

ON OVERLAPPING TERRITORIES SATISFYING CARDINALITY CONSTRAINTS

Takashi MORIIZUMI+, Shuji TSUKIYAMA+, Shoji SHINODA+  
 Masakazu SENGOKU\*, and Isao SHIRAKAWA++

+ Dept. Electrical Eng.  
 Chuo University  
 Tokyo, 112 Japan

\* Dept. Information Eng.  
 Niigata University  
 Niigata, 950-21 Japan

++ Dept. Electronic Eng.  
 Osaka University  
 Suita, 565 Japan

**Abstract:** Given a network with  $k$  specified vertices  $b_i$  called centers, a cardinality constrained cover is a family  $\{B_i\}$  of  $k$  subsets covering the vertex set of a network, such that each subset  $B_i$  corresponds to and contains center  $b_i$ , and satisfies a given cardinality constraint. A set of cardinality constrained overlapping territories is a cardinality constrained cover such that the total sum of  $T(B_i)$  for all subsets is minimum among all cardinality constrained covers, where  $T(B_i)$  is the summation of the shortest path lengths from center  $b_i$  to every vertex in  $B_i$ .

This paper considers a problem of finding a set of cardinality constrained overlapping territories, and proposes an algorithm for the problem which has the time and space complexities are  $O(k^3|V|^2)$  and  $O(k|V|+|E|)$ , respectively, where  $V$  and  $E$  are the sets of vertices and edges of a given network, respectively. The concept of overlapping territories has a possibility to be applied to a job assignment problem.

1. INTRODUCTION

Let  $N = (G, l)$  be a network such that  $G$  is a undirected connected graph with vertex set  $V$  and edge set  $E$ , and  $l$  is a function assigning a nonnegative real number  $l(e)$ , called **edge length**, to each edge  $e \in E$ . In the network,  $k$  vertices  $b_1, b_2, \dots, b_k$  are specified, which are called **centers** or generators. For each center  $b_i$ ,  $B_i$  denotes a set of distinct vertices containing  $b_i$ , and if family  $BB = \{B_i\}$  of these sets  $B_i$  ( $1 \leq i \leq k$ ) satisfies the equality  $\cup_{i=1}^k B_i = V$ , that is, the union of subsets  $B_i$  of  $V$  is equal to  $V$ , then  $BB$  is called a **cover** of  $V$ . In the following, we denotes a cover  $BB = \{B_i : 1 \leq i \leq k\}$  simply by  $BB = \{B_i\}$ .

In a given network  $N$ , the **distance**

$d(u, v)$  from vertex  $u$  to vertex  $v$  is the shortest path length from  $u$  to  $v$ , where a length of a path is the total sum of edge lengths in the path. For subset  $B_i$  of a center  $b_i$ , let us define

$$T(B_i) = \sum_{v \in B_i} d(b_i, v),$$

and for a cover  $BB = \{B_i\}$ , let

$$T(BB) = \sum_{B_i \in BB} T(B_i).$$

Then, a cover  $BB$  with the minimum  $T(BB)$  among all covers is called a **set of overlapping territories**, and each subset  $B_i$  of  $BB$  is called a **territory** of  $b_i$ . We can easily see that if there is no constraint on the cardinality of each subset  $B_i$ , then overlapping territories  $BB$  is a (ordinary) territories, that is, each territory is pairwise disjoint and the cover  $BB$  is an exact cover or a partition of  $V$ .

A cover  $BB$  is called a **cardinality constrained cover** (abbreviated simply **CC cover**), if the cardinality  $|B_i|$  of each subset  $B_i$  of  $BB$  satisfies a given condition  $M_i \leq |B_i| \leq N_i$  for the subset specified by two integers  $M_i$  and  $N_i$  such that

$$\sum_{i=1}^k M_i \leq |V| \leq \sum_{i=1}^k N_i.$$

A CC cover  $BB$  with the minimum value  $T(BB)$  among all CC covers is designated as a **set of cardinality constrained overlapping territories** (abbreviated simply **CCOT**).

In this paper, we consider a problem of finding a CCOT under the assumption that two integers  $Q_j$  and  $R_j$  are assigned to each vertex  $v_j$  such that vertex  $v_j$  must belong to  $Q_j$  different subsets and may not belong to more than  $R_j$  different subsets, where these  $Q_j$  and  $R_j$  satisfy the following conditions:

$$1 \leq Q_j \leq R_j \leq k, \text{ for } 1 \leq j \leq k,$$

$$\sum_{i=1}^k M_i \leq \sum_{v_j \in V} R_j,$$

$$\sum_{v_j \in V} Q_j \leq \sum_{i=1}^k N_i.$$

Hence, if  $Q_j = R_j = 1$  for every vertex  $v_j$ , then a desired CCOT becomes a set of cardinality constrained (ordinary) territories. Moreover, if there is no

cardinality constraint on every subset  $B_i$ , then territories can be obtained from a Voronoi diagram<sup>[1,2]</sup> on network  $N$  by putting every vertex with more than one nearest centers into one of the Voronoi polygons of the nearest centers.

The concept of CCOT has a possibility to be applied to a scheduling problem, especially a job assignment problem. For example, each project (or job) corresponds to a center (representing project leader) and has two integers  $M$  and  $N$  such that at least  $M$  and at most  $N$  persons (or processors) are necessary to execute the job. Each employee (or processor) corresponds to a vertex and has an integer  $R$  representing the number of jobs which can be performed simultaneously. Graph  $G$  of network  $N = (G, l)$  denotes connection between persons (or processors) and edge length indicates distance or strength of the connection. In such a model, CCOT gives an optimal job assignment such that all the jobs are executed in a short time.

In the following, we use basic terminologies of a graph and a network without definitions (See [3,4] for definitions).

## 2. ASSOCIATED GRAPH AND UPDATE PROCESS

Given a CC cover  $BB = \{ B_i \}$  for network  $N = (G, l)$ , let us define a value  $l*(b_i, v_j)$  for center  $b_j$  and vertex  $v_j$  in subset  $B_j$  and center  $b_i$  in subset  $B_i$  as follows;

$$l*(b_i, v_j) = d(b_i, v_j) - d(b_j, v_j).$$

Then, this value represents the increment of  $T(BB)$  caused by displacing  $v_j$  from  $B_j$  to  $B_i$ . Namely, let  $BB'$  be a CC cover obtained from  $BB$  by displacing  $v_j$  from  $B_j$  to  $B_i$ , and we have

$$T(BB') = T(BB) + l*(b_i, v_j).$$

With the use of this value, we define a weighted digraph  $G*(BB)$  for a CC cover  $BB$ , which is called the **associated graph** of  $BB$ , as follows:

- (i) Each vertex  $b_i^*$  of  $G*(BB)$  corresponds to a subset  $B_i$  of  $BB$ .
- (ii) For every ordered pair  $(b_i^*, b_j^*)$  of distinct vertices such that  $B_j - B_i - \{b_j\}$  contains at least one vertex  $v_j$ , there exists a directed edge incident from  $b_i^*$  to  $b_j^*$  with the length  $l*(b_i^*, b_j^*)$  such that

$$l*(b_i^*, b_j^*) = \min \{ l*(b_i, v_j) \mid v_j \in B_j - B_i - \{b_j\} \}.$$

For an edge  $e^* = (b_i^*, b_j^*)$  of  $G*(BB)$ , let  $\text{head}(e^*)$  be a vertex  $v_j$  such that  $l*(b_i^*, b_j^*) = l*(b_i, v_j)$ , and we can easily see that there holds the following equality for a CC cover  $BB'$  obtained from  $BB$  by displacing  $\text{head}(e^*)$  from  $B_j$  to  $B_i$ :

$$\begin{aligned} T(BB') &= T(BB) + l*(b_i, \text{head}(e^*)) \\ &= T(BB) + l*(b_i^*, b_j^*). \end{aligned}$$

Let us conduct this displacement process repeatedly for every edge  $e^*$  on an elementary dipath  $P^*$  of  $G*(BB)$ .

### <UPDATE PROCESS OF A COVER>

Step-1: Let  $b_{i_1}^*, b_{i_2}^*, \dots, b_{i_r}^*$  be vertices appeared in this order on an elementary dipath  $P^*$ , and set  $q = r - 1$ .

Step-2: While  $q > 0$ , conduct step-3 with respect to edge  $e_q^* = (b_{i_q}^*, b_{i_{q+1}}^*)$  on  $P^*$ .

Step-3: Displace  $\text{head}(e_q^*)$  from  $B_{i_{q+1}}$  to  $B_{i_q}$ , and return to step-2 by setting  $q = q - 1$ .

By  $BB[P^*]$ , we denote a cover obtained from cover  $BB$  by this UPDATE PROCESS with respect to a dipath  $P^*$  of  $G*(BB)$ . Then, subset  $B_{i_1}$  and  $B_{i_r}$  of  $BB[P^*] = \{ B_i \}$  has exactly by one vertex more and less than the corresponding subsets  $B_{i_1}$  and  $B_{i_r}$  of  $BB$ , respectively, and other subsets of  $BB[P^*]$  have exactly the same number of vertices as those of  $BB$ . Moreover, there holds the following lemma.

[Lemma 1]

Let  $P^*$  be an elementary path from  $b_{i_1}^*$  to  $b_{i_r}^*$  in  $G*(BB)$  which contains no cycle of negative length, and we have the following inequality;

$$T(BB[P^*]) \leq T(BB) + d^*(P^*),$$

where  $d^*(P^*)$  is the length of dipath  $P^*$  in  $G*(BB)$ . Moreover, if  $P^*$  is a shortest path from  $b_{i_1}^*$  to  $b_{i_r}^*$ , then the equality holds.

The proof of this lemma is omitted here.

This lemma indicates the following fact. Namely, let  $BB$  be a cover such that  $G*(BB)$  has no cycle of negative length, and let  $BB'$  be a cover obtained from  $BB$  by displacing a vertex  $v_j$  from  $B_j$  to  $B_i$ . Then, by applying UPDATE PROCESS stated above to  $BB$  with respect to shortest path  $P^*$  from  $b_i^*$  to  $b_j^*$ , we can construct a cover  $BB[P^*]$  such that  $T(\cdot)$

value is not greater than  $BB'$ , that is,

$T(BB[P_*]) = T(BB) + d*(P_*) \leq T(BB')$ ,  
and every subset  $B_i$  of  $BB[P_*]$  has the same number of vertices as that of  $BB'$ .

Moreover, let  $L_*$  be an elementary cycle of  $G*(BB)$ , and we can regard  $L_*$  as a dipath starting from and ending at the same vertex. Therefore, if we apply UPDATE PROCESS to  $BB$  with respect to this  $L_*$ , then we have a cover  $BB[L_*]$  such that every subset has the same number of vertices as that in  $BB$  and there holds

$$T(BB[L_*]) \leq T(BB) + d*(L_*),$$

where  $d*(L_*)$  is the length of cycle  $L_*$ .

### 3. BASIC THEOREMS

In this section, we consider a method to modify an overlapping territories  $BB$  to a CCOT with the use of UPDATE PROCESS with respect to a shortest dipath in the associated graph  $G*(BB)$ . To do this, we have to define the following four sets  $B_{mr}^*$ ,  $B_{ex}^*$ ,  $B_{ls}^*$ , and  $B_{st}^*$  of vertices of  $G*(BB)$  with respect to a cover  $BB$ ,

$$B_{mr}^* = \{ b_i^* \mid M_i < |B_i| \},$$

$$B_{ex}^* = \{ b_i^* \mid N_i < |B_i| \},$$

$$B_{ls}^* = \{ b_i^* \mid |B_i| < N_i \},$$

$$B_{st}^* = \{ b_i^* \mid |B_i| < M_i \},$$

where  $M_i$  and  $N_i$  are two specified integers representing constraints on the cardinality of subset  $B_i \in BB$ . From the definitions, we can readily see that  $B_{mr}^* \supset B_{ex}^*$ ,  $B_{st}^* \subset B_{ls}^*$ , and  $BB$  is a CC cover if both  $B_{ex}^*$  and  $B_{st}^*$  are empty. Moreover, a set of territories  $BB$  is a desired CCOT, if both  $B_{ex}^*$  and  $B_{st}^*$  are empty and every  $v_j$  is contained in more than  $Qj-1$  and less than  $Rj+1$  subsets. We also see that subsets  $B_j$  and  $B_i$  corresponding to vertices  $b_j^* \in B_{mr}^*$  and  $b_i^* \in B_{ls}^*$ , respectively, are the ones which satisfy given cardinality constraints even if the numbers of vertices in the subsets are decreased and increased by one, respectively.

[Theorem 1]

A given CC cover  $BB = \{ B_i \mid M_i \leq |B_i| \leq N_i, 1 \leq i \leq k \}$  is a CCOT, if and only if the associated graph  $G*(BB)$  of a CC cover  $BB$  contains neither a cycle  $L_*$  of negative length  $d*(L_*) < 0$  nor a dipath  $P_*$  of negative length  $d*(P_*) < 0$  from a vertex  $b_i^* \in B_{ls}^*$  to a vertex  $b_j^* \in B_{mr}^*$ .

We omit the proof.

This theorem indicates a necessary and sufficient condition for a CC cover to be a CCOT, while the following theorems imply a method to modify a set of overlapping territories to a CCOT. We also omit the proofs of the theorems.

[Theorem 2a]

In the associated graph  $G*(BB)$  of a CCOT  $BB = \{ B_i \mid M_i \leq |B_i| \leq N_i, 1 \leq i \leq k \}$ , let  $P_0^*$  be a shortest dipath from a vertex  $b_s^*$ , corresponding to subset  $B_s$  with  $M_s = |B_s| \leq N_s$ , to a vertex  $b_j^* \in B_{mr}^*$ , and let  $BB[P_0^*] = BB' = \{ B_i' \}$  be a cover obtained from  $BB$  by UPDATE PROCESS with respect to  $P_0^*$ . Then,  $BB[P_0^*]$  is a CCOT such that subset  $B_s'$  corresponding to  $b_s^*$  satisfies  $M_{s+1} = |B_s'| \leq \max(N_s, |B_s|+1)$ , and any other subset  $B_i'$  satisfies  $M_i \leq |B_i'| \leq N_i$ .

[Theorem 2b]

In the associated graph  $G*(BB)$  of a CCOT  $BB = \{ B_i \mid M_i \leq |B_i| \leq N_i, 1 \leq i \leq k \}$ , let  $P_0^*$  be a shortest dipath from a vertex  $b_j^* \in B_{ls}^*$  to a vertex  $b_t^*$ , corresponding to subset  $B_t$  with  $M_t \leq |B_t| = N_t$ , and let  $BB[P_0^*] = BB' = \{ B_i' \}$  be a cover obtained from  $BB$  by UPDATE PROCESS with respect to  $P_0^*$ . Then,  $BB[P_0^*]$  is a CCOT such that subset  $B_t'$  corresponding to  $b_t^*$  satisfies  $\min(M_s, |B_t|-1) \leq |B_t'| = N_s-1$ , and any other subset  $B_j'$  satisfies  $M_j \leq |B_j'| \leq N_j$ .

In order to devise an algorithm to find a CCOT by using these theorems, we have to introduce a dummy center  $b_{k+1}$  into network  $N$  such that  $b_{k+1}$  is connected with every vertex  $v_j$  (including centers) by edges  $(b_{k+1}, v_j)$  of zero length  $l(b_{k+1}, v_j) = 0$ , and the corresponding subset  $B_{k+1}$  has the cardinality constraint such that  $M_{k+1} = N_{k+1} = 1$ . This dummy center  $b_{k+1}$  and its subset  $B_{k+1}$  are used to handle the multiplicity of a vertex, in such a way that  $B_{k+1}$  contains  $b_{k+1}$  and vertices  $v_j$  each of which is contained in less than  $Qj$  (or  $Rj$ ) subsets of  $\{ B_i \mid 1 \leq i \leq k \}$ , and  $B_{k+1}$  is reconstructed, every time a CCOT is updated. Henceforth, we denote by  $m_j$  the number of multiplicity of vertex  $v_j$  in subsets  $B_1, B_2, \dots, B_k$ , that is, the number of subsets  $B_i$  ( $1 \leq i \leq k$ ) containing  $v_j$ .

The outline of the proposed algorithm is as follows:

Firstly, each subset  $B_i$  ( $1 \leq i \leq k$ ) contains center  $b_i$  alone, and subset  $B_{k+1}$  contains  $b_{k+1}$  and vertices  $v_j$  such that  $m_j < Q_j$ . Then, we can easily see that the cover  $BB^0 = \{B_i^0 \mid 1 \leq i \leq k+1\}$  thus obtained is a CCOT such that each  $B_i^0$  ( $1 \leq i \leq k$ ) satisfies  $1 = |B_i^0| \leq N_i$  and subset  $B_{k+1}^0$  satisfies  $1 \leq |B_{k+1}^0| \leq |V|$ . Moreover, let  $B_{st}^{*0}$  and  $B_{mr}^{*0}$  be the sets of vertices similarly defined to  $B_{st}^*$  and  $B_{mr}^*$  in  $G^*(BB^0)$ , respectively, then  $B_{st}^{*0} \subset \{b_i^* \mid 1 \leq i \leq k\}$  and  $B_{mr}^{*0} = B_{ex}^{*0} = \{b_{k+1}^*\}$ .

Secondly, UPDATE PROCESS is applied to this  $BB^0$  with respect to a shortest dipath  $P_0^*$  from a vertex  $b_s^* \in B_{st}^{*0}$  to  $b_{k+1}^*$ , and we can see from Theorem 2a that cover  $BB^1 = BB^0[P_0^*]$  obtained by UPDATE PROCESS with respect to  $P_0^*$  is a CCOT such that  $B_s^1 \in BB^1$  corresponding to  $b_s^*$  satisfies  $2 = |B_s^1| \leq N_s$  and any other subset  $B_i^1 \in BB^1$  satisfies the same constraint as in  $BB^0$ . Then, we reconstruct  $B_{k+1}$  of  $BB^1$  as a subset containing  $b_{k+1}$  and vertices such that  $m_j < Q_j$ , since the vertex displaced from  $B_{k+1}^0$  by UPDATE PROCESS may be contained in  $B_{k+1}^1$ .

Thirdly, the process described above are repeated until  $B_{st}^*$  becomes empty or  $B_{k+1} = \{b_{k+1}\}$ . If  $\sum_{i=1}^k M_i > \sum_{v_j \in V} Q_j$ , then we have  $B_{k+1}^h = \{b_{k+1}\}$  and  $B_{st}^{*h} \neq \emptyset$  after a certain number, say  $h$ , of iteration; otherwise there holds  $B_{st}^{*h} = \emptyset$ , before  $B_{k+1}^h$  becomes  $\{b_{k+1}\}$ .

In the case of  $B_{k+1}^h = \{b_{k+1}\}$ , we have a CCOT  $BB^h$  such that every vertex  $v_j$  is contained in  $Q_j$  different subsets, and each subset  $B_i \in BB^h$  satisfies

$$M_i^h = |B_i^h| < N_i \quad ; \quad \text{if } B_i^h \in BB_{st}^h,$$

$$M_i \leq |B_i^h| \leq N_i \quad ; \quad \text{if } B_i^h \notin BB_{st}^h,$$

where  $BB_{st}^h$  is a family of subsets  $B_a$  corresponding to a vertex  $b_a^* \in B_{st}^{*h}$  and  $M_i^h$  is an integer equal to  $|B_i^h|$ . Thus, we reconstruct  $B_{k+1}^h$  of  $BB^h$  as a subset containing  $b_{k+1}$  and vertices  $v_j$  such that  $m_j < R_j$ , and repeat UPDATE PROCESS similarly to the above. Then, we finally obtain a desired CCOT when  $B_{st}^*$  becomes empty.

In the case when  $B_{st}^{*h}$  becomes empty in the  $h$ -th iteration, if  $B_{k+1}^h = \{b_{k+1}\}$  at that time, then we have a desired CCOT  $BB^h$  at the same time. Otherwise, we have to distribute the remaining vertices in

$B_{k+1}^h$  exclusive of  $b_{k+1}$  to appropriate subsets. Thus, by using Theorem 2b, we repeat UPDATE PROCESS with respect to a shortest dipath from a vertex of  $B_{st}^*$  to  $b_{k+1}^*$ , until  $B_{k+1}$  becomes a singleton. Then, we finally have a desired CCOT. In the repetition, we have to reconstruct  $B_{k+1}$  as a subset containing  $b_{k+1}$  and vertices  $v_j$  such that  $m_j < Q_j$ , every time a cover is updated.

#### 4. ALGORITHM

Before describing the details of the proposed algorithm, let us consider a method to find a shortest dipath in an associated graph  $G^*(BB)$ .

Although a given network  $N$  has no edge of negative length, the associated graph  $G^*(BB)$  of a cover  $BB$  may have such an edge, and hence we cannot use Dijkstra-like algorithm<sup>[3,5]</sup> for finding a shortest path. However, in each iteration of the algorithm, the associated graph  $G^*(BB^h)$  is constructed for a certain CCOT  $BB^h$ , and hence we can see from Theorem 1 that  $G^*(BB^h)$  has no cycle of negative length. Therefore, as is well known in algorithms for the minimum-cost flow problem<sup>[3,5]</sup>, we can modify the edge length  $l^*(e^*)$  of each edge  $e^* = (b_u^*, b_v^*)$  in  $G^*(BB^h)$  to a nonnegative edge length  $l^{**}(e^*)$  by the following equation;

$$l^{**}(b_u^*, b_v^*) = l^*(b_u^*, b_v^*) + p(b_u^*) - p(b_v^*),$$

where  $p$  is a function assigning to each vertex  $v_j$  a nonnegative real value  $p(v_j)$  (usually the distance from the origin of the generated shortest path). By this transformation, the length  $d^*(L^*)$  of a cycle  $L^*$  is not changed, and the length  $d^*(P^*)$  of a dipath  $P^*$  from  $b_s^*$  to  $b_t^*$  is changed to  $d^{**}(P^*) = d^*(P^*) + p(b_s^*) - p(b_t^*)$ , and hence if  $p(b_s^*) = 0$ , the original length  $d^*(P^*)$  of dipath  $P^*$  is given by  $d^*(P^*) = d^{**}(P^*) + p(b_t^*)$ .

The detailed description of the proposed algorithm is as follows:

<Initialization Step>

- I-1: For every pair of center  $b_i$  and a vertex  $v_j$ , calculate the distance  $d(b_i, v_j)$  from  $b_i$  to  $v_j$ , and store them in order to calculate  $l^*(b_i, v_j)$  easily.
- I-2: Let  $B_i = \{b_i\}$  and  $p(b_j^*) = 0$  for  $1 \leq i \leq k+1$ . Then,  $BB = \{B_i \mid 1 \leq i \leq k+1\}$  and  $T(BB) = 0$ .

I-3: Set flag = 0, which indicates what vertices are added to subset  $B_{k+1}$ .

<Iteration Step>

II-1: If flag = 0, then vertices  $v_j$  such that  $m_j < Q_j$  are added to  $B_{k+1}$ ; otherwise (flag = 1), vertices  $v_j$  such that  $m_j < R_j$  are added, where  $m_j$  is the number of subsets  $B_i$  ( $1 \leq i \leq k$ ) containing vertex  $v_j$ .

II-2: If  $B_{k+1} = \{b_{k+1}\}$  and  $B_{st}^* = \{b_i^* \mid |B_i| < M_i\} = \emptyset$ , then  $BB = \{B_{k+1}\}$  is a desired CCOT, and hence terminate. If  $B_{k+1} = \{b_{k+1}\}$  and  $B_{st}^* \neq \emptyset$ , then set flag = 1 and return to II-1. If  $B_{k+1} \neq \{b_{k+1}\}$  and  $B_{st}^* = \emptyset$ , then go to III-1.

II-3: Construct the associated graph  $G^*(BB)$  of  $BB = \{B_i \mid 1 \leq i \leq k+1\}$ , and modify each edge length  $l^*(b_i^*, b_j^*)$  to

$$l^*(b_i^*, b_j^*) = l^*(b_i^*, b_j^*) + p(b_i^*) - p(b_j^*).$$

II-4: In network  $(G^*(BB), l^*)$ , calculate the shortest path length  $D(b_i^*)$  from a vertex in  $B_{st}^*$  to each vertex  $b_i^*$ . Then, let  $P^*$  be a shortest dipath from a vertex  $b_{s^*} \in B_{st}^*$  to  $b_{k+1}^*$  whose length is  $D(b_{k+1}^*)$ .

II-5: Apply UPDATE PROCESS to  $BB$  with respect to  $P^*$ , and set

$$T(BB) = T(BB) + D(b_{k+1}^*) + p(b_{k+1}^*).$$

II-6: Return to II-1, after setting  $p(b_i^*) = p(b_i^*) + D(b_i^*)$  for each  $1 \leq i \leq k+1$  and  $B_{k+1} = \{b_{k+1}\}$ .

<Distribution Step>

III-1: Construct the associated graph  $G^*(BB)$  of  $BB$  and modify the edge length as described in II-3.

III-2: Introduce a new vertex  $b_0^*$  and edges  $(b_0^*, b_i^*)$  of lengths  $l^*(b_0^*, b_i^*) = -p(b_i^*)$  incident from  $b_0^*$  to vertices  $b_i^*$  of  $B_{1s}^* = \{b_i^* \mid |B_i| < N_i\}$ .

III-3: Calculate the distance  $D(b_i^*)$  from vertex  $b_0^*$  to every vertex  $b_i^*$ , then let  $P^*$  be a subpath from a vertex of  $B_{1s}^*$  to  $b_{k+1}^*$  of a dipath from  $b_0^*$  to  $b_{k+1}^*$  whose length is  $D(b_{k+1}^*)$ .

III-4: Apply UPDATE PROCESS to  $BB$  with respect to  $P^*$ , and set

$$T(BB) = T(BB) + p(b_{k+1}^*) + D(b_{k+1}^*).$$

Then, let  $B_{k+1}$  be a set containing  $b_{k+1}$  and vertex  $v_j$  such that  $m_j < Q_j$ .

III-5: If  $B_{k+1} = \{b_{k+1}\}$ , then  $BB = \{B_{k+1}\}$  is a desired CCOT, and hence terminate. Otherwise, return to III-1 after setting  $p(b_i^*) = p(b_i^*) + D(b_i^*)$  for each  $1 \leq i \leq k+1$ .

During <Iteration Step> in the algorithm, the number of vertices in a subset  $B_s$  corresponding to a vertex  $b_s^* \in B_{st}^*$  increases one by one, and the number of vertices in  $B_{st}^*$  decreases gradually. Therefore, every time a shortest path from a vertex in  $B_{st}^*$  is calculated in II-4, there holds  $p(b_s^*) = 0$  for every  $b_s^* \in B_{st}^*$ . Thus,  $T(BB)$  value is correctly computed in II-5.

In III-2 of the algorithm, we introduced new edges of negative lengths. These edges and lengths are used for finding a shortest path from a vertex  $b_i^*$  of  $B_{1s}^*$  to  $b_{k+1}^*$  correctly. Although these edge lengths are negative, we can use Dijkstra-like algorithm, since such edges are all restricted ones. Thus, we can prove the following lemma which is used for verifying the correctness of the algorithm, although we omit the proof.

[Lemma 2]

In the associated graph  $G^*(BB)$  constructed in any stage of the algorithm, we can calculate distance  $D(\cdot)$  correctly by Dijkstra-like algorithm.

Finally, we consider the time and space complexity of the algorithm.

It is not difficult to see that the space complexity is  $O(k|V|+|E|)$ , since  $O(k|V|)$  space is necessary for storing distance  $d(b_i, v_j)$  for every pair of center  $b_i$  and vertex  $v_j$ ,  $O(k^2)$  for an associated graph  $G^*(BB^*)$ , and  $O(|V|+|E|)$  for all others, where  $V$  and  $E$  are the sets of vertices and edges of a given network, respectively.

As for the time complexity, <Initialization Step> from I-1 to I-3 require  $O(k|V|^2)$  time, since the distances from a single source to all vertices can be calculated in  $O(|V|^2)$  by Dijkstra-like shortest path algorithm. The construction of an associated graph in II-3 or III-1 requires  $O(k^2|V|)$  time, since we have to check all pairs of subsets and each subset may have  $O(|V|)$  vertices. The distance calculation in II-4 or III-3 can be executed in  $O(k^2)$  time by Dijkstra-like algorithm, and all other processes can be done in  $O(k)$  time. Therefore, one iteration of <Iteration Step> from II-1 through II-6 or <Distribution Step> from III-1 through III-5 requires  $O(k^2|V|)$  time. On the other hand, the number of iterations of <Iteration step> or <Distribution Step>

is at most  $k|V|$  times, since every subset  $a_i$  ( $1 \leq i \leq k$ ) has at most  $|V|$  vertices and exactly one vertex is added to a subset  $B_i$  ( $1 \leq i \leq k$ ) in II-5 or III-4. Thus, we can see that the time complexity of the algorithm is  $O(k^3|V|^2)$  totally.

## 5. CONCLUSION

In this paper, we have considered a problem of finding a set of overlapping territories which satisfies given cardinality constraint on every territory and multiplicity constraint on every vertex, and have proven a few theorems which imply an algorithm to find a set of cardinality constrained overlapping territories in  $O(k^3|V|^2)$  time, where  $k$  and  $|V|$  is the numbers of centers and vertices of a given network, respectively. Similar discussion can be done when each center and/or each vertex have weights, and  $T(BB)$  is defined with the use of these weights.

Concerning territories, there remains some problems to be considered, among which of primary importance is a problem of finding territories such that each territory  $B_i$  induces a connected subgraph of a given network.

## REFERENCES

- [1] F.P.Preparata, M.I.Shamos: Computational Geometry, Springer-Verlag, New York, pp.198-257, 1985.
- [2] T.Asano, M.Sato, T.Ohtsuki: "Computational geometry algorithms," Layout Design and Verification, Ed. T.Ohtsuki, North-Holland, Tokyo, pp. 295-347, 1986.
- [3] M.Iri, I.Shirakawa, Y.Kajitani, S.Shinoda, et al.: Graph Theory with Exercises, Corona Publishing Co., Ltd, Tokyo, 1983 (in Japanese).
- [4] F.Harary: Graph Theory, Addison-Wesley, Reading, 1969.
- [5] E.L.Lawler: Combinatorial Optimization: Networks and Matroids, Holt, Rinehart and Winston, New York, 1976.