

## Fast Voronoi Divider for VQ Codebook Designs

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### ABSTRACT

In this paper, a new fast voronoi divider for vector quantization (VQ) is introduced, which results from Theorem that the nearest vectors in the sense of minimum mean square error (MMSE) have almost the same mean values of their elements. An improved splitting method for a VQ codebook design using the fast voronoi divider is also presented. Experimental results show that the new method reduces the complexity of training a VQ codebook several times with a high signal to noise ratio (SNR) using an appropriate extensive parameter of codebook.

KEYWORDS : Vector quantization, fast voronoi divider, full-search, partial-search

### I. INTRODUCTION

VQ is one distinctive coding method which holds unusual promise. It is viewed as the extension of scalar quantization to a high dimensional space[1, 2], and used to code speech signal and video sequence[3-5]. It is very important for a VQ system how to design a suitable codebook in order to get high quality of quantization. The most widely known design technique is the generalized Lloyd algorithm or the LBG algorithm[6, 7], which uses an iterative method that attempts to satisfy the nearest neighbor rule and centroid condition simultaneously. Its performance is generally quite good, however, it will home in on the local optimum nearest to the initial codebook. The optimum may or may not be the global one, depending very much on how an initial codebook was set up[5, 6]. Therefore, it is necessary to research how to form the initial codebook. Three main methods had been given, i. e., selecting at random, splitting, and product codes. Some results have shown that the least quantization distortion can be obtained by the splitting method, however, its computational expense becomes a problem not to be ignored because of very

high computational complexity of dividing voronoi.

In this paper, a mean variable of the VQ codebook is introduced, and its properties are discussed. A new structure of VQ codebook is proposed, which consists of rearranged codewords according to the mean variable. An idea of fast-search voronoi divider is proposed based on the orderliness of the reconstructed codebook, and is used for the splitting method of the VQ codebook design. Experimental results show that the new design method not only reduces computational complexity of training the VQ codebook but also obtains the VQ codebooks with high SNR with a suitable codebook extensive parameter.

### II. THEORY AND METHOD

#### 2.1 The original splitting method

Let  $X$  be a  $K$ -dimensional training vector of size  $U$  such that  $X = \{X_j : X_j = (x_{j1}, x_{j2}, \dots, x_{jK}), X_j \in \mathbb{R}^K, j \in J = \{1, 2, \dots, U\}\}$ , where  $\mathbb{R}^K$  is the  $K$ -dimensional real set. Then the  $K$ -dimensional VQ codebook of size  $N$  can be generated by the splitting method as shown in Fig. 1 with the following steps :

- Step\_1 Let  $C_0^i$  be the center of the training vectors  $X$  and let  $k := 0$ .
- Step\_2 Set  $k = k + 1$  and  $u = 0$ . And define the  $k$ -th initial codebook  $C_k^{(u)}$  as a union of two codebooks of  $C_{k-1}^i$  and multiplied  $C_{k-1}^i$  by  $r$ , where the variable  $r$ , called the extensive parameter, is a positive constant less than 1.

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Step\_3 Obtain  $C_k^t$  by training  $C_k^{(0)}$  by LBG algorithm. For this, it requires  $u$  times full search operations as shown in the dashed block of LBG algorithm in Fig. 1, where  $D'$  is relative distortion defined as

$$D' = \frac{|D^{(u-1)} - D^{(u)}|}{D^{(u)}} \quad (1)$$

where  $D^{(u)} = E(d(X_j, C_k^{(u)}))$  and  $\epsilon$  is constant.

Step\_4 Go to Step\_2 until  $C_k^t$  is obtained ( $T = \log_2 N$ ). The final codebook  $C_k^t$  with  $N$  codewords is the desired codebook by the splitting method.

To get the VQ codebook with  $N$  codewords in the above splitting method, the LBG algorithm is repeatedly used  $T$  times and at every iteration of the LBG algorithm, the corresponding full-search operations are need until  $D' \leq \epsilon$ . As we have seen, the LBG algorithm requires very large computational expense due to dividing voronoi with the full-search method, while the VQ codebook with very high quality can be obtained by the splitting method.

## 2.2 Mean variable and fast-search voronoi divider

Let  $C$  be a  $K$ -dimensional VQ codebook of size  $N$  such that  $C = \{C_i : C_i = (c_{i1}, c_{i2}, \dots, c_{iK}), C_i \in R^K, i \in I = \{1, 2, \dots, N\}\}$  and  $X$  be a  $K$ -dimensional training vector of size  $U$  as defined in section 2.1. Now we define the mean values of the codeword  $C_i$  and the training vector  $X_j$ , denoted  $S_{C_i}$  and  $S_{X_j}$ , respectively, as

$$S_{C_i} = \frac{1}{K} \sum_{u=1}^K c_{iu} \quad (2)$$

$$S_{X_j} = \frac{1}{K} \sum_{u=1}^K x_{ju} \quad (3)$$

Let  $C_i$  be the quantized vector of  $X_j$  and  $d(X_j, C_i)$  be the MSE between  $X_j$  and  $C_i$ :  $d(X_j, C_i) = \frac{1}{K} \sum_{k=1}^K (x_{jk} - c_{ik})^2$ . Then SNR of a VQ codebook, denoted  $SNR_C$ , is defined as

$$SNR_C = 10 \times \log_{10}(D_0/D) \quad (4)$$

where  $D = E(d(X_j, C_i))$  and  $D_0 = E(\sum_{i=1}^K x_{ji}^2)$ .

Let  $b_0$  and  $SNR_0$  be the computational expense and

the SNR of the full-search dividing voronoi, respectively, and in the same way let  $b_f$  and  $SNR_f$  be those of the fast-search voronoi divider as we will see, respectively. Note that  $b_0$  equals  $U \times K \times N$ . Then we can use the following  $\beta$  and  $\Delta SNR$  as the computational measures of search algorithms :

$$\text{Computation ratio} \quad \beta = b_0/b_f \quad (5)$$

$$\text{SNR loss} \quad \Delta SNR = SNR_0 - SNR_f \quad (6)$$

Therefore we see that the most desirable fast-searching algorithm takes the highest  $\beta$  for a given small  $\Delta SNR$ .

Theorem: If  $C_i (i \in I)$  is the quantized vector of  $X_j (j \in J)$ , then the mean values of  $C_i$  and  $X_j$  have the following relationship :

$$S_{C_i} \cong S_{X_j} \quad (7)$$

Proof: If  $C_i$  is the real quantized vector of  $X_j$ , then  $d(X_j, C_i) \leq d(X_j, C_t), \forall t \in I$ . And thus we may assume that  $d(X_j, C_i)$  is very small and tends to zero. Therefore it can be said that  $x_{jk} \cong c_{ik}, k = 1, 2, \dots, K$ , and thus the mean value of  $C_i$  in Eq. (1),  $S_{C_i}$ , is approximately equal to that of  $X_j$  in Eq. (3). Q.E.D.

Let  $Z = \{Z_i : i \in I, Z_i \in C\}$  be a rearranged VQ codebook  $C$  according to the of mean values of codewords such that  $S_{Z_1} \leq S_{Z_2} \leq \dots \leq S_{Z_N}$  or  $S_{Z_1} \geq S_{Z_2} \geq \dots \geq S_{Z_N}$ . We call  $Z$  a reconstructed codebook.

Using Theorem and the reconstructed codebook  $Z$ , we introduce a fast-search algorithm as shown in Fig. 2. The key point of the algorithm is use of a limited subset  $Z^*$  as a search area instead of  $Z$ . The procedure for the fast algorithm takes the following steps :

Step\_1 Compute the mean value of the input  $X_j : S_{X_j}$ .

Step\_2 Search an index  $i$  of codebook  $Z_i$  whose mean value is the closest to  $S_{X_j}$ , i.e.  $i = \{k \mid \min_k (|S_{Z_k} - S_{X_j}|)\}$ .

Step\_3 Search a codeword  $Z_m$  in a subset  $Z^* = \{Z_k : \max(1, i-M) \leq k \leq \min(i+M, N)\}$  minimizing MSE such that  $Z_m = \{Z_k \mid \min_k (d(Z_k, X_j)), Z_k \in Z^*\}$ .

Finally  $Z_m$  is the codeword of the input vector  $X_j$ .

2.3 The improved splitting method

As noted in section 2.1, the original splitting method requires much time for dividing voronoi with full-search. We propose an improved splitting method using partial-search which is mainly due to the property of the mean variable in section 2.2.

The improved method is the same as the original one as shown in Fig.1 except for the partial-search in the block of voronoi divider.

The partial-search voronoi divider is achieved using the following three steps, which is faster than the full-search one because in the former all the voronoi can be easily determined by only searching in a subset in the sense of the nearest mean as Fig.2.

- Step\_1 Calculate each mean value of  $C_k^{(u)}$ .
- Step\_2 Rearrange  $C_k^{(u)}$  according to their mean values and then obtain a reconstructed codebook.
- Step\_3 Divide fast each voronoi only searching in a small subset of  $C_k^{(u)}$  instead of  $C_k^{(u)}$  as in Fig. 2.

III. RESULTS AND ANALYSES

The improved splitting method to design the VQ codebook is tested for speech signals. In the process of training VQ codebook, each current codebook  $C_k^{(u)}$  is reconstructed in order to get the orderliness of the

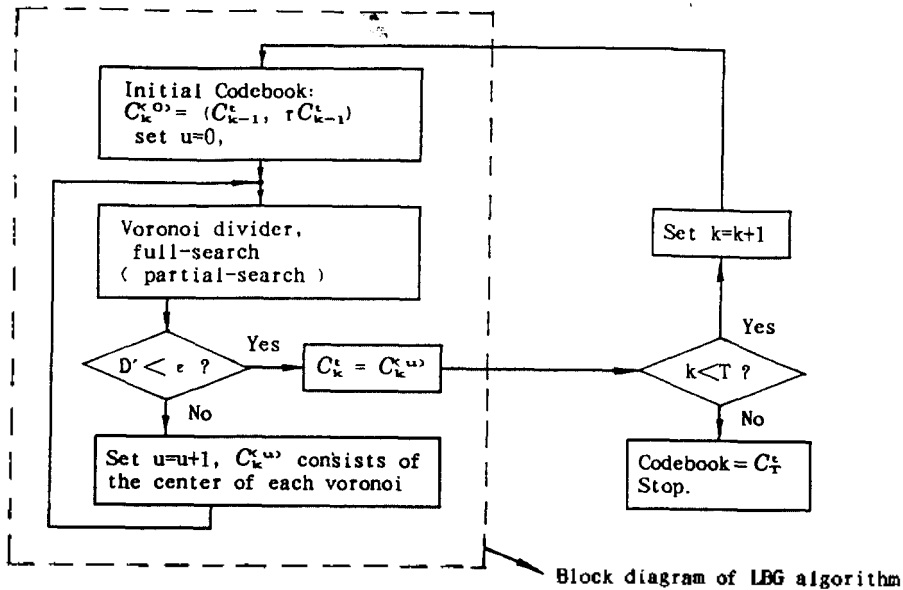


Fig 1. Block diagram of the original splitting method.

$C_k^t$ : trained  $C_k^{(u)}$  by LBG algorithm

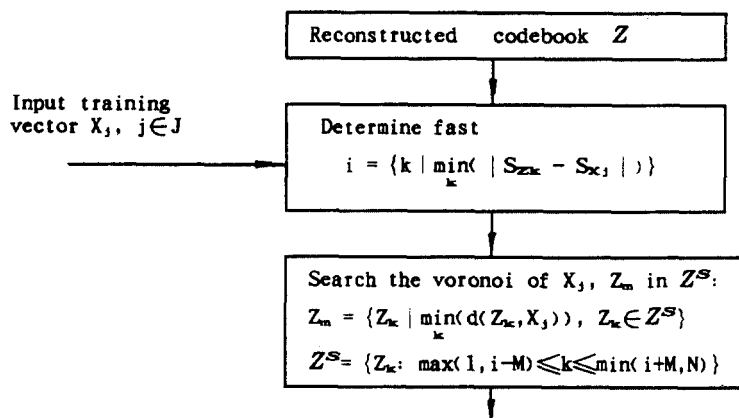
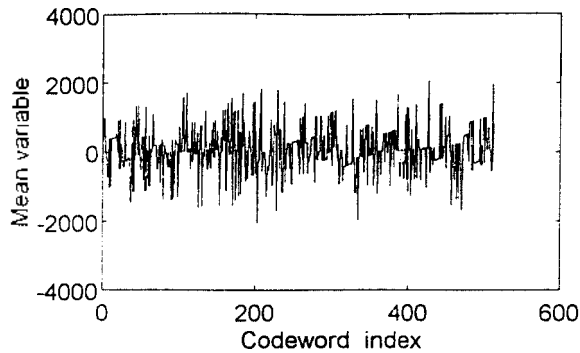


Fig 2. Partial-search voronoi divider.

codebook structure. Mean variable distribution of the current codebook and its reconstructed codebook is shown as Fig.3 (a) and (b), which have 512 codewords. It is obvious that the reconstructed codebook is orderly on its mean variable. The curves of  $\beta$  and  $\Delta D$  are shown in Fig.4, where  $K$  of the VQ codebooks is 4. The curves (I) and (II) in Fig.4 correspond to  $N=128$  and 256, respectively. When  $M$  is small, the computation ratio is high and on the other hand the loss of the SNR is large.  $\beta$  and  $\Delta D$  decrease along with increment of  $M$ , while  $M$  gets to certain value  $\Delta D$  becomes very small and tends to 0 but  $\beta$  is always greater than 1. The computational complexity of training codebook can be thus reduced by using the fast-search algorithm. Table 1 shows a comparison of the computational expense between the full-search and the fast-search algorithms, where  $N$  is the size of codebook.  $\Delta D$  in Table 1 is approximately equal to 0. It is evident that  $\beta$  increases along with increment of  $N$ . Therefore, the new design method of the VQ codebook can reduce the computational complexity of training codebooks and maintain the same code-



(a) Mean variable distribution of the current codebook

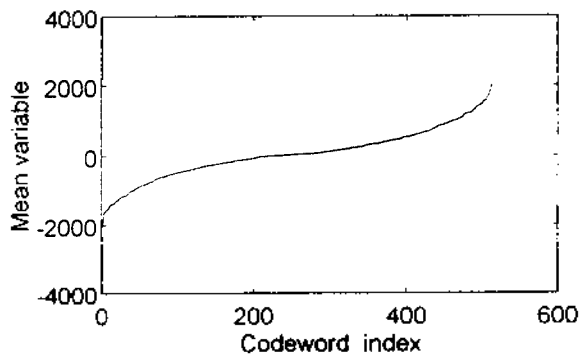
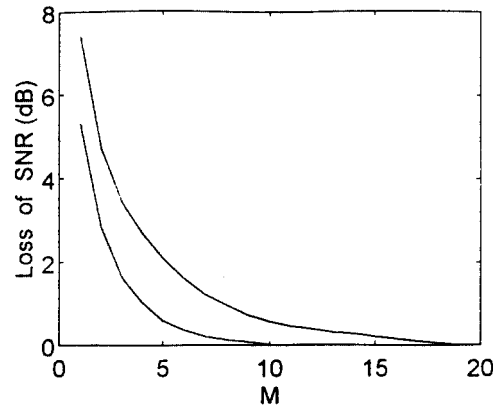


Fig 3. (b) Mean variable distribution of the reconstructed codebook

book SNR as the original splitting method. SNR of the VQ codebooks according to the extensive coefficient  $r$  are shown in Table 2. When  $N$  is small, it is not evident for  $r$  to influence the SNR of the VQ codebook. When  $N$  increases, SNR of the VQ codebook increases with the increment of  $r$ , but after  $r$  is larger than 0.90 empty voronoi appear increasingly in the initial codebook  $C_i^{(0)}$ .



(a) SNR Loss versus M

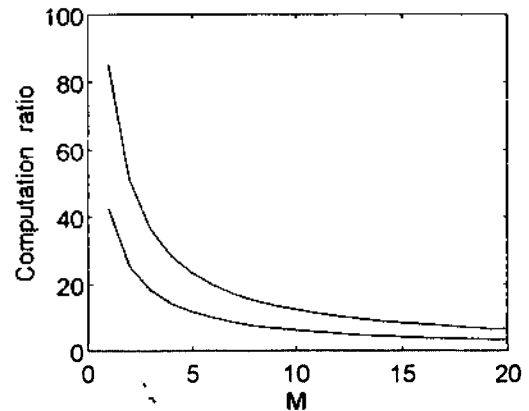


Fig 4. (b) Computation ratio versus M

Table 1. Complexity Comparison between full-search voronoi divider and fast-search voronoi divider

N	br	bf	$\beta$
4	$16 \times U$	$10.72 \times U$	1.49
8	$32 \times U$	$11.81 \times U$	2.70
16	$64 \times U$	$19.20 \times U$	3.36
32	$128 \times U$	$33.42 \times U$	3.71
64	$256 \times U$	$58.10 \times U$	4.40
128	$512 \times U$	$89.83 \times U$	5.69
256	$1024 \times U$	$159.25 \times U$	6.43
512	$2048 \times U$	$275.93 \times U$	7.42
1024	$4096 \times U$	$486.69 \times U$	8.41

Table 2. SNR of codebooks versus the extensive coefficient  $r$ 

$N \setminus r$	0.40	0.50	0.60	0.70	0.80	0.90	0.95	0.96	0.97	0.98	0.99
4	6.48	6.48	6.45	6.48	6.50	6.50	6.50	6.50	6.50	6.50	6.50
8	9.14	9.14	9.15	9.17	9.20	9.20	9.20	9.20	9.20	9.20	9.20
16	11.57	11.62	11.16	11.59	11.62	11.62	11.61	11.61	11.61	11.61	11.70
32	13.82	13.80	13.91	13.69	13.79	13.78	13.78	13.78	13.78	13.78	13.78
64	15.78	15.72	15.95	15.95	16.08	16.07	16.13	16.14	16.14	16.14	16.14
128	17.17	17.30	17.78	18.05	18.13	18.24	18.24	18.28	18.29	18.30	18.30
256	18.11	18.55	19.22	19.46	20.05	20.42	20.47	22.48	20.50	20.53	20.56
512	18.86	19.57	20.32	20.89	21.82	22.56	22.94	22.94	22.95	22.95	22.95
1024	19.21	20.55	21.45	22.32	23.49	24.28	24.38	24.42	24.43	24.45	24.45

#### IV. CONCLUSIONS

An algorithm of the fast-search voronoi divider is presented according to the orderliness of the reconstructed codebook and used to improve the splitting method to design the VQ codebook. Experimental results show that the new design method can get not only the codebooks of high SNR, but also reduce computational complexity of training the VQ codebook several times.

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