

## ON HYPONORMALITY OF TOEPLITZ OPERATORS WITH POLYNOMIAL AND SYMMETRIC TYPE SYMBOLS

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ABSTRACT. In [6], it was shown that hyponormality for Toeplitz operators with polynomial symbols can be reduced to classical Schur's algorithm in function theory. In [6], Zhu has also given the explicit values of the Schur's functions  $\Phi_0, \Phi_1$  and  $\Phi_2$ . Here we explicitly evaluate the Schur's function  $\Phi_3$ . Using this value we find necessary and sufficient conditions under which the Toeplitz operator  $T_\varphi$  is hyponormal, where  $\varphi$  is a trigonometric polynomial given by  $\varphi(z) = \sum_{n=-N}^N a_n z^n$  ( $N \geq 4$ ) and satisfies the

condition  $\bar{a}_N \begin{pmatrix} a_{-1} \\ a_{-2} \\ a_{-4} \\ \vdots \\ a_{-N} \end{pmatrix} = a_{-N} \begin{pmatrix} \bar{a}_1 \\ \bar{a}_2 \\ \bar{a}_4 \\ \vdots \\ \bar{a}_N \end{pmatrix}$ . Finally we illustrate the easy applicability of the derived results with a few examples.

### 1. Introduction

A bounded linear operator  $T$  on a Hilbert space is said to be hyponormal if its self commutator  $[T^*, T] := T^*T - TT^*$  is positive semi definite. Given  $\varphi \in L^\infty(\mathbb{T})$ , the Toeplitz operator with symbol  $\varphi$  is the operator  $T_\varphi$  on the Hardy space  $H^2(\mathbb{T})$  of the unit circle  $\mathbb{T} = \partial\mathbb{D}$  defined by  $T_\varphi f := P(\varphi.f)$ , where  $f \in H^2(\mathbb{T})$  and  $P$  denotes the orthogonal projection that maps  $L^2(\mathbb{T})$  onto  $H^2(\mathbb{T})$ .

We are interested in the following question: "if  $\varphi$  is a trigonometric polynomial, then when is the trigonometric Toeplitz operator  $T_\varphi$  hyponormal?" If  $\varphi$  is a trigonometric polynomial of the form  $\varphi(z) = \sum_{n=-m}^N a_n z^n$  where  $a_{-m}$  and  $a_N$  are non zero, then it was shown in [4] and [2] that hyponormality of  $T_\varphi$  implies  $m \leq N$  and  $|a_{-m}| \leq |a_N|$ . In [2] it was shown that for  $\varphi(z) = \sum_{n=-m}^N a_n z^n$ , if  $|a_{-m}| = |a_N| \neq 0$ , then  $T_\varphi$  is hyponormal if and only

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if the coefficients of  $\varphi$  satisfy the following ‘symmetry’ condition:

$$\bar{a}_N \begin{pmatrix} a_{-1} \\ a_{-2} \\ \vdots \\ a_{-m} \end{pmatrix} = a_{-m} \begin{pmatrix} \bar{a}_{N-m+1} \\ \bar{a}_{N-m+2} \\ \vdots \\ \bar{a}_N \end{pmatrix}.$$

But if  $|a_{-m}| \neq |a_N|$ , then the case of arbitrary polynomial  $\varphi$ , though solved in principle by Cowen’s Theorem [1] or Zhu’s Theorem [6], is in practice very complicated. In [5], the hyponormality of  $T_\varphi$  was studied when  $\varphi(z) = \sum_{n=-N}^N a_n z^n$  satisfies a ‘partial’ symmetry condition:

$$(1) \quad \bar{a}_N \begin{pmatrix} a_{-m} \\ a_{-(m+1)} \\ \vdots \\ a_{-N} \end{pmatrix} = a_{-N} \begin{pmatrix} \bar{a}_m \\ \bar{a}_{m+1} \\ \vdots \\ \bar{a}_N \end{pmatrix} \quad \text{for } m-1 \leq \frac{N}{2}.$$

The authors gave in the paper a necessary and sufficient condition for the hyponormality of  $T_\varphi$  when  $\varphi$  satisfies (1) and has the property  $|\bar{a}_N a_{-(m-1)} - a_{-N} \bar{a}_{m-1}| = |a_N|^2 - |a_{-N}|^2$ . Further, in [3] we find a complete criterion for hyponormality of  $T_\varphi$  when  $\varphi$  satisfies (1).

In this paper, we consider the trigonometric polynomial  $\varphi(z) = \sum_{n=-N}^N a_n z^n$  with  $|a_{-N}| < |a_N|$  such that

$$\bar{a}_N \begin{pmatrix} a_{-1} \\ a_{-2} \\ a_{-4} \\ \vdots \\ a_{-N} \end{pmatrix} = a_{-N} \begin{pmatrix} \bar{a}_1 \\ \bar{a}_2 \\ \bar{a}_4 \\ \vdots \\ \bar{a}_N \end{pmatrix} \quad \text{and } \bar{a}_N a_{-3} \neq a_{-N} \bar{a}_3,$$

and we give necessary and sufficient conditions for hyponormality of  $T_\varphi$ .

### 2. Evaluation of Schur’s function $\Phi_3$

In [6], Kehe Zhu applied Schur’s algorithm to the Schur’s function  $\Phi_N$  in order to determine hyponormality of Toeplitz operators with polynomial symbols. We begin the section with the brief description of Zhu’s idea.

Suppose that  $f(z) = \sum_{j=0}^\infty c_j z^j$  is in the closed unit ball of  $H^\infty(\mathbb{T})$ . If  $f_0 = f$ , define by induction a sequence  $\{f_n\}$  of functions in the closed unit ball of  $H^\infty(\mathbb{T})$  as follows:

$$f_{n+1}(z) = \frac{f_n(z) - f_n(0)}{z(1 - \overline{f_n(0)}f_n(z))}, \quad |z| < 1, \quad n = 0, 1, 2, \dots$$

We write  $f_n(0) = \Phi_n(c_0, \dots, c_n)$ ,  $n = 0, 1, 2, \dots$ , where  $\Phi_n$  is a function of  $n+1$  complex variables. We call the  $\Phi_n$ ’s *Schur’s functions*. Zhu’s theorem can now be written as follows:

**Theorem 2.1** ([6]). *If  $\varphi(z) = \sum_{n=-N}^N a_n z^n$ , where  $a_N \neq 0$  and if*

$$\begin{pmatrix} \bar{c}_0 \\ \bar{c}_1 \\ \vdots \\ \bar{c}_{N-1} \end{pmatrix} = \begin{pmatrix} a_1 & a_2 & \cdots & a_{N-1} & a_N \\ a_2 & a_3 & \cdots & a_N & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ a_N & 0 & \cdots & 0 & 0 \end{pmatrix}^{-1} \begin{pmatrix} \bar{a}_{-1} \\ \bar{a}_{-2} \\ \vdots \\ \bar{a}_{-N} \end{pmatrix},$$

then  $T_\varphi$  is hyponormal if and only if  $|\Phi_n(c_0, \dots, c_n)| \leq 1$  for each  $n = 0, 1, \dots, N - 1$ .

Note that each  $\Phi_n(c_0, \dots, c_n)$  is a rational function of the form

$$\Phi_n(c_0, \dots, c_n) = \frac{F_n(c_0, \dots, c_n)}{G_n(c_0, \dots, c_n)},$$

where  $F_n$  and  $G_n$  are polynomials. Thus the inequalities  $|\Phi_n(c_0, \dots, c_n)| \leq 1$  should be understood as  $|F_n(c_0, \dots, c_n)| \leq |G_n(c_0, \dots, c_n)|$ .

In [6] Zhu also listed the first three Schur's functions as follows:

$$\begin{aligned} \Phi_0(c_0) &= c_0, \\ \Phi_1(c_0, c_1) &= \frac{c_1}{1 - |c_0|^2}, \\ \Phi_2(c_0, c_1, c_2) &= \frac{c_2(1 - |c_0|^2) + \bar{c}_0 c_1^2}{(1 - |c_0|^2)^2 - |c_1|^2}. \end{aligned}$$

Here in this section we evaluate  $\Phi_3(c_0, c_1, c_2, c_3)$  using the Schur's algorithm, and we get,

$$\begin{aligned} &\Phi_3(c_0, c_1, c_2, c_3) \\ &= \frac{((1 - |c_0|^2)^2 - |c_1|^2)((1 - |c_0|^2)c_3 + \bar{c}_0 c_1 c_2) + (c_2(1 - |c_0|^2) + \bar{c}_0 c_1^2)(\bar{c}_0(1 - |c_0|^2)c_1 + \bar{c}_1 c_2)}{((1 - |c_0|^2)^2 - |c_1|^2)^2 - |c_2(1 - |c_0|^2) + \bar{c}_0 c_1^2|^2}. \end{aligned}$$

We briefly outline the steps leading to this result. For the function  $f(z) = \sum_{j=0}^\infty c_j z^j$  in the closed unit ball of  $H^\infty(\mathbb{T})$ :

Step(1):  $f_0(z) = f(z)$  and so  $\Phi_0(c_0) = f_0(0) = f(0) = c_0$ .

Step(2):  $f_1(z) = \frac{f_0(z) - f_0(0)}{z(1 - \bar{f}_0(0)f_0(z))} = \frac{\sum_{k=1}^\infty c_k z^{k-1}}{1 - |c_0|^2 - \bar{c}_0 \sum_{k=1}^\infty c_k z^k}$  and so  $\Phi_1(c_0, c_1) = f_1(0) = \frac{c_1}{1 - |c_0|^2}$ .

Step(3):  $f_2(z) = \frac{f_1(z) - f_1(0)}{z(1 - \bar{f}_1(0)f_1(z))} = \frac{N}{zD}$ , where

$$\begin{aligned} N &= \frac{(1 - |c_0|^2) \sum_{k=2}^\infty c_k z^{k-1} + \bar{c}_0 c_1 \sum_{k=1}^\infty c_k z^k}{(1 - \bar{c}_0 \sum_{k=0}^\infty c_k z^k)(1 - |c_0|^2)}, \\ D &= \frac{((1 - |c_0|^2)^2 - |c_1|^2) - \bar{c}_0(1 - |c_0|^2) \sum_{k=1}^\infty c_k z^k - \bar{c}_1 \sum_{k=2}^\infty c_k z^{k-1}}{(1 - \bar{c}_0 \sum_{k=0}^\infty c_k z^k)(1 - |c_0|^2)}. \end{aligned}$$

Therefore,

$$f_2(z) = \frac{(1 - |c_0|^2) \sum_{k=2}^\infty c_k z^{k-2} + \bar{c}_0 c_1 \sum_{k=1}^\infty c_k z^{k-1}}{((1 - |c_0|^2)^2 - |c_1|^2) - \bar{c}_0(1 - |c_0|^2) \sum_{k=1}^\infty c_k z^k - \bar{c}_1 \sum_{k=2}^\infty c_k z^{k-1}}$$

and

$$\Phi_2(c_0, c_1, c_2) = f_2(0) = \frac{c_2(1 - |c_0|^2) + \bar{c}_0 c_1^2}{(1 - |c_0|^2)^2 - |c_1|^2}.$$

Step(4):

$$f_3(z) = \frac{f_2(z) - f_2(0)}{z(1 - \bar{f}_2(0)f_2(z))} = \frac{N}{D},$$

where

$$N = ((1 - |c_0|^2)^2 - |c_1|^2) \left( (1 - |c_0|^2) \sum_{k=2}^{\infty} c_k z^{k-2} + \bar{c}_0 c_1 \sum_{k=1}^{\infty} c_k z^{k-1} \right) \\ - (c_2(1 - |c_0|^2) + \bar{c}_0 c_1^2) \left( ((1 - |c_0|^2)^2 - |c_1|^2) - \bar{c}_0(1 - |c_0|^2) \sum_{k=1}^{\infty} c_k z^k - \bar{c}_1 \sum_{k=2}^{\infty} c_k z^{k-1} \right)$$

and

$$D = ((1 - |c_0|^2)^2 - |c_1|^2) \left( ((1 - |c_0|^2)^2 - |c_1|^2) - \bar{c}_0(1 - |c_0|^2) \sum_{k=1}^{\infty} c_k z^k - \bar{c}_1 \sum_{k=2}^{\infty} c_k z^{k-1} \right) \\ - (\bar{c}_2(1 - |c_0|^2) + c_0 \bar{c}_1^2) \left( (1 - |c_0|^2) \sum_{k=2}^{\infty} c_k z^{k-2} + \bar{c}_0 c_1 \sum_{k=1}^{\infty} c_k z^{k-1} \right).$$

Thus,

$$\Phi_3(c_0, c_1, c_2, c_3) \\ = f_3(0) \\ = \frac{((1 - |c_0|^2)^2 - |c_1|^2) ((1 - |c_0|^2)c_3 + \bar{c}_0 c_1 c_2) + (c_2(1 - |c_0|^2) + \bar{c}_0 c_1^2)(\bar{c}_0(1 - |c_0|^2)c_1 + \bar{c}_1 c_2)}{((1 - |c_0|^2)^2 - |c_1|^2)^2 - |c_2(1 - |c_0|^2) + \bar{c}_0 c_1^2|^2}.$$

### 3. Hyponormality condition

**Theorem 3.1.** Suppose  $\varphi(z) = \sum_{n=-N}^N a_n z^n$  is such that

$$\bar{a}_N \begin{pmatrix} a_{-1} \\ a_{-2} \\ a_{-4} \\ \vdots \\ a_{-N} \end{pmatrix} = a_{-N} \begin{pmatrix} \bar{a}_1 \\ \bar{a}_2 \\ \bar{a}_4 \\ \vdots \\ \bar{a}_N \end{pmatrix} \text{ and } \alpha := \frac{\det \begin{pmatrix} a_{-3} & a_{-N} \\ \bar{a}_3 & \bar{a}_N \end{pmatrix}}{|a_N|^2 - |a_{-N}|^2}.$$

- (1) If  $N = 4$ , then  $T_\varphi$  is hyponormal if and only if
  - (a)  $|\alpha| \leq 1$ ,
  - (b)  $|\bar{\alpha} a_{-4} - a_3| \leq |a_4| \left( \frac{1}{|\alpha|} - |\alpha| \right)$ ,
  - (c)  $|(1 - |\alpha|^2)(\bar{a}_3^2 - \bar{a}_2 \bar{a}_4 - \bar{a}_3 \bar{a}_{-4} \alpha) + \alpha(\bar{a}_{-4} \alpha - \bar{a}_3)(\bar{a}_{-4} - \bar{a}_3 \bar{\alpha})| \\ \leq |\alpha| \left( |a_4|^2 \left( \frac{1}{|\alpha|} - |\alpha| \right)^2 - |a_{-4} \bar{\alpha} - a_3|^2 \right)$ .
- (2) If  $N \geq 5$ , then  $T_\varphi$  is hyponormal if and only if
  - (a)  $|\alpha| \leq 1$ ,
  - (b)  $\left| \frac{a_{N-1}}{a_N} \right| \leq \frac{1}{|\alpha|} - |\alpha|$ ,

$$(c) \quad \left| \frac{1}{\alpha} \left( \frac{a_{N-1}}{a_N} \right)^2 - \left( \frac{1}{\alpha} - \bar{\alpha} \right) \frac{a_{N-2}}{a_N} \right| \leq \left( \frac{1}{|\alpha|} - |\alpha| \right)^2 - \left| \frac{a_{N-1}}{a_N} \right|^2.$$

*Remark 3.2.* Here we assume that  $\det \begin{pmatrix} a_{-3} & a_{-N} \\ \bar{a}_3 & \bar{a}_N \end{pmatrix}$  and  $|a_N|^2 - |a_{-N}|^2$  are non-zero, because otherwise the results follow from [2] and [5]. Thus,  $a_{-3}\bar{a}_N \neq a_{-N}\bar{a}_3$  and  $|a_{-N}| < |a_N|$ .

*Proof.* (1) When  $N = 4$ .

Using Proposition 1 [5],  $c_0, c_1, c_2, c_3$  are determined as follows:

$$(2) \quad c_0 = \frac{a_{-4}}{\bar{a}_4},$$

$$(3) \quad c_1 = (\bar{a}_4)^{-1}(a_{-3} - c_0\bar{a}_3),$$

$$(4) \quad c_2 = (\bar{a}_4)^{-1}(a_{-2} - c_0\bar{a}_2 - c_1\bar{a}_3),$$

$$(5) \quad c_3 = (\bar{a}_4)^{-1}(a_{-1} - c_0\bar{a}_1 - c_1\bar{a}_2 - c_2\bar{a}_3).$$

Calculating and simplifying we get

$$(6) \quad c_1 = (\bar{a}_4)^{-2} (|a_4|^2 - |a_{-4}|^2) \alpha,$$

$$(7) \quad c_2 = (\bar{a}_4)^{-1} \left( a_{-2} - \frac{a_{-4}}{\bar{a}_4} \bar{a}_2 - c_1 \bar{a}_3 \right) = -\frac{c_1 \bar{a}_3}{\bar{a}_4},$$

$$(8) \quad c_3 = \left( \frac{\bar{a}_3^2 - \bar{a}_2 \bar{a}_4}{\bar{a}_4^2} \right) c_1,$$

$$(9) \quad 1 - |c_0|^2 = 1 - \frac{|a_{-4}|^2}{|a_4|^2} = |a_4|^{-2} (|a_4|^2 - |a_{-4}|^2),$$

$$(10) \quad \frac{|c_1|}{1 - |c_0|^2} = |\alpha|.$$

We also have,

$$(11) \quad \Phi_0 = c_0,$$

$$(12) \quad \Phi_1 = \frac{c_1}{1 - |c_0|^2},$$

$$(13) \quad \Phi_2 = \frac{c_2(1 - |c_0|^2) + \bar{c}_0 c_1^2}{(1 - |c_0|^2)^2 - |c_1|^2},$$

$$(14) \quad \Phi_3 = \frac{((1 - |c_0|^2)^2 - |c_1|^2)((1 - |c_0|^2)c_3 + \bar{c}_0 c_1 c_2) + (c_2(1 - |c_0|^2) + \bar{c}_0 c_1^2)(\bar{c}_0(1 - |c_0|^2)c_1 + \bar{c}_1 c_2)}{((1 - |c_0|^2)^2 - |c_1|^2)^2 - |c_2(1 - |c_0|^2) + \bar{c}_0 c_1^2|^2}.$$

Using expressions (6) to (10) in (11), (12), (13) and (14) and simplifying, we get

$$|\Phi_0| \leq 1 \text{ if and only if } |a_{-4}| \leq |a_4|,$$

$$|\Phi_1| \leq 1 \text{ if and only if } |\alpha| \leq 1,$$

$$|\Phi_2| \leq 1 \text{ if and only if } |\bar{a}_{-4}\alpha - \bar{a}_3| \leq |a_4| \left( \frac{1}{|\alpha|} - |\alpha| \right),$$

$|\Phi_3| \leq 1$  if and only if

$$\begin{aligned} & |(1 - |\alpha|^2)(\bar{a}_3^2 - \bar{a}_2\bar{a}_4 - \bar{a}_3\bar{a}_{-4}\alpha) + \alpha(\bar{a}_{-4}\alpha - \bar{a}_3)(\bar{a}_{-4} - \bar{a}_3\bar{\alpha})| \\ & \leq |\alpha| \left( |a_4|^2 \left( \frac{1}{|\alpha|} - |\alpha| \right)^2 - |\bar{a}_{-4}\alpha - \bar{a}_3|^2 \right). \end{aligned}$$

The result follows from Theorem 2.1.

(2) When  $N > 4$ .

Let  $c_0, c_1, \dots, c_{N-1}$  be determined by Proposition 1 [5]. Then,

$$\begin{aligned} c_0 &= \frac{a_{-N}}{\bar{a}_N}, \\ c_1 &= (\bar{a}_N)^{-1}(a_{-(N-1)} - c_0\bar{a}_{N-1}) = (\bar{a}_N)^{-2}(\bar{a}_Na_{-(N-1)} - a_{-N}\bar{a}_{N-1}) = 0, \\ &\vdots \\ c_{N-4} &= (\bar{a}_N)^{-1}(a_{-4} - c_0\bar{a}_4 - c_1\bar{a}_5 - \dots - c_{N-5}\bar{a}_{N-1}) = 0, \\ c_{N-3} &= (\bar{a}_N)^{-1}(a_{-3} - c_0\bar{a}_3 - c_1\bar{a}_4 - \dots - c_{N-4}\bar{a}_{N-1}) \\ &= (\bar{a}_N)^{-2}(a_{-3}\bar{a}_N - a_{-N}\bar{a}_3), \\ c_{N-2} &= (\bar{a}_N)^{-1}(a_{-2} - c_0\bar{a}_2 - c_1\bar{a}_3 - c_2\bar{a}_4 - \dots - c_{N-3}\bar{a}_{N-1}), \\ &= -\left(\frac{\bar{a}_{N-1}}{\bar{a}_N}\right)c_{N-3}, \\ c_{N-1} &= (\bar{a}_N)^{-1}(a_{-1} - c_0\bar{a}_1 - c_1\bar{a}_2 - \dots - c_{N-3}\bar{a}_{N-2} - c_{N-2}\bar{a}_{N-1}) \\ &= (\bar{a}_N)^{-1}(-c_{N-1}\bar{a}_{N-2} + \frac{\bar{a}_{N-1}}{\bar{a}_N}c_{N-3}\bar{a}_{N-1}) \\ &= \left(\left(\frac{\bar{a}_{N-1}}{\bar{a}_N}\right)^2 - \frac{\bar{a}_{N-2}}{\bar{a}_N}\right)c_{N-3}. \end{aligned}$$

Thus,  $b_p(z) = c_0 + c_{N-3}z^{N-3} + c_{N-2}z^{N-2} + c_{N-1}z^{N-1}$  is the unique analytic polynomial of degree less than  $N$  satisfying  $\varphi - b_p\bar{\varphi} \in H^\infty$ . By our assumption we have  $c_{N-3} \neq 0$ . Thus, by Proposition 3 [5],

$$\begin{aligned} \Phi_0 &= c_0, \\ \Phi_1 &= \Phi_2 = \dots = \Phi_{N-4} = 0, \\ \Phi_{N-3} &= \frac{c_{N-3}}{1 - |c_0|^2}, \\ \Phi_{N-2} &= \frac{c_{N-2}}{(1 - |c_0|^2)(1 - |\Phi_{N-3}|^2)}, \\ \Phi_{N-1} &= \frac{(1 - |\Phi_{N-3}|^2)c_{N-1}c_{N-3} + |\Phi_{N-3}|^2c_{N-2}^2}{c_{N-3}(1 - |c_0|^2)(1 - |\Phi_{N-3}|^2)^2(1 - |\Phi_{N-2}|^2)}. \end{aligned}$$

Computing and simplifying from these relations, we get,

$|\Phi_0| \leq 1$  if and only if  $|a_{-N}| \leq |a_N|$ , which is always true since we are taking  $|a_{-N}| < |a_N|$ ,  
 $|\Phi_{N-3}| \leq 1$  if and only if  $|\alpha| \leq 1$ ,  
 $|\Phi_{N-2}| \leq 1$  if and only if  $|\frac{a_{N-1}}{a_N}| \leq \frac{1}{|\alpha|} - |\alpha|$ ,  
 $|\Phi_{N-1}| \leq 1$  if and only if  $\left| \frac{1}{\alpha} \left( \frac{a_{N-1}}{a_N} \right)^2 - \left( \frac{1}{\alpha} - \bar{\alpha} \right) \frac{a_{N-2}}{a_N} \right| \leq \left( \frac{1}{|\alpha|} - |\alpha| \right)^2 - \left| \frac{a_{N-1}}{a_N} \right|^2$ .

The result follows from Theorem 2.1. □

### 4. Examples

**Example 1.** For  $\varphi(z) = z^{-4} + \lambda z^{-3} + 2z^{-1} + 4z^3 + 2z^6$  we want to determine the values of  $\lambda$  for which the Toeplitz operator  $T_\varphi$  is hyponormal.

By Proposition 2 [5],  $T_\varphi$  is hyponormal if and only if  $T_\psi$  is hyponormal where  $\psi(z) = z^{-4} + \lambda z^{-3} + 2z^{-1} + 4z + 2z^4$ . Expressing  $\psi(z)$  as  $\sum_{n=-N}^N a_n z^n$  and comparing with the given expression, we have,  $N = 4$  and also  $\bar{a}_4 \begin{pmatrix} a_{-1} \\ a_{-2} \\ a_{-4} \end{pmatrix} = a_{-4} \begin{pmatrix} \bar{a}_1 \\ \bar{a}_2 \\ \bar{a}_4 \end{pmatrix}$ . Hence, we can apply Case 1 of Theorem 3.1 to determine the values of  $\lambda$  for which  $T_\psi$  will be hyponormal. It may be noted that if  $\lambda = 0$ , then  $T_\psi$  is hyponormal by Theorem 1.4 [2].

As  $\alpha = \left| \frac{a_{-3}}{\bar{a}_3} \frac{a_{-4}}{\bar{a}_4} \right| / (|a_4|^2 - |a_{-4}|^2) = \frac{2\lambda}{3}$ , thus referring to the notations used in Theorem 3.1 we have,

- (i)  $|\alpha| \leq 1$  if and only if  $|\lambda| \leq \frac{3}{2} = 1.5$ ,
- (ii)  $|\bar{a}a_{-4} - a_3| \leq |a_4| \left( \frac{1}{|\alpha|} - |\alpha| \right)$  if and only if  $|\lambda| \leq \sqrt{\frac{3}{2}} = 1.22$ , correct upto two decimal places,
- (iii)

$$\begin{aligned}
 & |(1 - |\alpha|^2)(\bar{a}_3^2 - \bar{a}_2\bar{a}_4 - \bar{a}_3\bar{a}_{-4}\alpha) + \alpha(\bar{a}_{-4}\alpha - \bar{a}_3)(\bar{a}_{-4} - \bar{a}_3\bar{\alpha})| \\
 & \leq |\alpha| \left( |a_4|^2 \left( \frac{1}{|\alpha|} - |\alpha| \right)^2 - |a_{-4}\bar{\alpha} - a_3|^2 \right) \\
 & \text{if and only if } 12|\lambda|^4 - 6|\lambda|^3 - 72|\lambda|^2 + 81 \geq 0 \\
 & \text{if and only if } |\lambda| \leq 1.13.
 \end{aligned}$$

This can be seen from the graph of  $12|\lambda|^4 - 6|\lambda|^3 - 72|\lambda|^2 + 81 = 0$  in Figure 1:

Thus,  $T_\varphi$  is hyponormal if and only if  $|\lambda| \leq 1.13$  (correct upto two decimal places).

**Example 2.** If  $\varphi(z) = z^{-4} + \lambda z^{-3} + z^{-2} + 2z^{-1} + 1 + 4z + 2z^2 + 2z^4$ , then using Theorem 3.1 (Case 1) as in Example 1, we get that the Toeplitz operator  $T_\varphi$  is hyponormal if and only if  $|\lambda| \leq 0.95$  (correct upto two decimal places).

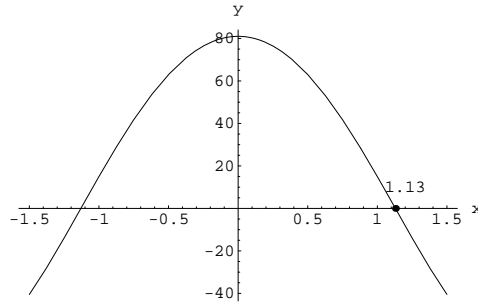


Figure 1

**Example 3.** If  $\varphi(z) = 2z^{-5} + 2z^{-4} + \lambda z^{-3} + 4z^{-2} + 2z^{-1} + 3z + 6z^2 + 3z^3 + 3z^4 + 3z^5$ , then using Theorem 3.1 (Case 2) we get that the Toeplitz operator  $T_\varphi$  is hyponormal if and only if  $0.34 \leq \lambda \leq 0.58$  (correct upto two decimal places).

**Example 4 .** If  $\varphi(z) = z^{-6} + 3z^{-5} + z^{-4} + 2z^{-2} + z^{-1} + 2z + 4z^2 + \lambda z^3 + 2z^4 + 6z^5 + 2z^6$ , then using Theorem 3.1 (Case 2) we get that the Toeplitz operator  $T_\varphi$  is hyponormal if and only if  $|\lambda| \leq 0.32$  (correct upto two decimal places).

### 5. Conclusion

If for  $N \geq 4$  and  $\varphi(z) = \sum_{n=-N}^N a_n z^n$  with  $|a_N| > |a_{-N}|$ , we have

$$\bar{a}_N \begin{pmatrix} a_{-1} \\ a_{-2} \\ a_{-4} \\ \vdots \\ a_{-N} \end{pmatrix} = a_{-N} \begin{pmatrix} \bar{a}_1 \\ \bar{a}_2 \\ \bar{a}_4 \\ \vdots \\ \bar{a}_N \end{pmatrix} \text{ and } \bar{a}_N a_{-3} \neq a_{-N} \bar{a}_3.$$

Then for  $N \geq 6$  we can also apply Theorem 1 [3]. Particularly, for very large  $N$ , application of Theorem 1 [3] would significantly reduce the work load in determining the hyponormality of  $T_\varphi$ . However, this theorem do not give a ready set of conditions to finally determine hyponormality. In view of this, Theorem 3.1 offers an alternative method to determine hyponormality of  $T_\varphi$  under the given restrictions on  $\varphi$ .

For  $N \geq 3$ , if

$$\bar{a}_N \begin{pmatrix} a_{-1} \\ a_{-3} \\ \vdots \\ a_{-N} \end{pmatrix} = a_{-N} \begin{pmatrix} \bar{a}_1 \\ \bar{a}_3 \\ \vdots \\ \bar{a}_N \end{pmatrix} \text{ and } \bar{a}_N a_{-2} \neq a_{-N} \bar{a}_2,$$

then Theorem 8 [5] gives a similar alternative method to determine hyponormality of  $T_\varphi$ .



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