

NONSINGULARITY AND INVERTIBILITY FOR A COMMUTING PAIR

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Throughout this note, write $\mathcal{L}(X)$ for the set of all bounded linear operators on a Banach space X and suppose $T = (T_1, T_2)$ is a commuting pair of operators in $\mathcal{L}(X)$. Then we say ([3],[4]) that T is *nonsingular* in the sense of Taylor if it has an exact sequence for its Koszul complex(cf. [1],[2]):

$$(0.1) \quad 0 \longrightarrow X \xrightarrow{\begin{matrix} T_1 \\ T_2 \end{matrix}} \begin{bmatrix} X \\ X \end{bmatrix} \xrightarrow{\begin{pmatrix} -T_2 & T_1 \end{pmatrix}} X \longrightarrow 0.$$

The exactness resolves itself into three conditions:

$$\begin{aligned} (T1) \quad (\text{left}) & \quad T_1^{-1}(0) \cap T_2^{-1}(0) = \{0\} \\ (T2) \quad (\text{right}) & \quad T_1(X) + T_2(X) = X \\ (T3) \quad (\text{middle}) & \quad \begin{pmatrix} -T_2 & T_1 \end{pmatrix}^{-1}(0) = \begin{pmatrix} T_1 \\ T_2 \end{pmatrix}(X) \end{aligned}$$

We also say ([3],[4]) that T is *invertible* in the sense of Harte if its Koszul complex (0.1) has an interpolation: that is, there are pairs (T'_1, T'_2) and (T''_1, T''_2) for which

$$\begin{aligned} (H1) \quad (\text{left}) & \quad T'_1 T_1 + T'_2 T_2 = I \\ (H2) \quad (\text{right}) & \quad T_1 T''_1 + T_2 T''_2 = I \\ (H3) \quad (\text{middle}) & \quad \begin{pmatrix} -T''_2 \\ T''_1 \end{pmatrix} \begin{pmatrix} -T_2 & T_1 \end{pmatrix} + \begin{pmatrix} T_1 \\ T_2 \end{pmatrix} \begin{pmatrix} T'_1 & T'_2 \end{pmatrix} = \begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix}. \end{aligned}$$

It is clear that invertibility implies nonsingularity. If the space X is a Hilbert space then nonsingularity implies invertibility. But for Banach spaces this question is open ([1],[3]). Harte ([3]) gave a necessary and sufficient condition for middle nonsingularity.

Received June 28, 1995.

We can also have a necessary and sufficient condition for middle invertibility. For this we recall ([2]) that $T \in \mathcal{L}(X)$ is called *regular* if there is $T' \in \mathcal{L}(X)$ for which

$$(0.2) \quad T = TT'T;$$

then T' is called a generalized inverse for T . In this case $T'T$ and TT' are both projection and

$$(0.3) \quad (T'T)^{-1}(0) = T^{-1}(0) \quad \text{and} \quad (TT')(X) = T(X).$$

We are ready for:

THEOREM 1. *If $T = (T_1, T_2)$ is a commuting pair of operators the following are equivalent:*

- (a) T satisfies (H_3)
- (b) T satisfies (T_3) and there are pairs (S_1, S_2) and (S'_1, S'_2) for which

$$(1.1) \quad \left(I - (S_1T_1 + S_2T_2) \right) (X) \subseteq T_1^{-1}(0) \cap T_2^{-1}(0)$$

$$\left(I - (T_1S'_1 + T_2S'_2) \right)^{-1} (0) \supseteq T_1(X) + T_2(X)$$

Proof. (a) \Rightarrow (b): If T satisfies $(H3)$, it is evident that T satisfies $(T3)$. Also multiplying on the right of $(H3)$ by $\begin{pmatrix} T_1 \\ T_2 \end{pmatrix}$ and multiplying on the left of $(H3)$ by $\begin{pmatrix} -T_2 & T_1 \end{pmatrix}$ give

$$\left. \begin{aligned} T_1T'_1T_1 + T_1T'_2T_2 &= T_1 \\ T_2T'_1T_1 + T_2T'_2T_2 &= T_2 \\ T_2T''_2T_2 + T_1T''_1T_2 &= T_2 \\ T_2T''_2T_1 + T_1T''_1T_1 &= T_1, \end{aligned} \right\}$$

so that

$$\left. \begin{aligned} T_1 \left(I - (T_1' T_1 + T_2' T_2) \right) &= 0 \\ T_2 \left(I - (T_1' T_1 + T_2' T_2) \right) &= 0 \\ \left(I - (T_1 T_1'' + T_2 T_2'') \right) T_2 &= 0 \\ \left(I - (T_1 T_1'' + T_2 T_2'') \right) T_1 &= 0, \end{aligned} \right\}$$

which gives (1.1) with $T_1' = S_1$, $T_2' = S_2$, $T_1'' = S_1'$, $T_2'' = S_2'$.

(b) \Rightarrow (a): Suppose (1.1) holds. Then $\begin{pmatrix} T_1 \\ T_2 \end{pmatrix}$ and $\begin{pmatrix} -T_2 & T_1 \end{pmatrix}$ and both regular with generalized inverses $\begin{pmatrix} S_1 & S_2 \end{pmatrix}$ and $\begin{pmatrix} -S_2' \\ S_1' \end{pmatrix}$, respectively. If T satisfies (T3) then, by (0.3),

$$\begin{aligned} &\left(\begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix} - \begin{pmatrix} -S_2' \\ S_1' \end{pmatrix} \begin{pmatrix} -T_2 & T_1 \end{pmatrix} \right) \begin{pmatrix} X \\ X \end{pmatrix} = \begin{pmatrix} -T_2 & T_1 \end{pmatrix}^{-1} (0) \\ &= \begin{pmatrix} T_1 \\ T_2 \end{pmatrix} (X) \\ &= \left(\begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix} - \begin{pmatrix} T_1 \\ T_2 \end{pmatrix} \begin{pmatrix} S_1 & S_2 \end{pmatrix} \right)^{-1} (0), \end{aligned}$$

and hence

$$\begin{aligned} &\left(\begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix} - \begin{pmatrix} T_1 \\ T_2 \end{pmatrix} \begin{pmatrix} S_1 & S_2 \end{pmatrix} \right) \left(\begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix} - \begin{pmatrix} -S_2' \\ S_1' \end{pmatrix} \begin{pmatrix} -T_2 & T_1 \end{pmatrix} \right) \\ &= \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \end{aligned}$$

which give (H3) with

$$T_1' = S_1 - S_1 S_2' T_2 - S_2 S_1' T_2, \quad T_2' = S_2 + S_1 S_2' T_1 - S_2 S_1' T_1, \quad T_1'' = S_1',$$

and $T_2'' = S_2'$. \square

COROLLARY 2. If $T = (T_1, T_2)$ is a commuting pair of operators the following are equivalent:

- (a) T is invertible
- (b) T is nonsingular together with (H3)

Proof. If T is nonsingular together with (H3) then it follows from (T1), (T2) and (1.1) that there are pairs (S_1, S_2) and (S'_1, S'_2) for which

$$S_1T_1 + S_2T_2 = I \quad \text{and} \quad T_1S'_1 + T_2S'_2 = I,$$

which gives (H1) and (H2). \square

COROLLARY 3. If $T = (T_1, T_2)$ is a commuting pair of operators the following are equivalent:

- (a) T is invertible
- (b) T is nonsingular and $\begin{pmatrix} T_1 \\ T_2 \end{pmatrix} (X)$ is complemented.

Proof. If T satisfies (b), it follows that $\begin{pmatrix} T_1 \\ T_2 \end{pmatrix}$ and $\begin{pmatrix} -T_2 & T_1 \end{pmatrix}$ and both regular and hence $\begin{pmatrix} T_1 \\ T_2 \end{pmatrix}$ is left invertible by (T1) and $\begin{pmatrix} -T_2 & T_1 \end{pmatrix}$ is right invertible by (T2). Further by the argument of Theorem 1, T satisfies (H3). \square

We now meet our main result:

THEOREM 4. If $T = (T_1, T_2)$ is a commuting pair of regular operators then

$$(4.1) \quad T \text{ is nonsingular} \iff T \text{ is invertible.}$$

Proof. In view of Corollary 3, it suffices to show that $\begin{pmatrix} -T_2 & T_1 \end{pmatrix}$ is regular. Suppose $T_1 = T_1T'_1T_1$ and $T_2 = T_2T'_2T_2$. Middle nonsingularity gives

$$T_2^{-1}(0) \subseteq T_1(X).$$

By an argument of Harte ([3]), T_2T_1 is regular, and hence $(T_2T_1)(X)$ is complemented. Since again middle nonsingularity gives

$$T_1(X) \cap T_2(X) = (T_2T_1)(X),$$

it follows that $T_1(X) \cap T_2(X)$ is complemented. Thus, by (T2), X can be decomposed as:

$$X = W \oplus T_1(X) \cap T_2(X) \oplus Z,$$

where $T_2(X) = W \oplus T_1(X) \cap T_2(X)$, $T_1(X) = T_1(X) \cap T_2(X) \oplus Z$. Further, we can arrange T'_1 and T'_2 as

$$(I - T_1T'_1)(X) = W \text{ and } (I - T_2T'_2)(X) = Z.$$

If we put $P = I - T_2T'_2$ then

$$(4.2) \quad PT_2 = 0$$

and

$$(4.3) \quad T_2T'_2T_1 + T_1T'_1PT_1 = T_1 + (I - T_1T'_1)(T_2T'_2T_1) = T_1.$$

Thus, by (4.2) and (4.3), we have

$$\begin{aligned} & \begin{pmatrix} -T_2 & T_1 \end{pmatrix} \begin{pmatrix} -T'_2 \\ T'_1P \end{pmatrix} \begin{pmatrix} -T_2 & T_1 \end{pmatrix} \\ &= \begin{pmatrix} -T_2T'_2T_2 - T_1T'_1PT_2 & T_2T'_2T_1 + T_1T'_1PT_1 \end{pmatrix} \\ &= \begin{pmatrix} -T_2 & T_1 \end{pmatrix}, \end{aligned}$$

which says that $\begin{pmatrix} -T_2 & T_1 \end{pmatrix}$ is regular.

Theorem 4 says that if $T = (T_1, T_2)$ is nonsingular then

$$(5.1) \quad T_1 \text{ and } T_2 \text{ are both regular} \implies \begin{pmatrix} T_1 \\ T_2 \end{pmatrix} \text{ is regular.}$$

But the converse of (5.1) is not true in general. For example, let T_1 be not regular and take $T_2 = I$. Then

$$\begin{pmatrix} T_1 \\ I \end{pmatrix} \begin{pmatrix} 0 & I \end{pmatrix} \begin{pmatrix} T_1 \\ I \end{pmatrix} = \begin{pmatrix} T_1 \\ I \end{pmatrix},$$

which says that $\begin{pmatrix} T_1 \\ I \end{pmatrix}$ is regular and further, the pair (T_1, I) is nonsingular. By a similar argument of Theorem 4, we can show that $\begin{pmatrix} T_1 \\ T_2 \end{pmatrix}$ has a generalized inverse $(T'_1 \ (I - T_1T'_1)T'_2)$. \square

References

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