REDUCTIONS OF IDEALS IN COMMUTATIVE NOETHERIAN SEMI-LOCAL RINGS

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ABSTRACT. The purpose of this paper is to show that the Noetherian semi-local property of the underlying ring enables us to develope a satisfactory concept of the theory of reduction of ideals in a commutative Noetherian ring.

1. Introduction

The important ideas of reduction and integral closure of an ideal in a commutative Noetherian ring R (with identity) were introduced by Northcott and Rees [4]; a brief and direct approach to their theory is given in [5, (1.1)], and it is appropriate for us to begin by briefly summarizing some of the main aspects.

Let \mathfrak{a} be an ideal of R. We say that \mathfrak{a} is a reduction of the ideal \mathfrak{b} of R if $\mathfrak{a} \subset \mathfrak{b}$ and there is a positive integer s such that $\mathfrak{ab}^s = \mathfrak{b}^{s+1}$. An element x of R is said to be integrally dependent on \mathfrak{a} if there exists a positive integer s and elements c_1, c_2, \cdots, c_s of R with $c_i \in \mathfrak{a}^i$ for $i = 1, 2, \cdots, s$ such that

$$x^{s} + c_{1}x^{s-1} + \dots + c_{s-1}x + c_{s} = 0.$$

It turns out that this is the case if and only if \mathfrak{a} is a reduction of $\mathfrak{a} + Rx$; moreover,

$$\overline{\mathfrak{a}} = \{ y \in R \mid y \text{ is integrally dependent on } \mathfrak{a} \}$$

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is an ideal of R, called the *integral closure of* \mathfrak{a} , and is the largest ideal of R which has \mathfrak{a} as a reduction in the sense that \mathfrak{a} is a reduction of $\overline{\mathfrak{a}}$ and any ideal of R which has \mathfrak{a} as a reduction must be contained in $\overline{\mathfrak{a}}$.

In the case when R is, in addition, local with maximal ideal \mathfrak{m} , and has infinite residue field k, the theory of reductions of the ideal \mathfrak{a} of R is intimately related to the analytic spread $\ell(\mathfrak{a})$ of \mathfrak{a} : see [4, §§3.4]. There is a rational polynomial ϕ such that, for all large positive integers n, the vector space dimension $\dim_k(\mathfrak{a}^n/\mathfrak{a}^n\mathfrak{m})$ is equal to $\phi(n)$. Let d denote the degree of ϕ (with the convention that the degree of the zero polynomial is taken to be -1); then $\ell(\mathfrak{a})$ is defined to be d+1. It turns out [4, p.151] that $\ell(\mathfrak{a})$ is equal to the smallest number of elements which will generate a reduction of \mathfrak{a} .

The elegant theory of Northcott and Rees [4] uses the Noetherian property of the underlying ring. In [7], Sharp and Taherizadeh introduced the concept of reduction and integral closure of ideals relative to Artinian modules, and established many properties of these concepts which reflect results of Northcott and Rees in the classical situation. Moverover, Ansari and Sharp [2] introduced concept of reduction and integral closure of ideals relative to injective modules, and showed that these concepts have poperties which reflect some of those of the classical concepts of reduction and integral closure introduced by Northcott and Rees.

Now, an interesting question arises: whether there are, in the semi-local situation, some companion results similar to those discussed for instance in [4].

The purpose of this paper is to show that the Noetherian semi-local property of the underlying ring enables us to develope a satisfactory concept of the theory of reduction of ideals in R; and the authors hope that this note presents topics for further research.

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2. Reductions of ideals

Throughout this paper, R will denote a commutative Noetherin semilocal ring with all of its distinct maximal ideals $\mathfrak{m}_1, \mathfrak{m}_2, \dots, \mathfrak{m}_n, \mathcal{J}$ will denote a Jacobson radical of R and \mathfrak{a} will denote an ideal of R. LEMMA2.1. Let \mathfrak{b} be an ideal of R such that $\mathfrak{a} \subset \mathfrak{b}$ and let \mathfrak{a} be a reduction of \mathfrak{b} . Then $\mathfrak{a} \subset \mathfrak{m}_i$ if and only if $\mathfrak{b} \subset \mathfrak{m}_i$.

PROOF. This is an immediate consequence of the definition of reduction. \Box

THEOREM 2.2. Let \mathfrak{b} be an ideal of R such that $\mathfrak{a} \subset \mathfrak{b}$. Then \mathfrak{a} is a reduction of \mathfrak{b} if and only if $\mathfrak{a}R_{\mathfrak{m}_i}$ is a reduction of $\mathfrak{b}R_{\mathfrak{m}_i}$ if $\mathfrak{b} \subset \mathfrak{m}_i$ and $\mathfrak{a}R_{\mathfrak{m}_i} = R_{\mathfrak{m}_i}$ if $\mathfrak{b} \not\subset \mathfrak{m}_i$.

PROOF. By definition, there exist positive integers s_i such that

$$(\mathfrak{a}R_{\mathfrak{m}_i})(\mathfrak{b}R_{\mathfrak{m}_i})^{s_i}=(\mathfrak{b}R_{\mathfrak{m}_i})^{s_i+1}$$

if $\mathfrak{b} \subset \mathfrak{m}_i$. Let $s = \sum_{\mathfrak{b} \subset \mathfrak{m}_i} s_i$. Then, by (2.1), for all $i = 1, 2, \dots, n$,

$$(\mathfrak{a}R_{\mathfrak{m}_i})(\mathfrak{b}R_{\mathfrak{m}_i})^s = (\mathfrak{b}R_{\mathfrak{m}_i})^{s+1}$$

So, for all $i = 1, 2, \dots, n$, $(\mathfrak{ab}^s)R_{\mathfrak{m}_i} = (\mathfrak{b}^{s+1})R_{\mathfrak{m}_i}$. Hence $\mathfrak{ab}^s = \mathfrak{b}^{s+1}$. It follows that \mathfrak{a} is a reduction of \mathfrak{b} . The converse is obvious. \square

LEMMA 2.3. Let \mathfrak{b} be an ideal of R such that $\mathfrak{a} \subset \mathfrak{b} + \mathfrak{a} \mathcal{J}$. Then $\mathfrak{a} \subset \mathfrak{b}$. In particular, if $\mathfrak{b} \subset \mathfrak{a}$ and $\mathfrak{a} \subset \mathfrak{b} + \mathfrak{a} \mathcal{J}$, then $\mathfrak{a} = \mathfrak{b}$.

PROOF. From $\mathfrak{a} \subset \mathfrak{b} + \mathfrak{a} \mathcal{J}$ it follows, by an easy induction, that $\mathfrak{a} \subset \mathfrak{b} + \mathfrak{a} \mathcal{J}^s$ for every positive integer s. Consequently,

$$\mathfrak{a}\subset\bigcap_{s=1}^{\infty}\left(\mathfrak{b}+\mathfrak{a}\mathcal{J}^{s}\right)\subset\bigcap_{s=1}^{\infty}\left(\mathfrak{b}+\mathcal{J}^{s}\right).$$

But $\cap_{s=1}^{\infty}(\mathfrak{b}+\mathcal{J}^s)=\mathfrak{b}$ by [3, p.208, corollary] . This completes the proof. \square

LEMMA 2.4. Let $\mathfrak c$ be an ideal of R contained in $\mathfrak a$. Then $\mathfrak c$ is a reduction of $\mathfrak a$ if and only if $\mathfrak c + \mathfrak a \mathcal J$ is a reduction of $\mathfrak a$.

PROOF. If \mathfrak{c} is a reduction of \mathfrak{a} then, since $\mathfrak{c} \subset \mathfrak{c} + \mathfrak{a} \mathcal{J} \subset \mathfrak{a}$, it follows that $\mathfrak{c} + \mathfrak{a} \mathcal{J}$ is also a reduction of \mathfrak{a} .

Coversely, assume that $\mathfrak{c} + \mathfrak{a} \mathcal{J}$ is a reduction of \mathfrak{a} . Then there exists a positive integer t such that $(\mathfrak{c} + \mathfrak{a} \mathcal{J}) \mathfrak{a}^t = \mathfrak{a}^{t+1}$, that is to say $\mathfrak{a}^{t+1} = \mathfrak{c}\mathfrak{a}^t + \mathfrak{a}^{t+1} \mathcal{J}$. It now follows immediately from (2.3) that $\mathfrak{a}^{t+1} = \mathfrak{c}\mathfrak{a}^t$. \square

LEMMA 2.5. Let M be an R-module. Then there exists an R-isomorphism

$$\eta: M/\mathcal{J}M \longrightarrow \bigoplus_{i=1}^n M_{\mathfrak{m}_i}/(\mathfrak{m}_i R_{\mathfrak{m}_i}) M_{\mathfrak{m}_i}$$

such that $\eta(x+\mathcal{J}M) = (x/1 + (\mathfrak{m}_1 R_{\mathfrak{m}_1} M_{\mathfrak{m}_1}), \cdots, x/1 + (\mathfrak{m}_n R_{\mathfrak{m}_n} M_{\mathfrak{m}_n}))$, where $x \in M$.

PROOF. Let $\mathcal{U} = \{\mathfrak{m}_1, \mathfrak{m}_2, \cdots, \mathfrak{m}_n\}$ and $\mathcal{U}' = \emptyset$. Then $\mathcal{U} - \mathcal{U}'$ is low with respect to \mathcal{U} in the sense of [6,(2.1)]. Also, it is easy to see that $Supp(M/\mathcal{J}M) \subset \{\mathfrak{m}_1,\mathfrak{m}_2,\cdots,\mathfrak{m}_n\}$. Hence, by [6,(2.3)] there exists an R-homomorphism

$$\xi: M/\mathcal{J}M \longrightarrow \bigoplus_{i=1}^n (M/\mathcal{J}M)_{\mathfrak{n}_i}$$

such that $\xi(x+\mathcal{J}M)=((x+\mathcal{J}M)/1,\cdots,(x+\mathcal{J}M)/1)$, where $x\in M$. Further, by [6, (2.5)], $Supp(Ker\xi)\subset \mathcal{U}'=\phi$ and $Supp(Coker\xi)\subset \phi$. Therefore, $Ker\xi=0$ and $Coker\xi=0$ which implies that ξ is an R-isomorphism. So there exists an R-isomorphism

$$\eta: M/\mathcal{J}M \longrightarrow \bigoplus_{i=1}^{n} (M/\mathcal{J}M)_{\mathfrak{m}_{i}} \longrightarrow \bigoplus_{i=1}^{n} M_{\mathfrak{m}_{i}}/(\mathcal{J}M)_{\mathfrak{m}_{i}}$$

$$= \bigoplus_{i=1}^{n} M_{\mathfrak{m}_{i}}/(\mathfrak{m}_{i}R_{\mathfrak{m}_{i}})M_{\mathfrak{m}_{i}}$$

such that, for $x \in M$,

$$x + \mathcal{J}M \mapsto (x + \mathcal{J}M/1, \cdots, x + \mathcal{J}M/1)$$
$$\mapsto (x/1 + (\mathfrak{m}_1 R_{\mathfrak{m}_1} M_{\mathfrak{m}_1}), \cdots, x/1 + (\mathfrak{m}_n R_{\mathfrak{m}_n} M_{\mathfrak{m}_n})).$$

This completes the proof.

3. The Analytic Spread of an ideal

Now for a positive integer h, we will denote the length of $\mathfrak{a}^h/\mathfrak{a}^h\mathcal{J}$ by $L_R(\mathfrak{a}^h/\mathfrak{a}^h\mathcal{J})$. Since $\mathfrak{a}^h/\mathfrak{a}^h\mathcal{J}$ is a finitely generated R/\mathcal{J} —module and the ring R/\mathcal{J} is both Noetherian and Artinian ring, $\mathfrak{a}^h/\mathfrak{a}^h\mathcal{J}$ is both Noetherian and Artinian as R/\mathcal{J} —module by [1, (6.5)]. Hence, for each positive integer h, $L_R(\mathfrak{a}^h/\mathfrak{a}^h\mathcal{J})$ is finite by [1,(6.8)].

THEOREM 3.1. Let $\phi(h, \mathfrak{a}) = L_R(\mathfrak{a}^h/\mathfrak{a}^h\mathcal{J})$, and let for $i = 1, 2, \dots, n$

$$\phi(h, \mathfrak{a} R_{\mathfrak{m}_i}) = \dim_{k(\mathfrak{m}_i)} (\mathfrak{a} R_{\mathfrak{m}_i})^h / (\mathfrak{a} R_{\mathfrak{m}_i})^h \mathfrak{m}_i R_{\mathfrak{m}_i},$$

where $k(\mathfrak{m}_i) = R_{\mathfrak{m}_i}/\mathfrak{m}_i R_{\mathfrak{m}_i}$. Then $\phi(h,\mathfrak{a})$, for large h, is a polynomial function in h such that

$$1 + deg\phi(h, \mathfrak{a}) = max_{1 \le i \le n} \ell(\mathfrak{a}R_{\mathfrak{m}_i})$$

(here, as we reviewed in the introduction, $\ell(\mathfrak{a}R_{\mathfrak{m}_i})$ denote the analytic spread of $\mathfrak{a}R_{\mathfrak{m}_i}$ in $R_{\mathfrak{m}_i}$ if $\mathfrak{a} \subset \mathfrak{m}_i$ and is 0 otherwise).

PROOF. By (2.5), there exists an R-isomorphism

$$\eta: \mathfrak{a}^h/\mathfrak{a}^h \mathcal{J} \longrightarrow \bigoplus_{i=1}^n (\mathfrak{a}R_{\mathfrak{m}_i})^h/(\mathfrak{a}R_{\mathfrak{m}_i})^h \mathfrak{m}_i R_{\mathfrak{m}_i}$$

such that $\eta(x+\mathfrak{a}^h\mathcal{J}) = (x/1 + (\mathfrak{a}R_{\mathfrak{m}_1})^h \mathfrak{m}_1 R_{\mathfrak{m}_1}, \cdots, x/1 + (\mathfrak{a}R_{\mathfrak{m}_n})^h \mathfrak{m}_n R_{\mathfrak{m}_n}),$ where $x \in \mathfrak{a}^h$. So

$$\phi(h,\mathfrak{a}) = \sum_{i=1}^{n} L_{R} \left((\mathfrak{a} R_{\mathfrak{m}_{i}})^{h} / (\mathfrak{a} R_{\mathfrak{m}_{i}})^{h} \mathfrak{m}_{i} R_{\mathfrak{m}_{i}} \right).$$

Let $M_i = (\mathfrak{a} R_{\mathfrak{m}_i})^h/(\mathfrak{a} R_{\mathfrak{m}_i})^h\mathfrak{m}_i R_{\mathfrak{m}_i}$. Then we can easily see that for a subset N_i of M_i , N_i is an R-sumodule if and only if N_i is an $R_{\mathfrak{m}_i}$ -submodule. Hence

$$\phi(h,\mathfrak{a}) = \sum_{i=1}^n L_{R_{\mathfrak{m}_i}}(M_i) = \sum_{i=1}^n dim_{k(\mathfrak{m}_i)} M_i = \sum_{i=1}^n \phi(h,\mathfrak{a}R_{\mathfrak{m}_i})$$

Now, for each i, $\phi(h, \mathfrak{a}R_{\mathfrak{m}_i})$ is, for large h, equal to a polynomial in h, with $1 + deg\phi(h, \mathfrak{a}R_{\mathfrak{m}_i}) = \ell(\mathfrak{a}R_{\mathfrak{m}_i})$. Also, for all large h, the leading coefficient of the polynomial to which $\phi(h, \mathfrak{a}R_{\mathfrak{m}_i})$ becomes equal is positive for $i = 1, 2, \dots, n$. So for large h, $\phi(h, \mathfrak{a})$ is a polynomial in h with $deg\phi(h, \mathfrak{a}) = max_{1 \leq i \leq n} deg\phi(h, \mathfrak{a}R_{\mathfrak{m}_i})$. Therefore.

$$\begin{aligned} 1 + deg\phi(h, \mathfrak{a}) &= 1 + max_{1 \leq i \leq n} deg\phi(h, \mathfrak{a}R_{\mathfrak{m}_i}) \\ &= 1 + max_{1 \leq i \leq n} \left(-1 + \ell(\mathfrak{a}R_{\mathfrak{m}_i}) \right) \\ &= max_{1 \leq i \leq n} \ell(\mathfrak{a}R_{\mathfrak{m}_i}) \quad \Box \end{aligned}$$

Now the above theorem provides motivation for the following definition. We define the analytic spread of \mathfrak{a} to be $\max_{1 \leq i \leq n} \ell(\mathfrak{a}R_{\mathfrak{m}_i})$ and it is denoted by $\ell(\mathfrak{a})$.

LEMMA 3.2. Let \mathfrak{b} be an ideal of R, and let \mathfrak{b} be a reduction of \mathfrak{a} . Then at least $\ell(\mathfrak{a})$ elements are required to generate \mathfrak{b} .

PROOF. Let $\mathfrak{b}=(b_1,b_2,\cdots,b_r)$ for some positive integer r. Then $\mathfrak{b}R_{\mathfrak{m}_i}=(b_1/1)R_{\mathfrak{m}_i}+\cdots+(b_r/1)R_{\mathfrak{m}_i}$ for $i=1,2,\cdots,n$. Since \mathfrak{b} is a reduction of \mathfrak{a} , by (2.2), $\mathfrak{b}R_{\mathfrak{m}_i}=(b_1/1)R_{\mathfrak{m}_i}+\cdots+(b_r/1)R_{\mathfrak{m}_i}$ is a reduction of $\mathfrak{a}R_{\mathfrak{m}_i}$ in $R_{\mathfrak{m}_i}$ for $\mathfrak{a}\subset\mathfrak{m}_i$. So, by [4, §4. Theorem 2] and (2.1), $r\geq \ell(\mathfrak{a}R_{\mathfrak{m}_i})$ for $i=1,2,\cdots,n$. It follows that $r\geq \ell(\mathfrak{a}$. \square

DEFINITION 3.3. Let $\mathfrak c$ be an ideal of R, and let $\mathfrak c$ be a reduction of $\mathfrak a$. Then $\mathfrak c$ is called a *minimal reduction of* $\mathfrak a$ if it can be generated by $\ell(\mathfrak a)$ elements.

The reader should note that in the special case in which R is local, by [4, §4. Theorem 2], the above definition is consistent to that of [4, §1. Definition 2].

COROLLARY 3.4. Let $t = \ell(\mathfrak{a})$, and let $\mathfrak{c} = (c_1, c_2, \dots, c_t)$ be a minimal reduction of \mathfrak{a} . Then c_1, c_2, \dots, c_t form a minimal generating set for \mathfrak{c} .

PROOF. This is an immediate consequence of (3.2). \square

Let $\mathfrak{a} = (u_1, u_2, \dots, u_t)$ and let $\phi(X_1, X_2, \dots, X_t)$ be a s-form of $R[X_1, X_2, \dots, X_t]$ such that not all these coefficients are in $\bigcup_{\mathfrak{a} \subset \mathfrak{m}_i} \mathfrak{m}_i$.

Let ϕ_i be the image of $\phi(X_1, X_2, \dots, X_t)$ under the cannonical map $R[X_1, X_2, \dots, X_t] \longrightarrow R_{\mathfrak{m}_i}[X_1, X_2, \dots, X_t]$ and $\overline{\phi}_i$ be the image of ϕ_i under the cannonical map

$$R_{\mathfrak{m}_i}[X_1, X_2, \cdots, X_t] \longrightarrow (R_{\mathfrak{m}_i}/\mathfrak{m}_i R_{\mathfrak{m}_i})[X_1, X_2, \cdots, X_t]$$
$$= k(\mathfrak{m}_i)[X_1, X_2, \cdots, X_t].$$

DEFINITION 3.5 ([4, §3, Definition 1-2]). Under the above assumption, the form $\bar{\phi}_i$ is said to be a null form of $\mathfrak{a}R_{\mathfrak{m}_i}$ if $\phi_i(u_1, u_2, \cdots, u_t) \in \mathfrak{a}^s\mathfrak{m}_i R_{\mathfrak{m}_i}$. And the homogeneous ideal \mathfrak{n}_i of $k(\mathfrak{m}_i)[X_1, X_2, \cdots, X_t]$ which is generated by the null forms of $\mathfrak{a}R_{\mathfrak{m}_i}$ is said to be the null form ideal of $\mathfrak{a}R_{\mathfrak{m}_i}$.

PROPOSITION 3.6. Under the above assumption, $\phi_i(u_1, u_2, \dots, u_t) \in \mathfrak{a}^s(\sum_{\mathfrak{a}\subset\mathfrak{m}_i}\mathfrak{m}_i)$ if and only if $\bar{\phi}_i$ is the null form of $\mathfrak{a}R_{\mathfrak{m}_i}$ for all \mathfrak{m}_i containing \mathfrak{a} .

PROOF. The proposition follows from ;

$$\left[\mathfrak{a}^s \left(\sum_{\mathfrak{a} \subset \mathfrak{m}_i} \mathfrak{m}_i\right)\right]_{\mathfrak{m}} = \left\{\begin{array}{ll} \mathfrak{a}^s \mathfrak{m} R_{\mathfrak{m}} & \text{if } \mathfrak{a} \subset \mathfrak{m} \\ R_{\mathfrak{m}} & \text{otherwise.} \end{array}\right.$$

Let $\lambda_j(X_1,X_2,\cdots,X_t)=\sum_{h=1}^t\alpha_{jh}X_h$ $(1\leq j\leq m,\ \alpha_{jh}\in R)$ and let λ_{ij} be the image of $\lambda_j(X_1,X_2,\cdots,X_t)$ under the cannonical map $R[X_1,X_2,\cdots,X_t]\longrightarrow R_{\mathfrak{m}_i}[X_1,X_2,\cdots,X_t]$ and $\bar{\lambda}_{ij}$ be the image of λ_{ij} under the cannonical map

$$R_{\mathfrak{m}_i}[X_1, X_2, \cdots, X_t] \longrightarrow (R_{\mathfrak{m}_i}/\mathfrak{m}_i R_{\mathfrak{m}_i}) [X_1, X_2, \cdots, X_t]$$
$$= k(\mathfrak{m}_i)[X_1, X_2, \cdots, X_t].$$

For the null form ideal \mathfrak{n}_i of $\mathfrak{a}R_{\mathfrak{m}_i}$, by [4, §4. lemma1] and (2.2), we have the following theorem;

THEOREM 3.7. Under the above assumptions, $(\lambda_1(u_1, u_2, \dots, u_t), \dots, \lambda_m(u_1, u_2, \dots, u_t))$ is a reduction of $\mathfrak a$ if and only if $(\bar{\lambda}_{i1}(u_1, u_2, \dots, u_t), \dots, \bar{\lambda}_{im}(u_1, u_2, \dots, u_t), \mathfrak n_i)$ is a (X_1, X_2, \dots, X_t) -primary ideal of $k(\mathfrak m_i)$ $[X_1, X_2, \dots, X_t]$ if $\mathfrak a \not\subset \mathfrak m_i$ and it is $k(\mathfrak m_i)[X_1, X_2, \dots, X_t]$ if $\mathfrak a \not\subset \mathfrak m_i$.

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