

## A Note on K-Groups in Topology

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### 1. Introduction

This paper is mainly concerned with the K-theory which is fundamental and crucial to the study of index theory. Early in 1960, English Mathematician M.F. Atiyah formulated K-groups for topological space ([2]), and then the K-groups in algebraic systems were regulated by H. Bass approximately in 1965. [4]

Thus we have two branches in K-theory, one is algebraic K-theory developed by J. Milnor ([11]) and the other one is geometric K-theory which has been elaborately established by J.F. Adams, L. Hodgkin and M. Karoubi, etc([1], [6], [8], [13]).

The purpose of this paper is to epitomize some of results which has been obtained in seminar on K-theory performed during the last two semesters under my academic advisor. The contents of the paper is as follows.

In Section 2, we outline the formulation of K-groups and then prove some basic properties (Lemma 2.2 and Lemma 2.3).

In Section 3, we deal with the relative K-groups. The main results are Theorem 3.5 and Theorem 3.6. In Theorem 3.5, we shall prove that for the additive functor  $\varphi_n : \varepsilon(X) \rightarrow \varepsilon(X)$  ( $E \mapsto E \oplus \dots \oplus E$  ( $n$ -times)) if we put  $K(\varphi_n) = K^{-1}(X : \mathbf{Z}/n)$  and  $K^{-1}(X, Y : \mathbf{Z}/n) = \text{Coker}(K^{-1}(P : \mathbf{Z}/n) \rightarrow K^{-1}(X/Y : \mathbf{Z}/n))$  then  $K^{-1}(X, Y : \mathbf{Z}/n) \rightarrow K^{-1}(X : \mathbf{Z}/n) \rightarrow K^{-1}(Y : \mathbf{Z}/n)$  is exact, where  $X$  is compact,  $Y$  is a closed subset of  $X$  and  $P$  is an one point space. Theorem 3.6 proves that for a Banach algebra  $A$

$$K^{-1}(X, Y : A) \rightarrow K^{-1}(X : A) \rightarrow K^{-1}(Y : A)$$

is exact.

In Section 4, we study cup-product in K-groups. In particular, we prove in Theorem 4.3 that for locally compact spaces  $X$  and  $Y$  and a  $n$ -fold covering  $\Pi : X \rightarrow Y$ , we have  $\Pi_*(\Pi^*(y) \cdot x) = y \cdot \Pi_*(x)$ , where  $x \in K(X)$  and  $y \in K(Y)$ .

## 2. Preliminaries

Let  $M$  be an abelian monoid, and let  $F(M)$  be the free abelian group with basis  $\{[m] \mid m \in M\}$ . We take a subgroup  $D(M)$  which is generated by linear combinations of the form  $[m+n] - [m] - [n]$ , and put

$$S(M) = F(M)/D(M).$$

Then  $S(M)$  is an abelian group with addition

$$[m] + [n] = [m+n],$$

which is called the *symmetrization* of  $M$ . It is clear that the inverse of  $[m] \in S(M)$  is  $-[m] = [-m]$ , where we have to note that if  $[m] \in F(M)$ , then  $[-m] \in F(M)$ . In the product  $M \times M$  we shall consider two equivalence relations:

$$(m, n) \sim (m', n') \iff \exists p \in M \cdot \exists \cdot \cdot m + n' + p = n + m' + p$$

and

$$(m, n) \approx (m', n') \iff \exists p, q \in M \cdot \exists \cdot \cdot (m, n) + (p, p) = (m', n') + (q, q)$$

i. e.,  $m + p = m' + q$  and  $n + p = n' + q$ .

Then we have

$$S(M) \cong M \times M / \sim \cong M \times M / \approx.$$

**(Proof)** Let  $[m, n]$  be the equivalent class of  $(m, n)$  in  $M \times M / \sim$ . Then  $[m, m] = 0$  and  $[m, n] + [n, m] = 0$ . That is, if we put  $[m, n] = [m] - [n]$ , then  $[m, n]$  is the element  $[m] - [n]$  of  $S(M)$ . Hence we can easily prove that  $S(M) \cong M \times M / \sim$ .

Next we shall prove that  $M \times M / \sim \cong M \times M / \approx$ . Let  $\{m, n\}$  be the equivalent class of  $(m, n)$  in  $M \times M / \approx$ . Then for all  $m \in M$   $\{m, m\} = \{0, 0\}$  is the zero point of  $M \times M / \approx$ . Thus we can denote such that  $\{m, n\} = \{m\} - \{n\}$ . The map  $\gamma$  defined by

$$\begin{array}{ccc} \gamma : M \times M / \sim & \longrightarrow & M \times M / \approx \\ \cup & & \cup \\ [m, n] & \longmapsto & \{m, n\} \end{array}$$

is a group homomorphism, because of that if  $[m, n] = [m', n']$ , then  $\{m, n\} = \{m', n'\}$ . (Note that  $\{m, n\} + \{n', m'\} = \{m+n', n+m'\} = \{0, 0\}$  because that  $m+n'+p = n+m'+p$  by  $[m, n] = [m', n']$ . That is  $\{m, n\} = -\{n', m'\} = \{m', n'\}$ ). It is clear that  $\gamma$  is an

isomorphism. ///

We define the monoid homomorphism  $s : M \rightarrow S(M)$  by  $s(m) = [m, 0] = [m]$ . Then the symmetrization  $S(M)$  satisfies the universal property such that for an abelian group  $A$  and a monoid homomorphism  $f : M \rightarrow A$  there exists a unique group homomorphism  $\tilde{f} : S(M) \rightarrow A$  such that the diagram

$$\begin{array}{ccc} M & \xrightarrow{s} & S(M) \\ & \searrow f & \swarrow E! \tilde{f} \\ & A & \end{array}$$

is commutative([7], [9]).

**Example 2.1.** Let  $A$  be a commutative ring with 1, and let  $\mathcal{P}(A)$  be the category consisting of all finitely generated projective  $A$ -modules and  $A$ -module homomorphisms. Then  $\mathcal{P}(A)$  is an abelian monoid with direct sum as its addition.

We put  $K(A) = S(\mathcal{P}(A))$

(i) If  $(A, \mathfrak{M})$  is a local ring, then  $K(A) \cong \mathbb{Z}$  (the ring of all integers).

**Proof.** We prove that any minimal basis of  $M$  is a basis of  $M$ . Since  $M/\mathfrak{M}M = M \otimes_A k$  is a vector space over  $k = A/\mathfrak{M}$ , it suffices to prove that, if  $x_1, \dots, x_n \in M$  are such that their images  $\bar{x}_1, \dots, \bar{x}_n$  in  $M/\mathfrak{M}M$  are linearly independent over  $k$ , then they are linearly independent over  $A$ . If  $M$  is projective, then it is flat. The two following conditions are equivalent:.....(A)

(1)  $M$  is  $A$ -flat

(2) If  $a_i \in A$ ,  $x_i \in M$  ( $1 \leq i \leq r$ ) and  $\sum_{i=1}^r a_i x_i = 0$ , then there exist an integer  $s$ , elements  $b_{ij} \in A$  and  $y_j \in M$  ( $1 \leq j \leq s$ ) such that  $\sum_j a_i b_{ij} = 0$  for all  $j$  and  $x_i = \sum_j b_{ij} y_j$  for all  $i$ .

Now we use induction on  $n$ . When  $n=1$ , put  $ax_1=0$ . Then, by (A) there exist an integer  $s$ , elements  $b_{1j} \in A$  and  $y_j \in M$  ( $1 \leq j \leq s$ ) such that  $ab_{1j}=0$  for all  $j=1, \dots, s$  and  $x_1 = \sum_{j=1}^s b_{1j} y_j$ . Since  $\bar{x}_1 \neq 0$  in  $M/\mathfrak{M}M$ , there exists an element  $b_{11} \in \mathfrak{M}$ . Assume  $b_{11} \in \mathfrak{M}$ .

Then  $b_{11}$  is a unit in  $A$  and  $ab_{11}=0$ . Hence  $a=0$ . Suppose  $n > 1$  and  $\sum_{i=1}^n a_i x_i = 0$ . Also, by (A), there exist an integer  $s$ , elements  $b_{ij} \in A$  and  $y_j \in M$  ( $1 \leq j \leq s$ ) such that  $\sum_j a_i b_{ij} = 0$  for all  $j=1, \dots, s$  and  $x_i = \sum_j b_{ij} y_j$  for all  $i=1, \dots, n$ . Since  $\bar{x}_n \neq 0$  in  $M/\mathfrak{M}M$  we have  $b_{nj} \notin \mathfrak{M}$  for at least one  $j$ . Then since  $b_{nj}$  is a unit in  $A$ , we have

$$a_n = \sum_{i=1}^{n-1} (-b_{i,j}/b_{n,j}) a_i.$$

Therefore, if  $c_i = -b_{i,j}/b_{n,j}$ ,

$$0 = \sum_{i=1}^n a_i x_i = a_1(x_1 + c_1 x_n) + \dots + a_{n-1}(x_{n-1} + c_{n-1} x_n).$$

Since  $\bar{x}_1 + \bar{c}_1 \bar{x}_n, \dots, \bar{x}_{n-1} + \bar{c}_{n-1} \bar{x}_n$  are linearly independent over  $k$ ,

we have  $a_1 = \dots = a_{n-1} = 0$  and  $a_n = \sum_{i=1}^{n-1} c_i a_i = 0$ . ///

(ii) If  $A$  is a principal ideal domain, then  $S(\mathcal{P}(A)) = K(A) \cong \mathbf{Z}$ .

**Proof.** Since  $A$  is a principal ideal domain it is a Dedekind domain (or  $A$  is a field). For two ideals  $\mathfrak{a}_1$  and  $\mathfrak{a}_2$  of  $A$  if there exist elements  $a_1$  and  $a_2$  of  $A$  such that  $a_2 \mathfrak{a}_1 = a_1 \mathfrak{a}_2$ , then we say that  $\mathfrak{a}_1$  and  $\mathfrak{a}_2$  belong to the *same ideal class*. Then, the ideal classes of  $A$ , which is denoted by  $\mathcal{C}(A)$ , is an abelian group under multiplication ([4], [11]). In particular, the identity of  $\mathcal{C}(A)$  is the class of principal ideals and  $K(A) \cong \mathbf{Z} \oplus \mathcal{C}(A)$  ([11]). In our case, since  $A$  is a principal ideal domain  $\mathcal{C}(A) = \{0\}$ , and thus  $K(A) \cong \mathbf{Z}$ . ///

Throughout this paper, by a topological space we mean a Hausdorff topological space.

Let  $X$  be a topological space, and let  $\mathcal{E}_k(X)$  be the category consisting of all  $k$ -vector bundles over  $X$  with finite ranks and bundle morphisms, where  $k = \mathbf{R}$  (reals) or  $\mathbf{C}$  (complexes). We shall sometimes put  $\mathcal{E}(X) = \mathcal{E}_k(X)$ . It is easy to prove that  $\mathcal{E}(X)$  is an abelian monoid with the Whitney sum of bundles ([5], [9]). Moreover,  $\mathcal{E}(X)$  is an additive category ([9]).

For a topological space  $X$  we define

$$K_k(X) = S(\mathcal{E}_k(X)),$$

and we call  $K_k(X)$  the *real* (when  $k = \mathbf{R}$ ) (complex when  $k = \mathbf{C}$ ) *K-group* over  $X$ .

Let us put

$\mathbf{T}_{op}$  = the category consisting of topological spaces and continuous maps between topological spaces

and

$\mathbf{A}_b$  = the category of all abelian groups and group homomorphisms.

Then  $K(=K_k) : \mathbf{T}_{op} \rightarrow \mathbf{A}_k$  is a cofunctor ([2]). In fact, for a continuous maps  $f : X \rightarrow Y$  and for a vector bundle  $E$  over  $Y$  let  $f^*E$  be the fiber product. Then, for each element  $[E] - [F]$  of  $K(Y)$

$$f^*([E] - [F]) = [f^*E] - [f^*F].$$

Let  $P$  be a topological space of one point. It is clear that  $K(P) \cong \mathbf{Z}$ . Moreover, we have continuous maps  $i : P \rightarrow X$  and  $j : X \rightarrow P$  such that  $ji(P) = P$ . Thus the functors

$$i^* : K(X) \rightarrow K(P) \text{ and } j^* : K(P) \rightarrow K(X)$$

satisfy  $i^*j^* = 1_{K(P)}$ . We put

$$\tilde{K}(X) = \text{Ker}(i^* : K(X) \rightarrow K(P)).$$

Then the sequence of groups

$$0 \rightarrow \tilde{K}(X) \rightarrow K(X) \rightarrow K(P) \rightarrow 0$$

is split and exact. Thus, we have

$$K(X) \cong \tilde{K}(X) \oplus \mathbf{Z}(K(P) \cong \mathbf{Z}).$$

$\tilde{K}(X)$  is called the *reduced K-group* of  $X$  ([2], [10]).

For a topological space  $X$  and a finite dimensional vector bundle  $E$  over  $X$  we put

$$\Gamma(X, E) = \text{the set of all continuous sections } X \rightarrow E.$$

**Lemma 2.2.** If  $X$  is paracompact and  $Y$  is a closed subset of  $X$ , then the restrictions homomorphism

$$\Gamma(X, E) \rightarrow \Gamma(Y, E_Y) \quad (f \rightsquigarrow f|_Y)$$

is surjective, where  $E_Y = E|_Y$ .

**Proof.** We first the case where  $E$  is trivial, i. e.,  $E \cong X \times k^n$  ( $k = \mathbf{R}$  or  $\mathbf{C}$ ). Then

$$\Gamma(X, E) = \text{Cont}(X, k^n) = \text{the set of all continuous functions } X \rightarrow k^n.$$

Similarly,  $\Gamma(Y, E_Y) = \text{Cont}(Y, k^n)$ . But the restrictions

$$\begin{array}{ccc} \text{Cont}(X, k^n) & \longrightarrow & \text{Cont}(Y, k^n) \\ \cup & \rightsquigarrow & \cup \\ f & & f|_Y \end{array}$$

is surjective due to the Tietze extension theorem ([12]).

In general case, let us take a locally finite open cover  $\{U_i | i \in A\}$  of  $X$  such that  $E_{U_i}$  is trivial. Since  $X$  is paracompact and Hausdorff, we have an open cover  $\{V_i | i \in A\}$  such that  $\bar{V}_i \subset U_i$  for all  $i \in A$ , where  $\bar{V}_i$  is the closure of  $V_i$ . We put  $W_i = \bar{V}_i \cap Y$ . Since  $\Gamma(\bar{V}_i, E_{\bar{V}_i}) \rightarrow \Gamma(W_i, E_{W_i})$  is surjective, for each section  $t \in \Gamma(Y, E_Y)$  if we put  $t_i = t|_{W_i}$  then there exists a section  $s_i \in \Gamma(\bar{V}_i, E_{\bar{V}_i})$  such that  $s_i|_{W_i} = t_i$ .

Let  $\{\alpha_i\}$  be a partition of unity associated with the cover  $\{V_i | i \in A\}$ . We put

$$s'_i(x) = \begin{cases} \alpha_i(x) s_i(x) & \forall x \in \bar{V}_i \\ 0 & \forall x \in X - \bar{V}_i. \end{cases}$$

Then  $s'_i \in \Gamma(X, E)$  which is zero over all but a finite number of the  $V_j$ .

Therefore  $\sum_{i \in A} s'_i(x)$  is actually a finite sum on a neighborhood of each  $x \in X$ .

We put  $s'(x) = \sum_{i \in A} s'_i(x)$  for all  $x \in X$ , then  $s' \in \Gamma(X, E)$ .

For each  $y \in Y$  we have

$$\begin{aligned} s'(y) &= \sum_{i \in A} \alpha_i(y) s_i(y) = \sum_{i \in A} \alpha_i(y) t(y) \\ &= \left( \sum_{i \in A} \alpha_i(y) \right) t(y) = t(y). \end{aligned}$$

Thus  $s'|_Y = t$ . ///

**Lemmma 2.3.** If  $X$  is compact and  $E$  is a vector bundle over  $X$ , then there exists a vector bundle  $E'$  over  $X$  such that  $E \oplus E'$  is trivial.

**Proof.** Take a finite open cover  $\{U_i | i=1, \dots, r\}$  of  $X$  such that  $E_{U_i} (i=1, \dots, r)$  is trivial, i. e.,

$$E_{U_i} \cong U_i \times k^{n_i} \quad (n_i: \text{nonnegative integer}).$$

Let  $\{\alpha_i\}$  be a partition of unity of  $X$  associated with the cover  $\{U_i | i=1, \dots, r\}$ . Since  $E_{U_i}$  is trivial we have  $n_i$  linear independent continuous sections  $s_i^1, \dots, s_i^{n_i}$  of  $E_{U_i}$  ( $i=1, \dots, r$ ). Then these sections can be extended to  $X$  by the partition  $\{\alpha_i\}$  such that  $\alpha_i s_i^j$ , where  $\alpha_i s_i^j$  is zero outside  $U_i$  ( $j=1, \dots, n_i$ ) and these are linearly independent sections of  $E_{V_i}$ ,  $V_i = \alpha_i^{-1}((0, 1])$ . Then for each  $x \in V_i$   $\alpha_i(x) s_i^1(x), \dots,$  and  $\alpha_i(x) s_i^{n_i}(x)$  generate  $E_x$  as a vector space. Put  $n = \sum_{i=1}^r n_i$ . Then we have an epimorphism

$$\eta: X \times k^n \rightarrow E$$

such that for each  $(x; \lambda_1, \dots, \lambda_n) \in V_i \times k^n \subset X \times k^n$

$$\eta(x; \lambda_1, \dots, \lambda_n) = \sum_{j=1}^{n_i} \alpha_j(x) S_j^i(x)$$

Hence, for each  $x \in X$ .

$$\eta_x : x \times k^n \longrightarrow E_x$$

is surjective. Therefore we have a bundle morphism

$$\xi : E \longrightarrow X \times k^n$$

such that  $\eta \cdot \xi = 1_E$  ([9]). In consequence, we have the split exact sequence of bundles over  $X$  :

$$0 \longrightarrow \text{Ker } \eta \longrightarrow X \times k^n \longrightarrow E \longrightarrow 0.$$

Thus  $E \oplus \text{Ker } \eta = X \times k^n$ . ///

### 3. Relative K-Groups

Let  $X$  be a compact space. For each finite dimensional ( $k$ )-vector bundle  $E$  over  $X$  ( $k = \mathbb{R}$  or  $\mathbb{C}$ ) we shall define a Banach space topology on  $\Gamma(X, E)$  as follows.

(i) When  $E = X \times k : \Gamma(X, E) = \text{Cont}(X, k) = A$  is a Banach algebra with sup norm :

$$\forall s \in \Gamma(X, E) \quad \|s\| = \sup_{x \in X} |s(x)| \quad ([9]).$$

When  $E = X \times k^n$  : Since  $\Gamma(X, E) \cong A^n$ , we define a norm of  $A^n$  such that

$$\forall (s_1, \dots, s_n) \in A^n \quad \|(s_1, \dots, s_n)\| = \|s_1\| + \dots + \|s_n\|.$$

Then  $A^n$  is a Banach space ([10], [14]).

(ii) When  $E$  is arbitrary. By Lemma 2.3 we have a vector bundle  $E'$  over  $X$  such that  $E \oplus E' \cong X \times k^n$ . Then

$$A^n \cong \Gamma(X, E \oplus E') \cong \Gamma(X, E) \oplus \Gamma(X, E').$$

Thus we have a surjective  $A$ -module homomorphism

$$u : A^n \longrightarrow \Gamma(X, E).$$

Let us endow the quotient topology on  $\Gamma(X, E)$  by  $u$ . Note that the quotient topology

of  $\Gamma(X, E)$  is independent of the choice of  $u$  ([9]). In this case,  $\Gamma(X, E)$  is a Banach space with the quotient topology.

**Definition 3.1.** (i) Let  $\mathcal{C}$  be an additive category. For each pair  $E, F \in \text{Obj}(\mathcal{C})$  (the class of objects of  $\mathcal{C}$ ) if  $\text{Hom}_{\mathcal{C}}(E, F)$  has a Banach space topology such that for each  $G \in \text{Obj}(\mathcal{C})$  the composition of morphisms :

$$\text{Hom}_{\mathcal{C}}(E, F) \times \text{Hom}_{\mathcal{C}}(F, G) \longrightarrow \text{Hom}_{\mathcal{C}}(E, G)$$

is bilinear and continuous, then  $\mathcal{C}$  has a *Banach structure*. A *Banach category* is an additive category provided with a Banach structure.

(ii) Let  $\mathcal{C}$  and  $\mathcal{C}'$  be additive categories, and let  $\varphi : \mathcal{C} \rightarrow \mathcal{C}'$  be an additive functor. If every object of  $\mathcal{C}'$  is a direct summand of an object of the form  $\varphi(E)$  ( $E \in \text{Obj}(\mathcal{C})$ ), then  $\varphi$  is said to be *quasi-surjective*. If  $\mathcal{C}$  and  $\mathcal{C}'$  are Banach categories and the map

$$\text{Hom}_{\mathcal{C}}(E, F) \longrightarrow \text{Hom}_{\mathcal{C}'}(\varphi(E), \varphi(F))$$

is linear and continuous, then  $\varphi$  is called a *Banach functor*, where  $E, F \in \text{Obj}(\mathcal{C})$ .

Let  $X$  be a compact space, and let  $Y$  be a closed subset of  $X$ . Then  $\mathcal{E}(X)$  and  $\mathcal{E}(Y)$  are Banach categories, and the restriction functor

$$\varphi : \mathcal{E}(X) \longrightarrow \mathcal{E}(Y) \quad (E \mapsto E_Y)$$

is a Banach functor ([2]), [9]). Moreover, the functor  $\varphi$  is a quasi-surjective, because of that for each  $E \in \text{Obj}(\mathcal{E}(Y))$  there exists  $E' \in \text{Obj}(\mathcal{E}(Y))$  such that  $E \oplus E'$  is a trivial bundle over  $Y$  by Lemma 2.3 and thus

$$\varphi(X \times k^n) = Y \times k^n \cong E \oplus E'.$$

**Definition 3.2.** Let  $\varphi : \mathcal{C} \rightarrow \mathcal{C}'$  be a quasi-surjective functor. We put

$$\Gamma(\varphi) = \{(E, F, \alpha) \mid E, F \in \text{Obj}(\mathcal{C}) \text{ and } \alpha : \varphi(E) \cong \varphi(F)\}.$$

For two  $(E, F, \alpha), (E', F', \alpha') \in \Gamma(\varphi)$  if there are isomorphisms  $f : E \cong E'$  and  $g : F \cong F'$  in  $\text{Morph}(\mathcal{C})$  (=the class of morphisms of  $\mathcal{C}$ ) such that

$$\begin{array}{ccc} \varphi(E) & \xrightarrow{\alpha} & \varphi(F) \\ \varphi(f) \downarrow & & \downarrow \varphi(g) \\ \varphi(E') & \xrightarrow{\alpha'} & \varphi(F') \end{array}$$



is commutative, then  $(E, F, \alpha)$  and  $(E', F', \alpha')$  are said to be *isomorphic*, written  $(E, F, \alpha) \cong (E', F', \alpha')$ .

A triple  $(E, F, \alpha) \in \Gamma(\varphi)$  is called *elementary* if  $E=F$  and  $\alpha : \varphi(E) \cong \varphi(E)$  is homotopic to  $1_{\varphi(E)}$  within the automorphisms of  $\varphi(E)$ .

For triples  $(E, F, \alpha)$  and  $(E', F', \alpha')$  in  $\Gamma(\varphi)$  we define the *addition* as

$$(E, F, \alpha) + (E', F', \alpha') = (E \oplus E', F \oplus F', \alpha \oplus \alpha').$$

We introduce the equivalence relation " $\sim$ " on  $\Gamma(\varphi)$  as follows.

$\sigma \sim \sigma'$  in  $\Gamma(\varphi) \iff$  there exist elementaries  $\tau$  and  $\tau'$  in  $\Gamma(\varphi)$  such that

$$\sigma + \tau \cong \sigma' + \tau'.$$

We put  $K(\varphi) = \Gamma(\varphi) / \sim$  and use the following notation :

$$\begin{array}{ccc} \Gamma(\varphi) & \xrightarrow{d} & K(\varphi) \\ \Downarrow & & \Downarrow \\ (E, F, \alpha) & \longmapsto & d(E, F, \alpha). \end{array}$$

We have the following properties ([9]).

**Property 3.3.** With the above notations:

(i)  $K(\varphi)$  is an abelian group with addition

$$d(E, F, \alpha) + d(E', F', \alpha') = d(E \oplus E', F \oplus F', \alpha \oplus \alpha')$$

(ii)  $d(E, F, \alpha) + d(F, E, \alpha^{-1}) = 0$

$$d(E, F, \alpha) + d(F, G, \beta) = d(E, G, \beta\alpha)$$

(iii)  $d(E, F, \alpha) = d(E, F, \alpha') \iff \alpha$  and  $\alpha'$  are homotopic within the isomorphisms from  $\varphi(E)$  to  $\varphi(F)$ .

Let  $\varphi : \mathcal{C} \rightarrow \mathcal{C}'$  be a quasi-surjective functor. Since  $\mathcal{C}$  and  $\mathcal{C}'$  are abelian monoids with direct sum of objects as additions, we get the abelian groups

$$S(\mathcal{C}) = K(\mathcal{C}), \quad S(\mathcal{C}') = K(\mathcal{C}')$$

where  $S(\mathcal{C})$  is the symmetrization of  $\mathcal{C}$ . We define the group homomorphisms as follows :

$$\begin{array}{ccc} i : K(\varphi) & \longrightarrow & K(\mathcal{C}), \quad j : K(\mathcal{C}) \longrightarrow K(\mathcal{C}') \\ \Downarrow & & \Downarrow \\ d(E, F, \alpha) & \longmapsto & [E] - [F] \quad [E] - [F] \longmapsto [\varphi(E)] - [\varphi(F)]. \end{array}$$

Then there is an exact sequence of abelian groups:

$$K(\varphi) \xrightarrow{i} K(\mathcal{E}) \xrightarrow{j} K(\mathcal{E}'),$$

and moreover if there exists a functor  $\psi : \mathcal{E}' \rightarrow \mathcal{E}$  such that  $\varphi\psi$  is isomorphic to  $1_{\mathcal{E}'}$ , then

$$0 \rightarrow K(\varphi) \xrightarrow{i} K(\mathcal{E}) \xrightarrow{j} K(\mathcal{E}') \rightarrow 0$$

is split and exact ([2], [9], [10]).

**Property 3.4.** Let  $X$  be a compact space and  $A = C_k(X) = \{f : X \rightarrow k \mid f \text{ is continuous}\}$  ( $k = \mathbf{R}$  or  $\mathbf{C}$ ). Then  $\Gamma : \mathcal{E}(X) \rightarrow \mathcal{P}(A)$  ( $E \mapsto \Gamma(X, E)$ ) induces an equivalence of categories  $\mathcal{E}(X) \sim \mathcal{P}(A)$  (See Example 2.1) ([9], [10]).

Let  $X$  be a compact space, and let  $Y$  be a closed subset of  $X$ . As before, the restriction functor

$$\varphi : \mathcal{E}(X) \rightarrow \mathcal{E}(Y)$$

is a quasi-surjective Banach functor. We put  $K(\varphi) = K_*(X, Y)$  (Sometimes  $K(X, Y)$ ) and we call it the *relative K-group* with respect to  $X$  and  $Y$ . We shall define

$$\varphi_n : \mathcal{E}(X) \rightarrow \mathcal{E}(X) \\ \bigcup \quad \bigcup \\ E \mapsto E \oplus \dots \oplus E (n\text{-times}),$$

and put  $K^{-1}(X : \mathbf{Z}/n) = K(\varphi_n)$  (Note that  $\varphi_n$  is also a quasi-surjective Banach functor).

For an one point space  $P$  we define

$$K^{-1}(X, Y : \mathbf{Z}/n) = \text{Coker}(K^{-1}(P : \mathbf{Z}/n) \rightarrow K^{-1}(X/Y : \mathbf{Z}/n))$$

where  $Y$  is closed in  $X$ .

**Theorem 3.5.** Under the above situations

- (i) for every  $x \in K^{-1}(X : \mathbf{Z}/n)$   $x \oplus \dots \oplus x = nx = 0$
- (ii) there is an exact sequence:

$$K^{-1}(X/Y : \mathbf{Z}/n) \rightarrow K^{-1}(X : \mathbf{Z}/n) \rightarrow K^{-1}(Y : \mathbf{Z}/n).$$

**Proof.** (i) By Definition 3.2 each element of  $K^{-1}(X : \mathbf{Z}/n)$  is of the form  $d(E, F, \alpha)$ , where  $E, F \in \text{Obj}(\mathcal{E}(X))$  and

$$\alpha : E^n = E \oplus \dots \oplus E \xrightarrow{\cong} F^n = F \oplus \dots \oplus F \text{ (n-times)}$$

Thus, if we put  $x = d(E, F, \alpha)$  then

$$x \oplus \dots \oplus x \text{ (n-times)} = d(E^n, F^n, \alpha \oplus \dots \oplus \alpha \text{ (n-times)})$$

Thus, we have to prove that  $d(E^n, F^n, \alpha \oplus \dots \oplus \alpha \text{ (n-times)}) = 0$ .

Consider the triple  $(E^n, E^n, 1_{E^n})$  and the following commutative diagram which implies that  $d(E^n, E^n, \alpha \oplus \dots \oplus \alpha \text{ (n-times)}) = 0$ :

$$\begin{array}{ccc} E^n \oplus \dots \oplus E^n \text{ (n-times)} & \xrightarrow{\alpha \oplus \dots \oplus \alpha} & F^n \oplus \dots \oplus F^n \text{ (n-times)} \\ \downarrow 1_{E^n} \oplus \dots \oplus 1_{E^n} & & \downarrow \alpha^{-1} \oplus \dots \oplus \alpha^{-1} \\ E^n \oplus \dots \oplus E^n \text{ (n-times)} & \longrightarrow & E^n \oplus \dots \oplus E^n \text{ (n-times)}. \end{array}$$

(ii) By Definition 3.2 we have

$$\begin{array}{ccc} K^{-1}(X : \mathbf{Z}/n) & \longrightarrow & K^{-1}(Y : \mathbf{Z}/n) \\ \downarrow \cup & & \downarrow \cup \\ d(E, F, \alpha) & \longmapsto & d(E_Y, F_Y, \alpha_Y), \end{array}$$

where  $\alpha|_Y = \alpha_Y : E_Y \oplus \dots \oplus E_Y \text{ (n-times)} \xrightarrow{\cong} F_Y \oplus \dots \oplus F_Y \text{ (n-times)}$ .

For each element

$$d(E', F', \alpha') \in K^{-1}(X/Y : \mathbf{Z}/n)$$

it is clear that  $E', F' \in \text{Obj}(\mathcal{E}(X/Y))$  by the above definition. Moreover,

$\alpha' : (E')^n \xrightarrow{\cong} (F')^n$  and for  $\{y_o\} \in X/Y$  (the base point)  $\alpha'_{(y_o)} : (E'_{y_o})^n \xrightarrow{\cong} (F'_{y_o})^n$  is isomorphic to an elementary. For the canonical projection  $j : X \rightarrow X/Y$

$$d(j^*(E'), j^*(F'), j^*(\alpha')) \in K^{-1}(X : \mathbf{Z}/n)$$

and it is clear that  $d(j^*(E')|_Y, j^*(F')|_Y, j^*(\alpha')|_Y)$  is isomorphic to an elementary.

Next, for an element  $d(E, F, \alpha) \in K^{-1}(X : \mathbf{Z}/n)$  we assume that

$$d(E_Y, F_Y, \alpha_Y) = 0 \text{ in } K^{-1}(Y : \mathbf{Z}/n).$$

Then  $\alpha_Y : (E_Y)^n \xrightarrow{\cong} (F_Y)^n$  is isomorphic to an elementary in  $\Gamma(\psi'_n)$

where  $\psi'_n : \mathcal{E}(Y) \rightarrow \mathcal{E}(Y)$  ( $E' \rightsquigarrow E'^n$ )

Thus, we may consider that  $\alpha_Y \simeq 1_{(E_Y)^n}$  i.e.,  $d(E_Y, E_Y, \alpha_Y) = 0$ . Since  $Y$  is closed in  $X$  which is compact, we have a closed subset  $V$  of  $X$  such that  $Y \subset V \subset X$  and  $\alpha_Y = 1_{(E_Y)^n}$ ,

Thus, by clutching,  $E_{X,Y}$  and the trivial bundle of rank  $n$  (=the rank of  $E_Y$ ) over  $V/Y$  we have a vector bundle  $E'$  over  $X/Y$  and an isomorphism

$\beta : (E')^n \rightarrow (E')^n$  such that

$$\begin{array}{ccc} K^{-1}(X/Y : \mathbf{Z}/n) & \longrightarrow & K^{-1}(X : \mathbf{Z}/n) \\ \Downarrow & & \Downarrow \\ d(E', E', \beta) & \longmapsto & d(E, E, \alpha) \end{array}$$

Hence we have the exact sequence

$$K^{-1}(X/Y : \mathbf{Z}/n) \longrightarrow K^{-1}(X : \mathbf{Z}/n) \longrightarrow K^{-1}(Y : \mathbf{Z}/n). \quad ///$$

Let  $A$  and  $B$  be Banach algebras. If there is a ring homomorphism  $\varphi' : A \rightarrow B$  ( $\varphi'(1) = 1$ ), then there exists a Banach functor

$$\begin{array}{ccc} \varphi : \mathcal{P}(A) & \longrightarrow & \mathcal{P}(B) \\ \Downarrow & & \Downarrow \\ M & \longmapsto & M \otimes_A B \end{array}$$

Let  $X$  be a compact space, and let  $Y$  be a closed subset of  $X$ . Put

$$A(X) = \{f : X \rightarrow A \mid f \text{ is continuous}\}$$

then  $A(X)$  is a Banach algebra with sup norm. Since the restriction

$$A(X) \longrightarrow A(Y) \quad (f \mapsto f|_Y)$$

is a ring homomorphism we have a Banach functor

$$\begin{array}{ccc} \varphi : \mathcal{P}(A(X)) & \longrightarrow & \mathcal{P}(A(Y)) \\ \Downarrow & & \Downarrow \\ M & \longmapsto & M \otimes_{A(X)} A(Y). \end{array}$$

We put  $K(\varphi) = K(X, Y : A)$ .

**Theorem 3.6.** With the above notations.

(i)  $K(X, Y : \mathbf{R}) = K_{\mathbf{R}}(X, Y)$ ,  $K(X, Y : \mathbf{C}) = K_{\mathbf{C}}(X, Y)$

(ii) If we define

$$K^{-1}(X, Y : A) = K(X \times B^1, X \times S^0 \cup Y \times B^1 : A)$$

then we have the exact sequence of abelian groups :

$$K^{-1}(X, Y : A) \longrightarrow K^{-1}(X : A) \longrightarrow K^{-1}(Y : A),$$

where  $B^1 = [0, 1]$  and  $S^0 = \{0, 1\}$ .

**Proof.** (i) At first, we want to prove that for each  $E \in \text{Obj}(\mathcal{E}(X))$  ( $\mathcal{E} = \mathcal{E}_R$  or  $\mathcal{E}_C$ )  $\Gamma(X, E) \otimes_{k(X)} k(Y) \cong \Gamma(Y, E_Y)$ . For each  $f \in \Gamma(X, E)$  and  $h \in k(Y)$  we shall put  $f \otimes h = f \cdot h$ . Then for each  $y \in Y$

$$(f \cdot h)(y) = f(y) \cdot h(y)$$

and thus  $f \otimes h = f \cdot h \in \Gamma(Y, E_Y)$ . Hence  $\Gamma(X, E) \otimes_{k(X)} k(Y) \subseteq \Gamma(Y, E_Y)$ . Conversely, by Lemma 2.2 for each  $f \in \Gamma(Y, E_Y)$  there exists  $\tilde{f} \in \Gamma(X, E)$  such that  $\tilde{f}|_Y = f$ . This implies that  $\Gamma(Y, E_Y) \subseteq \Gamma(X, E) \otimes_{k(X)} k(Y)$ .

Hence we have

$$\Gamma(X, E) \otimes_{k(X)} k(Y) \cong \Gamma(Y, E_Y).$$

We have to recall that

$$\mathcal{P}(A(X)) \sim \mathcal{E}(X), \quad \mathcal{P}(A(Y)) \sim \mathcal{E}(Y)$$

if  $A = R$  or  $C$  (see Property 3.4). Take an element  $d(E, F, \alpha) \in K_k(X, Y)$  ( $k = R$  or  $C$ ), then we have an element

$$d(\Gamma(X, E), \Gamma(X, F), \tilde{\alpha}) \in K(X, Y; k)$$

where

$$\tilde{\alpha} : \Gamma(X, E) \otimes_{k(X)} k(Y) (\cong \Gamma(Y, E_Y)) \cong \Gamma(X, F) \otimes_{k(X)} k(Y) (\cong \Gamma(Y, F_Y))$$

is induced from the isomorphism  $\alpha : E_Y \cong F_Y$ .

Conversely, for each  $d(E', F', \alpha) \in K(X, Y; k)$ , there exist  $E, F \in \text{Obj}(\mathcal{E}(X))$  such that

$$\Gamma(X, E) = E', \quad \Gamma(X, F) = F', \quad \tilde{\alpha} : E_Y \cong F_Y,$$

where  $\tilde{\alpha}$  is induced from  $\alpha$  such that

$$\begin{array}{ccc} E' \otimes_{k(X)} k(Y) = \Gamma(X, E) \otimes_{k(X)} k(Y) = \Gamma(Y, E_Y) & E_Y & \\ \alpha \downarrow \cong \implies \tilde{\alpha} \downarrow \cong & & \\ F' \otimes_{k(X)} k(Y) = \Gamma(X, F) \otimes_{k(X)} k(Y) = \Gamma(Y, F_Y) & F_Y & \end{array}$$

Since  $d(E, F, \tilde{\alpha}) \in K_k(X, Y)$ ,

$$K(X, Y; k) \cong K_k(X, Y).$$

(ii) We have to note that

$$K^{-1}(X : A) = K(X \times B^1, X \times S^0 : A).$$

We shall prove that

$$\begin{aligned} K(X \times B^1, X \times S^0 \cup Y \times B^1 : A) &\longrightarrow K(X \times B^1, X \times S^0 : A) \\ &\longrightarrow K(Y \times B^1, Y \times S^0 : A) \end{aligned}$$

is exact. Recall that  $A$  is a Banach algebra and

$$A(X \times B^1) = \{f : X \times B^1 \longrightarrow A \mid f \text{ is continuous}\}$$

For an element  $d(E, F, \alpha) \in K(X \times B^1, X \times S^0 : A) = K^{-1}(X : A)$

we assume that

$$0 = d(E \otimes_{A(X \times B^1)} A(Y \times B^1), F \otimes_{A(X \times B^1)} A(Y \times B^1), \alpha \mid Y \times S^0) \in K(Y \times B^1, Y \times S^0 : A)$$

where

$$\begin{aligned} \alpha \mid Y \times S^0 : E \otimes_{A(X \times B^1)} A(Y \times B^1) \otimes_{A(Y \times B^1)} A(Y \times S^0) \\ = E \otimes_{A(X \times B^1)} A(Y \times S^0) \cong F \otimes_{A(X \times B^1)} A(Y \times S^0). \end{aligned}$$

By Lemma 2.2, we have an isomorphism

$$\beta : E \otimes_{A(X \times B^1)} A(X \times S^0 \cup Y \times B^1) \cong F \otimes_{A(X \times B^1)} A(X \times S^0 \cup Y \times B^1)$$

such that

$$\beta \mid X \times S^0 = \alpha,$$

because that  $X \times S^0 \cup Y \times B^1$  is compact and

$$X \times S^0 \text{ is closed in } X \times S^0 \cup Y \times B^1.$$

Therefore

$$d(E, F, \beta) \in K^{-1}(X, Y : A)$$

such that

$$d(E, F, \beta \mid X \times S^0) = d(E, F, \alpha).$$

Next, for each  $d(E, F, \beta) \in K^{-1}(X, Y : A)$ , since

$$\beta|Y \times B^1 : E \otimes_{A(X \times B^1)} A(Y \times B^1) \cong F \otimes_{A(X \times B^1)} A(Y \times B^1)$$

we have the commutative diagram

$$\begin{array}{ccc} E \otimes_{A(X \times B^1)} A(Y \times B^1) & \xrightarrow{\beta|Y \times B^1} & F \otimes_{A(X \times B^1)} A(Y \times B^1) \\ 1_E \otimes_{A(X \times B^1)} A(Y \times B^1) \downarrow & & \downarrow (\beta|Y \times B^1)^{-1} \\ E \otimes_{A(X \times B^1)} A(Y \times B^1) & \xrightarrow{1_E \otimes_{A(X \times B^1)} A(Y \times B^1)} & E \otimes_{A(X \times B^1)} A(Y \times B^1) \end{array}$$

and thus

$$d(E \otimes_{A(X \times B^1)} A(Y \times B^1), F \otimes_{A(X \times B^1)} A(Y \times B^1), \beta|Y \times S^0) = 0$$

in  $K^{-1}(Y; A)$ . ///

### 4. Products in K-Theory

Let  $X$  and  $Y$  be compact spaces. For the projections

$$\Pi_1 : X \times Y \longrightarrow X ((x, y) \mapsto x)$$

$$\Pi_2 : X \times Y \longrightarrow Y ((x, y) \mapsto y),$$

vector bundle  $E$  over  $X$  and a vector bundle  $F$  over  $Y$ , we define the *external tensor product*  $E \boxtimes F$  of  $E$  and  $F$ , which is a vector bundle over  $X \times Y$ , by  $E \boxtimes F = \Pi_1^*(E) \otimes \Pi_2^*(F)$ . Thus for each  $(x, y) \in X \times Y$   $(E \boxtimes F)_{(x, y)} = E_x \otimes F_y$ . The correspondence  $(E, F) \longrightarrow E \boxtimes F$  induces a functor  $\varphi : \mathcal{E}(X) \times \mathcal{E}(Y) \longrightarrow \mathcal{E}(X \times Y)$  such that

$$\varphi(E \oplus E', F) = \varphi(E, F) \oplus \varphi(E', F), \quad \varphi(E, F \oplus F') = \varphi(E, F) \oplus \varphi(E, F').$$

From this functor we can define a bilinear group homomorphism

$$\varphi_* : K(X) \times K(Y) \longrightarrow K(X \times Y)$$

$$\begin{aligned} \text{by } \varphi_*([E] - [E'], [F] - [F']) &= [\varphi(E, F)] + [\varphi(E', F')] \\ &\quad - [\varphi(E, F')] - [\varphi(E', F)] \dots \dots \dots (*_1) \quad ([9]). \end{aligned}$$

For each  $x \in K(X)$  and  $y \in K(Y)$  we put

$$\varphi_*(x, y) = x \cup y$$

Sometimes  $\varphi_*$  is called the cup product in K-theory. The diagonal map

$$\Delta : X \longrightarrow X \times X \quad (x \mapsto (x, x))$$

defines the group homomorphism

$$\Delta^* : K(X \times X) \longrightarrow K(X).$$

Thus  $\Delta^* \varphi_* : K(X) \times K(X) \longrightarrow K(X \times X) \longrightarrow K(X)$  is a group homomorphism. We put for  $x, x' \in K(X)$

$$\Delta^* \varphi_*(x, x') = \Delta^*(x \cup x') = x \cdot x' = xx' \in K(X) \dots \dots \dots (*_2)$$

With this operator  $K(X)$  is provided with a commutative ring structure ([2], [9]).

**Lemma 4.1.** For locally compact spaces  $X$  and  $Y$  there is the cup-product

$$K(X) \times K(Y) \longrightarrow K(X \times Y)$$

which satisfies the associativity and the commutativity.

**Proof.** Let  $\dot{X}$  and  $\dot{Y}$  be the one point compactifications of  $X$  and  $Y$  respectively. Then, we have the exact sequence of abelian groups:

$$\begin{aligned} K(\dot{X} \times \dot{Y} \times \mathbf{R}) &\longrightarrow K((\dot{X} \vee \dot{Y}) \times \mathbf{R}) \longrightarrow K(\dot{X} \times \dot{Y} - \dot{X} \vee \dot{Y}) \\ &\longrightarrow K(\dot{X}) \times \dot{Y} \longrightarrow K(\dot{X} \vee \dot{Y}) \end{aligned}$$

where  $\dot{X} \vee \dot{Y} = \{\infty\} \times \dot{Y} \cup \dot{X} \times \{\infty\}$  ([9]). Note that  $X \times Y \approx \dot{X} \times \dot{Y} - \dot{X} \vee \dot{Y}$ .

Thus, we have the exact sequence

$$\begin{aligned} K(\dot{X} \times \dot{Y} \times \mathbf{R}) &\longrightarrow K((\dot{X} \vee \dot{Y}) \times \mathbf{R}) \longrightarrow K(X \times Y) \\ &\longrightarrow K(\dot{X} \times \dot{Y}) \longrightarrow K(\dot{X} \vee \dot{Y}) \end{aligned}$$

Since we can prove that

$$K(\dot{X} \times \dot{Y} \times \mathbf{R}) \longrightarrow K((\dot{X} \vee \dot{Y}) \times \mathbf{R})$$

is surjective ([9]), we have the exact sequence

$$0 \longrightarrow K(X \times Y) \longrightarrow K(\dot{X} \times \dot{Y}) \longrightarrow K(\dot{X} \vee \dot{Y}).$$

Moreover, since

$$K(X) = \text{Ker}(K(\dot{X}) \longrightarrow K(\{\infty\})) \text{ ([9]),}$$

we have the inclusion  $i : K(X) \longrightarrow K(\dot{X})$ . Similarly, there is the inclusion



$$j: K(Y) \longrightarrow K(\dot{Y}).$$

Consider the following diagram:

$$\begin{array}{ccccc}
 & & & & 0 \\
 & & & & \downarrow \\
 K(X) \times K(Y) & \xrightarrow{\theta} & K(X \times Y) & & \\
 \downarrow i \times j & & \downarrow & & \\
 K(\dot{X}) \times K(\dot{Y}) & \xrightarrow{\cup = \varphi_*} & K(\dot{X} \times \dot{Y}) & & \\
 \swarrow \gamma & & \downarrow & & \\
 & & K(\dot{X} \vee \dot{Y}) & & \\
 & & \text{(exact)} & & 
 \end{array}$$

where  $\gamma$  is induced from the inclusions  $\dot{X} \longrightarrow \dot{X} \vee \dot{Y}$  ( $x \longrightarrow x \times \{\infty\}$ ) and  $\dot{Y} \longrightarrow \dot{X} \vee \dot{Y}$  ( $y \longmapsto \{\infty\} \times y$ ). In fact,  $\gamma$  is injective, because of that for each  $([E] - [T]) \in K(\dot{X} \vee \dot{Y})$

$$\gamma([E] - [T]) = ([E|_{\dot{X} \times \{\infty\}}] - [T|_{\dot{X} \times \{\infty\}}]) \times ([E|_{\{\infty\} \times \dot{Y}}] - [T|_{\{\infty\} \times \dot{Y}}])$$

and thus

$$\gamma([E] - [T]) = 0 \implies [E] = [T], \text{ i. e., } E \cong T.$$

We shall construct the map  $\theta$  in the above diagram.

For  $x \in K(X)$  and  $y \in K(Y)$

$$i(x) \times j(y) \in K(\dot{X}) \times K(\dot{Y})$$

Thus  $i(x) \cup j(y) \in K(\dot{X} \times \dot{Y})$ . We want to prove that the restriction of  $i(x) \cup j(y)$  to  $K(\dot{X} \vee \dot{Y})$  is zero. By the definition of  $K(X)$  above  $i(x)|_{\{\infty\}} = 0$ . Similary,  $j(y)|_{\{\infty\}} = 0$ . Therefore, we have  $i(x) \cup j(y)|_{\dot{X} \times \{\infty\}} = 0 = i(x) \cup j(y)|_{\{\infty\} \times \dot{Y}}$ .

This means that the restriction of  $i(x) \cup j(y)$  to  $K(\dot{X} \vee \dot{Y})$  is zero. From the exact sequence

$$0 \longrightarrow K(X \times Y) \xrightarrow{\kappa} K(\dot{X} \times \dot{Y}) \longrightarrow K(\dot{X} \vee \dot{Y})$$

we have an element  $x \cup y \in K(X \times Y)$  such that  $\kappa(x \cup y) = i(x) \cup j(y)$ .

We define  $\theta(x, y) = x \cup y$ . Since  $i(x) \cup j(y) = j(y) \cup i(x)$  in  $K(\dot{X} \times \dot{Y})$  it is clear that  $x \cup y = y \cup x$  in  $K(X \times Y)$ . Moreover, for another locally compact space  $Z$ ,  $z \in K(Z)$

and the inclusion  $l: K(Z) \rightarrow K(\dot{Z})$

$$\text{since } (i(x) \cup j(y)) \cup l(z) = i(x) \cup (j(y) \cup l(z)) \quad ([9]).$$

we have also

$$(x \cup y) \cup z = x \cup (y \cup z). \quad ///$$

**Theorem 4.2.** Let  $X$  and  $Y$  be finite  $CW$ -complexes, and let  $X^{(n)}$  be the  $n^{\text{th}}$  skeleton of  $X$ . If we put

$$K_{(n)}(X) = \text{Ker } (K(X) \rightarrow K(X^{n-1})),$$

then

$$\bigcup | K_{(n)}(X) \times K_{(p)}(Y) : K_{(n)}(X) \times K_{(p)}(Y) \rightarrow K_{(n+p)}(X \times Y).$$

**Proof.** Since  $X$  and  $Y$  are finite  $CW$ -complexes,  $X$  and  $Y$  are compact. We put

the cellular decomposition of  $X = \{e_\lambda : \lambda = 1, \dots, m\}$ ,

the cellular decomposition of  $Y = \{e'_{\lambda'} : \lambda' = 1, \dots, n\}$ .

Then the cellular decomposition of  $X \times Y$  is  $\{e_\lambda \times e'_{\lambda'} : \lambda = 1, \dots, m \text{ and } \lambda' = 1, \dots, n\}$ .

By our definition

$$\begin{aligned} [E] - [F] \in K_{(n)}(X) &\iff E|_{X^{n-1}} \cong F|_{X^{n-1}} \\ [E'] - [F'] \in K_{(p)}(Y) &\iff E'|_{Y^{p-1}} \cong F'|_{Y^{p-1}}. \end{aligned}$$

Since

$$\begin{aligned} ([E] - [F]) \cup ([E'] - [F']) &= [\varphi(E, E')] + [\varphi(E, F')] \\ &\quad - \{[\varphi(E, F')] + [\varphi(F, E')]\} \end{aligned}$$

(for notations see (\*<sub>1</sub>) above), where  $\varphi(E, E') = E \boxtimes E'$ .

For each point  $(u, v) \in X \times Y$  consider

$$[E \boxtimes E']_{(u, v)} + [F \boxtimes F']_{(u, v)} - \{[E \boxtimes F']_{(u, v)} + [F \boxtimes E']_{(u, v)}\}.$$

Taking  $(u, v) \in (X \times Y)^{n+p-1}$  we have the following two cases:

- 1)  $u \in e_\lambda$ ,  $\dim e_\lambda \leq n-1$  and  $v \in e'_{\lambda'}$ ,  $\dim e'_{\lambda'} \leq n+p-1 - \dim e_\lambda$
- 2)  $u \in e_\lambda$ ,  $\dim e_\lambda \leq n+p-1 - \dim e'_{\lambda'}$ , and  $v \in e'_{\lambda'}$ ,  $\dim e'_{\lambda'} \leq p-1$ .

In case 1) :

$$\begin{array}{l} [E \otimes E']_{(u,v)} = E_u \otimes E'_v \quad [F \otimes F']_{(u,v)} = F_u \otimes F'_v \\ \parallel \qquad \qquad \qquad \parallel \\ [E \otimes F']_{(u,v)} = E_u \otimes F'_v \quad [F \otimes E']_{(u,v)} = F_u \otimes E'_v \end{array}$$

Thus,  $([E]-[F]) \cup ([E']-[F']) \in K_{(n+p)}(X \times Y)$ .

In case 2):

$$\begin{array}{l} [E \otimes E']_{(u,v)} = E_u \otimes E'_v \quad [F \otimes F']_{(u,v)} = F_u \otimes F'_v \\ \parallel \qquad \qquad \qquad \parallel \\ [E \otimes F']_{(u,v)} = E_u \otimes F'_v \quad [F \otimes E']_{(u,v)} = F_u \otimes E'_v \end{array}$$

Thus  $([E]-[F]) \cup ([E']-[F']) \in K_{(n+p)}(X \times Y)$ . ///

Let  $\Pi : X \rightarrow Y$  be a  $n$ -fold covering such that  $X$  and  $Y$  are locally compact (Note that  $X$  and  $Y$  are path-connected). For each finite dimensional ( $k$ )-vector bundle  $E$  over  $X$  ( $k = \mathbb{R}$  or  $\mathbb{C}$ ) the vector bundle  $F = \Pi_*(E)$  over  $Y$  is defined as follows.

$$\Pi_*(E)_v = F_v = \bigoplus_{u \in \pi^{-1}(v)} E_u \quad (v \in Y).$$

Let  $U$  be an open subset of  $Y$ . Then we can put

$$\Pi^{-1}(U) = V_1 \cup \dots \cup V_n$$

where  $U \approx V_i$  ( $i=1, \dots, n$  and  $\approx$ : homeomorphic) and  $i \neq j \implies V_i \cap V_j = \emptyset$ .

The topology of  $F_U$  is induced by the bijection

$$F_U = E_{V_1} \oplus \dots \oplus E_{V_n} \cong (E_{V_1})^n$$

**Theorem 4.3.** With the above notations

(i)  $F$  is a well-defined vector bundle over  $Y$

(ii)  $\Pi_* : K(X) \rightarrow K(Y)$

$$\begin{array}{c} \cup \\ [E] \end{array} \mapsto \begin{array}{c} \cup \\ [\Pi_*(E)] \end{array}$$

is a group homomorphism, and for  $x \in K(X)$  and  $y \in K(Y)$

$$\Pi_*(\Pi^*(y) \cdot x) = y \cdot \Pi_*(x)$$

(for notation  $y \cdot \Pi_*(x)$  see  $(*_2)$  above).

**Proof.** (i) It suffices to prove that the locally triviality of  $\Pi_*(E) = F$ . For each  $v \in Y$  we shall take an open neighborhood  $U$  of  $v$  such that

$$\Pi^{-1}(U) = V_1 \cup \dots \cup V_n, \quad E_{V_i} = V_i \times k^n \quad (i=1, \dots, n)$$

( $k = \mathbb{R}$  or  $\mathbb{C}$ ), where  $i \neq j \implies V_i \cap V_j = \emptyset$  and  $U \approx V_i$  ( $i=1, \dots, n$ ).

By our definition above

$$\Pi_*(E)_v = F_v \cong U \times (k^*)^n = U \times k^{*n}.$$

(ii) Note that for locally compact spaces  $X$  and  $Y$  we have defined the cup-product

$$K(X) \times K(Y) \longrightarrow K(X \times Y)$$

by Lemma 4.1. Since  $[E_1], [E_2] \in K(X) \implies [E_1] + [E_2] = [E_1 \oplus E_2]$ , we have the following: For each  $v \in Y$

$$\begin{aligned} \Pi_*(E_1 \oplus E_2)_v &= \bigoplus_{u \in \pi^{-1}(v)} (E_1 \oplus E_2)_u \\ &= \bigoplus_{u \in \pi^{-1}(v)} ((E_1)_u \oplus (E_2)_u) \\ &= \left( \bigoplus_{u \in \pi^{-1}(v)} (E_1)_u \right) \oplus \left( \bigoplus_{u \in \pi^{-1}(v)} (E_2)_u \right) \\ &= \Pi_*(E_1)_v \oplus \Pi_*(E_2)_v \\ &= (\Pi_*(E_1) \oplus \Pi_*(E_2))_v. \end{aligned}$$

Hence

$$\Pi_*([E_1 \oplus E_2]) = \Pi_*([E_1]) + \Pi_*(E_2).$$

That is,  $\Pi_*$  is a group homomorphism.

To prove that  $\Pi_*(\Pi^*(y) \cdot x) = y \cdot \Pi_*(x)$  we shall prove that for each  $v \in Y$

$$(\Pi_*(\Pi^*(y) \cdot x))_v = (y \cdot \Pi_*(x))_v.$$

By our definition,

$$\begin{aligned} (\Pi_*(\Pi^*(y) \cdot x))_v &= \bigoplus_{u \in \pi^{-1}(v)} (\Pi^*(y) \cdot x)_u \\ &= \bigoplus_{u \in \pi^{-1}(v)} y_u \otimes x_u. \end{aligned}$$

where we have to note that  $\Pi_*(y)_u = y_v$  ( $\Pi(u) = v$ ), and

$$\begin{aligned} (y \cdot \Pi_*(x))_v &= y_v \otimes \Pi_*(x)_v = y_v \otimes \left( \bigoplus_{u \in \pi^{-1}(v)} x_u \right) \\ &= \bigoplus_{u \in \pi^{-1}(v)} y_v \otimes x_u. \end{aligned}$$

Hence  $\Pi_*(\Pi^*(y) \cdot x) = y \cdot \Pi_*(x)$ . ///

Let  $\Pi : X \rightarrow Y$  be a principal covering with finite group  $G$ , i.e.,  $X/G \approx Y$  and  $G$  acts freely on  $X$ .

**Proposition 4.4.** Under the above circumstances we also assume that  $X$  and  $Y$  are locally compact.

Then for each  $x \in K(X)$

$$(\Pi^* \cdot \Pi_*)(x) = \sum_{g \in G} \rho(g)^*(x)$$

where  $\rho(g)^* : K(X) \rightarrow K(X)$  is the automorphism of  $K(X)$  induced by the action of  $g \in G$ .

**Proof.** For each  $u \in X$  we have to note that

$$\forall g \in G \quad \Pi(g(u)) = \Pi(u)$$

Thus, by our definition

$$\forall v \in Y \quad (\Pi_*(x))_v = \sum_{\substack{g \in G \\ \pi(u)=v}} x_{g(u)}$$

Thus, if  $\Pi(u) = v$  then

$$(\Pi^*(\Pi_*(x)))_u = (\Pi_*(x))_v = \sum_{\substack{g \in G \\ \pi(u)=v}} x_{g(u)}$$

On the other hand, for  $\rho(g) : X \rightarrow X (u \mapsto \rho(g)(u) = g(u))$ , which is the action of  $G$  on  $X$ ,

$$\left(\sum_{g \in G} \rho^*(g)(x)\right)_u = \bigoplus_{g \in G} x_{g(u)} = \sum_{g \in G} x_{g(u)}$$

and thus for each  $u \in X$

$$\left(\sum_{g \in G} \rho^*(g)(x)\right)_u = (\Pi^* \cdot \Pi_*(x))_u \quad ///$$

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