

THE CONTINUITY OF DERIVATIONS AND MODULE HOMOMORPHISMS*

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1. Introduction

If T is a linear operator from a Banach space X into a Banach space Y , we let $S(T) = \{y \in Y \mid \text{there is a sequence } \{x_n\} \text{ in } X \text{ with } x_n \rightarrow 0 \text{ and } Tx_n \rightarrow y\}$ and call it the separating space of T . By Closed Graph Theorem, T is continuous if and only if $S(T) = \{0\}$. A derivation D from a Banach algebra A to a Banach algebra A -module X is the linear map from A to X which satisfies the identity

$$D(ab) = aDb + (Da)b \quad (a, b \in A).$$

It is easily checked that $S(D)$ is a closed submodule of X . The continuity ideal for a derivation $D : A \rightarrow X$ is

$$\mathfrak{I}(D) = \{a \in A \mid aS(D) = \{0\}\}.$$

Clearly $\mathfrak{I}(D)$ is a closed ideal in A . In Section 2, we show that if A is a Banach algebra satisfying some conditions, then every derivation from A to any Banach A -module is continuous. In Section 3, we define the k -differential subspace W_k of X and prove that if $D : C^n[0, 1] \rightarrow X$ is a discontinuous derivation, $F = \{\lambda_1, \lambda_2, \dots, \lambda_m\}$ is the hull of $\mathfrak{I}(D)$ and if for some $k, 1 \leq k \leq n$, $D(z) \in W_k$, then the following are equivalent

- (1) $D(\mathfrak{I}(D)) \subset W_k$,
- (2) $\bigcap_{i=1}^m M_{n, n-k}(\lambda_i) \subset \mathfrak{I}(D)$,
- (3) $D(C^n[0, 1]) \subset W_k$.

Also we show that if $D : C^n[0, 1] \rightarrow X$ is continuous and if $D(z)$ is an eigenvector for $\rho(z)$, then D is determined by $D(z)$ such that $D(f) = f'(\lambda)D(z)$, $\lambda \in [0, 1]$ and $f \in C^n[0, 1]$. In Section 4, we show that if $L(M) = I^1(w)$, then every module homomorphism from M into any $I^1(w)$ -module is continuous, where w is a weight function.

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2. Derivations

We need the following lemmas which is found in [8, 10] to prove our main result.

LEMMA 2.1. *Let X and Y be Banach spaces, let U be a linear mapping from X into Y , and let T_n and R_n be sequences of bounded linear mappings on X and Y respectively, such that $UT_n - R_nU$ is continuous for all n . Then there is a natural number N such that*

$$(R_1 \cdots R_n S(U))^- = (R_1 \cdots R_N S(U))^-, \quad (n \geq N).$$

LEMMA 2.2. *Let A be a separable commutative Banach algebra, X a Banach A -module and $D : A \rightarrow X$ a derivation with the continuity ideal $\mathfrak{F}(D)$. Then D is continuous on $\mathfrak{F}(D)^2$ if $\mathfrak{F}(D)^2$ is closed.*

LEMMA 2.3. *Let A be a commutative Banach algebra with identity and X a Banach A -module. Let $D : A \rightarrow X$ be a discontinuous derivation with the separating space $S(D)$ and the continuity ideal $\mathfrak{F}(D)$. Then there is a discontinuous derivation $D_0 : A \rightarrow X$ with the separating space $S(D_0)$ and the continuity ideal $\mathfrak{F}(D_0)$ satisfying*

- (1) $D = a_0 D$ for some $a_0 \in A$.
- (2) For each $a \in A$, either $(aS(D_0))^- = S(D_0)$ or $aS(D_0) = \{0\}$.
- (3) $\mathfrak{F}(D_0) \supseteq \mathfrak{F}(D)$ and $\mathfrak{F}(D_0)$ is a prime ideal of A .

Christensen [6] has shown that if A is a separable Banach algebra such that A^2 has finite codimension in A , then A^2 is closed.

THEOREM 2.4. *Let A be a separable commutative Banach algebra satisfying the following conditions;*

- (1) *If I is a closed prime ideal of infinite codimension in A , then there is sequences $\{a_n\}, \{b_n\}$ in A satisfying $b_n a_1 \cdots a_{n-1} \notin I$ and $b_n a_1 \cdots a_n \in I$ for all $n \geq 2$.*
 - (2) *For every maximal ideal M, M^2 is of finite codimension in A .*
- Then every derivation from A into a Banach A -module is continuous.*

Proof. We may assume that A has an identity. Suppose that D is a discontinuous derivation from A into a Banach A -module X . By Lemma 3, there is a discontinuous derivation $D_0 : A \rightarrow X$ with the continuity ideal $\mathfrak{F}(D_0)$ which is a closed prime ideal. We claim that

$\mathfrak{F}(D_0)$ has a finite codimension in A . In fact, if $\mathfrak{F}(D_0)$ is of infinite codimension in A , then there is a subsequences $\{a_n\}, \{b_n\}$ in A such that $b_n a_1 \cdots a_{n-1} \notin \mathfrak{F}(D_0)$ and $b_n a_1 \cdots a_n \in \mathfrak{F}(D_0)$ for all $n \geq 2$. Let $T_n a = a_n a$ for all $a \in A$, $R_n x = x$ and $U_n x = b_n x$ for all $x \in X$. Then for each n ,

$$(D_0 T_n - R_n D_0)(a) = D_0(a_n a) - a_n D_0(a) = (D_0 a_n) a.$$

Thus $D_0 T_n - R_n D_0$ is continuous for all n . On the other hand

$$U_n R_1 \cdots R_n S(D_0) = (b_n a_1 \cdots a_n) S(D_0) = \{0\}.$$

But

$$U_n R_1 \cdots R_{n-1} S(D_0) = (b_n a_1 \cdots a_{n-1}) S(D_0) \neq \{0\} \quad \text{for all } n \geq 2.$$

This is a contradiction to the Lemma 2.1. Therefore $\mathfrak{F}(D_0)$ is a closed prime ideal having finite codimension in A , and so $\mathfrak{F}(D_0)$ is maximal. By the condition (3) $\mathfrak{F}(D_0)^2$ is of finite codimension in A . Christensen's Theorem implies that $\mathfrak{F}(D_0)^2$ is closed. By the Lemma 2.2, D_0 is continuous on $\mathfrak{F}(D_0)^2$. Since $\mathfrak{F}(D_0)^2$ is of finite codimension, D_0 is continuous in A . This is also a contradiction to the discontinuity of D_0 . We complete the proof.

REMARK. The condition (2) of Theorem 2.4 is necessary because if A has a maximal ideal M such that M^2 is not of finite codimension in A , then there is a discontinuous derivation from A into \mathbf{C} , the field of complex numbers [11].

3. Derivations on $C^n[0, 1]$

Let $C^n[0, 1]$ denote the algebra of all complex valued functions on $[0, 1]$ which has n continuous derivatives. It is well known that $C^n[0, 1]$ is a Banach algebra under the norm

$$\|f\|_n = \max_{t \in [0, 1]} \sum_{k=0}^n \frac{|f^{(k)}(t)|}{k!}$$

whose structure space is $[0, 1]$ and also $C^n[0, 1]$ is singly generated by $z(t) = t$.

We use the notation

$$M_{n,k}(\lambda) = \{f \in C^n[0, 1] \mid f^{(j)}(\lambda) = 0, j=0, 1, \dots, k\}, \lambda \in [0, 1].$$

These are precisely the closed ideals of finite codimension contained in the maximal ideal $M_{n,0}(\lambda)$ of functions vanishing at λ . A Banach $C^n[0, 1]$ -module is a Banach space X together with a continuous homomorphism

$$\rho : C^n[0, 1] \longrightarrow B(X).$$

DEFINITIONS 3.1. Let X be a Banach $C^n[0, 1]$ -module. The k -differential subspace is the set $W_k(0 \leq k \leq n)$ of all vectors x such that the map

$$p \longrightarrow \rho(p')x$$

is continuous for the $C^{n-k+1}[0, 1]$ norm on P , where P is the dense subalgebra of polynomials in $C^n[0, 1]$

LEMMA 3.2. Let X be a $C^n[0, 1]$ -module. A vector x lies in the k -differential subspace W_k if and only if the map

$$p \longrightarrow \rho(p)x$$

is continuous for the $C^{n-k}[0, 1]$ norm on P .

Proof. We use the elementary inequality

$$\begin{aligned} \|p'\|_{n-k} &\leq (n-k+1)\|p\|_{n-k+1}, \quad p \in P, \\ \frac{1}{2}\|p\|_{n-k+1} &\leq \|p'\|_{n-k}, \quad p \in P \cap M_{n,0}(0). \end{aligned}$$

If $x \in W_k$, there exists a constant $L > 0$ such that

$$\|\rho(p')x\| \leq L\|p\|_{n-k+1}, \quad p \in P.$$

Let $q = p - p(0)$, for some $p \in P$. Then $q \in P \cap M_{n,0}(0)$ and

$$\|\rho(p')x\| \leq L\|q\|_{n-k+1} \leq 2L\|q'\|_{n-k} = 2L\|p'\|_{n-k}.$$

However, such p' exhaust P .

Conversely, suppose that $\|\rho(p)x\| \leq M\|p\|_{n-k}$, $p \in P$. Then

$$\begin{aligned} \|\rho(p')x\| &\leq M\|p'\|_{n-k} \\ &\leq (n-k+1)M\|p\|_{n-k+1}, \end{aligned}$$

so $x \in W_k$.

The k -differential subspace W_k occurs in the work of S. Kantorovitz [9]. Bade and Curtis proved the Lemma 3.2 in the case $k=1$ [2]. Note that $W_n \subset W_{n-1} \subset \dots \subset W_0 = X$, and $\rho(p)x \in W_k$ if $x \in W_k$, $p \in P$. A nontrivial derivation $D : C^n[0, 1] \longrightarrow X$ will be called singular if D vanishes on P (equivalently $D(z) = 0$). A singular derivation is, of course, discontinuous. A derivation D is decomposable if D can be expressed in the form $D = E + F$, where E is continuous and F is singular. We need the following lemmas which is found in [2].

LEMMA 3.3. A derivation $D : C^n[0, 1] \longrightarrow X$ is decomposable if and only if $D(z) \in W_1$. If D is decomposable and $D = E + F$, then its sing-

ular part F vanishes also on $\mathfrak{F}(D)^2$.

LEMMA 3.4. *If D is a continuous derivation of $C^n[0, 1] \rightarrow X$, then $D(z) \in W_1$ and*

$$D(f) = \gamma(f')D(z) \text{ for all } f \in C^n[0, 1],$$

where $r : C^{n-1}[0, 1] \rightarrow B(W_1)$ is a unique continuous homomorphism under $C^{n-1}[0, 1]$ norm such that $\gamma(p)x = \rho(p)x$, for all $x \in W_1$, $p \in P$.

It is well known that if $D : C^n[0, 1] \rightarrow X$ is a discontinuous derivation, then the hull of $\mathfrak{F}(D)$ is finite [2].

THEOREM 3.5. *Let $D : C^n[0, 1] \rightarrow X$ be a discontinuous derivation and let $F = \{\lambda_1, \lambda_2, \dots, \lambda_m\}$ be the hull of $\mathfrak{F}(D)$. If for some k , $1 \leq k \leq n$, $D(z) \in W_k$, then the following are equivalent;*

- (1) $D(\mathfrak{F}(D)) \subset W_k$,
- (2) $\bigcap_{i=1}^m M_{n, n-k}(\lambda_i) \subset \mathfrak{F}(D)$,
- (3) $D(C^n[0, 1]) \subset W_k$.

Proof. (1) \Rightarrow (2). Since $D(z) \in W_k \subset W_1$, by Lemma 3.3, $D = E + F$ where E is continuous and F is singular. By Lemma 3.4, $D(f) = E(f) + F(f) = \gamma(f')E(z) + F(f)$ for all $f \in C^n[0, 1]$. If $D(f) \in W_k$, for $f \in \mathfrak{F}(D)$, then $F(f) \in W_k$. By Theorem 3.2 in [2], $(z - \lambda_1)^n (z - \lambda_2)^n \dots (z - \lambda_m)^n \in \mathfrak{F}(D)$. Since $\mathfrak{F}(D) = \mathfrak{F}(F)$,

$\rho((z - \lambda_1)^n \dots (z - \lambda_m)^n) F(f) = \gamma_1(f') \rho((z - \lambda_1)^n \dots (z - \lambda_m)^n) F(z) = 0$ for all $f \in \mathfrak{F}(D)$. Let $y = \rho((z - \lambda_2)^n \dots (z - \lambda_m)^n) F(f)$, for $f \in \mathfrak{F}(D)$. If $\rho(z - \lambda_1)^l y \neq 0$, but $\rho(z - \lambda_1)^{l+1} y = 0$, then for any polynomial p ,

$$\rho(p)y = \sum_{i=0}^l \frac{p^{(i)}(\lambda)}{i!} (\rho(z) - \lambda_1)^i y.$$

And the vectors

$$y, (\rho(z) - \lambda_1)y, \dots, (\rho(z) - \lambda_1)^l y$$

are linearly independent. By Lemma 3.2, $\|\rho(p)y\| \leq M \|p\|_{n-k}$, $M > 0$. Therefore $l \leq n - k$. Then $\rho(z - \lambda_1)^{n-k+1} y = 0$. If we continue this process, for all $\lambda_2, \dots, \lambda_m$,

$$\rho((z - \lambda_1)^{n-k+1} \dots (z - \lambda_m)^{n-k+1}) F(f) = 0.$$

Since $\mathfrak{F}(D)$ is of finite codimension, for all $f \in C^n[0, 1]$,

$$\rho((z - \lambda_1)^{n-k+1} \dots (z - \lambda_m)^{n-k+1}) F(f) = 0.$$

Thus $(z - \lambda_1)^{n-k+1} \dots (z - \lambda_m)^{n-k+1} \in \mathfrak{F}(F) = \mathfrak{F}(D)$.

(2) \Rightarrow (3). Choose $e_k \in C^n[0, 1]$, $k=1, 2, \dots, m$ such that $e_k(\lambda) = 1$ in a neighborhood of λ_k and $e_k(\lambda) = 0$ in a neighborhood of $F - \{\lambda_k\}$. Let $e_0 = 1 - \sum_{i=1}^m e_i$. Then

$$e_0 \in \bigcap_{i=1}^m M_{n,n}(\lambda_i) \subset \mathfrak{F}(D),$$

$$D(f) = \sum_{i=0}^m \rho(e_i) D(f), \quad f \in C^n[0, 1].$$

Let $D_i(\cdot) = \rho(e_i) D(\cdot)$. Then D_0 is continuous and D_i is discontinuous ($i=1, 2, \dots, m$). We have

$$\text{hull}(\mathfrak{F}(D_i)) = \{\lambda_i\} \quad (i=1, 2, \dots, m)$$

and

$$\mathfrak{F}(D) = \bigcap_{i=1}^m \mathfrak{F}(D_i).$$

Suppose $D(z) \in W_k$ and $\bigcap_{i=1}^m M_{n,n-k}(\lambda_i) \subset \mathfrak{F}(D)$. Since $e_j \in M_{n,n-k}(\lambda_i)$, if $i \neq j$,

$$g e_j \in \bigcap_{i=1}^m M_{n,n-k}(\lambda_i) \quad \text{for } g \in M_{n,n-k}(\lambda_i).$$

Hence

$$\begin{aligned} \rho(g) D_j(\cdot) &= \rho(g) \rho(e_j) D(\cdot) \\ &= \rho(g e_j) D(\cdot) \end{aligned}$$

is continuous. Note that

$$M_{n,n-k}(\lambda_i) \subset \mathfrak{F}(D_j) \quad (j=1, 2, \dots, m).$$

Since $D(z) \in W_k$, $D_j(z) \in W_k$ ($j=1, 2, \dots, m$). Thus it suffices to prove the theorem when the hull of $\mathfrak{F}(D)$ is a single point, which we may suppose to be zero. Since $D(z) \in W_k \subset W_1$, by Lemma 3.3, we have $D = E + F$ where E is continuous and F is singular. Since $D(z) = E(z) \in W_k$, $E(C^n[0, 1]) \subset W_k$. From $\mathfrak{F}(D) = \mathfrak{F}(F)$,

$$M_{n,n-k}(0) \subset \mathfrak{F}(F).$$

So $z^{n-k+1} \in \mathfrak{F}(F)$. For all $f \in C^n[0, 1]$,

$$\rho(z^{n-k+1}) F(f) = \gamma_1(f') \rho(z^{n-k+1}) F(z) = 0.$$

For $f \in C^n[0, 1]$, $p \in P$,

$$\begin{aligned} \|\rho(p) F(f)\| &= \|\rho(p(0) + P'(0)z + \dots + \frac{p^{(n-k)}(0)}{(n-k)!} z^{n-k}) F(f)\| \\ &\leq L \|p\|_{n-k}. \end{aligned}$$

Thus $F(f) \in W_k$ for all $f \in C^n[0, 1]$, Therefore we complete the proof.

THEOREM 3.6. *Let $D : C^n[0, 1] \rightarrow X$ be a continuous derivation. Then $D(z)$ is an eigenvector of $\rho(z)$ if and only if $D(f) = f'(\lambda) D(z)$ for some eigenvalue λ .*

Proof. If $D(z)$ is an eigenvector of $\rho(z)$, then $\rho(z-\lambda)D(z)=0$ for some eigenvalue λ of $\rho(z)$. Since for all $p \in P$, $D(p)=\rho(p')D(z)=p'(\lambda)D(z)$, we have

$$D(f)=\gamma_1(f')D(z)=f'(\lambda)D(z) \text{ for all } f \in C^n[0, 1].$$

Conversely, suppose $D(f)=f'(\lambda)D(z)$ for all $f \in C^n[0, 1]$. Let $p(z)=\alpha_1(z-\lambda)+\alpha_2(z-\lambda)^2$, ($\alpha_1, \alpha_2 \neq 0$). Then

$$D(p)=\rho(\alpha_1+2\alpha_2(z-\lambda))D(z)=p'(\lambda)D(z)$$

and so $\rho(z-\lambda)D(z)=0$.

4. Module homomorphisms

Let A be a Banach algebra, X a right A -module and T a module homomorphism from A into X . If we make X into an A -module by defining $ax=0$, ($a \in A$, $x \in X$), then T becomes a derivation. Thus if every module derivation from A into any A -module is continuous, then T is continuous. The following lemma is another version of Lemma 3.2 in [12]

LEMMA 4.1. *Let A be a Banach algebra with unit and X a Banach A -module. If every module homomorphism from X into A^* (regard as a A -module under the dual action) is continuous, then every module homomorphism from X into any Banach A -module is continuous.*

PROOF. Let Y be a Banach A -module and $T : X \rightarrow Y$ a module homomorphism. If $y \in S(T)$, we can choose $f \in Y^*$ such that $f(y)=\|y\|$ from Hahn-Banach Theorem. Define $R_f : Y \rightarrow B^*$ by $R_f(y)(a)=f(ay)$. Then R_f is a bounded linear operator and a module homomorphism because of a dual action. Thus $R_f T : Z \rightarrow A^*$ is a A -module homomorphism, and so continuous. Since $y \in S(T)$, there is a sequence $\{x_n\}$ in X such that $\lim_{n \rightarrow \infty} x_n=0$ and $\lim_{n \rightarrow \infty} T(x_n)=y$. Thus

$$\begin{aligned} 0 &= \lim_{n \rightarrow \infty} R_f T(x_n) \\ &= \lim_{n \rightarrow \infty} f(Tx_n) \\ &= f(y) \\ &= \|y\| \end{aligned}$$

and so $y=0$. Therefore $S(T)=\{0\}$ and hence T is continuous.

A real valued function w defined on $Z^+=\{n \in Z | n \geq 0\}$ is a weight

function if $w(n) > 0$ ($n \in Z^+$) and if

$$w(m+n) \leq w(m)w(n), \quad (m, n \in Z^+).$$

For convenience we let

$$l^1(w) = \{x = (x(0), x(1), \dots) \mid \sum_{n=0}^{\infty} |x(n)| w(n) < \infty\}$$

$$l^\infty(w^{-1}) = \left\{ y = (y(0), y(1), \dots) \mid \left\{ \frac{|y(n)|}{w(n)} \right\} \text{ is bounded} \right\}$$

$$M = \{x \in l^1(w) \mid x(0) = 0\}$$

$$Lx = (x(1), x(2), \dots) \text{ if } x = (x(0), x(1), \dots) \in l^1(w)$$

$$Rx = (0, x(0), x(1), \dots) \text{ if } x = (x(0), x(1), \dots) \in l^1(w)$$

Then it is well known that $(l^1(w), \|\cdot\|)$ and $(l^\infty(w^{-1}), \|\cdot\|_\infty)$ are Banach algebras of power series, where

$$\|x\| = \sum_{n=0}^{\infty} |x(n)| w(n)$$

$$\|y\|_\infty = \sup \left\{ \frac{|y(n)|}{w(n)} \mid n \in Z^+ \right\}$$

and M is a closed ideal of $l^1(w)$ [4, 5]. But M has neither an identity nor abounded approximate identity. Also $l^1(w)^* = l^\infty(w^{-1})$ the duality is implemented by

$$\langle x, y \rangle = \sum_{n=0}^{\infty} x(n) y(n).$$

By Lemma 4.1, if every module homomorphism from $l^1(w)$ -module X into $l^\infty(w^{-1})$ is continuous, then every module homomorphism from X into any $l^1(w)$ -module is continuous.

THEOREM 4.2. *Let w be a weight function. If $L(M) = l^1(w)$, then every module homomorphism from M into any Banach $l^1(w)$ -module is continuous.*

Proof. Let X be a Banach $l^1(w)$ -module and let $T : M \rightarrow X$ be a module homomorphism. Note that R is a module homomorphism. Since $L(M) = l^1(w)$, $R : l^1(w) \rightarrow M$ is a surjective module homomorphism and $\|R\| \leq w(1)$. By Open Mapping Theorem, $L : M \rightarrow l^1(w)$ is a continuous map. Then TR is a module homomorphism from $l^1(w)$ into X . Since $l^1(w)$ has an identity, TR is continuous. If $x_n \rightarrow 0$, then

$$T(x_n) = T(RL(x_n)) = TR(L(x_n)) \rightarrow 0.$$

By the Closed Graph Theorem, T is continuous.

REMARK. If $w(n)=1$, then $L(M)=l^1(w)$. If $w(n)=\exp(-n^2)$, then $L(M)\supsetneq l^1(w)$. Also if $L(M)=l^1(w)$, then $l^1(w)$ is semisimple [5]. The following corollary is well known.

COROLLARY 4.3. *If $L(M)=l^1(w)$ and $T : M \rightarrow M$ is a multiplier, then T is continuous.*

Proof. If T is a multiplier on M , then T is a module homomorphism. By Theorem 4.2, T is continuous.

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