

***F*-PROXIMAL FLOWS**

YOUNG-KEY KIM AND HEE-YOUNG BYUN

ABSTRACT. The proximal flow have been introduced and studied by J. Auslander [1] and R. Ellis [2]. S. Glasner showed the various properties of the proximal flow in [7]. S. K. Kaul [3] have introduced the first prolongation set which contains the orbit closure. We define the *F*-proximal flow and $FP_\pi(y)$ by using the first prolongation set and investigated its properties.

1. Preliminaries and Notations

In this paper, let T be an arbitrary, but fixed, topological group and we consider a flow (X, T) with a compact Hausdorff space X . A closed nonempty subset M of X is said to be a *minimal set* if, for every $x \in M$, the orbit xT is a dense subset of M . If X is itself minimal, we say it is a *minimal flow*. A subset A of T is said to be *syndetic* if there exists a compact subset K of T with $T = AK$.

The points x and y of X in the flow (X, T) are said to be *proximal* if there exists a net $\{t_\alpha\}$ of elements of T such that $\lim xt_\alpha = \lim yt_\alpha$. The flow (X, T) is said to be proximal if every two points of X are proximal. We denote $P(X, T) = \{(x, y) \in X \times X \mid x \text{ and } y \text{ are proximal}\}$ which is called the *proximal relation* on (X, T) . The flow (X, T) is said to be *distal* if $x, y \in X$ and $(x, y) \in P(X, T)$ imply $x = y$.

Following [3] we say that $t_i \rightarrow \infty$ for a net $\{t_i\}$ in T if the net $\{t_i\}$ is ultimately outside each compact subset of T . For a flow (X, T) we shall define the first prolongation set and the first prolongation limit set of x in X respectively, by $D(x) = \{y \mid x_i t_i \rightarrow y \text{ for some } x_i \rightarrow x, t_i \in T\}$ and $J(x) = \{y \mid x_i t_i \rightarrow y \text{ for some } x_i \rightarrow x, t_i \rightarrow \infty\}$.

A point $x \in X$ is said to have property M if whenever there are nets $x_i \rightarrow x, y_i \rightarrow x$ and $\{t_i\}$ in T such that the nets $\{x_i t_i\}$ is convergent, then

Received April 10, 1997. Revised November 22, 1997.

1991 Mathematics Subject Classification: 54H20.

Key words and phrases: *F*-proximal, first prolongation set.

the net $\{y_i t_i\}$ is also convergent. A flow (X, T) is said to be T -weakly equicontinuous if $J(x, x) \subset \Delta = \{(x, x) | x \in X\}$ and x has property M , for every $x \in X$.

If (Y, T) is also a flow, a *homomorphism* from (X, T) to (Y, T) is continuous map $\phi : X \rightarrow Y$ such that $\phi(xt) = \phi(x)t$ ($x \in X, t \in T$).

In this context, the meaning of epimorphisms, isomorphisms and automorphisms is clear.

An extension $\varphi : (X, T) \rightarrow (Y, T)$ is almost one-to-one if there exists a point $y_0 \in Y$ such that $\varphi^{-1}(y_0)$ is a singleton.

As is customary, let X^X denote the set of all functions from X to X , provided with the topology of pointwise convergence, and consider T as the subset $\{t : x \rightarrow xt, t \in T\}$ of X^X . The *enveloping semigroup* $E(X)$ of the flow (X, T) is the closure of T in X^X . Then $E(X)$ is a compact Hausdorff space and we may consider $(E(X), T)$ as a flow, whose phase space $E(X)$ admits a semigroup structure. The *minimal right ideals* I of $E(X)$ (that is, the nonempty subsets I of $E(X)$ such that $IE(X) \subset I$, which contains no proper nonempty subsets with the same property) coincide with the minimal sets in the flow $(E(X), T)$ (see 3.4 [2]).

2. F -proximal flows

DEFINITION 2.1. Let (X, T) be a flow and $x, y \in X$. Then x and y are said to be F -proximal if $D(x, y) \cap \Delta \neq \emptyset$.

We denote $FP(X, T) = \{(x, y) \in X \times X \mid x \text{ and } y \text{ are } F\text{-proximal}\}$. The flow (X, T) is said to be F -proximal if every two points of X are F -proximal.

THEOREM 2.2. If (X, T) is a proximal flow, then (X, T) is an F -proximal flow.

PROOF. Let (X, T) be a proximal flow, then $\overline{(x, y)T} \cap \Delta \neq \emptyset$ for any $x, y \in X$. Since $D(x, y)$ contains $\overline{(x, y)T}$, $D(x, y) \cap \Delta \neq \emptyset$ for any $x, y \in X$. Hence (X, T) is an F -proximal flow. \square

REMARK 2.3. The converse of Theorem 2.2 does not hold.

Let $X = [0, 1]$ and let $T = \mathbb{Z}$. Now we define $\varphi : X \rightarrow X$ by $\varphi(x) = x^2$ and $\Pi : X \times T \rightarrow X$ by $\Pi(x, n) = \varphi^n(x)$. Then $P(X, T) = X \times$

$X - \{(0, 1), (1, 0)\}$. Let $\{(\frac{1}{n}, 1) | n \in N\}$ and $\{(1, \frac{1}{n}) | n \in N\}$ be nets in $X \times X$. Then clearly $\{(\frac{1}{n}, 1)\}$ and $\{(1, \frac{1}{n})\}$ converge to $(0, 1)$ and $(1, 0)$ respectively. But

$$\begin{aligned} \Pi((\frac{1}{n}, 1), -n) &= ((\frac{1}{n})^{2^{-n}}, 1) \rightarrow (1, 1), \\ \Pi((1, \frac{1}{n}), -n) &= (1, (\frac{1}{n})^{2^{-n}}) \rightarrow (1, 1). \end{aligned}$$

Hence $(1, 1) \in D(0, 1)$ and $(1, 1) \in D(1, 0)$. Therefore $(0, 1) \in FP(X, T)$, $(1, 0) \in FP(X, T)$. Hence (X, T, Π) is not a proximal flow, but is an F-proximal flow.

LEMMA 2.4. *Let X be T-weakly equicontinuous. Then the followings hold.*

- (1) *If there are nets $\{x_i\}$ in X and $\{t_i\}$ in T such that $x_i \rightarrow x$ and $x_i t_i \rightarrow y$, then $x t_i \rightarrow y$.*
- (2) *If for a net $\{t_i\}$ in T and $x, y \in X$, $x t_i \rightarrow y$, then $y t_i^{-1} \rightarrow x$.*

PROOF. See Lemma 2.3 in [5] □

PROPOSITION 2.5.

- (i) $P(X, T) \subset FP(X, T)$.
- (ii) *If (X, T) is T-weakly equicontinuous. Then $FP(X, T) = P(X, T)$.*

PROOF. It follows from the Lemma 2.4. □

PROPOSITION 2.6. *Let $\phi : (X, T) \rightarrow (Y, T)$ be the epimorphism and (X, T) is F-proximal. Then (Y, T) is F-proximal.*

PROOF. For any $y_1, y_2 \in Y$, there are $x_1, x_2 \in X$ such that $\phi(x_1) = y_1, \phi(x_2) = y_2$. Since (X, T) is F-proximal, there exists nets $\{x_{1i}\}, \{x_{2i}\}$ in X and $\{t_i\}$ in T such that $x_{1i} \rightarrow x_1, x_{2i} \rightarrow x_2$ and $\lim x_{1i} t_i = \lim x_{2i} t_i$. Now $\phi(x_{1i}) \rightarrow \phi(x_1) = y_1, \phi(x_{2i}) \rightarrow \phi(x_2) = y_2$ and $\lim \phi(x_{1i}) t_i = \lim \phi(x_{2i}) t_i$. Therefore (Y, T) is F-proximal. □

PROPOSITION 2.7. *An almost one-to-one extension of a minimal F-proximal flow is F-proximal.*

PROOF. Let $\varphi : (X, T) \rightarrow (Y, T)$ be an almost one-to-one extension. Suppose that there exist a point $y_0 \in Y$ such that $\varphi^{-1}(y_0) = \{x_0\}$.

Let x_1, x_2 be points of X and denoted $y_i = \varphi(x_i)$ ($i = 1, 2$). Since (Y, T) is minimal, We can find nets t_μ in T , $y_{1\mu}, y_{2\mu}$ in Y such that $\lim_{\mu} (y_{1\mu}, y_{2\mu}) = (y_1, y_2) = (\varphi(x_1), \varphi(x_2))$ and $\lim_{\mu} y_{1\mu} t_\mu = \lim_{\mu} y_{2\mu} t_\mu = y_0$.

Then there exists nets $x_{1\mu}, x_{2\mu}$ such that $\varphi(x_{1\mu}) = y_{1\mu}$, $\varphi(x_{2\mu}) = y_{2\mu}$. Then

$$\lim_{\mu} \varphi(x_{1\mu}) = \varphi(x_1), \quad \lim_{\mu} \varphi(x_{2\mu}) = \varphi(x_2)$$

$$\lim_{\mu} x_{1\mu} = x_1, \quad \lim_{\mu} x_{2\mu} = x_2$$

$$\lim_{\mu} (x_{1\mu}, x_{2\mu}) t_\mu = (x'_1, x'_2).$$

Then

$$\begin{aligned} \varphi(x'_i) &= \varphi(\lim_{\mu} x_{i\mu} t_\mu) = \lim_{\mu} \varphi(x_{i\mu} t_\mu) \\ &= \lim_{\mu} \varphi(x_{i\mu} t_\mu) = \lim_{\mu} y_{i\mu} t_\mu = y_0 \quad (i = 1, 2) \end{aligned}$$

So $x'_1 = x'_2 = x_0$. Therefore x_1, x_2 are F -proximal. Hence (X, T) is an F -proximal flow. \square

LEMMA 2.8. Let (X, T) be a flow and S a syndetic subgroup of T . Then $FP(X, T) = FP(X, S)$.

PROOF. It is clear that $FP(X, S) \subset FP(X, T)$. We show that $FP(X, T) \subset FP(X, S)$. Let $T = SK$, where K is a compact subset of T and $(x, y) \in FP(X, T)$. Then there exist $x_i \rightarrow x$, $y_i \rightarrow y$ and $t_i \in T$ such that $\lim_i x_i t_i = \lim_i y_i t_i$. Now $t_i = s_i k_i$ for some k_i in K and for some s_i in S . We can assume that $\lim_i k_i = k$, $\lim_i x_i s_i = z_1$, $\lim_i y_i s_i = z_2$ and $\lim_i x_i t_i = \lim_i y_i t_i = z$ exist. So

$$\begin{aligned} (z, z) &= (\lim_i x_i t_i, \lim_i y_i t_i) \\ &= (\lim_i x_i s_i k_i, \lim_i y_i s_i k_i) \\ &= ((\lim_i x_i s_i) k, (\lim_i y_i s_i) k) \\ &= (z_1 k, z_2 k) \end{aligned}$$

Thus for $i = 1, 2$, $z_i = zk^{-1}$ implies $z_1 = z_2$. This means that x and y are F -proximal under S . Therefore $FP(X, S) = FP(X, T)$. \square

THEOREM 2.9. [INHERITANCE THEOREM] *Let (X, T) be a flow, and S a syndetic subgroup of T . Then (X, T) is F -proximal if and only if (X, S) is F -proximal.*

PROOF. This follows from Lemma 2.8. \square

PROPOSITION 2.10. *$FP(X, T)$ is a closed invariant reflexive, symmetric relation on X .*

DEFINITION 2.11. Let $\pi : X \rightarrow Y$ be an epimorphism. For each $y \in Y$, we define

- (1) $FP_\pi(y) = \{(x, x') \in X \times X \mid \pi(x) = \pi(x') = y, (x, x') \in FP(X, T)\}$.
- (2) $P_\pi(y) = \{(x, x') \in X \times X \mid \pi(x) = \pi(x') = y, (x, x') \in P(X, T)\}$.

PROPOSITION 2.12. *$FP_\pi(y)$ is a reflexive and symmetric relation on $\pi^{-1}(y)$ for each $y \in Y$.*

Let βT denote the Stone-Cěch compactification of T . Then $(\beta T, T)$ is an universal point-transitive flow, and βT is an enveloping semigroup for X , whenever X is a flow with acting group T . Given a point $y \in Y$, let

$$E_y = \{p \in \beta T \mid yp = y\}.$$

Let I be a minimal right ideal in some enveloping semigroup $E(X)$ and $B(I)$ denoted the set of idempotent elements in I .

Let $E(X)$ be an enveloping semigroup for X , with minimal right ideals I, I' . Then given $u \in B(I)$, there exists a unique $u' \in B(I')$ such that $uu' = u$ and $u'u = u'$.

We say that u and u' are *equivalent idempotents* and the relations thus defined is actually an equivalence relation.

PROPOSITION 2.13. *Let $\pi : (X, T) \rightarrow (Y, T)$ be an epimorphism, Y a minimal set, and $y \in Y$. If $FP_\pi(y)$ is an equivalence relation on $\pi^{-1}(y)$. Then $(xu, xv) \in FP_\pi(y)$ for all $x \in \pi^{-1}(y)$ and u, v is any equivalent idempotents in E_y .*

PROOF. Suppose that $FP_\pi(y)$ is an equivalence relation and let u, v be any equivalent idempotents in E_y . Then for any $x \in \pi^{-1}(y)$, we have: $\pi(xu) = \pi(x)u = yu = y$ and $\pi(xv) = \pi(x)v = yv = y$. Hence $(x, xu) \in P_\pi(y)$ and $(x, xv) \in P_\pi(y)$. Now since $P_\pi(y) \subset FP_\pi(y)$, $(xu, xv) \in FP_\pi(y)$. \square

References

- [1] J. Auslander, *Minimal flows and their extensions*, North-Holland, Amsterdam. (1979).
- [2] R. Ellis, *Lectures on topological dynamics*, Benjamin, New York. (1969).
- [3] S. Elaydi and S. K. Kaul, *On characteristic 0 and locally weakly almost periodic flows*, *Math. Japonica.* **27** (1982), 613-624.
- [4] N. G. Markley, *F-minimal sets*, *Trans. Amer. Math. Soc.* **163** (1972), 85-100.
- [5] S. Elaydi, *Weakly equicontinuous flows*, *Funk. Ekv.* **24** (1981), 317-324.
- [6] Hajek, O, *Prolongations in Topological Dynamics, Seminar on Differential Equations and Dynamical systems II*, *Lecture Notes in Mathematics*, Berlin-Heidelberg-New York; Springer (1970), 44, 79-83.
- [7] S. Glasner, *Compressibility Properties in Topological Dynamics*, *Amer. Jour. of Math.* **97**, 148-171.

Departement of Mathematics
 Myong Ji University
 Young-In 449-728, Korea