

## GENERALIZED CLOSE-TO-CONVEX FUNCTIONS

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### Abstract

We introduce a new class of analytic functions in the unit disk which generalizes the concepts of close-to-convexity and of bounded boundary rotation, and study its various properties including its connection with other classes of analytic and univalent functions.

### 1. Introduction

Let  $V_k$  be the class of functions of bounded boundary rotation and  $K$  be the class of close-to-convex functions. Let  $R_k$  be the class of analytic functions with bounded radius rotation. A function  $f \in V_k$  if, and only if,  $zf' \in R_k$ . It is clear that  $R_2 = S^*$ , the class of starlike functions and  $V_2 = C$  is the class of convex functions.

DEFINITION 1.1. Let  $f$ , with  $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$  be analytic in  $E = \{z : |z| < 1\}$  and  $f'(z) \neq 0$ . Then  $f \in T_k$ ,  $k \geq 2$ , if and only if there exists a function  $g \in V_k$  such that, for  $z \in E$ ,

$$(1.1) \quad \operatorname{Re} \frac{f'(z)}{g'(z)} > 0.$$

We note that  $T_2 = K$ . The class  $T_k$  has been introduced and discussed in some details in [1]. We now define the following.

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DEFINITION 1.2. Let  $f$  with  $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$  be analytic in the unit disk  $E$  with  $\frac{f(z) \cdot f'(z)}{z} \neq 0$ ,  $z \in E$ . Then  $f \in T_k(a, \gamma)$ ,  $\text{Re} a \geq 0$ ,  $0 \leq \gamma \leq 1$  if, and only if, there exists a function  $g \in T_k$  such that, for  $z \in E$ ,

$$(1.2) \quad z f'(z) + a f(z) = (a + 1) z (g'(z))^\gamma.$$

We note that  $T_k(0, 1) = T_k$  and  $T_2(0, 1) = K$ .

## 2. Preliminary Results

We shall give here the results needed to prove the main theorems in the preceding section.

LEMMA 2.1 [2]. Let  $u$  and  $v$  denote complex variables,  $u = u_1 + iu_2$ ,  $v = v_1 + iv_2$  and let  $\phi(u, v)$  be a complex-valued function that satisfies the following conditions:

- (i)  $\phi(u, v)$  is continuous in a domain  $D \subset \mathcal{C}^2$ .
  - (ii)  $(1, 0) \in D$  and  $\phi(1, 0) > 0$ .
  - (iii)  $\text{Re}(iu_2, v_1) \leq 0$  whenever  $(iu_2, v_1) \in D$  and  $v_1 \leq -\frac{1}{2}(1 + u_2^2)$ .
- If  $p(z) = 1 + b_1 z + b_2 z^2 + \dots$  is a function that is analytic in  $E$  such that

$$(p(z), zp'(z)) \in D \text{ and } \text{Re}\{\phi(p(z), zp'(z))\} > 0$$

hold for all  $z \in E$ , then  $\text{Re} p(z) > 0$  in  $E$ .

LEMMA 2.2 [1]. Let  $g \in T_k$ . Then, with  $z = re^{i\theta}$  and  $\theta_1 < \theta_2$ ,

$$\int_{\theta_1}^{\theta_2} \text{Re} \left\{ \frac{(zg'(z))'}{g'(z)} \right\} d\theta > -\frac{k}{2}\pi.$$

LEMMA 2.3 [3]. Let  $f \in R_k$ . Then  $f$  is starlike for  $|z| < r_0$ , where  $r_0$  is given by

$$(2.1) \quad r_0 = \frac{1}{2} \left[ k - \sqrt{k^2 - 4} \right].$$

LEMMA 2.4 [4]. Let  $g_1 \in V_k$ . Then there exist two starlike functions  $s_1$  and  $s_2$  such that, for  $z \in E$ ,

$$g_1'(z) = \left( \frac{s_1(z)}{z} \right)^{\frac{k}{4} + \frac{1}{2}} / \left( \frac{s_2(z)}{z} \right)^{\frac{k}{4} - \frac{1}{2}}.$$

LEMMA 2.5 [5]. Let  $p(z) = 1 + b_1z + \dots$  be analytic with  $|z| = re^{i\theta}$ , and if, for  $\alpha, C, \theta_1, \theta_2$  with  $\alpha \geq 1, \operatorname{Re} C \geq 0, 0 \leq \theta_1 < \theta_2 \leq 2\pi$ , it is true that

$$\int_{\theta_1}^{\theta_2} \operatorname{Re} \left[ p(z) + \frac{\alpha zp'(z)}{c\alpha + p(z)} \right] d\theta > -\pi,$$

then

$$\int_{\theta_1}^{\theta_2} \operatorname{Re} p(z) d\theta > -\pi, \quad z = re^{i\theta}.$$

### 3. Main Results

THEOREM 3.1. Let  $0 \leq \gamma_1 < \gamma_2 \leq 1$ . Then  $T_k(a, \gamma_1) \subset T_k(a, \gamma_2)$ .

*Proof.* Let  $f \in T_k(a, \gamma_1)$ . Then

$$\begin{aligned} zf'(z) + af(z) &= (a+1)z(g'(z))^\gamma, \quad g \in T_k \\ &= (a+1)z(h'(z))^\gamma, \quad \text{where } h'(z) = (g'(z))^{\frac{\gamma_1}{\gamma_2}}. \end{aligned}$$

Now, since  $G \in T_k$ , there exists a function  $g_1 \in V_k$  such that, for  $z \in E$ ,  $\operatorname{Re} \frac{g_1'(z)}{g_1(z)} > 0$ . Let  $G_1'(z) = (g_1'(z))^{\frac{\gamma_1}{\gamma_2}}$ . It is easy to show that  $G_1 \in V_k$ .

Thus

$$\frac{h'(z)}{G_1'(z)} = \left( \frac{g'(z)}{g_1'(z)} \right)^{\frac{\gamma_1}{\gamma_2}},$$

and, since  $\frac{\gamma_1}{\gamma_2} < 1$ , we have  $\operatorname{Re} \frac{h'(z)}{G_1'(z)} > 0, z \in E$  and this implies that  $h \in T_k$ . Therefore  $f \in T_k(a, \gamma_2)$  and the proof is complete.

THEOREM 3.2. Let  $f \in T_k(a, \gamma), \operatorname{Re} a \geq 0, 0 < \gamma \leq 1$ . Then, for  $0 \leq \theta_1 < \theta_2 \leq 2\pi, z = re^{i\theta}$ .

$$\int_{\theta_1}^{\theta_2} \operatorname{Re} \left\{ p(z) + \frac{zp'(z)}{a + p(z)} \right\} d\theta > -\frac{k\gamma}{2}\pi,$$

where  $p(z) = \frac{zf'(z)}{f(z)}$ .

*Proof.* We have

$$zf'(z) + af(z) = (a+1)(g'(z))^\gamma, \quad g \in T_k.$$

Differentiating logarithmically, we obtain

$$\frac{a + \frac{zf'(z)'}{f'(z)}}{1 + a\frac{f(z)}{zf'(z)}} = \gamma \frac{(zg'(z))'}{g'(z)} + (1 - \gamma),$$

and, with  $p(z) = \frac{zf'(z)}{f(z)}$ , we have

$$\operatorname{Re} \left\{ p(z) + \frac{zp'(z)}{a + p(z)} \right\} \geq \gamma \left[ \operatorname{Re} \frac{(zg'(z))'}{g'(z)} \right].$$

Now, on using Lemma 2.2, we obtain the required result:

### Special Cases

(i) Let  $f \in T_k(a, \gamma)$  with  $\gamma = \frac{2}{k}$ ,  $k \geq 2$ . Then, for  $z = re^{i\theta}$ ,  $0 \leq \theta_1 < \theta_2 \leq 2\pi$ ,

$$p(z) = \frac{zf'(z)}{f(z)}, \quad \int_{\theta_1}^{\theta_2} \operatorname{Re} \left\{ p(z) + \frac{zp'(z)}{a + p(z)} \right\} d\theta > -\pi.$$

(ii) Let  $zF' = f \in T_k(a, \gamma)$ ,  $\gamma = \frac{2}{k}$ ,  $\frac{zf'(z)}{f(z)} = p(z)$ . Then, using Lemma 2.5 with  $c = a$ ,  $\alpha = 1$  and theorem 3.2, we have, for  $\theta_1 < \theta_2$ ,

$$\int_{\theta_1}^{\theta_2} \operatorname{Re} \frac{(zF'(z))'}{F'(z)} d\theta > -\pi, \quad z = re^{i\theta}.$$

This implies that  $F$  is close-to-convex and hence univalent, see [6].

**THEOREM 3.3.** Let  $f \in T_k(0, \gamma)$ . Then, with  $z = re^{i\theta}$  and  $0 \leq \theta_1 < \theta_2 \leq 2\pi$ ,

$$\int_{\theta_1}^{\theta_2} \operatorname{Re} \frac{(zf'(z))'}{f'(z)} d\theta > -\frac{\gamma k \pi}{2}.$$

*Proof.*  $f \in T_k(0, \gamma)$  implies that

$$zf'(z) = z(g'(z))^\gamma, \quad g \in T_k$$

This gives us

$$\frac{(zf'(z))'}{f'(z)} = \frac{\gamma(zg'(z))'}{g'(z)} + (1 - \gamma)$$

Now the required result follows on using Lemma 2.2.

REMARK 3.1. From a necessary and sufficient condition for  $f$  to be univalent in  $E$  due to Kaplan [6], we note that  $f \in T_k(0, \gamma)$  is univalent in  $E$  for  $2 \leq k \leq \frac{2}{\gamma}$ .

REMARK 3.2. Goodman [7] defines the class  $K(\beta)$  of close-to-convex functions of higher order as follows.

Let  $f$  with  $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$  be analytic in  $E$  and  $f'(z) \neq 0$ . Then, for  $\beta \geq 0$ ,  $f \in K(\beta)$  if, for  $z = r e^{i\theta}$  and  $\theta_1 < \theta_2$

$$\int_{\theta_1}^{\theta_2} \operatorname{Re} \left\{ \frac{(z f'(z))'}{f'(z)} \right\} d\theta > -\beta\pi.$$

We note that  $T_k(0, \gamma) \subset K\left(\frac{\gamma k}{2}\right)$  and thus many of the results proved in [7] for the general class  $K(\beta)$  hold also for the class  $T_k(0, \gamma)$  with suitable choices of  $k$  and  $\gamma$ . From the definition 1.2, we immediately have the following.

THEOREM 3.4. (Integral Representation) A function  $f \in T_k(a, \gamma)$  if and only if there exists a function  $F \in T_k(\infty, \gamma)$  such that

$$(3.1) \quad f(z) = \frac{(a+1)}{z^a} \int_0^z t^{a-1} F(t) dt.$$

We now investigate the coefficient problem for the class  $T_k(a, \gamma)$ .

THEOREM 3.5. Let  $f \in T_k(a, \gamma)$  and be given by  $f(z) = z \sum_{n=2}^{\infty} a_n z^n$ . Then, for  $n \geq 2$ ,  $\frac{1}{2} < \gamma \leq 1$ ,

$$|a_n| \leq c(k, \gamma) \left| \frac{a+1}{1+\frac{a}{n}} \right| n^{\frac{\gamma k}{2} + 2\gamma - 2},$$

where  $c(k, \gamma)$  is a constant and depends only on  $k$  and  $\gamma$ . The index  $\left(\frac{k\gamma}{2} + 2\gamma - 2\right)$  is best possible.

Proof. Since  $f \in T_k(a, \gamma)$ , we can write

$$z f'(z) + a f(z) = (a+1) z (g'(z))^\gamma, g \in T_k.$$

Let  $g(z) = z + \sum_{n=2}^{\infty} b_n z^n$ . Then, with  $z = r e^{i\theta}$

$$(3.2) \quad \begin{aligned} |(n+a)a_n| &= \frac{1}{2\pi r^n} \int_0^{2\pi} |zf'(z) + af(z)| d\theta \\ &= \frac{|a+1|}{2\pi r^{n+\gamma-1}} \int_0^{2\pi} |zg'(z)|^\gamma d\theta, \quad g \in T_k. \end{aligned}$$

Using Lemma 2.4 and definition 1.1, we have

$$(3.3) \quad zg'(z) = \frac{(s_1(z))^{\frac{k}{4}+\frac{1}{2}}}{(s_2(z))^{\frac{k}{4}-\frac{1}{2}}} h(z),$$

where  $s_1, s_2 \in S^*$  and  $Reh(z) > 0, z \in E$ . Now we define, for  $\gamma > \frac{1}{2}, z = re^{i\theta}$ ,

$$\begin{aligned} I_\gamma(r) &= \int_0^{2\pi} |zg'(z)|^\gamma d\theta \\ &= \int_0^{2\pi} \left| \frac{(s_1(z))^{\left(\frac{k}{4}+\frac{1}{2}\right)\gamma}}{(s_2(z))^{\left(\frac{k}{4}-\frac{1}{2}\right)\gamma}} h(z) \right|^\gamma d\theta, \quad \text{using (3.3)}. \end{aligned}$$

Using well-known distortion theorems for the starlike function  $s_2$  and then applying Schwarts inequality, we have

$$\begin{aligned} I_\gamma(r) &\leq \left(\frac{4}{r}\right)^{\left(\frac{k}{4}-\frac{1}{2}\right)\gamma} \left(\int_0^{2\pi} |s_1(z)|^{\left(\frac{k}{2}+1\right)\gamma} d\theta\right)^{\frac{1}{2}} \left(\int_0^{2\pi} |h(z)|^{2\gamma} d\theta\right)^{\frac{1}{2}} \\ &\leq \left(\frac{4}{r}\right)^{\left(\frac{k}{4}-\frac{1}{2}\right)\gamma} \left(\int_0^{2\pi} \frac{d\theta}{|1-re^{i\theta}|^{(k+2)\gamma}}\right)^{\frac{1}{2}} \left(\int_0^{2\pi} \frac{|1+re^{i\theta}|^{2\gamma}}{|1-re^{i\theta}|^{2\gamma}} d\theta\right)^{\frac{1}{2}}, \end{aligned}$$

by subordination.

Hence, for  $\gamma > \frac{1}{2}, k \geq 2$ , we have

$$(3.4) \quad I_\gamma(r) \leq c(k, \gamma)/(1-r)^{\frac{k\gamma}{2}+2\gamma-1},$$

where  $c(k, \gamma)$  is a constant depending upon  $k$  and  $\gamma$  only.

Taking  $r = \left(1 - \frac{1}{n}\right)$ , we obtain the required result from (3.2) and (3.4). The function  $f_0 \in T_k(a, \gamma)$  defined by

$$zf_0'(z) = af_0(z) = (a+1)z(g'(z))^\gamma$$

with

$$g_0(z) + \frac{1}{(k+2)} \left\{ \left(\frac{1+z}{1-z}\right)^{\frac{k}{2}+1} - 1 \right\} \in T_k,$$

shows that the index  $\left(\frac{k\gamma}{2} + 2\gamma - 2\right)$  is best possible.

**THEOREM 3.6.** *Let  $Re a \geq 0, 0 \leq \gamma \leq 1$ . Then  $T_2(a, \gamma) \subset T_2(\infty, \gamma)$ .*

*Proof.* Let  $f \in T_2(a, \gamma)$ . Then there exist  $G_2 \in C$  and  $p_2$  with  $Rep_2(z) > 0, z \in E$  such that

$$(3.5) \quad \begin{aligned} z f'(z) + a f(z) &= (a+1) z p_2^\gamma(z) (G_2'(z))^\gamma \\ &= (a+1) z p_1(z) G_1'(z), \end{aligned}$$

where  $p_1(z) = p_2^\gamma(z)$ ,  $G_1'(z) = (G_2'(z))^\gamma$ . It can be easily seen that  $Rep_1(z) > 0$ , and  $G_1 \in C$  in  $E$ .

Define  $G(z)$  such that

$$z G'(z) + a G(z) = (a+1) G_1(z), \quad G_1 \in C,$$

or

$$(3.6) \quad G(z) = \frac{a+1}{z^a} \int_0^z t^{a-1} G_1(t) dt$$

Since  $G_1 \in C$ , it follows that the function  $G$  defined by (3.6) also belongs to  $C$ .

Now, from the definition, it follows directly the  $f \in T_2(\infty, \gamma)$  if and only if it may be represented as

$$f(z) = p(z) z G'(z), \quad G \in C, \quad Rep(z) > 0, \quad \text{in } E.$$

Let

$$(3.7) \quad f(z) = p(z) z G'(z),$$

where  $G$  is defined by (3.6)

We shall show that  $Rep(z) > 0, z \in E$ , and thus proving  $f \in T_2(\infty, \gamma)$ .

After some computation, we have from (3.5), (3.6) and (3.7)

$$p(z) + \frac{z p'(z)}{a + p_0(z)} = p_1(z), \quad \text{where } Rep_1(z) > 0$$

and

$$Rep_0(z) = Re \frac{(z G'(z))'}{G'(z)} > 0 \quad \text{in } E.$$

We form the functional  $\phi(u, v)$  by taking  $p(z) = u$ , and  $zp'(z) = v$  such that  $\phi(u, v) = u + \frac{v}{a+p_0}$ .

We note that

(i)  $\phi(u, v)$  is continuous in a domain  $D \subset \mathcal{C}^2$  since  $a + p_0(z) \neq 0$  in  $E$ . Here  $D$  is a region contained in  $\{(p(z)), zp'(z)\}; z \in E\}$ .

(ii) At  $z = 0, u = p(0) = 1$  and  $v = 0$ , so the point  $(1, 0) \in D$  and  $\phi(1, 0) = 1 > 0$ .

(iii)

$$\begin{aligned} \operatorname{Re}\phi(iu_2, v_1) &= \operatorname{Re} \left\{ iu_2 + \frac{v_1}{a+p_0} \right\} \\ &= v_1 \operatorname{Re} \frac{1}{a+p_0} = \frac{v_1(a_1+t_1)}{(a_1+t_1)^2 + (a_2+t_2)^2}, \end{aligned}$$

where  $a_1 = \operatorname{Re}a \geq 0, t_1 = \operatorname{Re}p_0 > 0$ .

Hence, for  $v_1 \leq -\frac{1}{2}(1+u_2^2)$ ,

$$\operatorname{Re}\phi(iu_2, v_1) \leq \frac{-\frac{1}{2}(1+u_2^2)(a_1+t_1)}{(a_1+t_1)^2 + (a_2+t_2)^2} \leq 0.$$

Thus  $\phi(u, v)$  satisfies all the conditions of Lemma 2.1, and so  $\operatorname{Re}p(z) > 0$  in  $E$ . Hence  $f \in T_2(\infty, \gamma)$  and the proof is complete.

**THEOREM 3.7.** Let  $f \in T_k(a, 1)$ . The  $f$  is univalent for  $|z| < r_0$ , where  $r_0$  is given by (2.1).

*Proof.* Since  $f \in T_k(a, 1)$ , there exists a function  $F \in T_k(\infty, 1)$  such that

$$f(z) = \frac{a+1}{z^a} \int_0^z t^{a-1} F(t) dt.$$

Now  $f \in T_k(\infty, 1)$  implies that

$$\begin{aligned} F(z) &= zg'(z), \quad g \in T_k \\ &= p(z)G_1(z), \quad G_1 \in R_k, \quad \operatorname{Re}p(z) > 0 \text{ in } E. \end{aligned}$$

Thus, with  $a = m + in, m > 0$ , we have

$$(3.8) \quad f(z) = \frac{(m+1) + in}{z^{m+n}} \int_0^z t^m p(t) G_1(t) t^{in-1} dt.$$

We define

$$G(z) = z \left( \frac{G_1(z)}{z} \right)^{\frac{1}{m+1}}$$



Then it follows that  $G \in R_k$ , and using Lemma 2.3, we see that  $G \in S^*$  for  $|z| < r_0$ , where  $r_0$  is given by (2.1). Further, let

$$f_1(z) = \left[ (m + 1 + in) \int_0^z G^{m+1}(t) p(t) \cdot t^{in-1} dt \right]^{\frac{1}{m+1+in}}$$

$f_1$  is a Bazilevic function for  $|z| < r_0$  and hence univalent for  $|z| < r_0$ , see [8]. Therefore, for  $|z| < r_0$ ,  $\frac{f_1(z)}{z} \neq 0$ . We note that

$$f_1(z) = z \left[ \frac{f(z)}{z} \right]^{\frac{1}{a+1}}, \quad a = m + in.$$

This means that, for  $\left(\frac{f(z)}{z}\right)^{\frac{1}{a+1}}$ , it is possible to select a uniform branch which takes the value one for  $z = 0$  and which is analytic for  $|z| < r_0$  and thus we conclude that  $f$  is univalent in  $|z| < r_0$ , where  $r_0$  is given by (2.1).

**Special Case.** From theorem 3.7, we see that  $f \in T_2(a, 1)$  is univalent in  $E$ .

**THEOREM 3.8.** *Let  $f \in T_k(\infty, \gamma)$ ,  $\gamma \neq \frac{1}{2}$ . Then the radius  $R$  of the circle which  $f$  maps onto a starlike domain is given by*

$$R = \frac{1}{2\gamma_1} \left[ k_1 - \sqrt{k_1^2 - 4\gamma_1} \right],$$

where  $k_1 = (k + 2)\gamma$ ,  $\gamma_1 = (2\gamma - 1)$ .

*Proof.*  $f \in T_k(\infty, \gamma)$  implies that

$$f(z) = (zg'(z))^\gamma, \quad g \in T_k$$

Differentiating logarithmically and using a result in [1] for  $g \in T_k$ , we obtain

$$\begin{aligned} \operatorname{Re} \left\{ \frac{zf'(z)}{f(z)} \right\} &= \operatorname{Re} \left\{ \frac{\gamma(zg'(z))'}{g'(z)} + (1 - \gamma) \right\} \\ &\geq \frac{\gamma[r^2 - (k + 2)r + 1]}{1 - r^2} + (1 - \gamma) \\ &= \frac{(2\gamma - 1)r^2 - (k + 2)\gamma r + 1}{1 - r^2}, \end{aligned}$$

and this gives us the required result.

The well-known coefficients results for  $g \in K$  together with the definition of the class  $T_2(a, 1)$  yield at once the following.

**THEOREM 3.9.** Let  $f \in T_2(a, 1)$  and be given by  $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ . Then

$$|a_n| \leq \frac{n^2 |a+1|}{|n+a|}, \quad n \geq 2.$$

These bounds are sharp as can be seen from the function  $f_{\theta} \in T_2(a, 1)$  defined as follows

$$z f'_{\theta}(z) + a f_{\theta}(z) = (a+1) z g'_{\theta}(z),$$

where  $g_{\theta}(z) = \frac{z}{(1-ze^{i\theta})^2}$ .

Using the fact that  $f \in T_2(a, 1)$  is univalent in  $E$  and Theorem 3.9 for  $n=2$ , we immediately have the following covering result for the class  $T_2(a, 1)$ .

**THEOREM 3.10.** Let  $f \in T_2(a, 1)$ . Then the disk  $E$  is mapped onto a domain that contains the disk

$$|w| < 2 + \frac{4|a+1|}{|2+a|}.$$

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