

# Plasma Modification of Polyolefin Surfaces

## "플라스마를 이용한 폴리올레핀 표면의 변용"

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# ***Plasma Modification of Polyolefin Surfaces***

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## ● **Polyolefins (Polyethylene and Polypropylene)**

### **Advantage in use**

**General and cheap resin  
Most widely used synthetic polymers for industrial  
and food packaging fields**

### **Limitation for general use**

**Low surface energy ; poor printing, bonding and  
coating properties**

## ● Surface Treatment Techniques

To improve inferior functional characteristics  
To increase their commodity value





Dry physical treatments

Plasma, corona and flame discharge, photons, UV, ozone, laser, ion and electron beam irradiation, pressing between Al sheets etc.

Wet chemical treatments

Strong acids and bases like permanganic acid, chromic acid, Chlorosulfonic, sulfuric acid etc.

## ● What is a plasma?

Solid	Liquid	Gas	Plasma
Example Ice $H_2O$	Example Water $H_2O$	Example Steam $H_2O$	Example Ionized Gas $H_2 \rightarrow H^+ \cdot H^- + 2e^-$
Cold $T < 0^\circ C$	Warm $0 < T < 100^\circ C$	Hot $T > 100^\circ C$	Hotter $T > 100,000^\circ C$ to 10 electron Volts
			
Molecules Fixed in lattice	Molecules Free to Move	Molecules Free to Move, Large Spacing	Ions and Electrons Move Independently, Large Spacing

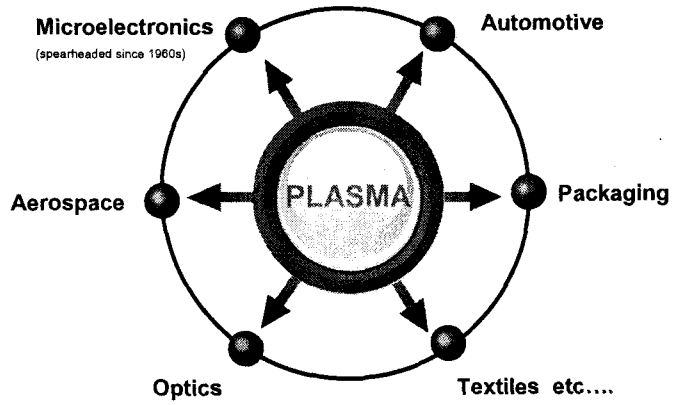
Plasma was identified as the fourth state of matter by Sir William Crookes in 1879.

Plasma consists of a collection of free-moving electrons and ions – atoms that have lost electrons. Energy is needed to strip electrons from atoms to make plasma. The energy can be of various origins: thermal, electrical, or light (ultraviolet light or intense visible light from a laser). With insufficient sustaining power, plasmas recombine into neutral gas.

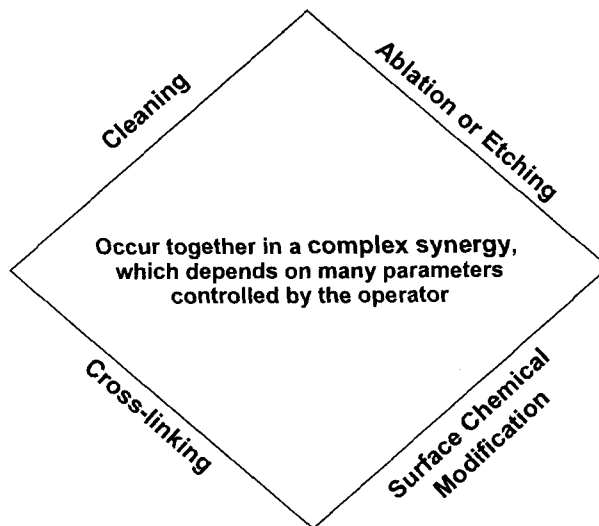
Plasma temperatures and densities range from relatively cool and tenuous (like aurora) to very hot and dense (like the central core of a star).

Plasma can be accelerated and steered by electric and magnetic fields.

## ● Industrial Use of Plasma



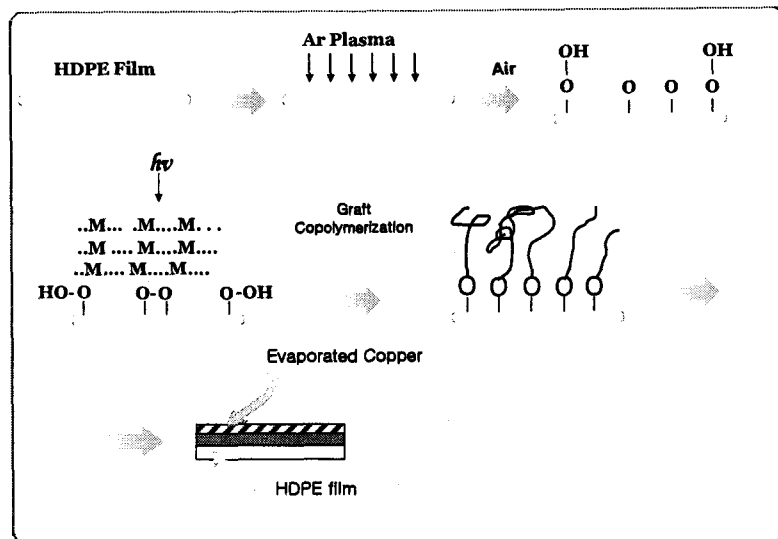
## ● What effect has plasma?



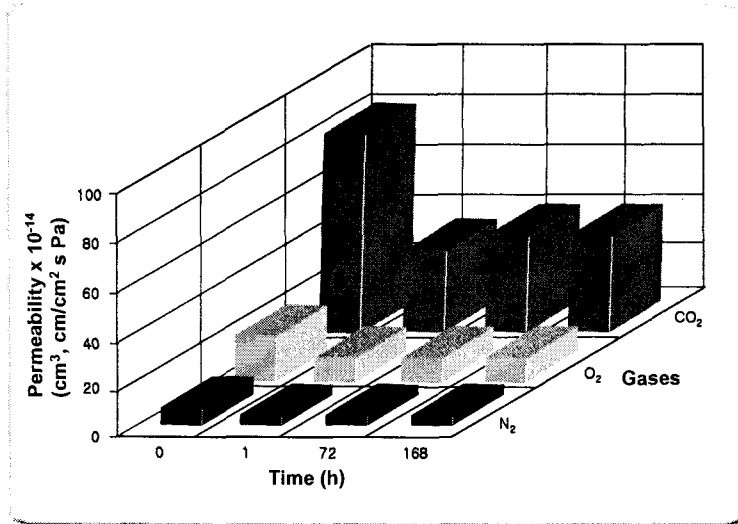
### Effect of plasma treatments on polymers (Ozdemir et al., 1999)

Polymer type	Plasma type	Changes produced in material
Polyethylene	Ar, O <sub>2</sub> , N <sub>2</sub> , CO <sub>2</sub> , CF <sub>4</sub> , NH <sub>3</sub> , Ar	Increased polar groups and surface energy; improved wettability, adhesion, dyeability, and printability; enhanced peel strength; improved barrier properties
Polypropylene	O <sub>2</sub> , N <sub>2</sub> , H <sub>2</sub> , NH <sub>3</sub> , F <sub>2</sub> +N <sub>2</sub> , CF <sub>4</sub> , SOF <sub>2</sub> , SO <sub>2</sub>	Enhanced wettability, adhesion, printability and dyeability; increased mechanical resistance and shear strength; improved barrier properties
Polyethylene terephthalate	Ar, O <sub>2</sub> , N <sub>2</sub> , H <sub>2</sub> , Ar, F <sub>2</sub> +N <sub>2</sub> , SF <sub>6</sub> , SOF <sub>2</sub> , SO <sub>2</sub> , CF <sub>4</sub> , C <sub>2</sub> F <sub>6</sub> , C <sub>3</sub> F <sub>8</sub> , C <sub>4</sub> F <sub>8</sub>	Increased surface energy; enhanced wettability, adhesion, dyeability, and peel strength; improved barrier properties
Polystyrene	O <sub>2</sub> , N <sub>2</sub> , CF <sub>4</sub> , CO <sub>2</sub> , Ar	Increased wettability, adhesion, and printability
Polycarbonate	O <sub>2</sub> , CF <sub>4</sub> , Ar, NH <sub>3</sub>	Increased wettability, adhesion, and printability
Polyvinyl chloride	O <sub>2</sub> , N <sub>2</sub> , H <sub>2</sub> , NH <sub>3</sub>	Reduced migration of plasticizers; increased stability and peel strength
Ethylene vinyl alcohol	N <sub>2</sub>	Improved surface properties; increased adhesion
Nylon	N <sub>2</sub>	Increased surface energy; enhanced shear and peel strengths

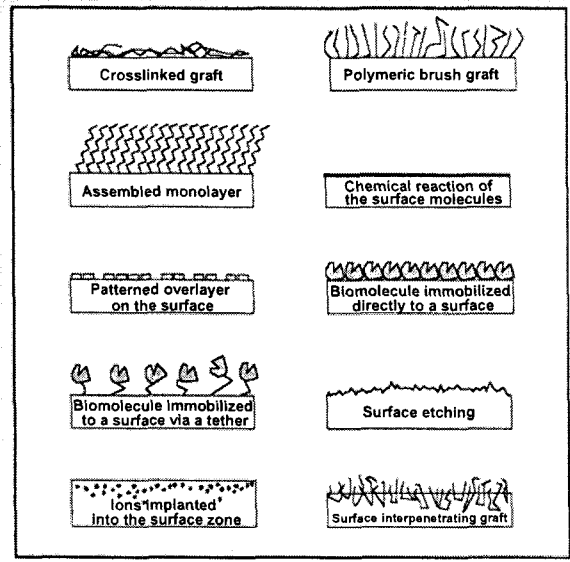
### Schematic diagram of the UV-induced surface graft copolymerization and metallization processes (Ng et al., 2000)



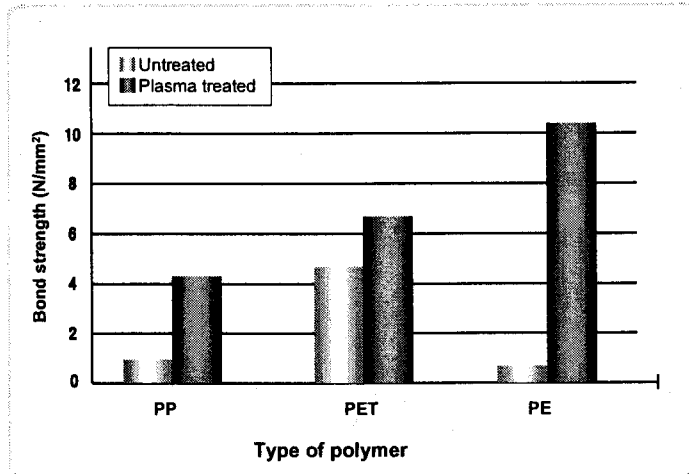
**CO<sub>2</sub>, O<sub>2</sub>, and N<sub>2</sub> permeabilities of untreated and argon plasma-treated LDPE films at 30 °C (Rossi et al., 1995)**



**Possibilities for surface modification (Ratner, 1996)**



**Comparison of the bond strengths of untreated and O<sub>2</sub> plasma-treated polymer films (Petasch et al., 1995)**



### ● Advantages of Plasma Treatment

- Versatile, uniform, reproducible, and environmentally benign method
- Improve surface energy, optical reflection, resistance to glazing, barrier properties, optical reflection, biocompatibility, adhesion to other materials
- Impart antimicrobial properties
- Maintaining bulk properties : modification depth very low

Flame	< Corona, Plasma	< UV/Ozone
2-4 nm	~ 10 nm	10 nm- 1 um



## ● **Current Drawbacks of Plasma Treatment**

- **Aging**
- **Requiring vacuum for operation and the high operation cost**
- **Difficulty in controlling the process parameters**

## ● **Frequency of Plasma for Operation**

- **Low-frequency (LF, 50-450KH)**
- **Radio-frequency (RF, 13.56 or 27.12 MHz)**
- **Microwave frequency (MW, 915 MHz or 2.45 GHz)**

## ● Type and Purpose of Plasma

Oxygen and Nitrogen containing  
(NO<sub>2</sub>, NO, NH<sub>3</sub>..) plasma

∴ Increase the surface energy

Fluorine containing  
(CF<sub>x</sub>) plasma

∴ Decrease the surface energy and  
improve the chemical inertness

Inert gas  
(Ar, He, Ne) plasma

∴ Cross-linking

## ● Oxygen Plasma

### 1) Etching :

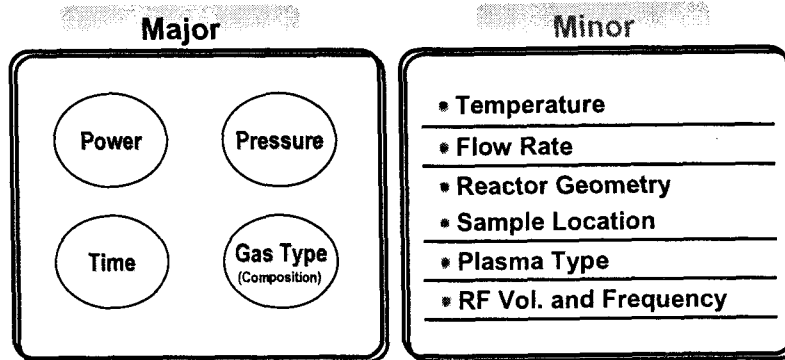
the reactions of atomic oxygen with the surface carbon atoms,  
giving volatile reaction products

### 2) Formation of oxygen functional groups :

the reactions between the active species from the plasma and  
the surface atoms ;

C-O, C=O, O-C-O, C-O-O, and CO<sub>3</sub>

## ● Parameters Affecting the Surface Modification



## ● Optimum Process Condition

**"Uncontrollable" plasma treatment** : Many desirable changes and damage to the surface of polymers, such as degradation of polymer chains and etching of the surface materials.

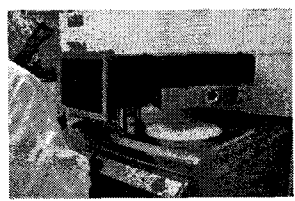
Plasma system with lower production costs and easily optimized and controlled treatment processes could lead to rapid growth in the utilization of plasma technologies on an industrial scale.

The development of the proper process : result of optimization tests

## ● Film Material

Film	Blown low density polyethylene (LDPE) film	Casted polypropylene (CPP) film
Thickness	125 $\mu\text{m}$	125 $\mu\text{m}$ ,
Glass Transition Temperature	-110°C	-5-0°C
Melting Temperature	105°C	165°C
Crystallinity	45%	60%
Melt index	6.0 g/10min at 190°C	8.0 g/10min at 230°C
Density	0.916 g/cm <sup>3</sup>	0.9 g/cm <sup>3</sup>
Resin Type	Seetec XL 505 (Honam Petrochem. Co., Daejeon, Korea)	Hopelen FC-150B (Honam Petrochem. Co., Daejeon, Korea)  Homo isotactic (>95wt%) PP

## ● Plasma Treatment-1



### 1) Plasma Unit:

Capacitively-coupled, parallel-plate reactor with a radio-frequency of 13.56 MHz

### 2) Plasma Type :

Oxygen plasma

### 3) Chamber and Reactor Configuration :

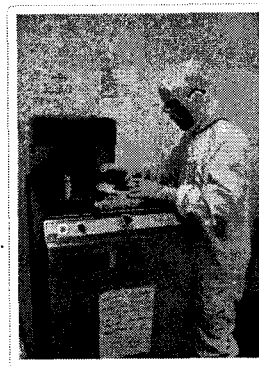
Chamber : aluminium alloy consisted of 490 mm inner dia. and 246 mm height, closed with two stainless-steel flanges.

Upper electrode was located in the top cover- through which oxygen gas was flushed.

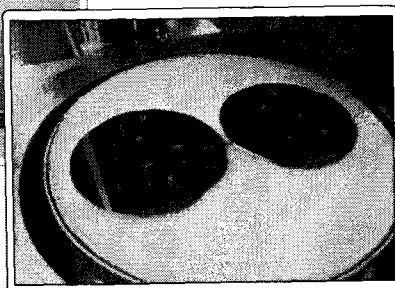
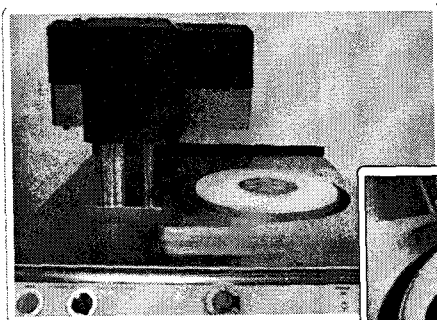
The size of bottom electrode : 20 mm thickness and 420 mm in dia. covered with ca. 5 mm quartz plate.

Evacuation and flush :  $5 \times 10^{-4}$  Torr with N<sub>2</sub>

Oxygen etch procedure (150 W, 50 sccm O<sub>2</sub>, 60 mTorr).



## ● Plasma Treatment-2



5 pieces of film (2 x 2 cm each) were placed on the wafer and put onto the middle of plate of bottom electrode in chamber and oxygen gas was flushed from the top cover.

## ● Operating Condition of Plasma Unit

**Power : 50 to 300 W**

**Pressure : 50 to 500 mTorr**

**Treatment time : 10 sec to 12 min**

**Gas and flow rate : O<sub>2</sub> - 50 sccm**

**Cooling Temperature of Electrode : 10 °C**

## ● Experimental Methods

Contact angle

Wetness

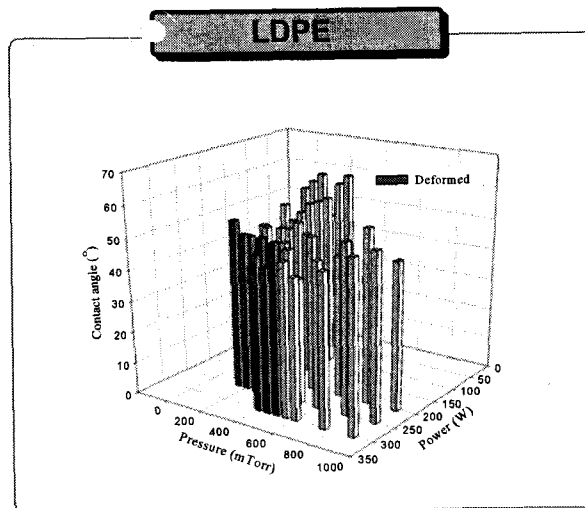
Toluidin-blue test

Carboxyl group

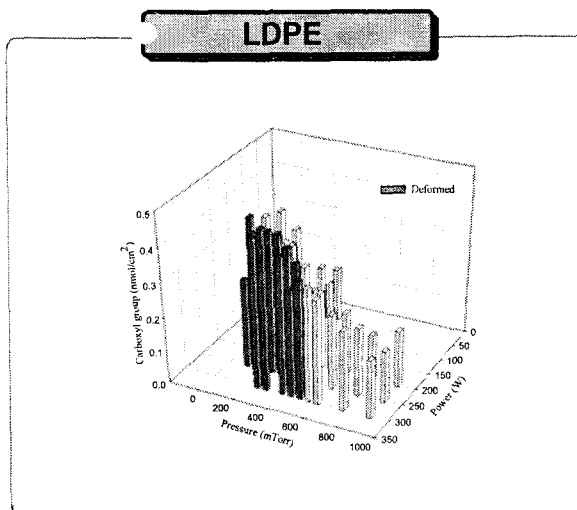
XPS and ATR-FTIR

XPS and ATR-FTIR

## ● Contact angle as functions of pressure and power



● Carboxyl group as functions of pressure and power



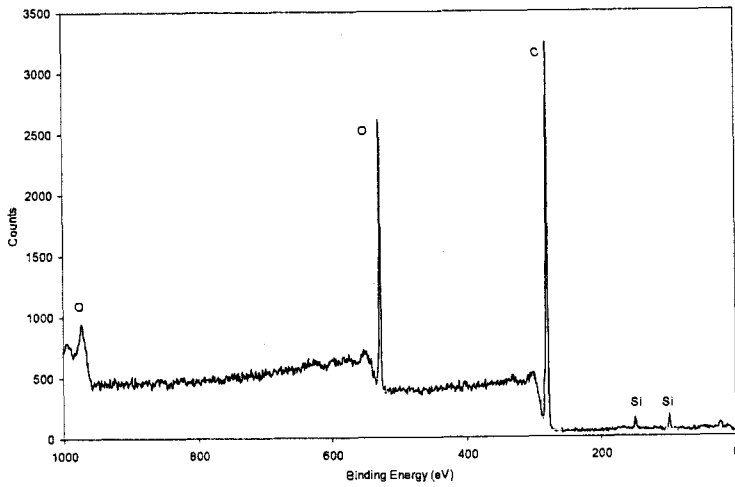
● Contact angle and carboxyl group values of oxygen plasma-treated CPP film as a function of treatment time

(Treatment condition; 250 W, 50 mTorr, 50 sccm)

Time (min)	Contact Angle (°)	Carboxyl group (nmol/cm <sup>2</sup> COOH)
0.08	49.75	0.07
0.17	41.75	0.14
0.5	53.88	0.19
1	47.25	0.38
2	51.00	0.43
3	63.00	0.50
4*	58.76	0.51
5*	62.96	0.59

\* deformed

● XPS survey scan for oxygen plasma-treated LDPE film



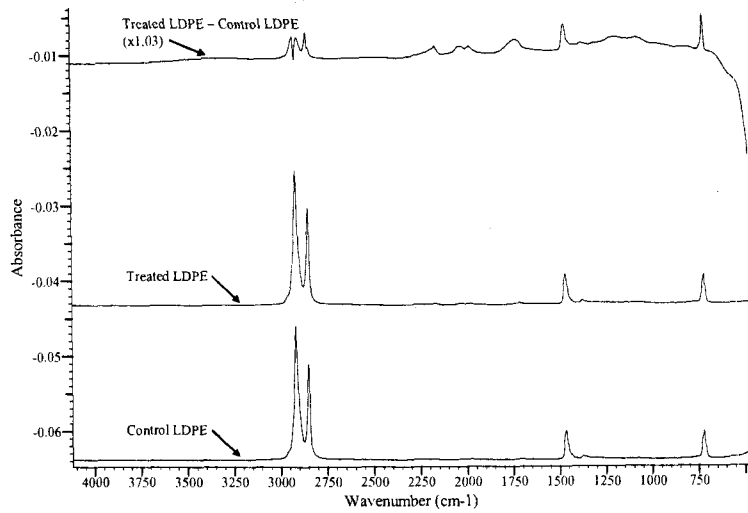
XPS-Approximate atom % surface compositions of oxygen plasma treated LDPE and CPP films

(%)

Atom	O	Si
<b>LDPE Film</b>		
- Control	< 0.5	---
- Treated	22.6	3.2
<b>CPP Film</b>		
- Control	< 0.5	---
- Treated	28.7	9.4



● **ATR-FTIR spectra of control and oxygen plasma-treated LDPE film**



● **Critical zone for the oxygen plasma treatment of 125 um LDPE film specimens regarding deformation**

(Treatment condition; 3min, 50sccm, 10C)

Power (W) \ Pressure (mTorr)	50	100	150	200	250	300	350	400	450	500	550	600
50												
100												
150												
200	xx	(x)	(x)									
250	xxx	xx	x	x	x	x						
300	xxx	xxx	xx	x	x	x	x	x				

White zone : not deformed

Dark zone : deformed

( (x) : very slightly, almost not. x : slightly(5-10%), xx : moderately(10-40%), xxx : largely (more than 40%) and stuck to the wafer

**● Critical zone for the oxygen plasma treatment of 125um CPP film specimens regarding deformation**

(Treatment condition; 3min, 50sccm, 12C)

Power (W) \ Pressure (mTon)	50	100	150	200	250	300	350	400	450	500	550	600
50												
100												
150												
200												
250												
300	■	■	■									

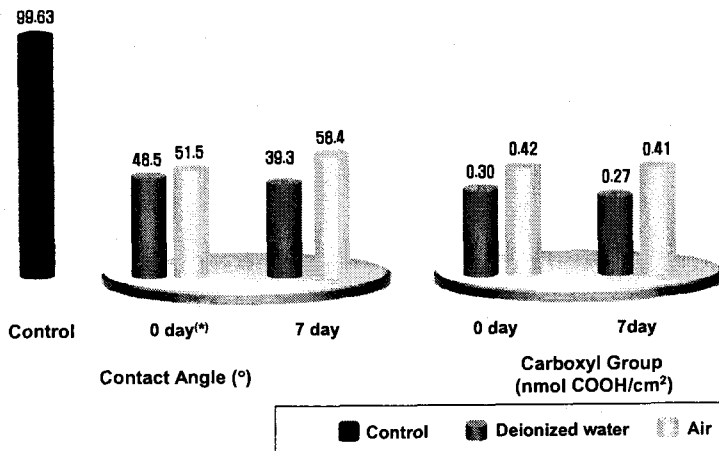
White zone : not deformed

Dark(red) zone : deformed

(x): very slightly, almost not, x : slightly(5-10%), xx : moderately(10-40%), xxx : largely (more than 40%) and sicked to the water

Slightly dark zone(\*): not deformed, but the treated side became opaque which turned again to be transparent after dipping in d.w.

**● Effect of contact milieu after plasma treatment of LDPE film on the contact angle and carboxyl group values**



<sup>(\*)</sup>Each measurement was done approximately 2hrs after plasma treatment. The plasma-treated film samples were kept in a vial filled either with deionized water or air

● Effect of repeated treatment on the contact angle and carboxyl group values of LDPE and CPP films

Film	Power (W)	Pressure (mTorr)	Treatment time (min)	Contact angle(°)	Carboxylic group (nmol COOH/cm <sup>2</sup> )
Low density polyethylene	200	200	3	53.0	0.37
			3+1	45.0	0.33
			3+1+1	44.4	0.33
	200	200	3	46.5	0.31
			3+2	48.9	0.36
			3+2+2	46.8	0.47
3+2+2+2			44.6	0.45	
Polypropylene	250	50	3	63.0	0.46
			3+3	48.0	0.63
			4+3	54.1	0.64
	250	50	3	59.8	0.51
			3+2.5	56.6	0.62
			3+2.5+2.5	43.6	0.59
	250	50	3+2.5+2.5+2.5	47.7	0.83
			2.5	55.9	0.48
			2.5+2.5	54.3	0.65
			2.5+2.5+2.5	45.3	0.60
	250	50	2.5+2.5+2.5+2.5	45.6	0.66
			2.5		
			3	61.6	0.47
			3+3	46.5	0.60
	250	50	3+3+3	48.1	0.64
3+3+3+3			47.5	0.75	
3			54.6	0.48	
3+2			49.5	0.55	
3+2+2			43.4	0.72	
		3+2+2+2	44.6	0.73	

● References

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2. Ozdemir, M et al. Surface treatment of food packaging polymers by plasmas. *Food Technology* 53: 54-58 (1999)
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