

As mentioned earlier, $1\frac{1}{2}$ -ball property is a sufficient condition for the proximality. Recall that a closed subspace J of a Banach space X is said to have the $1\frac{1}{2}$ -ball property in X if $x \in X$, $j \in J$, $r_1, r_2 > 0$, $B(x, r_1) \cap B(j, r_2) \neq \emptyset$ and $B(x, r_1) \cap J \neq \emptyset$ implies that $J \cap B(x, r_1) \cap B(j, r_2) \neq \emptyset$. Using $1\frac{1}{2}$ -ball property we will prove the following theorem.

THEOREM 4. *If X is a Banach space with an unconditional shrinking basis, then $K(X, c_0)$, the space of all compact linear operators from X to c_0 is proximal in $L(X, \ell_\infty)$, the space of all bounded linear operators from X to ℓ_∞ .*

Proof. To simplify our proof, we will assume that X is reflexive. Let X have an unconditional shrinking basis $\{x_n\}_{n=1}^\infty$ with biorthogonal functional $\{x_n^*\}_{n=1}^\infty$ (i.e. $x_n^*(x_m) = \delta_{n,m}$). Then $X^* = [x_n^*]_{n=1}^\infty$, closed linear span of $\{x_n^*\}_{n=1}^\infty$. Let P_n be the natural projection associated with $\{x_n\}_{n=1}^\infty$ (i.e. $P_n x = \sum_{i=1}^n \alpha_i x_i$ if $x = \sum_{i=1}^\infty \alpha_i x_i$), then P_n^* is the natural projection associated with the basis $\{x_n^*\}_{n=1}^\infty$. By abuse of the notation P_n will also denote the norm-one projection on ℓ_∞ defined by $P_n a = (\alpha_1, \dots, \alpha_n, 0, 0, \dots)$ for $a = (\alpha_1, \dots, \alpha_n, \dots) \in \ell_\infty$.

We will prove that $K(X, c_0)$ has the $1\frac{1}{2}$ -ball property in $L(X, \ell_\infty)$.

Let $T \in L(X, \ell_\infty)$, $G \in K(X, c_0)$, $r_1, r_2 > 0$, $B(T, r_1) \cap B(G, r_2) \neq \emptyset$ and $B(T, r_1) \cap K(X, c_0) \neq \emptyset$.

The first step is expressing $\|T\|$ using the matrix representation of T . Since $T^* : \ell_\infty^* \rightarrow X^*$ is weak*-to-weak* continuous and B_{ℓ_1} is weak*-dense in $B_{\ell_1^*} (= B_{\ell_\infty^*})$, $\|T^*\| = \|(T^*|_{\ell_1})\|$. Since $T^*|_{\ell_1} : \ell_1 \rightarrow X^*$ is a bounded linear operator, and since X is reflexive, $(T^*|_{\ell_1})^* : X \rightarrow \ell_\infty$ is a bounded linear operator. We can easily check that $(T^*|_{\ell_1})^* = T$.

Therefore, we have

$$\|T\| = \|(T^*|_{\ell_1})\| = \sup_{1 \leq j < \infty} \|T^* e_j\|,$$

where $\{e_j\}_{j=1}^\infty$ is the unit vector basis for ℓ_1 . If X is not reflexive, we can simply replace $(T^*|_{\ell_1})^*$ by $(T^*|_{\ell_1})^*|_X$ in the above argument.

Observe that coordinates of T^*e_j form the j -th column of the matrix of $T^*|_{\ell_1}$ (rel. to base $\{e_n\}_{n=1}^\infty$ and $\{x_n^*\}_{n=1}^\infty$) and the j -th row of the matrix of $T \in L(X, \ell_\infty)$.

Let T have the matrix representation $T = (t_{ij})$. Then P_nT and $T - P_nT$ have matrix representations

$$\begin{aligned}
 P_nT &= \begin{pmatrix} t_{11} & t_{12} & \cdots & t_{1j} & \cdots \\ \dots & \dots & \dots & \dots & \dots \\ t_{n1} & t_{n1} & \cdots & t_{nj} & \cdots \\ 0 & 0 & \cdots & 0 & \cdots \\ 0 & 0 & \cdots & 0 & \cdots \\ \dots & \dots & \dots & \dots & \dots \end{pmatrix}, \\
 (1) \quad T - P_nT &= \begin{pmatrix} 0 & 0 & \cdots & 0 & \cdots \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & \cdots & 0 & \cdots \\ t_{n+11} & t_{n+12} & \cdots & t_{n+1j} & \cdots \\ t_{n+21} & t_{n+22} & \cdots & t_{n+2j} & \cdots \\ \dots & \dots & \dots & \dots & \dots \end{pmatrix}.
 \end{aligned}$$

Given $0 < \varepsilon < \frac{r_1-d}{2}$, we choose $K \in K(X, c_0)$ such that $\|T - K\| < d + \varepsilon$, where $d = \text{dist}(T, K(X, c_0))$.

If $K \in K(X, c_0)$ has the matrix representation $K = (k_{ij})$, then $T - P_nK$ is represented by

$$(2) \quad T - P_nK = \begin{pmatrix} t_{11} - k_{11} & \cdots & t_{1j} - k_{1j} & \cdots \\ \dots & \dots & \dots & \dots & \dots \\ t_{n1} - k_{n1} & \cdots & t_{nj} - k_{nj} & \cdots \\ t_{n+11} & \cdots & t_{n+1j} & \cdots \\ t_{n+21} & \cdots & t_{n+2j} & \cdots \\ \dots & \dots & \dots & \dots & \dots \end{pmatrix}$$

From (1) and (2), we have $\|T - P_nT\| \leq \|T - P_nK\|$.

Since c_0 has the unit vector basis $\{e_n\}_{n=1}^\infty$, for sufficiently large n , $\|K - P_nK\| < \varepsilon$. Thus

$$\begin{aligned}
 \|T - P_nT\| &\leq \|T - P_nK\| \\
 &\leq \|T - K\| + \|K - P_nK\| \\
 &\leq d + 2\varepsilon < r_1.
 \end{aligned}$$

If $S \in B(T, r_1) \cap B(G, r_2)$, then

$$\begin{aligned} \|T - P_n S\| &= \max\{\|P_n(T - P_n S)\|, \|(I - P_n)(T - P_n S)\|\} \\ &= \max\{\|P_n(T - S)\|, \|(I - P_n)T\|\} \\ &< r_1. \end{aligned}$$

Similarly, $\|G - P_n S\| < r_2$.

Therefore, $P_n S \in B(T, r_1) \cap B(G, r_2) \cap K(X, c_0)$. This completes the proof. \square

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