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## A Great Importance of the Acid Additives in Cyclizations via Neopentyl-Type Alkyl Palladium Intermediates

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Effective construction of polycyclic compounds has been a major challenge in synthetic organic chemistry due to the large appearance of biologically active natural products pos-


Scheme 1.


Scheme 2.
sessing polycyclic rings. ${ }^{1}$ For the past few years, palladium catalyzed cyclization has emerged as an efficient methodology which can provide various types of cyclic compounds in a very easy one step process. ${ }^{2}$ In connection of our interest in palladium catalyzed enediyne cyclizations forming tricyclic compounds, we have envisioned the feasibility of these regioand stereo-selective polycyclizations which require to form neopentyl-type alkylpalladium intermediates. ${ }^{3}$

The neopentyl-type alkylpalladium intermediates (I) having a conjugated diene unit were known to undergo three different types of cyclization to form the corresponding three (A), five (B), and six membered ring (C) depending on reaction conditions and substrates (Scheme 1). ${ }^{4}$ Due to the comptexity of these reactions, little attention has been devoted to clarify which factors govern each of these cyclization pathways. In this paper we wish to report an important clue to change those reaction pathways to form chemoselectively either the five-membered ring $B$ or the six-membered ring C. We have prepared simple substrates 3 and 4 shown in Scheme 2. 1,7-Octadiyne (1) was deprotonated with $n$-butyllithium and then condensed with 2,2,5-trimethyl-5-pentenal ${ }^{5}$ in THF to yield the corresponding alcohol 2. The alcohol 2 was protected with tert-butyldimethylsilyl chloride to give the substrate 3 . Deprotonation of the substrate 3 with $n$-butyllithium and treatment of ethyl chloroformate at $-78{ }^{\circ} \mathrm{C}$ gave the substrate 4.

Enediyne 3 and 4 serve as our substrates shown in Scheme 3. When a dimethylformamide solution of substrate 3. $5 \mathrm{~mol} \%$ of $\pi$-allylpalladium chloride dimer, ${ }^{5} 10 \mathrm{~mol} \%$ of triphenylphosphine, and $0-5 \mathrm{~mol} \%$ of acetic and was stirred for 4 h at $100^{\circ} \mathrm{C}$, the reaction was sluggish to give the corresponding cyclized product 3 a in $10-20 \%$ yield along with a dimerized product in $40-50 \%$ yidle.?

We have tried to cyclize the substrate $\mathbf{3}$ using other pala-


Table 1. Palladium Catalyzed Reactions of Enediynes 3 and 4 Under Various Conditions

|  | Conditions | Results | Notes |
| :---: | :---: | :---: | :---: |
| 3 | $5 \mathrm{~mol} \%\left(\pi-\mathrm{C}_{3} \mathrm{H}_{5}\right)_{2} \mathrm{Pd}_{2} \mathrm{Cl}_{2}, 5 \mathrm{~mol} \%$ $\mathrm{AcOH}, 100{ }^{\circ} \mathrm{C}, 4 \mathrm{~h}$ | $3 \mathrm{a}, 10-20^{4}$ | $1: 1$ mixture ${ }^{\omega}$ |
|  | $5 \mathrm{~mol} \% \mathrm{PdCl}_{2}, 5 \mathrm{~mol} \% \mathrm{AcOH}$, $100^{\circ} \mathrm{C}, 4 \mathrm{~h}$ | 3a, trace | no reaction |
|  | $5 \mathrm{~mol} \% \mathrm{Pd}(\mathrm{OAc})_{2}, 5 \mathrm{~mol} \%$ $\mathrm{AcOH}, 100{ }^{\circ} \mathrm{C}, 4 \mathrm{~h}$ | 3a, trace | dimer (63\%) |
|  | $4 \mathrm{~mol} \% \mathrm{P}\left(\mathrm{PPh}_{3}\right)_{4}, 5 \mathrm{~mol} \% \mathrm{AcOH}$, $10{ }^{\circ} \mathrm{C}, 4 \mathrm{~h}$ | 3a, trace | dimer ( $67 \%$ ) |
| 3 | $\begin{aligned} & 7 \mathrm{~mol} \%\left(\mathrm{~m}-\mathrm{C}_{3} \mathrm{H}_{4}\right)_{2} \mathrm{Pd}_{2} \mathrm{Cl}_{2}, \\ & 200 \mathrm{~mol} \% \mathrm{HCOOH}, 60{ }^{\circ} \mathrm{C}, 4 \end{aligned}$ | 3b. $82 \%$ | single isomer |
| 4 | $\begin{aligned} & 7 \mathrm{~mol} \%\left(\pi-\mathrm{C}_{3} \mathrm{H}_{5}\right)_{2} \mathrm{Pd}_{2} \mathrm{Cl}_{2}, \\ & 7 \mathrm{~mol} \% \mathrm{CH}_{3} \mathrm{COOH}_{4}{ }^{\circ} \mathrm{C}, 6 \end{aligned}$ | 4a, $86 \%$ | 1:2 mixture ${ }^{*}$ |
| 4 | $7 \mathrm{~mol} \%\left(\pi-\mathrm{C}_{3} \mathrm{H}_{5}\right)_{2} \mathrm{Pd}_{2} \mathrm{Cl}_{2}$. <br> $200 \mathrm{~mol} \% \mathrm{HCOOH}, 90^{\circ} \mathrm{C}, 6$ | 4b, $87 \%$ | single isomer |

${ }^{4}$ : The isomeric ratios were determined by ${ }^{1} \mathrm{H}$ NMR of the crude reaction mixtures
dium catalysts such as palladium chloride, palladium acetate, and tetrakis(triphenylphosphine)palladium in DMF shown in Table 1. None of these could catalyze the enediyne 3 to the corresponding tricyclic product 3a under the given conditions. A major isolated product was a dimeric product formed by C-C coupling between the terminal acetylene groups. However, substrate 4 under the similar condition underwent to the corresponding cyclic product $\mathbf{4 a}$ in $86 \%$ yield. Note that a neopentyl type alkylpalladium species has been successfully cyclized to form 6-6-5 tricyclic compounds. ${ }^{4,8}$

In contrast to these results, when a dimethylformamide solution of substrate $3,7 \mathrm{~mol} \%$ of $\pi$-allylpalladium chloride dimer, $20 \mathrm{~mol} \%$ of triphenylphosphine, and $200 \mathrm{~mol} \%$ of formic acid was stirred for 2 h at $100^{\circ} \mathrm{C}$, the corresponding cyclic product 3b was isolated as a clean single product. After optimizing the reaction conditions, we could isolate the cyclic product in $82 \%$ yield at $60{ }^{\circ} \mathrm{C}$ for 4 h . Structural determination for the cyclized product 3 b has been made by analyzing ${ }^{1} \mathrm{H}$ NMR, ${ }^{13} \mathrm{C}$ NMR, IR, and high resolution mass spectra. ${ }^{9}$ Likewise, the substrate 4 at $90^{\circ} \mathrm{C}$ for 6 h under the same condition also cleanly underwent the cyclization to form the corresponding product $\mathbf{4 b}$ in $87 \%$ yield. We believe that the acyclic enediyne substrates like 3 or 4 with the palladium catalyst exclusively form 6-5-5 tricyclic compounds for the first time.

The formation of these 6-6-5- and 6-5-5 tricyclic compounds could be understood as our proposed mechanism as shown in Scheme 4. A neopenthyl type alkylpalladium inter-

mediate Ib, a generally accepted intermediate, may equilibrate with a kinetically unstable intermediate Ic. In the presence of only a catalytic amount of acids, the intermediate Ib can irreversibly cyclize to form the tricyclic product $\mathbf{4 a}$; in the presence of stoichiometric amount of formic acid, however, the kinetically unstable intermediate Ic may undergo reductive cleavage to form the stable product $\mathbf{4 b}$ and palladium ( 0 ) which can reform HPdX with formic acid.

In conclusion, present cyclizations via the neopentyl-type alkylpalladium species offer an important clue to change the cyclization pathways; (1) use of catalytic amount of acetic acid as an initiator under these palladium reaction conditions resulted in formation of 6-5-5 tricyclic compounds exclusively; (2) use of stoichiometric amount formic acid provided the 6-5-5 tricyclic compounds by reductive cleavage of the alkylpalladium species. This methodology might be widely applicable to the $n-6-5$ - or $n-5-5$-tricyclic compounds from the corresponding substrates via $[2+2+2]$ or $[2+2+1]$ cyclization, respectively.

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9. Spectral data for substrates and cyclized products are given. 3: ${ }^{\text {'H N NR }}\left(300 \mathrm{MHz}, \mathrm{CDCl}_{3}\right) 84.83(\mathrm{~m}, 1 \mathrm{H}), 4.66$ ( $\mathrm{m}, 1 \mathrm{H}$ ), $4.00(\mathrm{t}, J=1.2 \mathrm{~Hz}, 1 \mathrm{H}), 2.25-2.16(\mathrm{~m}, 4 \mathrm{H}), 2.08$ (d, $J=2.1 \mathrm{~Hz}, 2 \mathrm{H}$ ), 1.91 (t, $J=2.7 \mathrm{~Hz}, 1 \mathrm{H}), 1.76$ (s. 3 H ), $1.66-1.58(\mathrm{~m}, 4 \mathrm{H}), 0.912(\mathrm{~s}, 6 \mathrm{H}), 0.89(\mathrm{~s}, 9 \mathrm{H}), 0.12(\mathrm{~s}$, $3 \mathrm{H}), 0.07(\mathrm{~s}, 3 \mathrm{H}) ;{ }^{13} \mathrm{C} \mathrm{NMR}\left(75 \mathrm{MHz}, \mathrm{CDCl}_{3}\right), \delta 143.62$, 114.25, 85.18. 83.99, 80.87, 71.39, 68.44, 45.21, 39.67, 27.54, $27.43,25.75,25.40,23.12,23.07,18.10,17.80,13.98,-4.36$, -5.32 ; FT-IR $\left(\mathrm{CHCl}_{3}, \mathrm{~cm}^{-1}\right) 3280,2910,2860,1460,1240$. 3a: ${ }^{1} \mathrm{H}$ NMR ( $300 \mathrm{MHz}, \mathrm{CDCl}_{3}$ ) $\delta$ For major $5.40-5.17$ $(\mathrm{m}, 1 \mathrm{H}), 3.83(\mathrm{~s}, 1 \mathrm{H}), 2.42-2.22(\mathrm{~m}, 1 \mathrm{H}), 2.22-2.07(\mathrm{~m}$, $1 \mathrm{H}), 2.06-1.65(\mathrm{~m}, 3 \mathrm{H}), 1.64-1.45(\mathrm{~m}, 2 \mathrm{H}), 1.30-1.18(\mathrm{~m}$, $4 \mathrm{H}), 1.04(\mathrm{~s}, 3 \mathrm{H}), 0.94(\mathrm{~s}, 3 \mathrm{H}), 0.90(\mathrm{~s}, 9 \mathrm{H}), 0.88(\mathrm{~s}, 3 \mathrm{H})$, $0.95-0.82(\mathrm{~m}, 2 \mathrm{H}), 0.06(\mathrm{~s}, 3 \mathrm{H}), 0.02(\mathrm{~s}, 3 \mathrm{H}), 0.02(\mathrm{~s}, 3 \mathrm{H})$. 3b: ${ }^{1} \mathrm{H}$ NMR ( $300 \mathrm{MHz}, \mathrm{CDCl}_{3}$ ), $\delta 3.79$ (s, 1H), 2.34 (ddd, $J=12.6,6.0,1.8 \mathrm{~Hz}, 1 \mathrm{H}$ ), 1.93 (ddd, $J=12.6,11.8,6.0 \mathrm{~Hz}$, $1 \mathrm{H}), 1.84-1.70(\mathrm{~m}, 1 \mathrm{H}), 1.76(\mathrm{~d}, \mathrm{~J}=12.6 \mathrm{~Hz}, 1 \mathrm{H}), 1.62(\mathrm{~d}$, $J=12.6 \mathrm{~Hz}, 1 \mathrm{H}), 1.57-1.48(\mathrm{~m}, 1 \mathrm{H}), 1.52(\mathrm{~d}, J=12.9 \mathrm{~Hz}$, $1 \mathrm{H}), 1.32-1.62(\mathrm{~m}, 2 \mathrm{H}), 1.30(\mathrm{~s}, 3 \mathrm{H}), 1.26(\mathrm{~d}, J=12.9 \mathrm{~Hz}$, $1 \mathrm{H}), 1.21(\mathrm{~s}, 3 \mathrm{H}), 1.05(\mathrm{~s}, 3 \mathrm{H}), 0.96-0.86(\mathrm{~m}, 2 \mathrm{H}), 0.89$ $(\mathrm{s}, 9 \mathrm{H}), 0.84(\mathrm{~s}, 3 \mathrm{H}), 0.06(\mathrm{~s}, 3 \mathrm{H}),-0.00(\mathrm{~s}, 3 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR ( $75 \mathrm{MHz}, \mathrm{CDCl}_{3}$ ) $\delta 145.01,139.72,76.58,59.69$, $54.34,51.41,49.16,45.41,44.34,31.95,29.92,27.77,25.81$.
24.91, 24.81, 24.31, 22.45, 18.14, $-4.54,-5.33$; FT-IR $\left(\mathrm{CHCl}_{3} \mathrm{~cm}^{-1}\right) 2955,2928,2858,1563,1371,1254,1084$, 1069, 1043; HRMS calcd for $\mathrm{C}_{22} \mathrm{H}_{40} \mathrm{OSi}\left(\mathrm{M}^{+}\right) 348.2848$, found 338.2838. 4: ${ }^{1} \mathrm{H}$ NMR ( $300 \mathrm{MHz}, \mathrm{CDCl}_{3}$ ) $\delta 4.83$ $(\mathrm{m}, 1 \mathrm{H}), 4.66(\mathrm{~d}, J=1.5 \mathrm{~Hz}, 1 \mathrm{H}), 4.20(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H})$, $4.00(\mathrm{t}, J=2.0 \mathrm{~Hz}, 1 \mathrm{H}), 2.35(\mathrm{t}, J=6.9 \mathrm{~Hz}, 2 \mathrm{H}), 2.24$ (td, $J=6.9,2.0 \mathrm{~Hz}, 2 \mathrm{H}), 2.07(\mathrm{~d}, J=2.0 \mathrm{~Hz}, 2 \mathrm{H}), 1.77(\mathrm{~s}, 3 \mathrm{H})$, $1.73-1.56(\mathrm{~m}, 4 \mathrm{H}), 1.29(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 0.91(\mathrm{~s}, 6 \mathrm{H})$, $0.89(\mathrm{~s}, 9 \mathrm{H}), 0.11(\mathrm{~s}, 3 \mathrm{H}), 0.07(\mathrm{~s}, 3 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR ( 75 $\left.\mathrm{MHz}, \mathrm{CDCl}_{3}\right) \delta 153.89,143.61,114.22,88.64,84.78,81.08$, $73.42,71.33,61.70,45.15,39.62,27.53,26.46,25.71,25.37$, $23.08,23.01,18.10,18.05,18.00,13.88,-4.40,-5.34$; FTIR $\left(\mathrm{CHCl}_{3} \mathrm{~cm}^{-1}\right) 2920,2845,2210,1700,1450,1243.1066$, 832. 4a: ${ }^{1} \mathrm{H}$ NMR ( $300 \mathrm{MHz} \mathrm{CDCl}{ }_{3}$ ) \& For major isomer $4.41(\mathrm{~d}, J=2.0 \mathrm{~Hz}, 1 \mathrm{H}), 4.22-4.08(\mathrm{~m}, 2 \mathrm{H}), 3.30-3.04$ ( m , 1 H ), 2.45-2.20 $20(\mathrm{~m}, 3 \mathrm{H}), 2.20-2.02(\mathrm{~m}, 1 \mathrm{H}), 1.80-0.82$ $(\mathrm{m}, 7 \mathrm{H}), 1.28(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.09(\mathrm{~s}, 3 \mathrm{H}), 1.03(\mathrm{~s}$, $3 \mathrm{H}), 0.98(\mathrm{~s}, 3 \mathrm{H}), 0.94(\mathrm{~s}, 9 \mathrm{H}), 0.10(\mathrm{~s}, 6 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(75 \mathrm{MHz}, \mathrm{CDCl}_{3}\right) \delta 169.40,150.11,147.65,147,49,145.12$, $127.52,127.35,120.93,119.33,82.17,79.83,59.74,59.65$, $51.93,50.24,43.71,42.72,40.26,38.68,38.76,34.95,28.31$, $28.05,27.80,27.73,27.50,25.97,25.78,25.72,24.39,24.15$, $23.65,23.65,22.85,22.71,22.03,18.20,18.00,14.23,13.96$, $-3.97,-4.10,-4.12,-4.68$; IR (neat, $\mathrm{cm}^{-1}$ ) 2905, 1696, $1562,1440,1358,1238.4 b$ : ${ }^{\text {'H }} \mathrm{NMR}\left(300 \mathrm{MHz}, \mathrm{CDCl}_{3}\right)$ $\delta 4.21-4.08(\mathrm{~m}, 2 \mathrm{H}), 3.79(\mathrm{~s}, 1 \mathrm{H}), 2.75(\mathrm{~d}, J=13.2 \mathrm{~Hz}$, $1 \mathrm{H}), 2.44-2.32(\mathrm{~m}, 2 \mathrm{H}), 2.25(\mathrm{~d}, J=13.2 \mathrm{~Hz}, 1 \mathrm{H}), 2.16-2.07$ $(\mathrm{m}, 1 \mathrm{H}), 2.04-1.75(\mathrm{~m}, 2 \mathrm{H}), 1.72-1.48(\mathrm{~m}, 5 \mathrm{H}), 1.29(\mathrm{~s}$. $3 \mathrm{H}), 1.25(\mathrm{t}, J=7.0 \mathrm{~Hz}, 3 \mathrm{H}), 1.32-1.24(\mathrm{~m}, 1 \mathrm{H}), 1.04(\mathrm{~s}$, $3 \mathrm{H}), 0.98-0.86(\mathrm{~m}, 1 \mathrm{H}), 0.87(\mathrm{~s}, 9 \mathrm{H}), 0.84(\mathrm{~s}, 3 \mathrm{H}), 0.04$ (s, 3H), $-0.03(\mathrm{~s}, 3 \mathrm{H}) ;{ }^{13} \mathrm{C} \mathrm{NMR}\left(75 \mathrm{MHz}, \mathrm{CDCl}_{3}\right) \delta$ $172.59,146.67,138.25,76.61,59.84,54.87,54.40,51.69$, $51.63,45.30,40.48,39.89,31.53,30.01,27.38,25.76,24.83$, 24.71, 22.03, 18.10, 14.18, -4.47, -5.33; IR $\left(\mathrm{CHCl}_{3}, \mathrm{~cm}^{1}\right)$ $2910,2845,1721,1450,1248,1164,1084$.

