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First-Order Hyperpolarizabilities of a-Cyano-pnitrostilbene Derivatives

Bong Rae Cho^{*,†}, Jong Tae Je[†], Dae Wee Lee[†], Yong Kwan Kim[†], Ok-Keun Song[†], and C. H. Wang[†]

> Department of Chemistry, Korea University, 1-Anamdong, Seoul 136-701, Korea [†]Department of Chemistry, University of Nebraska-Lincoln, Lincoln, Nebraska 68588-0304

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It has been clearly established that π donor-acceptor compounds with small CT energy and large differences between the ground- and excited-state dipole moments as well as large oscillator strength can exhibit large molecular secondorder optical nonlinearities.^{26,8-11} The β value can be expressed as eq. 1, where ΔE is the energy of the molecular charge transfer, hv and 2hv are the energies of the fundamental and second harmonic waves, f is the oscillator strength, and $\Delta\mu$ is the difference between the ground- and excited state

 Table 1. Linear and Nonlinear Optical Properties of Various

 Stilbene Derivatives

Compound	λ_{max} , nm ^a	10 ^{-μ} β, esu ⁴	10 ^{%)} β(0), esu
la	3704	56'	46'
[la	368	0'	0
116	382	0′	0
IIIb	386	77	32
le	437 ^d	84	63'
lle	488*	3 99 *	50

^aSolvent was methanol except otherwise noted. ^bMeasured by Hyper-Rayleigh scattering with 1064 nm fundamental radiation in methanol except otherwise noted. ^cCalculated by using the two-level model.¹⁵ ^dSolvent was CHCl₃, ^cLiterature values determined by EFFISH in CHCl₃.¹³ ^jSmall scattering was detected. ^dSolvent was DMSO.

dipole moments.12

$$\beta = \frac{3e^2\hbar^2}{2m} \frac{\Delta E/\Delta\mu}{\left[\Delta E^2 - (2h\nu)^2\right]\left[\Delta E^2 - (h\nu)^2\right]}$$
(1)

One of the most well known NLO chromophores is the stilbene derivatives. Thus disubstituted stilbenes with various donor-acceptor pairs exhibit the β value of 19-73×10⁻³⁰ esu in CHCl₃.¹³ We were interested in learning whether a cyano substituent at either of the olefinic carbons of this compound might enhance the molecular hyperpolarizability (β). It was expected that the cyano group would change not only the dipole moment but the ΔE and f values, which would in turn change the β values. Accordingly, we have synthesized compounds **Ha-c** and **HIb** and compared their β values with those for the stilbene derivatives **L**.



Table 1 compares the β values of the various stilbene derivatives. In general, the β values are always smaller for the a-cyanostilbenes than for the stilbenes. Comparison of the absorption maxima reveals that they are almost the same for Ia and IIa, whereas that for Ic is significantly shorter than IIc. Hence it is difficult to explain the smaller β values for the α -cyanostilbene derivatives only in terms of the λ_{max} values. On the other hand, the result can readily be interpreted with the difference between the ground and the excited state dipole moments. A semiemperical calculation has revealed that the ground state dipole moments for Ic and IIc are almost the same.¹⁴ However, the excited state dipole moment is significantly smaller for the former due to the increased charge transfer from the dimethylamino to the cyano group (Figure 1). Accordingly, the difference between the ground and the excited state dipole moments ($\Delta \mu$) for He is smaller than that for Ic by approximately 14%. This would predict that the β value should be smaller for the former



Figure 1. Resonance structures of IIc and relative abundance in ground state and excited state¹⁴.

(eq. 1), as observed.

The importance of the difference between the ground state and the excited state dipole moments in determining the molecular hyperpolarizability is also demonstrated by the much larger β value for **IIIb** than for **IIb**. Since the cyano group in the former cannot be in resonance with the para methoxy substituent, the excited state charge transfer from the donor to the cyano group in **IIIb** is not expected to be as efficient as in **IIb** (*vide supra*). This would predict that the $\Delta\mu$ should be larger for the former to enhance the β value (eq. 1). On the other hand, the λ_{max} for **IIIb** and **IIb** are almost the same. Therefore, the larger β value observed for **IIIb** can most reasonably be attributed to the larger difference between the ground state and the excited state dipole moments.

In conclusion, the present results reveal that the β values of the α -cyanostilbene derivatives are significantly smaller than those for the stilbene derivatives apparently due to the smaller difference between the ground and the excited state dipole moments.

Experimental

Substituted α -cyano-p-nitrostilbene derivatives **IIa-c** were synthesized in reasonable yields by reacting p-nitrobenzyl cyanide (1.6 g, 10 mmol), KOH (0.7 g, 12 mmol), and 1 equiv of substituted benzaldehyde in 50 mL ethanol by known procedure.¹⁶ Compound **IIIb** was prepared by the same procedure using 3,4-dimethoxybenzyl cyanide and p-nitrobenzaldehyde. The physical and spectroscopic data for **IIa-c** and **IIIb** were consistent with the proposed structures.

The λ_{max} values and extinction coefficients have been mea-

sured with a Varian 3E UV-VIS spectrophotometer. The β values of **IIa-c** and **IIIb** have been determined by hyper-Rayleigh scattering using 1064 nm light as the fundamental wave as reported previously.¹⁷

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