

# Optimal Surfactant Structures for Cosurfactant-Free Microemulsion Systems (II)

—Dialkylbenzene and Dialkylphenol Hydrophobes—

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계면활성제의 구조가 Microemulsion 형성에 미치는 영향(제II보)

—친유기 Dialkylbenzene과 Dialkylphenol—

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요 약

Microemulsion을 형성하기 위해서는 일반적으로 주계면활성제와 함께 보조계면활성제가 첨가된다. 그러나 보조계면활성제는 이론적으로 반드시 필요한 것은 아니며, 주계면활성제의 친유기 구조를 적절히 변화시킴으로써 보조계면활성제의 필요량을 감소시킬 수 있다. Microemulsion계를 형성하기 위한 최적의 계면활성제구조를 찾기 위하여 twin tail형 계면활성제인 dialkylbenzene과 dialkylphenol 친유기를 갖는 계면활성제를 연구하였다. P-dihexylbenzene sodium sulfonate는 보조계면활성제 없이 microemulsion을 형성하였지만, dialkylphenol sodium sulfates는 젤이나 액정등을 제거하기 위하여 보조계면활성제를 필요로 하였다.

## I. INTRODUCTION

Microemulsions are optically transparent or translucent thermodynamically stable mixtures of oil, water and surfactants. Microemulsions can exist in equilibrium with excess oil, water, or both, and Winsor<sup>1)</sup> referred to these systems as type I, II and III respectively. Middle phase microemulsions (Winsor type III) form in a narrow salinity range. The salinity, at which equal volumes of brine and oil are solubilized in the middle phase microemulsion,

is termed the optimum salinity ( $S^*$ )(Fig. 1).

Early on Schulman and Bowcott<sup>2)</sup> found their microemulsions required alcohols (usually mid- or short-chain alcohols) as cosurfactants. The most basic role of a cosurfactant is probably its ability to eliminate rigid structures such as gels, precipitates and liquid crystals, and to improve phase behavior of the system<sup>3,4)</sup>.

However, no fundamental considerations dictate that a cosurfactant is a necessary requirement for microemulsion formation, but is simply added as a matter of practicality. In a previous paper, we

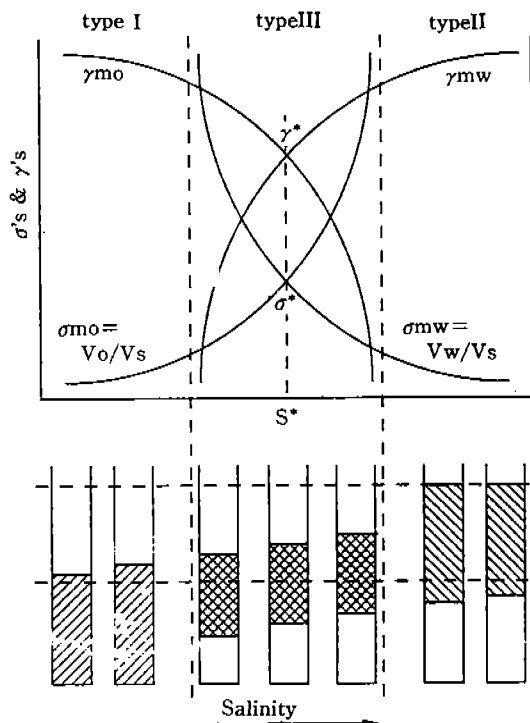


Fig. 1. The optimum salinity ( $S^*$ ) and the phase behavior as a function of salinity.

reported that  $C_{14}$  Guerbet alcohol sulfates formed cosurfactant-free microemulsions at low temperatures with acceptable solubilization parameters<sup>5)</sup>.

The objective of this study is the minimization and possible elimination of cosurfactants from microemulsion formulations by optimizing surfactant structures, since much previous research has found that hydrophobe structure has a significant effect on cosurfactant requirements of the microemulsion systems.

From extensive previous studies<sup>6-14)</sup>, the following general results are obtained for the extremes in hydrophobe structure.

#### A) Twin tail surfactants

- 1) prefer to micellize in the oil phase,
- 2) produce low values of  $\sigma^*$ ,
- 3) produce high values of  $\gamma^*$ , and
- 4) have minimal cosurfactant requirements.

#### B) Single tail surfactants

- 1) prefer to micellize in the aqueous phase,
- 2) produce high values of  $\sigma^*$ ,
- 3) produce low values of  $\gamma^*$ , and
- 4) have high cosurfactant requirements.

C) Mixtures of above the two species or intermediately branched single species have intermediate properties

In a present study, dialkylbenzene sulfonates and dialkylphenol sulfates were investigated, because twin tail surfactants have inherent low cosurfactant requirements. P-dihexylbenzene sodium sulfonate, ethoxylated dihexylphenol sodium sulfates, and ethoxylated 2-hexyl-4-octylphenol sodium sulfates were synthesized. Next, we could obtain commercially available surfactants with dioctylphenol and dinonylphenol hydrophobes.

The optimum phase behavior of each surfactants were examined with oil phase composition, temperature, salinity, cosurfactant concentration and ethylene oxide number (EON) as variables. For commercial surfactants, the hydrophobes are the mixtures of several isomers and ethylene oxide (EO) units are added distributionally.

## II. EXPERIMENTAL

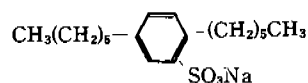
### 1. Materials

n-Octane, n-decane, and n-dodecane were technical grade (95 Mol % minimum purity). Singly distilled water was used. NaCl, reagent grade, was obtained from Baker Analyzed.

The following surfactants and cosurfactants were used in this study.

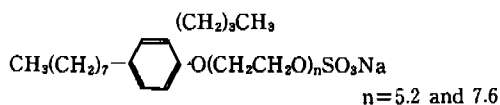
1)  $C_6\phi C_6SO_3Na$ : p-dihexylbenzene sodium sulfonates

They were synthesized and have the following structure.



2)  $C_6\phi_6(EO)_nSO_3Na$ : ethoxylated 2-hexyl-4-octyl-phenol sodium sulfates

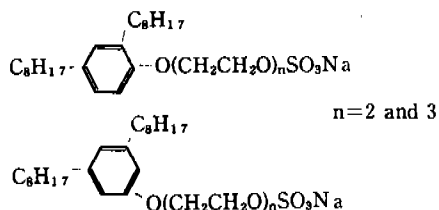
The hydrophobes of these molecules were synthesized and distributional ethylene oxide additions were performed.



$C_6\phi C_8(EO)_n$  sodium sulfates were synthesized and examined. The NMR spectra show that C-6 and C-8 chains are attached in the meta position to each other and that EO chains are attached to ortho and para positions with respect to C-6 and C-8 chains, respectively. Fig. 2 and 3 show Gaussian distributions of EO chains. The average molecular weight and further average EO numbers (EON's) are calculated by a weight averaged molecular weight equation:  $M_w = \sum Ni Mi^2 / \sum Ni Mi$  where  $Ni$  is the intensity and  $Mi$  is the mass of the component.<sup>1</sup> The EON's are found to be 5.0 and 7.5, and in good accordance with EON's alternately determined by gas chromatography (5.2 and 7.6).

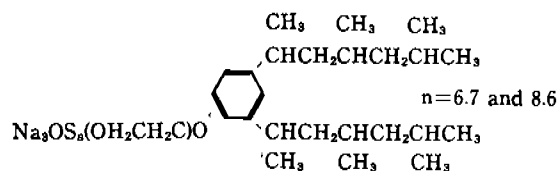
3)  $C_6\phi C_8(EO)_nSO_3Na$ : ethoxylated dioctyl phenol sodium sulfates

They were obtained as ammonium sulfates, purified and ion exchanged to the sodium salts form. They have distributionally added EO's and have the following structure.



4)  $C_9\phi C_9(EO)_nSO_3Na$ : ethoxylated dinonylphenol sodium sulfates

They were made by sulfating the corresponding alcohols, which have commercial names of DM 430 and DM 530 respectively.



5) 99.6 Mol % sec-butanol obtained from Fisher Scientific Company was used as a cosurfactant.

The purity of samples was upgraded by solvent extraction procedures. As previously found, it is very difficult to obtain high purity surfactants when there is a distribution of EO's or PO's.

The % activity of each surfactant is measured by two-phase titration<sup>15-18)</sup> and is shown in Table 1. One determination in Table 1 (\*) is greater than 100%. As discussed in a previous paper<sup>9)</sup>, this error can be attributed to imperfectly defined surfactant structures with incorrect molecular weight. The % activity was not measured for  $C_6\phi C_6$  sodium sulfonate and considered to be 100%.

Table 1. The % activity values for purified sulfates.

		purified sodium sulfates
$C_6\phi C_8(EO)_n$	$n=5.2$	79.6
	$n=7.6$	89.1
$C_8\phi C_6(EO)_n$	$n=2.0$	84.3
	$n=3.0$	86.1
$C_9\phi C_9(EO)_n$	$n=6.7$	101.2*
	$n=8.6$	99.3

## 2. Procedure

All concentrations were given in grams per deciliter (gpdL) of either the aqueous or oil phase prior to contacting each other. The surfactant concentrations were 1.0 gpdL.

Oil, brine, and surfactant (and cosurfactant if needed) were mixed in 5.0 ml disposable graduated pipettes. After gentle shaking three times over a 24-hour period, they were equilibrated at constant temperature until the phase volumes became

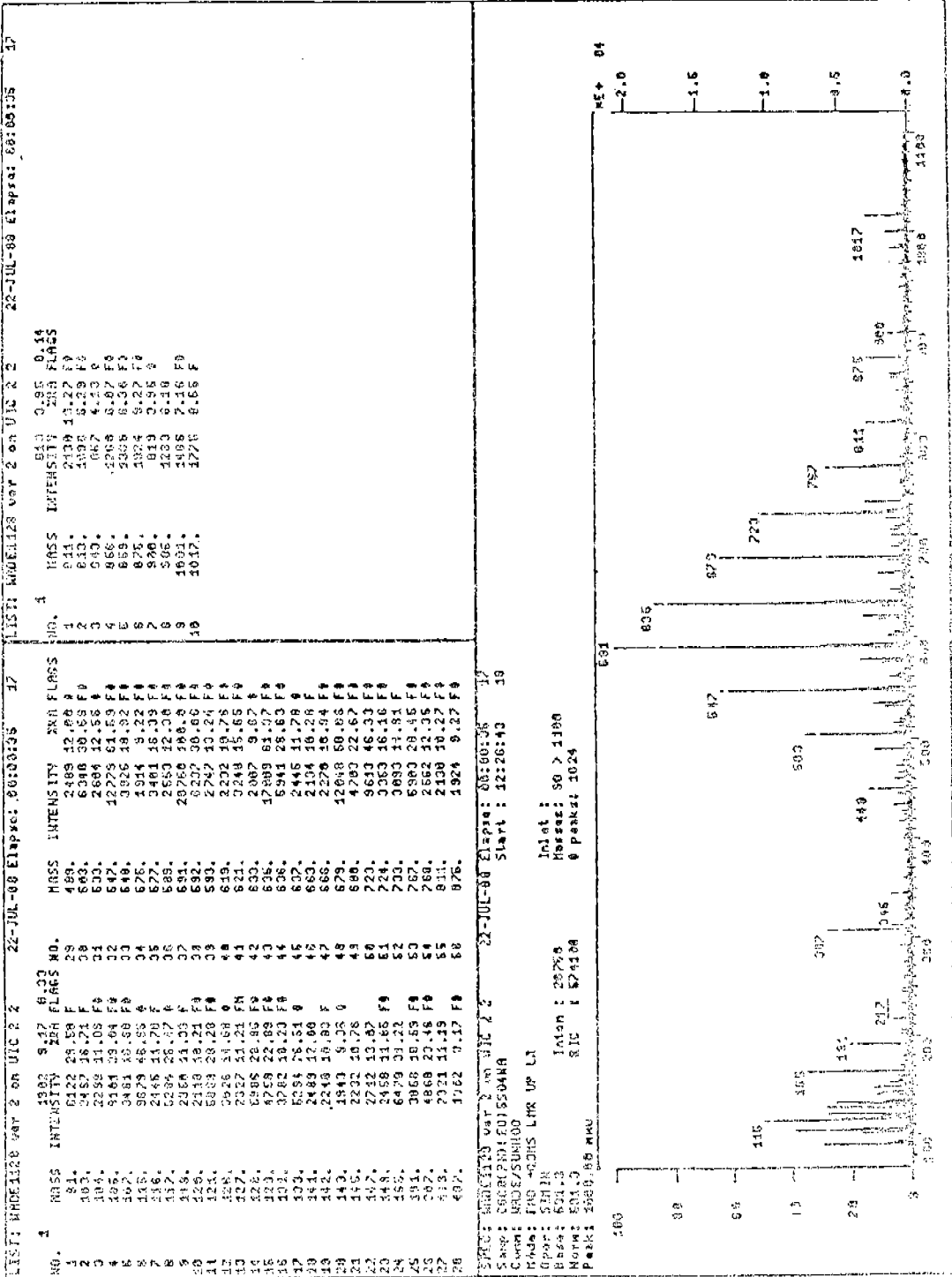


Fig. 2. Mass spectrum for C<sub>6</sub>ΦC<sub>8</sub> (EO)<sub>2</sub>SO<sub>4</sub>Na without matrix.

LIST: MWD1120 var 1 on TIC 2 2 22-JUL-89 KINAC: 00100112 6

NO.	MASS	INTENSITY	ISOB	REL FLMS NO.	MASS	INTENSITY	ISOB	REL FLMS NO.
1	41	2920	4.41	0.44	690	690	4.41	0.44
2	53	1500	4.41	0.44	792	792	4.41	0.44
3	55	1500	4.41	0.44	894	894	4.41	0.44
4	67	3020	4.41	0.44	996	996	4.41	0.44
5	79	3020	4.41	0.44	1098	1098	4.41	0.44
6	91	3020	4.41	0.44	1200	1200	4.41	0.44
7	103	3020	4.41	0.44	1302	1302	4.41	0.44
8	115	3020	4.41	0.44	1404	1404	4.41	0.44
9	127	3020	4.41	0.44	1506	1506	4.41	0.44
10	139	3020	4.41	0.44	1608	1608	4.41	0.44
11	151	3020	4.41	0.44	1710	1710	4.41	0.44
12	163	3020	4.41	0.44	1812	1812	4.41	0.44
13	175	3020	4.41	0.44	1914	1914	4.41	0.44
14	187	3020	4.41	0.44	2016	2016	4.41	0.44
15	199	3020	4.41	0.44	2118	2118	4.41	0.44
16	211	3020	4.41	0.44	2220	2220	4.41	0.44
17	223	3020	4.41	0.44	2322	2322	4.41	0.44
18	235	3020	4.41	0.44	2424	2424	4.41	0.44
19	247	3020	4.41	0.44	2526	2526	4.41	0.44
20	259	3020	4.41	0.44	2628	2628	4.41	0.44
21	271	3020	4.41	0.44	2730	2730	4.41	0.44
22	283	3020	4.41	0.44	2832	2832	4.41	0.44
23	295	3020	4.41	0.44	2934	2934	4.41	0.44
24	307	3020	4.41	0.44	3036	3036	4.41	0.44
25	319	3020	4.41	0.44	3138	3138	4.41	0.44
26	331	3020	4.41	0.44	3240	3240	4.41	0.44
27	343	3020	4.41	0.44	3342	3342	4.41	0.44
28	355	3020	4.41	0.44	3444	3444	4.41	0.44
29	367	3020	4.41	0.44	3546	3546	4.41	0.44
30	379	3020	4.41	0.44	3648	3648	4.41	0.44
31	391	3020	4.41	0.44	3750	3750	4.41	0.44
32	403	3020	4.41	0.44	3852	3852	4.41	0.44
33	415	3020	4.41	0.44	3954	3954	4.41	0.44
34	427	3020	4.41	0.44	4056	4056	4.41	0.44
35	439	3020	4.41	0.44	4158	4158	4.41	0.44
36	451	3020	4.41	0.44	4260	4260	4.41	0.44
37	463	3020	4.41	0.44	4362	4362	4.41	0.44
38	475	3020	4.41	0.44	4464	4464	4.41	0.44
39	487	3020	4.41	0.44	4566	4566	4.41	0.44
40	499	3020	4.41	0.44	4668	4668	4.41	0.44
41	511	3020	4.41	0.44	4770	4770	4.41	0.44
42	523	3020	4.41	0.44	4872	4872	4.41	0.44
43	535	3020	4.41	0.44	4974	4974	4.41	0.44
44	547	3020	4.41	0.44	5076	5076	4.41	0.44
45	559	3020	4.41	0.44	5178	5178	4.41	0.44
46	571	3020	4.41	0.44	5280	5280	4.41	0.44
47	583	3020	4.41	0.44	5382	5382	4.41	0.44
48	595	3020	4.41	0.44	5484	5484	4.41	0.44
49	607	3020	4.41	0.44	5586	5586	4.41	0.44
50	619	3020	4.41	0.44	5688	5688	4.41	0.44
51	631	3020	4.41	0.44	5790	5790	4.41	0.44
52	643	3020	4.41	0.44	5892	5892	4.41	0.44
53	655	3020	4.41	0.44	5994	5994	4.41	0.44
54	667	3020	4.41	0.44	6096	6096	4.41	0.44
55	679	3020	4.41	0.44	6198	6198	4.41	0.44
56	691	3020	4.41	0.44	6300	6300	4.41	0.44
57	703	3020	4.41	0.44	6402	6402	4.41	0.44
58	715	3020	4.41	0.44	6504	6504	4.41	0.44
59	727	3020	4.41	0.44	6606	6606	4.41	0.44
60	739	3020	4.41	0.44	6708	6708	4.41	0.44
61	751	3020	4.41	0.44	6810	6810	4.41	0.44
62	763	3020	4.41	0.44	6912	6912	4.41	0.44
63	775	3020	4.41	0.44	7014	7014	4.41	0.44
64	787	3020	4.41	0.44	7116	7116	4.41	0.44
65	799	3020	4.41	0.44	7218	7218	4.41	0.44
66	811	3020	4.41	0.44	7320	7320	4.41	0.44
67	823	3020	4.41	0.44	7422	7422	4.41	0.44
68	835	3020	4.41	0.44	7524	7524	4.41	0.44
69	847	3020	4.41	0.44	7626	7626	4.41	0.44
70	859	3020	4.41	0.44	7728	7728	4.41	0.44
71	871	3020	4.41	0.44	7830	7830	4.41	0.44
72	883	3020	4.41	0.44	7932	7932	4.41	0.44
73	895	3020	4.41	0.44	8034	8034	4.41	0.44
74	907	3020	4.41	0.44	8136	8136	4.41	0.44
75	919	3020	4.41	0.44	8238	8238	4.41	0.44
76	931	3020	4.41	0.44	8340	8340	4.41	0.44
77	943	3020	4.41	0.44	8442	8442	4.41	0.44
78	955	3020	4.41	0.44	8544	8544	4.41	0.44
79	967	3020	4.41	0.44	8646	8646	4.41	0.44
80	979	3020	4.41	0.44	8748	8748	4.41	0.44
81	991	3020	4.41	0.44	8850	8850	4.41	0.44
82	1003	3020	4.41	0.44	8952	8952	4.41	0.44
83	1015	3020	4.41	0.44	9054	9054	4.41	0.44
84	1027	3020	4.41	0.44	9156	9156	4.41	0.44
85	1039	3020	4.41	0.44	9258	9258	4.41	0.44
86	1051	3020	4.41	0.44	9360	9360	4.41	0.44
87	1063	3020	4.41	0.44	9462	9462	4.41	0.44
88	1075	3020	4.41	0.44	9564	9564	4.41	0.44
89	1087	3020	4.41	0.44	9666	9666	4.41	0.44
90	1099	3020	4.41	0.44	9768	9768	4.41	0.44
91	1111	3020	4.41	0.44	9870	9870	4.41	0.44
92	1123	3020	4.41	0.44	9972	9972	4.41	0.44
93	1135	3020	4.41	0.44	10074	10074	4.41	0.44
94	1147	3020	4.41	0.44	10176	10176	4.41	0.44
95	1159	3020	4.41	0.44	10278	10278	4.41	0.44
96	1171	3020	4.41	0.44	10380	10380	4.41	0.44
97	1183	3020	4.41	0.44	10482	10482	4.41	0.44
98	1195	3020	4.41	0.44	10584	10584	4.41	0.44
99	1207	3020	4.41	0.44	10686	10686	4.41	0.44
100	1219	3020	4.41	0.44	10788	10788	4.41	0.44

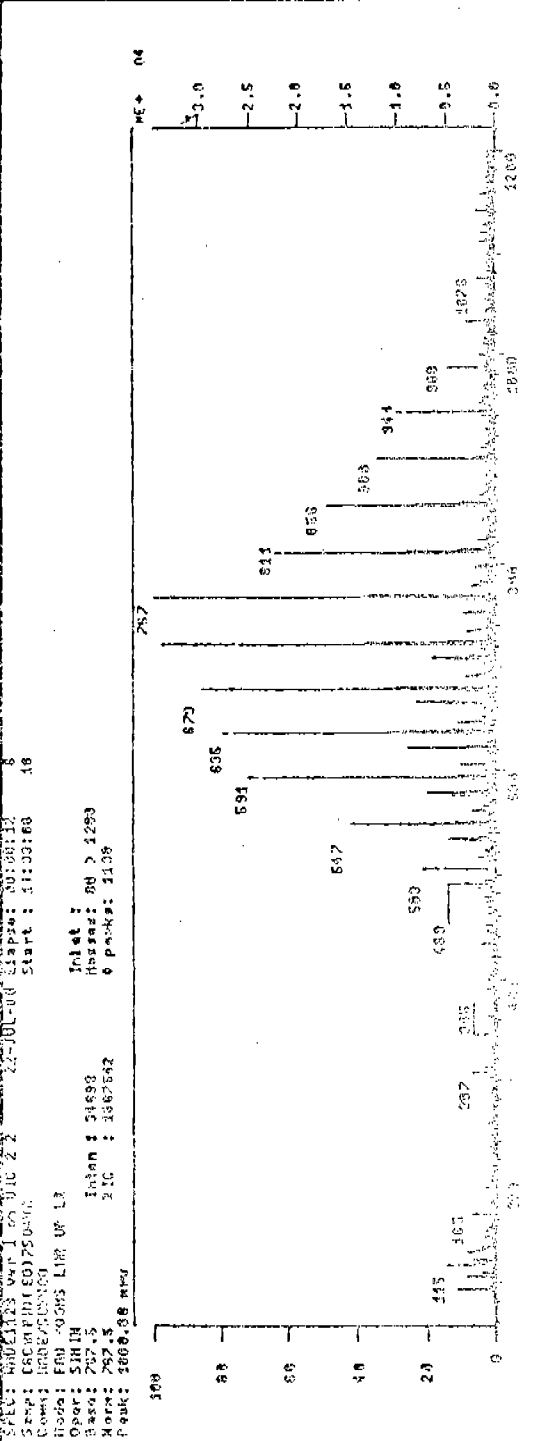


Fig. 3. Mass spectrum for C<sub>6</sub>Φ<sub>6</sub> (EO)<sub>7</sub>SO<sub>4</sub>Na without matrix.

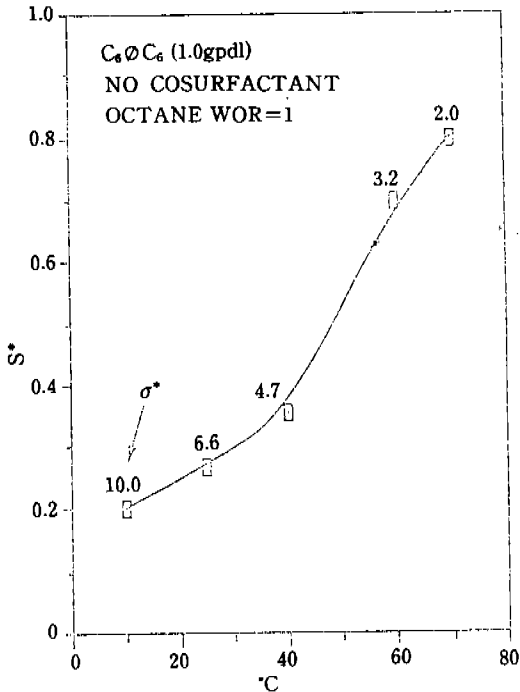


Fig. 4. The optimum phase behavior for  $C_6\Phi C_6SO_3Na$  without cosurfactants.

constant with time (usually one week). The volume ratio of aqueous to oil phase (WOR) was always one.

The optimum solubilization parameter ( $\sigma^*$ ) was defined as follows:

$$\sigma^* = \frac{V_o \text{ or } V_w (V_o = V_w)}{g_{\text{pure surf.}}} \\ = \frac{V_o \text{ or } V_w (V_o = V_w) (\text{ml})}{g_{\text{surf.}} \times \text{Activity}} \left( \frac{\text{ml}}{\text{g}} \right)$$

### III. RESULTS AND DISCUSSION

#### 1. $C_6\Phi C_6SO_3Na$

$C_6\Phi C_6$  sodium sulfonate was synthesized and examined. Fig. 4 shows optimum phase behavior (Winsor type III) for  $C_6\Phi C_6SO_3Na$  without cosurfactant.

This molecule has distinctive properties, such as a good temperature tolerance and no alcohol requi-

rement. The limiting molecular area of the molecule at the water/air interface at 25°C was found to be  $57.9 \text{ \AA}^2$ .<sup>19)</sup> The molecule is isomerically pure and can be used for academic studies; however, the low value of optimum solubilization parameter ( $\sigma^* = 2 \sim 10$ ) and the low optimum salinity ( $S^* = 0 \sim 1 \text{ gpd}$ ) made the molecule difficult to use as a primary surfactant for microemulsion formulations.

Dihexylphenol was ethoxylated with 1, 2, and 3 molar equivalents of gaseous EO in an attempt to increase both  $\sigma^*$  and  $S^*$ . Inexplicitly, these species failed to make microemulsions. However, this can be explained due to the negative  $S^*$  of the systems. Skauge et al.<sup>20)</sup> reported that the  $S^*$  decreases at low degrees of ethoxylation and explained the phenomenon as a minor contribution of 1 EO group to the effective size of the head group. Therefore, we realized the need to add more EO units to the surfactants or to increase the side alkyl chain length.

#### 2. $C_6\Phi C_6 (EO)_n SO_3Na$

Adding a different length of side chains to phenol is based on chain length compatibility effects<sup>21)</sup>, expecting low cosurfactant requirements due to the bigger molecular area. The chain length compatibility effects are explained as follows: The equal chain length surfactant molecules form a condensed mixed monolayer as compared to the dissimilar chain length molecules. In mixed monolayers of different chain lengths, it is very likely that the portion of the molecule above the height of the adjacent molecules exhibits thermal motion, such as oscillational, vibrational, and rotational modes. Moreover, if these thermal disturbances are limited to the portion above the height of the adjacent molecules, it would not expand the mixed monolayer and the molecular area would remain the same. However, the thermal motion most probably propagates along the chain toward the polar group of the molecule, which in turn causes the expansion

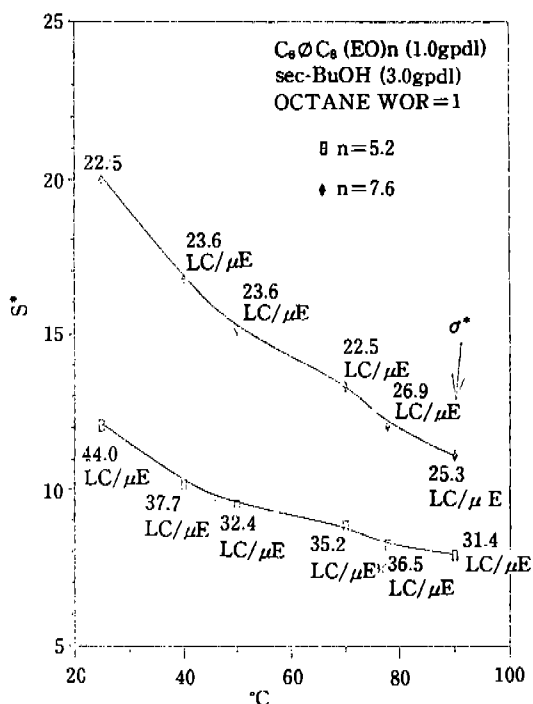


Fig. 5. The optimum phase behavior for  $C_8\Phi C_8(EO)_n$   $nSO_4Na$  with 3.0 gpd sec-butanol.

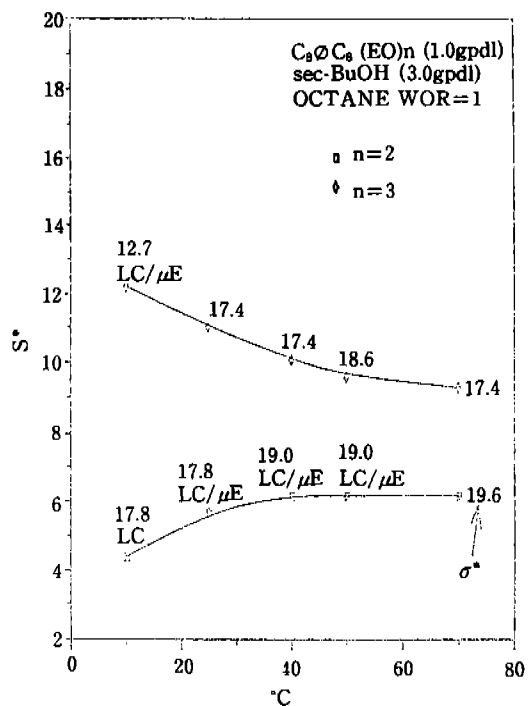


Fig. 6. The optimum phase behavior for  $C_8\Phi C_8(EO)_n$   $nSO_4Na$  with 3.0 gpd sec-butanol.

on the mixed monolayers and exhibits a greater molecular area.

Fig. 5 shows the optimum phase behavior for  $C_8\Phi C_8(EO)_nSO_4Na$  with 3.0 gpd sec-butanol. Contrary to expectations, these species require a higher cosurfactant concentration than the  $C_9\Phi C_9(EO)_n$  species, presumably because the difference between the two chains is not big enough to exhibit chain length compatibility effects. Moreover, the phenol ring already separates the side chains and the molecular area of surfactants may be determined by the phenol ring.

Both surfactants make liquid crystals with 3.0 gpd sec-butanol in the temperature range from 25°C to 90°C. The temperature dependence of  $S^*$  shows predominant nonionic character due to high ethoxylation. It is well known that the  $S^*$  increases with increasing temperature for anionic surfactants because of increasing relative solubility in water;

however, increased temperature causes dehydration around the EO chains in nonionic surfactants, which causes  $S^*$  to decrease with increasing temperature. Higher ethoxylation increases  $S^*$  itself and thus decreases  $\sigma^*$ , since it is universally observed that  $\sigma^*$  decreases with increasing  $S^*$ .

The exclusion of the liquid crystals at 25°C with  $n=7.6$  species indicates again nonionic character. It is well known that anionic surfactants have a tendency to form rigid structures at low temperatures due to the decrease of molecular mobility; however, nonionics have a tendency to form rigid structures at high temperatures due to the dehydration of EO chains and increased van der Waals interactions between the molecules.

### 3. $C_8\Phi C_8(EO)_nSO_4Na$

$C_8\Phi C_8(EO)_n$  sodium sulfates ( $n=2$  and 3) were examined (Fig. 6). The NMR spectra show that they

are equimolar mixtures of ortho-para and meta-meta EO chain position species with respect to the two octyl chains. EO units are added as Gaussian distributions with average numbers of 2 and 3.

The  $n=2$  species form liquid crystals with 3.0 gpdL sec-butanol in the temperature range of 10~50°C. The  $S^*$  decreases as temperature decreases. Both phenomena indicate that anionic character prevails in these low ethoxylated species.

As expected, the  $n=3$  species have generally higher  $S^*$ 's and require less cosurfactant than the  $n=2$  species.  $S^*$  increases with decreasing temperature, indicating the predominance of nonionic character.

#### 4. $C_9\phi C_9(EO)_nSO_4Na$

$C_9\phi C_9(EO)_n$  sodium sulfates ( $n=6.7$  and  $8.6$ ) were synthesized and phase behavior for these species

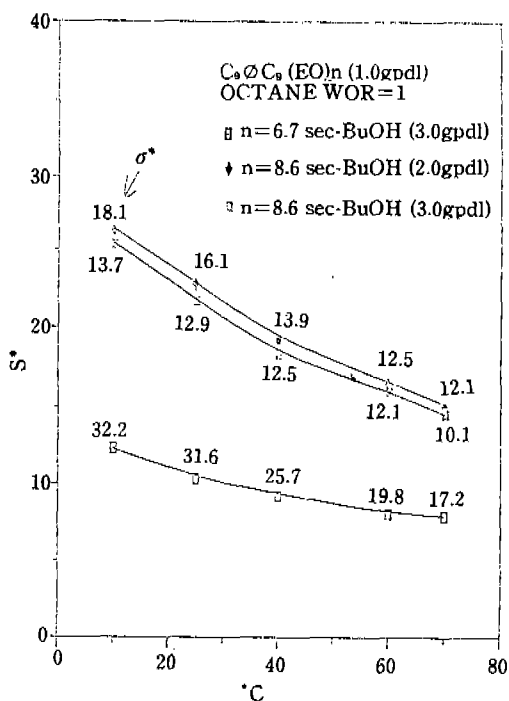


Fig. 7. The optimum phase behavior for  $C_9\phi C_9(EO)_nSO_4Na$  with 3.0 gpdL sec-butanol.

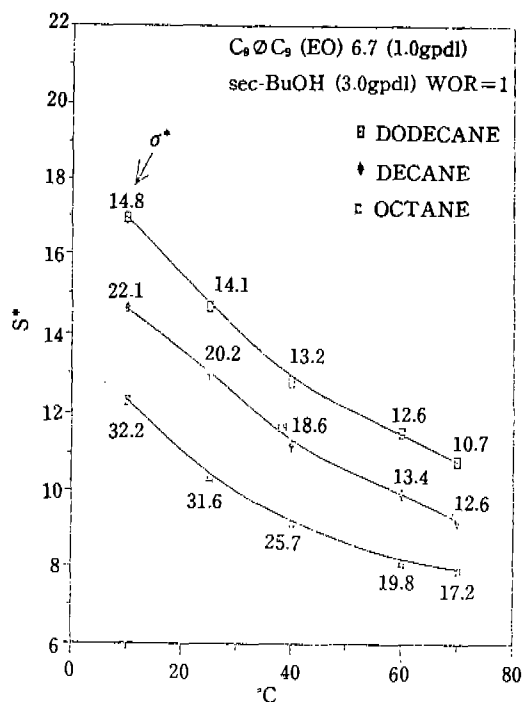


Fig. 8. The effect of oil phase on the optimum phase behavior for  $C_9\phi C_9(EO)_{6.7}SO_4Na$  with 3.0 gpdL sec-butanol.

was examined (Fig. 7). The  $n=6.7$  species require at least 3.0 gpdL sec-butanol and the  $n=8.6$  species require 2.0 gpdL sec-butanol to exclude all extended rigid structures.

For these surfactants having both anionic and nonionic hydrophiles, the temperature dependence of  $S^*$  is controlled by nonionic segments. Higher ethoxylation increases  $S^*$  and decreases  $\sigma^*$ .

The system with higher cosurfactant concentrations has a lower  $S^*$  and a lower  $\sigma^*$  possibly because of the lower surfactant concentrations at the interface, since cosurfactants compete with surfactants for the adsorption sites. The  $\sigma^*$  increases with decreasing temperature simply because molecules are less mobile at low temperature.

Fig. 8 shows the effect of oil chain length (alkane carbon number: ACN) on the phase behavior of the



$n=6.7$  species. The  $S^*$  increases and the  $\sigma^*$  decreases with increasing ACN.

#### IV. CONCLUSIONS

The phase behavior was investigated for several series of surfactants to optimize surfactant structures for cosurfactant-free microemulsion systems. The following conclusions were drawn from the results:

1.  $C_6\phi C_6$  sodium sulfonate

This molecule form microemulsions without cosurfactants at low temperatures; however, it has low values of optimum solubilization parameter ( $\sigma^*$ ) and optimum salinity ( $S^*$ ).

2. All of ethoxylated dialkylphenol sulfates require cosurfactants to exclude rigid structures.

3.  $C_8\phi C_8$  (EO) $n$  sodium sulfates ( $n=5.2$  and  $7.6$ )

These surfactants require higher cosurfactant concentrations than  $C_6\phi C_6$  (EO) $n$  species.

Nonionic character dominates for both these molecules.

4.  $C_8\phi C_8$  (EO) $n$  sodium sulfates ( $n=2$  and  $3$ )

The  $n=2$  species show anionic character dominance and the  $n=3$  species have nonionic character prevalence. The  $n=3$  species require less cosurfactant.

5.  $C_9\phi C_9$  (EO) $n$  ( $n=6.7$  and  $8.6$ ) sodium sulfates

The temperature dependence of  $S^*$  is controlled by nonionic character due to high ethoxylation. The  $n=8.6$  species have a higher  $S^*$  and a lower  $\sigma^*$  and require less cosurfactants, presumably because the bulkiness and hydration of EO chains physically separate molecules and reduce van der Waals interactions between the molecules. The  $\sigma^*$  increases with decreasing temperature.

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