Ruthenium-Catalyzed Reductive Heteroannulation of Nitroarenes with Trialkanolamines Leading to Indoles

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Besides conventional named routes such as the Fischer, Madelung, Bischler, and related syntheses for the formation of indoles, homogeneous transition metal-catalyzed synthetic methods have been attempted recently because of the facility and efficiency of reaction and wide availability of substrate.¹ During the course of our continuing studies on homogeneous ruthenium catalysis, we recently developed an alkyl group transfer from alkylamines to anilines²⁻⁷ as well as α -carbon atom of ketones.⁸ The former transformation leads to indoles^{2,3} and quinolines^{4,7} and is well known as amine exchange reaction (amine distribution reaction or amine scrambling reaction).⁹ However, except for our reports, a clear-cut example for the synthesis of N-heterocycles using the amine exchange reaction seems to be limited to palladium-catalyzed synthesis of pyrimidines and imidazoles.¹⁰ Herein, we describe a rutheium-catalyzed reductive cyclization of nitroarenes with trialkanolamines using water gas shift reaction system (CO/H2O) via an amine exchange reaction.

We attempted the reductive cyclization between nitrobenzene (1a) and triethanolamine (2a) to obtain an optimized reaction condition for indole (3a), and several representative results are summarized in Table 1 (Eq. 1). The reaction was generally performed under water gas shift reaction system (CO/H_2O) and the molar ratio of 1a/2a (3-6) in the presence of a catalytic amount of a ruthenium catalyst at 180 °C. However, upon using the molar ratio of 1a/2a=3, the product yield and distribution were not changed significantly compared to 1a/2a=6. The absence of either H₂O or CO proved to be not effective for the formation of 3a and indole precursors 4 (R=R'=H) and 5 (R=R'=H) (runs 4 and 6). However, the starting 1a was converted into aniline, which might be derived by $SnCl_2 2H_2O$ as reducing agent.¹¹ The yield of **3a** increases with the increase in the pressure of CO up to 20 atm (runs 1-4). The presence of SnCl₂2H₂O was essential for

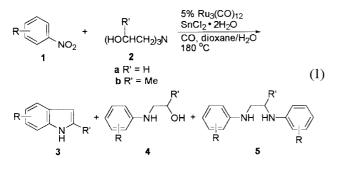


Table 1. Ruthenium-catalyzed reaction of 1a with 2a under various conditions^{*a*}

Run	1a/2a	Dioxane/H2O (mL/mL)	P _{CO} (atm)	Time (h)	Conv. (%) of 1a	Yield (%) ^b		
						3 a	4	5
1	6	9/1	20	40	100	36	21	17
2	6	9/1	5	20	100	12	36	28
3	6	9/1	2	40	100	6	0	5
4	6	9/1	0	20	79	I	0	1
51	6	9/1	50	40	100	0	0	0
6	6	10/0	20	40	100	8	0	1
7	3	9/1	20	40	100	36	21	6
8^d	3	9/1	20	20	100	17	0	0
9°	2	9/1	20	40	100	21	28	4
10/	6	9/1	20	40	100	30	3	5

^aConditions: **2a** (1 mmol), $Ru_3(CO)_{12}$ (0.05 mmol). $SnCl_2'2H_2O$ (1 mmol). 180 °C. ^bGLC yield based on **2a**. ^cIn the absence of $SnCl_2'2H_2O$. ^d $RuCl_2(PPh_3)_3$ (0.05 mmol). ^c $Ru_3(CO)_{12}$ (0.1 mmol). ^fSnCl_2'2H_2O (2 mmol).

the formation of **3a** as has been observed in our recent ruthenium-catalyzed synthesis of indoles^{2,3} and quinolines⁴⁻⁷ (run 5). As described above. SnCl₂·2H₂O seems to play a decisive role as both the reduction of **1a** to aniline and the formation of **3a**.¹² However, the use of increased amount of SnCl₂· 2H₂O did not give any significant change on the yield of **3a** (run 10).

Given these results, several reactions of nitroarenes 1 with 2a were screened using the above optimized conditions. As shown in Table 2, the indole yield was not considerably affected by the position of the substituent on nitroarene (runs 2-4). In the case of 3-methylnitrobenzene (1c), the product (3c) was obtained as a regioisomeric mixture (run 3). Interestingly, treatment of 1 with triisopropanolamine (2b) under the employed system afforded almost exclusively 2-methyl substituted regioisomers 3g and 3h (runs 7, 8). We have already shown that 2-methylindoles are selectively formed in the ruthenium-catalyzed reaction of anilines with 2b and triisopropanolammonium chloride.^{2,3}

As concerns the reaction pathway, it seems to be proceeded *via* a ruthenium-catalyzed sequence involving ethanol group transfer from 2a to aniline to form 4 (amine exchange reaction⁹). N-alkylation of aniline with 4 to form $5.^{13}$ and N-heteroannulation of 5 to give 3a. We have already been proposed a similar catalytic cycle in the synthesis of

Table 2. Ruthenium-catalyzed synthesis of 3^a

Run	Nitroarene 1	2	Indole 3	Yield (%) ^b
I	R=H(1a)	2a	R=H; R'=H(3a)	30
2	R=4-Me(1b)	2a	R=5-Me; R'=H (3 b)	30
3	R=3-Me (1c)	2a	R=4- and 6-Me; $R'=H(3c)$	3 9°
4	R=2-Me(1d)	2a	R=7-Me; R'=H (3d)	33
5	R=3,5-Me(1e)	2a	R=4,6-Me, R'=H(3e)	51
6	$\texttt{R=2-OMe}, \texttt{4-Me}\left(\texttt{1f}\right)$	2a	R=4-Me, 7-OMe; $R'=H(3f)$	30
7	1a	2 b	R=H; R'=Me(3g)	27
8	1b	2b	R=5-Me; R'=Me(3h)	31

"Conditions: 1 (3 mmol), 2 (1 mmol), $Ru_3(CO)_{12}$ (0.05 mmol), $SnCl_2$ -2H₂O (1 mmol), CO (20 atm), dioxane/H₂O (9 mL/1 mL), 180 °C, 40 h. ⁵Isolated yield based on 2. 'Regioisomeric distribution was determined by ¹H NMR (300 MHz): 4-methyindole/6-methylindole=1/1.2.

indoles from anilines and alkanolamines (or alkanolammonium halides).^{2,3,14}

Typical experimental procedure is as follows. A mixture of **1a** (3 mmol). **2a** (1 mmol). Ru₃(CO)₁₂ (0.05 mmol). SnCl₂·2H₃O (1 mmol), and dioxane/H₂O (9 mL/1 mL) was placed in a pressure vessel. After the system was flushed and then pressurized with carbon monoxide (20 atm), the mixture was stirred at 180 °C for 40 h. The reaction mixture was poured into aqueous 5% HCl solution and extracted with CHCl₃ and dried with Na₂SO₄. Removal of the solvent under reduced pressure left a solid which was separated by column chromatography (ethyl acetate/hexane) to give indole (0.035 g, 30%).

In summary, we have demonstrated that nitroarenes were found to be reductively cyclized with trialkanolamines in the presence of a ruthenium catalyst and SnCl₂·2H₂O under water gas shift reaction system to give indoles *via* an amine exchange reaction.

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