

## ENTROPY NUMBERS OF $(R, W)$ -NUCLEAR OPERATORS ACTING BETWEEN BANACH SPACES OF CERTAIN WEAK TYPE

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ABSTRACT. We characterize  $(r, w)$ -nuclear operators acting from a Banach space whose dual has weak type  $q$  into a Banach space of weak type  $p$  by the asymptotic behaviour of their entropy numbers.

### 1. Introduction

The theory of the so-called entropy numbers was introduced by A. Pietsch [12]. Afterwards a lot of results concerning the behaviour of entropy numbers of certain classes of operators were established (cf. [4], [6], [10]). We only remind of diagonal operators acting between Lorentz sequence spaces as well as embedding maps between Besov function spaces.

B. Carl [4] characterized diagonal operators acting between Lorentz sequence spaces by their entropy numbers.

In [5] B. Carl considered operators  $S : \ell_q \rightarrow X$  admitting a factorization through  $\ell_1$ ,  $S : \ell_q \xrightarrow{D} \ell_1 \xrightarrow{B} X$ , where  $D$  is a diagonal operator generated by a sequence belonging to a Lorentz sequence space and  $B$  is an arbitrary bounded operator. He characterized these operators in terms of their entropy numbers under the hypothesis that  $X$  is a Banach space of type  $p$ . By using this result, he [6] showed that the sequence of entropy numbers of  $r$ -nuclear operators acting from a Banach space  $L_p$  into a Banach space  $L_q$  belongs to the Lorentz sequence space.

In [10] T. Kühn dealt with operators  $T : X \rightarrow \ell_q$  factorizing through  $\ell_\infty$ ,  $T : X \xrightarrow{B} \ell_\infty \xrightarrow{D} \ell_q$ , where  $B$  is an arbitrary bounded operator

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Received November 7, 2000.

2000 Mathematics Subject Classification: 47B06.

Key words and phrases: entropy numbers,  $(r, w)$ -nuclear operators.

This research is supported by the Dongguk University research fund

and  $D$  is a diagonal operator generated by a sequence belonging to a Lorentz sequence space. He estimated the asymptotic behaviour of the entropy numbers of these operators under the assumption that the dual of a Banach space  $X$  has type  $p$ . And then he applied this result to  $r$ -nuclear operators acting from a Banach space whose dual has type  $q$  into a Banach space of type  $p$ .

In this paper we determine the “degree of compactness” of  $(r, w)$ -nuclear operators acting from a Banach space whose dual has weak type  $q$  into a Banach space of weak type  $p$  by means of entropy numbers. Here, we present Defant and Junge’s approach [7].

## 2. Definitions and Notation

We present some of the definitions and notation to be used. Throughout this paper  $X$  and  $Y$  denote Banach spaces.

Let  $(A_0, A_1)$  be a couple of quasi-Banach spaces. We consider the functional  $K(t, a, A_0, A_1) = K(t, a) = \inf\{\|a_0\|_{A_0} + t\|a_1\|_{A_1} : a_0 \in A_0, a_1 \in A_1, a = a_0 + a_1\}$  on  $A_0 + A_1$ . If  $0 < \theta < 1$  and  $0 < q \leq \infty$  then the real interpolation space  $(A_0, A_1)_{\theta, q}$  consists of all elements  $a \in A_0 + A_1$  which have a finite quasi-norm

$$\|a\|_{(A_0, A_1)_{\theta, q}} = \|a\|_{\theta, q} = \begin{cases} \left(\int_0^\infty [t^{-\theta} K(t, a)]^q \frac{dt}{t}\right)^{\frac{1}{q}} & \text{if } 0 < q < \infty, \\ \sup_t [t^{-\theta} K(t, a)] & \text{if } q = \infty. \end{cases}$$

Notation. (1) The dual of a Banach space  $X$  is denoted by  $X^*$ .

(2) For  $1 < p < \infty$ , the conjugate of  $p$  is denoted by  $p'$ , i.e.,  $1/p + 1/p' = 1$ .

(3) The closed unit ball of a Banach space  $X$  is denoted by  $B_X$ .

(4)  $\mathcal{B}(X, Y)$  denotes the set of all bounded linear operators from  $X$  into  $Y$ .

(5)  $\mathcal{F}(X, Y)$  denotes the set of all finite rank operators from  $X$  into  $Y$ .

(6) The dual operator of an operator  $T$  is denoted by  $T^*$ .

(7)  $\text{Vol}(\cdot)$  denotes the Lebesgue measure on  $\mathbb{R}^n$ .

For every operator  $T \in \mathcal{B}(X, Y)$  the  $n$ -th outer entropy number  $e_n(T)$  is defined to be the infimum of all  $\epsilon \geq 0$  such that there are elements  $y_1, \dots, y_q \in Y$  with  $q \leq 2^{n-1}$  and  $T(B_X) \subseteq \bigcup_{i=1}^q \{y_i + \epsilon B_Y\}$ .

The  $n$ -th approximation number of  $T \in \mathcal{B}(X, Y)$  is defined by

$$a_n(T) = \inf\{\|T - L\| : L \in \mathcal{F}(X, Y), \text{rank}(L) < n\}.$$

The  $n$ -th Gel'fand number of  $T \in \mathcal{B}(X, Y)$  is defined by

$$c_n(T) = \inf\{\|T J_M^X\| : \text{codim}(M) < n\},$$

where  $J_M^X$  denotes the canonical injection from the subspace  $M$  into  $X$ .

The  $n$ -th Kolmogorov number of  $T \in \mathcal{B}(X, Y)$  is defined by

$$d_n(T) = \inf\{\|Q_N^Y T\| : \dim(N) < \infty\},$$

where  $Q_N^Y$  denotes the canonical surjection from  $Y$  onto the quotient space  $Y/N$ .

The  $n$ -th Weyl number of  $T \in \mathcal{B}(X, Y)$  is defined by

$$x_n(T) = \sup\{a_n(TU) : U \in \mathcal{B}(\ell_2, X), \|U\| \leq 1\}.$$

The  $n$ -th Grothendieck number of  $T \in \mathcal{B}(X, Y)$  is defined by

$$\Gamma_n(T) = \sup\{|\det(\langle Tx_i, y_j^* \rangle)|^{1/n} : (x_k)_{k=1}^n \subset B_X, (y_k^*)_{k=1}^n \subset B_{Y^*}\}.$$

The  $n$ -th volume number of  $T \in \mathcal{B}(X, Y)$  is defined by

$$v_n(T) = \sup\left\{\left(\frac{\text{Vol}(T(B_M))}{\text{Vol}(B_N)}\right)^{1/n} : M \subset X, T(M) \subset N \subset Y, \dim M = \dim N = n\right\}.$$

The  $n$ -th volume ratio number of  $T \in \mathcal{B}(X, Y)$  is defined by

$$vr_n(T) = \sup\left\{\left(\frac{\text{Vol}(Q_N^Y(T(B_X)))}{\text{Vol}(B_{Y/N})}\right)^{1/n} : N \subset Y, \text{codim } N = n\right\}.$$

For an operator  $U \in \mathcal{B}(\ell_2^n, X)$ , we define

$$\ell(U) = \left(\int_{\mathbb{R}^n} \|Ux\|^2 d\gamma_n(x)\right)^{1/2},$$

where  $\gamma_n$  is the canonical Gaussian probability measure on  $\mathbb{R}^n$ .

For an operator  $V \in \mathcal{B}(X, \ell_2^n)$ , we set

$$\ell^*(V) = \sup\{|\text{tr}(VU)| : U \in \mathcal{B}(\ell_2^n, X), \ell(U) \leq 1\}.$$

A Banach space  $X$  is called a weak type  $p$  space if there is a constant  $C$  such that for all  $n$  and all operators  $V \in \mathcal{B}(X, \ell_2^n)$ , we have  $\sup_k k^{1/p'} a_k(V) \leq C \cdot \ell^*(V)$ . The smallest constant  $C$  for which this holds will be denoted by  $wT_p(X)$ .

A Banach space  $X$  is called  $K$ -convex if there is a constant  $C$  such that for every  $n$  and every operator  $V \in \ell^*(X, \ell_2^n)$ , we have  $\ell(V^*) \leq C \cdot \ell^*(V)$ . In this case, we define the  $K$ -convexity constant as  $K(X) = \inf C$ , where the infimum is taken over all constants  $C$  satisfying the above inequality.

If  $x = (\xi_i)$  is a bounded sequence then we put  $s_n(x) = \inf\{\sigma \geq 0 : \text{card}\{i : |\xi_i| \geq \sigma\} < n\}$ .  $(s_n(x))$  is called the non-increasing rearrangement of  $x$ . Let  $0 < r < \infty$  and  $0 < w \leq \infty$ . Then the Lorentz sequence

space  $\ell_{r,w}$  consists of all sequences  $x = (\xi_i)$  having a finite quasi-norm

$$\|x\|_{r,w} = \begin{cases} (\sum_{n=1}^{\infty} [n^{1/r-1/w} s_n(x)]^w)^{1/w} & \text{if } 0 < w < \infty, \\ \sup_n [n^{1/r} s_n(x)] & \text{if } w = \infty. \end{cases}$$

For  $s \in \{e, a, c, d, x, v, vr\}$ , an operator  $T \in \mathcal{B}(X, Y)$  is said to be of  $s$ -type  $\ell_{r,w}$  if  $(s_n(T)) \in \ell_{r,w}$ . The set of these operators is denoted by  $\mathcal{L}_{r,w}^{(s)}(X, Y)$ . For  $T \in \mathcal{L}_{r,w}^{(s)}(X, Y)$ , we define  $\|T\|_{\mathcal{L}_{r,w}^{(s)}} = \|(s_n(T))\|_{r,w}$  [13].

Let  $0 < r < 1$  and  $0 < w \leq \infty$ . An operator  $T \in \mathcal{B}(X, Y)$  is said to be  $(r, w)$ -nuclear if it can be written in the form  $T = \sum_{i=1}^{\infty} \tau_i x_i^* \otimes y_i$  with  $(x_i^*)$  in  $B_{X^*}$ ,  $(y_i)$  in  $B_Y$  and  $(\tau_i) \in \ell_{r,w}$ . The set of these operators is denoted by  $T \in \mathcal{N}_{r,w}(X, Y)$ . For  $T \in \mathcal{N}_{r,w}(X, Y)$ , we define a quasi-norm

$$\nu_{r,w}(T) = \begin{cases} \inf(\sum_{n=1}^{\infty} [n^{1/r-1/w} \tau_n]^w)^{1/w} & \text{if } 0 < w < \infty, \\ \inf(\sup_n [n^{1/r} \tau_n]) & \text{if } w = \infty, \end{cases}$$

where the infimum is taken over all  $(r, w)$ -nuclear representations such that  $\tau_1 \geq \tau_2 \geq \dots \geq 0$ .

### 3. Results

By using Carl's proof [5] and the generalized Carl-Maurey inequality, we estimate the entropy quasi-norm of operators acting from  $\ell_1^m$  into a Banach space of weak type  $p$ .

LEMMA 1. *Let  $X$  be of weak type  $p$ ,  $1 \leq p < 2$ , and  $S \in \mathcal{B}(\ell_1^m, X)$ . Then there exists a constant  $C > 0$  such that  $\sup_{1 \leq k < \infty} k^{1/s} e_k(S) \leq C \|S\| m^{1/s-1/p'}$  for  $s < p'$  and  $m = 1, 2, \dots$*

*Proof.* We invoke Carl-Maurey inequality [8] to infer that there exists a constant  $C \geq 0$  such that

$$\begin{aligned} \sup_{1 \leq k \leq m} k^{1/s} e_k(S) &\leq C \|S\| \sup_{1 \leq k \leq m} k^{1/s-1/p'} [1 + \ln(\frac{m}{k})]^{1/p'} \\ &\leq C_0 \|S\| m^{1/s-1/p'} \quad \text{for } s < p'. \end{aligned}$$

Now we estimate  $\sup_{k>m} k^{1/s} e_k(S)$ . Let  $I_m$  denote the identity operator on  $\ell_1^m$ . Using the multiplicativity of the entropy numbers we obtain that

$$\begin{aligned} \sup_{k>m} k^{1/s} e_k(S) &= \sup_{k \geq 1} (m+k)^{1/s} e_{m+k}(S) \\ &\leq \sup_{k \geq 1} (m+k)^{1/s} e_m(S) e_k(I_m) \leq e_m(S) \sup_{k \geq 1} 2^{1/s} (m^{1/s} + k^{1/s}) e_k(I_m) \\ &\leq 2^{1/s} m^{1/s} e_m(S) + 2^{1/s} e_m(S) \sup_{k \geq 1} k^{1/s} e_k(I_m). \end{aligned}$$

Applying proposition 12.1.13 of [12] we derive that

$$\begin{aligned} \sup_{k \geq 1} k^{1/s} e_k(I_m) &\leq \left( \sum_{k=1}^{\infty} e_k^s(I_m) \right)^{1/s} \leq 4 \left( \sum_{k=1}^{\infty} (2^{-(k-1)/2m})^s \right)^{1/s} \\ &\leq 4 \frac{1}{(1 - 2^{-s/2m})^{1/s}} \leq 4 \frac{2^{1/2m}}{(2^{s/2m} - 1)^{1/s}} \\ &\leq 4 \frac{2^{1/2m}}{(s/2m)^{1/s} (\ln 2)^{1/s}} \leq 8 \frac{2^{1/s} m^{1/s}}{(s \ln 2)^{1/s}}. \end{aligned}$$

It takes another appeal to Carl-Maurey inequality [8] to yield that

$$\begin{aligned} \sup_{k>m} k^{1/s} e_k(S) &\leq e_m(S) m^{1/s} 2^{1/s} \left[ 1 + \frac{8 \cdot 2^{1/s}}{(s \ln 2)^{1/s}} \right] \\ &\leq C_1 \|S\| m^{1/s-1/p'} 2^{1/s} \left[ 1 + \frac{8 \cdot 2^{1/s}}{(s \ln 2)^{1/s}} \right] \leq C_2 \|S\| m^{1/s-1/p'}. \end{aligned}$$

Combining this with the above estimate we see that

$$\begin{aligned} \sup_{1 \leq k < \infty} k^{1/s} e_k(S) &\leq \sup_{1 \leq k \leq m} k^{1/s} e_k(S) + \sup_{k > m} k^{1/s} e_k(S) \\ &\leq C_3 \|S\| m^{1/s-1/p'} \quad \text{for } s < p'. \end{aligned}$$

□

Applying this lemma and Carl's proof [4], [5] we intend to characterize operators of the form  $SD_\sigma$ , where  $D_\sigma : \ell_q \rightarrow \ell_1$  is a diagonal operator generated by a sequence belonging to a Lorentz sequence space and  $S : \ell_1 \rightarrow X$  is an arbitrary operator with the image in a Banach space of weak type  $p$ , in terms of entropy numbers.

PROPOSITION 1. Let  $X$  be of weak type  $p$ ,  $1 \leq p < 2$ ,  $S \in \mathcal{B}(\ell_1, X)$  and let  $D_\sigma \in \mathcal{B}(\ell_1, \ell_1)$  be a diagonal operator generated by a sequence  $\sigma = (\sigma_i) \in \ell_{r,t}$ , where  $0 < r < \infty$  and  $0 < t \leq \infty$ . Then  $SD_\sigma \in \mathcal{L}_{s,t}^{(e)}(\ell_1, X)$  for  $1/s = 1/r + 1/p'$ .

*Proof.* First we shall show that if  $\sigma \in \ell_{r,\infty}$  then  $SD_\sigma \in \mathcal{L}_{s,\infty}^{(e)}(\ell_1, X)$ , where  $1/s = 1/r + 1/p'$  (\*).

There is no loss in assuming that  $|\sigma_1| \geq |\sigma_2| \geq \dots \geq 0$ . We define canonical operators  $J_k \in \mathcal{B}(\ell_1^{2^k}, \ell_1)$  and  $Q_k \in \mathcal{B}(\ell_1, \ell_1^{2^k})$  by

$$\begin{aligned} J_k(\xi_1, \dots, \xi_{2^k}) &= (0, \dots, 0, \xi_1, \dots, \xi_{2^k}, 0, \dots), \\ Q_k(\xi_1, \dots, \xi_k, \dots) &= (\xi_{2^k}, \dots, \xi_{2^{k+1}-1}), \end{aligned}$$

respectively, for  $k \geq 0$ . Let  $M_k \in \mathcal{B}(\ell_1^{2^k}, \ell_1^{2^k})$  be the operator defined by  $M_k(\eta_1, \dots, \eta_{2^k}) = (\sigma_{2^k} \eta_1, \dots, \sigma_{2^{k+1}-1} \eta_{2^k})$  for  $k \geq 0$ . Then  $D_\sigma = \sum_{k=0}^{\infty} J_k M_k Q_k$  and so  $SD_\sigma = \sum_{k=0}^{\infty} S J_k M_k Q_k$ . Taking account of the fact that  $\mathcal{L}_{s,\infty}^{(e)}$  admits an equivalent  $\alpha$ -norm and using lemma 1 we obtain

$$\begin{aligned} \left\| \sum_{k=0}^{m-1} S J_k M_k Q_k \right\|_{\mathcal{L}_{s,\infty}^{(e)}} &\leq C_0 \left( \sum_{k=0}^{m-1} \|S J_k M_k Q_k\|_{\mathcal{L}_{s,\infty}^{(e)}}^\alpha \right)^{1/\alpha} \\ &\leq C_0 \left( \sum_{k=0}^{m-1} \|S J_k\|_{\mathcal{L}_{s,\infty}^{(e)}}^\alpha \|M_k\|^\alpha \|Q_k\|^\alpha \right)^{1/\alpha} \\ &\leq C_0 \left( \sum_{k=0}^{m-1} \|S J_k\|_{\mathcal{L}_{s,\infty}^{(e)}}^\alpha |\sigma_{2^k}|^\alpha \right)^{1/\alpha} \\ &\leq C_1 \left( \sum_{k=0}^{m-1} \|S J_k\|^\alpha 2^{\alpha k(1/s-1/p')} |\sigma_{2^k}|^\alpha \right)^{1/\alpha} \\ &\leq C_1 \|S\| \left( \sum_{k=0}^{m-1} 2^{\alpha k(1/s-1/p')} |\sigma_{2^k}|^\alpha \right)^{1/\alpha} \quad \text{for } s < p'. \end{aligned}$$

We assume that  $\sigma = (\sigma_i) \in \ell_{r,\infty}$ . Then we get

$$\begin{aligned} \left\| \sum_{k=0}^{m-1} S J_k M_k Q_k \mid \mathcal{L}_{s,\infty}^{(e)} \right\| &\leq C_2 \|S\| \left( \sum_{k=0}^{m-1} 2^{\alpha k(1/s-1/p')} 2^{-\alpha k/r} \right)^{1/\alpha} \\ &\leq C_3 \|S\| 2^{m(1/s-1/p'-1/r)} \quad \text{for } 1/s > 1/r + 1/p'. \end{aligned}$$

This yields  $e_{2^{m-1}}(\sum_{k=0}^{m-1} S J_k M_k Q_k) \leq C_4 \|S\| 2^{-m(1/r+1/p')}$ . In order to estimate  $\|\sum_{k=m}^{\infty} S J_k M_k Q_k \mid \mathcal{L}_{s,\infty}^{(e)}\|$  we choose  $s$  such that  $1/p' < 1/s < 1/r + 1/p'$ . By arguing similarly as above, we establish the following estimation

$$\begin{aligned} \left\| \sum_{k=m}^{\infty} S J_k M_k Q_k \mid \mathcal{L}_{s,\infty}^{(e)} \right\| &\leq C_5 \|S\| \left( \sum_{k=m}^{\infty} 2^{\alpha k(1/s-1/r-1/p')} \right)^{1/\alpha} \\ &\leq C_5 \|S\| 2^{m(1/s-1/r-1/p')} \cdot \left( \sum_{k=0}^{\infty} 2^{\alpha k(1/s-1/r-1/p')} \right)^{1/\alpha} \\ &\leq C_6 \|S\| 2^{m(1/s-1/r-1/p')}. \end{aligned}$$

This implies  $e_{2^{m-1}}(\sum_{k=m}^{\infty} S J_k M_k Q_k) \leq C_7 \|S\| 2^{-m(1/r+1/p')}$ . From the additivity of the entropy numbers it follows that

$$\begin{aligned} e_{2^m}(SD_\sigma) &\leq e_{2^{m-1}}\left(\sum_{k=0}^{m-1} S J_k M_k Q_k\right) + e_{2^{m-1}}\left(\sum_{k=m}^{\infty} S J_k M_k Q_k\right) \\ &\leq C_8 \|S\| 2^{-m(1/r+1/p')}. \end{aligned}$$

If  $n$  is a natural number we take  $m$  so that  $2^m \leq n < 2^{m+1}$ . An appeal to the monotonicity of the entropy numbers establishes that  $e_n(SD_\sigma) \leq e_{2^m}(SD_\sigma) \leq C_9 \|S\| n^{-1/r-1/p'}$ . Hence  $SD_\sigma \in \mathcal{L}_{s,\infty}^{(e)}(\ell_1, X)$  for  $1/s = 1/r + 1/p'$ , which verifies (\*).

Now we use real interpolation to derive the desired assertion. Given  $r$  with  $0 < r < \infty$  we can find  $r_0, r_1$  and  $\theta$  such that  $0 < r_0 < r_1 < \infty$ ,  $0 < \theta < 1$  and  $1/r = (1-\theta)/r_0 + \theta/r_1$ . We consider the operator  $\mathcal{T}$  transforming every sequence  $\sigma$  into the composition operator  $SD_\sigma$ . By (\*),  $\mathcal{T} : \ell_{r_i,\infty} \rightarrow \mathcal{L}_{s_i,\infty}^{(e)}(\ell_1, X)$ , where  $1/s_i = 1/r_i + 1/p'$ ,  $i = 0, 1$ , are both bounded linear operators. Since  $1/r = (1-\theta)/r_0 + \theta/r_1$ ,

theorem 5.3.1. of [1] tells us that  $(\ell_{r_0, \infty}, \ell_{r_1, \infty})_{\theta, t} = \ell_{r, t}$ . The well-known interpolation formula concerning entropy ideals allows us to obtain  $(\mathcal{L}_{s_0, \infty}^{(e)}(\ell_1, X), \mathcal{L}_{s_1, \infty}^{(e)}(\ell_1, X))_{\theta, t} \subseteq \mathcal{L}_{s, t}^{(e)}(\ell_1, X)$ , where  $1/s = (1 - \theta)/s_0 + \theta/s_1 = 1/r + 1/p'$ . We apply the interpolation theorem [1] to deduce that  $\mathcal{T} : \ell_{r, t} \rightarrow \mathcal{L}_{s, t}^{(e)}(\ell_1, X)$  is also bounded. This ends the proof of the proposition.  $\square$

**PROPOSITION 2.** *Let  $X$  be of weak type  $p$ ,  $1 \leq p < 2$ ,  $S \in \mathcal{B}(\ell_1, X)$  and let  $D_\sigma \in \mathcal{B}(\ell_q, \ell_1)$  be a diagonal operator generated by a sequence  $\sigma = (\sigma_i) \in \ell_{r, t}$ , where  $0 < r < \infty$ ,  $0 < t \leq \infty$ ,  $1 \leq q \leq \infty$  and  $1/r + 1/q > 1$ . Then  $SD_\sigma \in \mathcal{L}_{s, t}^{(e)}(\ell_q, X)$  provided that  $1/s = 1/r + 1/q - 1/p$ .*

*Proof.* The assumptions on  $q$  and  $r$  guarantee that we can choose  $r_0$  and  $r_1$  such that  $0 < r_0, r_1 < \infty$ ,  $1/r = 1/r_0 + 1/r_1$  and  $1/r_1 + 1/q > 1$ . Then we can split  $\sigma = \tau \circ \mu$  with  $\mu \in \ell_{r_1, \infty}$  and  $\tau \in \ell_{r_0, t}$ . As a result the operator  $D_\sigma \in \mathcal{B}(\ell_q, \ell_1)$  can be factorized with diagonal operators  $D_\mu$  and  $D_\tau$  as  $D_\sigma : \ell_q \xrightarrow{D_\mu} \ell_1 \xrightarrow{D_\tau} \ell_1$ . Since  $1/r_1 > \max(1 - 1/q, 0)$ , we use a result of Carl [4] to see that  $D_\mu \in \mathcal{L}_{s_1, \infty}^{(e)}(\ell_q, \ell_1)$  for  $1/s_1 = 1/r_1 + 1/q - 1$ . An appeal to proposition 1 reveals that  $SD_\tau \in \mathcal{L}_{s_0, t}^{(e)}(\ell_1, X)$  for  $1/s_0 = 1/r_0 + 1/p'$ . Using the multiplication theorem for the entropy ideals we derive that  $SD_\sigma = SD_\tau D_\mu \in \mathcal{L}_{s_0, t}^{(e)} \circ \mathcal{L}_{s_1, \infty}^{(e)}(\ell_q, X) \subseteq \mathcal{L}_{s, t}^{(e)}(\ell_q, X)$  for  $1/s = 1/s_0 + 1/s_1 = 1/r + 1/q - 1/p$ .  $\square$

In the next proposition we describe the dual situation.

**PROPOSITION 3.** *Let  $X^*$  be of weak type  $p$ ,  $1 \leq p < 2$ ,  $R \in \mathcal{B}(X, \ell_\infty)$  and let  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_q)$  be a diagonal operator generated by a sequence  $\sigma = (\sigma_i) \in \ell_{r, t}$ , where  $1 \leq q \leq \infty$ ,  $0 < r < q \leq \infty$  and  $0 < t \leq \infty$ . Then  $D_\sigma R \in \mathcal{L}_{s, t}^{(e)}(X, \ell_q)$  for  $1/s = 1/r + 1/q' - 1/p$ .*

*Proof.* We start with the case  $1 < q < \infty$ . For  $R \in \mathcal{B}(X, \ell_\infty)$ , we denote the restriction of  $R^*$  to  $\ell_1$  by  $S$ . Since  $X^*$  is of weak type  $p$  and  $1/r + 1/q' > 1$ , it follows from proposition 2 that  $SD_\sigma \in \mathcal{L}_{s, t}^{(e)}(\ell_{q'}, X^*)$  with  $1/s = 1/r + 1/q' - 1/p$ . The  $K$ -convexity of  $\ell_{q'}$  enables us to invoke a result due to Bourgain, Pajor, Szarek and Tomczak-Jaegermann [2] to get that there exists a constant  $C \geq 0$  such that



$\|D_\sigma R| \mathcal{L}_{s,t}^{(e)}\| = \|D_\sigma S^*|_X | \mathcal{L}_{s,t}^{(e)}\| \leq \|D_\sigma S^*| \mathcal{L}_{s,t}^{(e)}\| \leq C \|SD_\sigma| \mathcal{L}_{s,t}^{(e)}\|$ .  
Consequently  $D_\sigma R \in \mathcal{L}_{s,t}^{(e)}(X, \ell_q)$  for  $1/s = 1/r + 1/q' - 1/p$ .

Now we deal with the case  $q = 1$  or  $q = \infty$ . Given  $r$  with  $0 < r < q \leq \infty$ , we choose  $r_0, r_1$  and  $u$  such that  $0 < r_0, r_1 < \infty$ ,  $1 < u < \infty$ ,  $1/r_0 + 1/u > 1/q$ ,  $1/r_1 > 1/u$  and  $1/r = 1/r_0 + 1/r_1$ . Thus we split  $\sigma = \mu \circ \tau$  with  $\tau \in \ell_{r_1, t}$  and  $\mu \in \ell_{r_0, \infty}$  and hence the operator  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_q)$  is factorized with diagonal operators  $D_\tau$  and  $D_\mu$  as  $D_\sigma : \ell_\infty \xrightarrow{D_\tau} \ell_u \xrightarrow{D_\mu} \ell_q$ . Since  $1/r_0 > \max(1/q - 1/u, 0)$ , we take account of a result due to Carl [4] to conclude that  $D_\mu \in \mathcal{L}_{s_0, \infty}^{(e)}(\ell_u, \ell_q)$  with  $1/s_0 = 1/r_0 + 1/u - 1/q$ . Applying the result of the preceding case to the operator  $D_\tau \in \mathcal{B}(\ell_\infty, \ell_u)$  we have  $D_\tau R \in \mathcal{L}_{s_1, t}^{(e)}(X, \ell_u)$  with  $1/s_1 = 1/r_1 + 1/u' - 1/p$ . The multiplication theorem for the entropy ideals assures us that  $D_\sigma R = D_\mu D_\tau R \in \mathcal{L}_{s_0, \infty}^{(e)} \circ \mathcal{L}_{s_1, t}^{(e)}(X, \ell_q) \subseteq \mathcal{L}_{s, t}^{(e)}(X, \ell_q)$  whenever  $1/s = 1/s_0 + 1/s_1 = 1/r + 1/q' - 1/p$ .  $\square$

Propositions 2 and 3 permit us to give a description of  $(r, w)$ -nuclear operators acting from a Banach space whose dual has weak type  $q$  into a Banach space of weak type  $p$ ,  $1 \leq p, q < 2$ , in terms of their entropy numbers.

**THEOREM 1.** *Let  $X^*$  be of weak type  $q$  and  $Y$  of weak type  $p$ ,  $1 \leq p, q < 2$ . Then  $\mathcal{N}_{r, w}(X, Y) \subset \mathcal{L}_{s, w}^{(e)}(X, Y)$  provided that  $0 < r < 1$ ,  $0 < w \leq \infty$  and  $1/s = 1 + 1/r - 1/p - 1/q$ .*

*Proof.* We divide the proof into two steps.

Step 1. The first step is to verify the following assertion : If  $R \in \mathcal{B}(X, \ell_\infty)$ ,  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_1)$  is a diagonal operator generated by a sequence  $\sigma = (\sigma_i) \in \ell_r$ ,  $0 < r < 1$ , and  $S \in \mathcal{B}(\ell_1, Y)$  then  $SD_\sigma R \in \mathcal{L}_{s, r}^{(e)}(X, Y)$  with  $1/s = 1 + 1/r - 1/p - 1/q$ .

Since  $1/r = 1/2r + 1/2r$ , for  $\sigma \in \ell_r$ , we split  $\sigma = \tau \circ \tau$  with  $\tau \in \ell_{2r}$ . Therefore the operator  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_1)$  admits a factorization  $D_\sigma : \ell_\infty \xrightarrow{D_\tau} \ell_2 \xrightarrow{D_\tau} \ell_1$ , where  $D_\tau$  is a diagonal operator induced by a sequence  $\tau \in \ell_{2r}$ . Since  $Y$  is of weak type  $p$  and  $1/2r + 1/2 > 1$ , we apply proposition 2 to deduce that  $SD_\tau \in \mathcal{L}_{s_0, 2r}^{(e)}(\ell_2, Y)$  for  $1/s_0 = 1/2r + 1/2 - 1/p$ . As  $X^*$  is of weak type  $q$  and  $0 < 2r < 2$ , we invoke proposition 3 to produce  $D_\tau R \in \mathcal{L}_{s_1, 2r}^{(e)}(X, \ell_2)$  with  $1/s_1 = 1/2r + 1/2 -$

$1/q$ . The multiplication theorem for the entropy ideals informs us that  $SD_\sigma R = SD_\tau D_\tau R \in \mathcal{L}_{s_0, 2r}^{(e)} \circ \mathcal{L}_{s_1, 2r}^{(e)}(X, Y) \subseteq \mathcal{L}_{s, r}^{(e)}(X, Y) \subseteq \mathcal{L}_{s, \infty}^{(e)}(X, Y)$  with  $1/s = 1/s_0 + 1/s_1 = 1 + 1/r - 1/p - 1/q$ .

Step 2. We improve the result of the preceding step by real interpolation. Given  $r$  with  $0 < r < 1$  we can find  $r_0, r_1$  and  $\theta$  such that  $0 < r_0 < r_1 < 1$ ,  $0 < \theta < 1$  and  $1/r = (1 - \theta)/r_0 + \theta/r_1$ . Let  $\mathcal{T}$  be the operator transforming every sequence  $\sigma$  into the composition operator  $SD_\sigma R$ . By Step 1,  $\mathcal{T} : \ell_{r_i} \rightarrow \mathcal{L}_{s_i, \infty}^{(e)}(X, Y)$ , where  $1/s_i = 1 + 1/r_i - 1/p - 1/q$ ,  $i = 0, 1$ , are both bounded linear operators. Since  $1/r = (1 - \theta)/r_0 + \theta/r_1$ , we have  $(\ell_{r_0}, \ell_{r_1})_{\theta, w} = \ell_{r, w}$  with the help of theorem 5.3.1. of [1]. The well-known interpolation formula concerning entropy ideals asserts that  $(\mathcal{L}_{s_0, \infty}^{(e)}(X, Y), \mathcal{L}_{s_1, \infty}^{(e)}(X, Y))_{\theta, w} \subseteq \mathcal{L}_{s, w}^{(e)}(X, Y)$ , where  $1/s = (1 - \theta)/s_0 + \theta/s_1 = 1 + 1/r - 1/p - 1/q$ . An appeal to the interpolation theorem [1] establishes that  $\mathcal{T} : \ell_{r, w} \rightarrow \mathcal{L}_{s, w}^{(e)}(X, Y)$  is also bounded.

Now we select any  $T \in \mathcal{N}_{r, w}(X, Y)$ . It is known that  $T$  can be represented as  $T = SD_\sigma R$ , where  $R \in \mathcal{B}(X, \ell_\infty)$ ,  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_1)$  is a diagonal operator generated by a sequence  $\sigma = (\sigma_i) \in \ell_{r, w}$  and  $S \in \mathcal{B}(\ell_1, Y)$ . This leads us to have that  $T = SD_\sigma R \in \mathcal{L}_{s, w}^{(e)}(X, Y)$ . This proves the desired inclusion.  $\square$

Naturally the question arises : Does the above theorem remain valid even when  $p = 2$  or  $q = 2$  ? This is answered by the theorems stated below. By applying a known fact concerning the relationship between entropy numbers and Kolmogorov numbers, together with estimates for the Kolmogorov numbers in terms of the Weyl numbers, we improve proposition 2 in Banach spaces of weak type 2.

**PROPOSITION 4.** *Let  $X$  be of weak type 2,  $S \in \mathcal{B}(\ell_1, X)$  and let  $D_\sigma \in \mathcal{B}(\ell_q, \ell_1)$  be a diagonal operator generated by a sequence  $\sigma = (\sigma_i) \in \ell_{r, t}$ , where  $1 \leq q \leq \infty$ ,  $0 < r < \min(2, q')$  and  $0 < t \leq \infty$ . Then  $SD_\sigma \in \mathcal{L}_{s, t}^{(e)}(\ell_q, X)$  with  $1/s = 1/r + 1/q - 1/2$ .*

*Proof.* First we shall show that if  $\sigma \in \ell_r$  then  $SD_\sigma \in \mathcal{L}_{s, \infty}^{(e)}(\ell_q, X)$ , where  $1/s = 1/r + 1/q - 1/2$ . (\*)

Given  $r$  with  $0 < r < \min(2, q')$ , we can pick  $r_1$  and  $r_2$  such that  $0 < r_1 < 2$ ,  $1/r_2 > \max(1/2 - 1/q, 0)$  and  $1/r = 1/r_1 + 1/r_2$ . Hence

for  $\sigma \in \ell_r$ , we split  $\sigma = \tau \circ \mu$  with  $\mu \in \ell_{r_2}$  and  $\tau \in \ell_{r_1}$ . Accordingly the operator  $D_\sigma \in \mathcal{B}(\ell_q, \ell_1)$  can be factorized with diagonal operators  $D_\mu$  and  $D_\tau$  as  $D_\sigma : \ell_q \xrightarrow{D_\mu} \ell_2 \xrightarrow{D_\tau} \ell_1$ . Since  $1/r_2 > \max(1/2 - 1/q, 0)$ , we invoke a result of Carl [4] to deduce that  $D_\mu \in \mathcal{L}_{s_2, r_2}^{(e)}(\ell_q, \ell_2) \subset \mathcal{L}_{s_2, \infty}^{(e)}(\ell_q, \ell_2)$  for  $1/s_2 = 1/r_2 + 1/q - 1/2$ . Using a result due to Carl [3] and making use of theorem 11.7.7. of [12] we get that  $\|SD_\tau | \mathcal{L}_{r_1, \infty}^{(e)}\| \leq C_0 \|SD_\tau | \mathcal{L}_{r_1, \infty}^{(d)}\| = C_0 \|(SD_\tau)^* | \mathcal{L}_{r_1, \infty}^{(c)}\|$ . Since  $X$  is of weak type 2, it follows that  $X$  is  $K$ -convex and hence  $X^*$  is  $K$ -convex. This enables us to use a result of Pajor and Tomczak-Jaegermann [11] to see that

$$\begin{aligned} \|(SD_\tau)^* | \mathcal{L}_{r_1, \infty}^{(c)}\| &\leq C_1 K(X^*)^{2/r_1} \|(SD_\tau)^* | \mathcal{L}_{r_1, \infty}^{(vr)}\| \\ &\leq C_1 K(X^*)^{2/r_1} \|SD_\tau | \mathcal{L}_{r_1, \infty}^{(v)}\|. \end{aligned}$$

Now we estimate the volume numbers in terms of the Weyl numbers. Let  $H$  be an  $n$ -dimensional subspace of  $\ell_2$ . By setting  $E = \text{ran}(SD_\tau i_H)$ , where  $i_H : H \rightarrow \ell_2$  is the natural injection, we have  $\dim E \leq n$ . Then Lewis' theorem [14] asserts that there is an isomorphism  $u : \ell_2^n \rightarrow E$  and an operator  $v : X \rightarrow \ell_2^n$  such that  $v|_E = u^{-1}$  and  $\ell(u) = \ell^*(v) \leq n^{1/2}$ . Using Geiss' theorem [9] and a result due to Pajor and Tomczak-Jaegermann [14], together with the multiplicativity of the volume numbers, we obtain the following :

$$\begin{aligned} v_n(SD_\tau i_H) &= v_n(uvSD_\tau i_H) \leq v_n(u) v_n(vSD_\tau i_H) \\ &\leq 4 e_n(u) \left( \prod_{k=1}^n a_k(vSD_\tau i_H) \right)^{1/n} \\ &\leq 4 C_2 n^{-1/2} \ell(u) n^{-(1/r_1+1/2)} \sup_k k^{1/r_1+1/2} x_k(v^*(SD_\tau i_H)^*) \\ &\leq 4 C_3 n^{-(1/r_1+1/2)} \|v | \mathcal{L}_{2, \infty}^{(a)}\| \cdot \|(SD_\tau)^* | \mathcal{L}_{r_1, \infty}^{(x)}\| \\ &\leq 4 C_3 n^{-(1/r_1+1/2)} wT_2(X) \ell^*(v) \|(SD_\tau)^* | \mathcal{L}_{r_1, \infty}^{(x)}\| \\ &\leq 4 C_3 n^{-1/r_1} wT_2(X) \|(SD_\tau)^* | \mathcal{L}_{r_1, \infty}^{(x)}\| \end{aligned}$$

This gives that  $\|SD_\tau | \mathcal{L}_{r_1, \infty}^{(v)}\| \leq 4 C_3 wT_2(X) \|(SD_\tau)^* | \mathcal{L}_{r_1, \infty}^{(x)}\|$ . From a result of Lubitz [13], we know that  $\|(SD_\tau)^* | \mathcal{L}_{r_1, \infty}^{(x)}\| \leq C_4 \|S\| \cdot$

$\|\tau\|_{r_1, \infty} \leq C_4 \|S\| \cdot \|\tau\|_{r_1}$ . Combining the above inequalities we arrive at  $\|SD_\tau|_{\mathcal{L}_{r_1, \infty}^{(e)}}\| \leq CK(X^*)^{2/r_1} wT_2(X) \|S\| \cdot \|\tau\|_{r_1}$ , that is  $SD_\tau \in \mathcal{L}_{r_1, \infty}^{(e)}(\ell_2, X)$ . An appeal to the multiplication theorem for the entropy ideals ensures that  $SD_\sigma = SD_\tau D_\mu \in \mathcal{L}_{r_1, \infty}^{(e)} \circ \mathcal{L}_{s_2, \infty}^{(e)}(\ell_q, X) \subseteq \mathcal{L}_{s, \infty}^{(e)}(\ell_q, X)$  for  $1/s = 1/r_1 + 1/s_2 = 1/r + 1/q - 1/2$ , which proves (\*).

Next we apply real interpolation to derive the required assertion. Given  $r$  with  $0 < r < \min(2, q')$ , we can select  $r_0, r_1$  and  $\theta$  such that  $0 < r_0 < r_1 < \min(2, q')$ ,  $0 < \theta < 1$  and  $1/r = (1 - \theta)/r_0 + \theta/r_1$ . Let  $\mathcal{T}$  be the operator assigning to every sequence  $\sigma$  the composition operator  $SD_\sigma$ . By (\*),  $\mathcal{T} : \ell_{r_i} \rightarrow \mathcal{L}_{s_i, \infty}^{(e)}(\ell_q, X)$ , where  $1/s_i = 1/r_i + 1/q - 1/2$ ,  $i = 0, 1$ , are both bounded linear operators. Since  $1/r = (1 - \theta)/r_0 + \theta/r_1$ , we have  $(\ell_{r_0}, \ell_{r_1})_{\theta, t} = \ell_{r, t}$  in view of theorem 5.3.1. of [1]. The well-known interpolation formula concerning entropy ideals tells us that  $(\mathcal{L}_{s_0, \infty}^{(e)}(\ell_q, X), \mathcal{L}_{s_1, \infty}^{(e)}(\ell_q, X))_{\theta, t} \subseteq \mathcal{L}_{s, t}^{(e)}(\ell_q, X)$ , where  $1/s = (1 - \theta)/s_0 + \theta/s_1 = 1/r + 1/q - 1/2$ . Applying the interpolation theorem [1] we draw that  $\mathcal{T} : \ell_{r, t} \rightarrow \mathcal{L}_{s, t}^{(e)}(\ell_q, X)$  is also bounded. This completes the proof of the proposition.  $\square$

The following proposition shows a corresponding dual formulation for a Banach space whose dual has weak type 2.

**PROPOSITION 5.** *Let  $X^*$  be of weak type 2,  $R \in \mathcal{B}(X, \ell_\infty)$  and let  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_q)$  be a diagonal operator generated by a sequence  $\sigma = (\sigma_i) \in \ell_{r, t}$ , where  $1 \leq q \leq \infty$ ,  $0 < r < \min(2, q)$  and  $0 < t \leq \infty$ . Then  $D_\sigma R \in \mathcal{L}_{s, t}^{(e)}(X, \ell_q)$  for  $1/s = 1/r - 1/q + 1/2$ .*

*Proof.* We proceed in the same way as in the proof of proposition 3. We first deal with the case  $1 < q < \infty$ . For  $R \in \mathcal{B}(X, \ell_\infty)$ , we define  $R^*|_{\ell_1} = S \in \mathcal{B}(\ell_1, X^*)$ . As  $X^*$  is of weak type 2 and  $0 < r < \min(2, q)$ , we summon up proposition 4 to conclude that  $SD_\sigma \in \mathcal{L}_{s, t}^{(e)}(\ell_{q'}, X^*)$  with  $1/s = 1/r + 1/q' - 1/2$ . Since  $\ell_{q'}$  is  $K$ -convex, we use a result due to Bourgain, Pajor, Szarek and Tomczak-Jaegermann [2] to obtain that there exists a constant  $C \geq 0$  such that  $\|D_\sigma R|_{\mathcal{L}_{s, t}^{(e)}}\| = \|D_\sigma S^*|_X|_{\mathcal{L}_{s, t}^{(e)}}\| \leq \|D_\sigma S^*|_{\mathcal{L}_{s, t}^{(e)}}\| \leq C \|SD_\sigma|_{\mathcal{L}_{s, t}^{(e)}}\|$ . This implies  $D_\sigma R \in \mathcal{L}_{s, t}^{(e)}(X, \ell_q)$  for  $1/s = 1/r - 1/q + 1/2$ .

Next we treat the case  $q = 1$  or  $q = \infty$ . Given  $r$  with  $0 < r < \min(2, q)$ , we find  $r_0, r_1$  and  $u$  such that  $0 < r_0 < \infty$ ,  $1 < u <$

$\infty$ ,  $0 < r_1 < \min(2, u)$ ,  $1/r_0 + 1/u > 1/q$  and  $1/r = 1/r_0 + 1/r_1$ . Therefore we split  $\sigma = \mu \circ \tau$  with  $\tau \in \ell_{r_1, t}$  and  $\mu \in \ell_{r_0, \infty}$  and so the operator  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_q)$  is factorized with diagonal operators  $D_\tau$  and  $D_\mu$  as  $D_\sigma : \ell_\infty \xrightarrow{D_\tau} \ell_u \xrightarrow{D_\mu} \ell_q$ . Since  $1/r_0 > \max(1/q - 1/u, 0)$ , we invoke a result of Carl [4] to infer that  $D_\mu \in \mathcal{L}_{s_0, \infty}^{(e)}(\ell_u, \ell_q)$  with  $1/s_0 = 1/r_0 + 1/u - 1/q$ . Applying the result of the preceding case to the operator  $D_\tau \in \mathcal{B}(\ell_\infty, \ell_u)$  we get  $D_\tau R \in \mathcal{L}_{s_1, t}^{(e)}(X, \ell_u)$  with  $1/s_1 = 1/r_1 - 1/u + 1/2$ . Thanks to the multiplication theorem for the entropy ideals, we have  $D_\sigma R = D_\mu D_\tau R \in \mathcal{L}_{s_0, \infty}^{(e)} \circ \mathcal{L}_{s_1, t}^{(e)}(X, \ell_q) \subseteq \mathcal{L}_{s, t}^{(e)}(X, \ell_q)$  for  $1/s = 1/s_0 + 1/s_1 = 1/r - 1/q + 1/2$ .  $\square$

We are now in a position to extend theorem 1 to the case  $p = 2$  or  $q = 2$ .

**THEOREM 2.** *Let  $X^*$  be of weak type 2 and  $Y$  of weak type  $p$ ,  $1 \leq p < 2$ . Then  $\mathcal{N}_{r, w}(X, Y) \subset \mathcal{L}_{s, w}^{(e)}(X, Y)$  provided that  $0 < r < 1$ ,  $0 < w \leq \infty$  and  $1/s = 1/2 + 1/r - 1/p$ .*

*Proof.* We divide the proof into two steps.

Step 1. The first step is to verify the following assertion : If  $R \in \mathcal{B}(X, \ell_\infty)$ ,  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_1)$  is a diagonal operator generated by a sequence  $\sigma = (\sigma_i) \in \ell_r$ ,  $0 < r < 1$ , and  $S \in \mathcal{B}(\ell_1, Y)$  then  $SD_\sigma R \in \mathcal{L}_{s, r}^{(e)}(X, Y)$  with  $1/s = 1/2 + 1/r - 1/p$ .

Since  $1/r = 1/2r + 1/2r$ , for  $\sigma \in \ell_r$ , we split  $\sigma = \tau \circ \tau$  with  $\tau \in \ell_{2r}$ . Consequently the operator  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_1)$  admits a factorization  $D_\sigma : \ell_\infty \xrightarrow{D_\tau} \ell_2 \xrightarrow{D_\tau} \ell_1$ , where  $D_\tau$  is a diagonal operator generated by a sequence  $\tau \in \ell_{2r}$ . As  $Y$  is of weak type  $p$  and  $1/2r + 1/2 > 1$ , it follows from proposition 2 that  $SD_\tau \in \mathcal{L}_{s_1, 2r}^{(e)}(\ell_2, Y)$  for  $1/s_1 = 1/2r + 1/2 - 1/p$ . Since  $X^*$  is of weak type 2 and  $0 < 2r < \min(2, 2)$ , we have  $D_\tau R \in \mathcal{L}_{2r}^{(e)}(X, \ell_2)$  by way of proposition 5. Appealing to the multiplication theorem for the entropy ideals we derive that  $SD_\sigma R = SD_\tau D_\tau R \in \mathcal{L}_{s_1, 2r}^{(e)} \circ \mathcal{L}_{2r}^{(e)}(X, Y) \subseteq \mathcal{L}_{s, r}^{(e)}(X, Y) \subseteq \mathcal{L}_{s, \infty}^{(e)}(X, Y)$  with  $1/s = 1/s_1 + 1/2r = 1/2 + 1/r - 1/p$ .

Step 2. We improve the result of the preceding step by real interpolation. Given  $r$  with  $0 < r < 1$  we can select  $r_0, r_1$  and  $\theta$  such that  $0 < r_0 < r_1 < 1$ ,  $0 < \theta < 1$  and  $1/r = (1 - \theta)/r_0 + \theta/r_1$ . We

consider the operator  $\mathcal{T}$  transforming every sequence  $\sigma$  into the composition operator  $SD_\sigma R$ . By step 1,  $\mathcal{T} : \ell_{r_i} \rightarrow \mathcal{L}_{s_i, \infty}^{(e)}(X, Y)$ , where  $1/s_i = 1/2 + 1/r_i - 1/p$ ,  $i = 0, 1$ , are both bounded linear operators. Applying the interpolation formulas given in the proof of theorem 1 we deduce that  $\mathcal{T} : \ell_{r, w} \rightarrow \mathcal{L}_{s, w}^{(e)}(X, Y)$  is also bounded, where  $1/s = (1 - \theta)/s_0 + \theta/s_1 = 1/2 + 1/r - 1/p$ .

Now we take any  $T \in \mathcal{N}_{r, w}(X, Y)$ . It is known that  $T$  can be represented as  $T = SD_\sigma R$ , where  $R \in \mathcal{B}(X, \ell_\infty)$ ,  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_1)$  is a diagonal operator generated by a sequence  $\sigma = (\sigma_i) \in \ell_{r, w}$  and  $S \in \mathcal{B}(\ell_1, Y)$ . This allows us to have that  $T = SD_\sigma R \in \mathcal{L}_{s, w}^{(e)}(X, Y)$ . This proves the required inclusion.  $\square$

**THEOREM 3.** *Let  $X^*$  be of weak type  $q$ ,  $1 \leq q < 2$ , and  $Y$  of weak type 2. Then  $\mathcal{N}_{r, w}(X, Y) \subset \mathcal{L}_{s, w}^{(e)}(X, Y)$  for  $0 < r < 1$ ,  $0 < w \leq \infty$  and  $1/s = 1/2 + 1/r - 1/q$ .*

*Proof.* Suppose that  $R \in \mathcal{B}(X, \ell_\infty)$ ,  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_1)$  is a diagonal operator generated by a sequence  $\sigma = (\sigma_i) \in \ell_r$ ,  $0 < r < 1$ , and  $S \in \mathcal{B}(\ell_1, Y)$ . Since  $1/r = 1/2r + 1/2r$ , for  $\sigma \in \ell_r$ , we split  $\sigma = \tau \circ \tau$  with  $\tau \in \ell_{2r}$ . As a result the operator  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_1)$  admits a factorization  $D_\sigma : \ell_\infty \xrightarrow{D_\tau} \ell_2 \xrightarrow{D_\tau} \ell_1$ , where  $D_\tau$  is a diagonal operator induced by a sequence  $\tau \in \ell_{2r}$ . Since  $Y$  is of weak type 2 and  $0 < 2r < \min(2, 2)$ , we have  $SD_\tau \in \mathcal{L}_{2r}^{(e)}(\ell_2, Y)$  with the aid of proposition 4. Since  $X^*$  is of weak type  $q$  and  $0 < 2r < 2$ , an appeal to proposition 3 reveals that  $D_\tau R \in \mathcal{L}_{s_1, 2r}^{(e)}(X, \ell_2)$  for  $1/s_1 = 1/2r + 1/2 - 1/q$ . We apply the multiplication theorem for the entropy ideals to produce that  $SD_\sigma R = SD_\tau D_\tau R \in \mathcal{L}_{2r}^{(e)} \circ \mathcal{L}_{s_1, 2r}^{(e)}(X, Y) \subseteq \mathcal{L}_{s, t}^{(e)}(X, Y) \subseteq \mathcal{L}_{s, \infty}^{(e)}(X, Y)$  with  $1/s = 1/2r + 1/s_1 = 1/2 + 1/r - 1/q$ . The required inclusion can be carried out by arguing exactly as in the second part of the proof of theorem 2.  $\square$

**THEOREM 4.** *Let  $X^*$  be of weak type 2 and  $Y$  of weak type 2. Then  $\mathcal{N}_{r, w}(X, Y) \subset \mathcal{L}_{r, w}^{(e)}(X, Y)$  where  $0 < r < 1$  and  $0 < w \leq \infty$ .*

*Proof.* Assume that  $R \in \mathcal{B}(X, \ell_\infty)$ ,  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_1)$  is a diagonal operator generated by a sequence  $\sigma = (\sigma_i) \in \ell_r$ ,  $0 < r < 1$ , and  $S \in \mathcal{B}(\ell_1, Y)$ . Since  $1/r = 1/2r + 1/2r$ , for  $\sigma \in \ell_r$ , we split  $\sigma = \tau \circ \tau$

with  $\tau \in \ell_{2r}$ . Accordingly the operator  $D_\sigma \in \mathcal{B}(\ell_\infty, \ell_1)$  admits a factorization  $D_\sigma : \ell_\infty \xrightarrow{D_\tau} \ell_2 \xrightarrow{D_\tau} \ell_1$ , where  $D_\tau$  is a diagonal operator generated by a sequence  $\tau \in \ell_{2r}$ . Since  $Y$  is of weak type 2 and  $0 < 2r < \min(2, 2)$ , it follows from proposition 4 that  $SD_\tau \in \mathcal{L}_{2r}^{(e)}(\ell_2, Y)$ . As  $X^*$  is of weak type 2 and  $0 < 2r < \min(2, 2)$ , proposition 5 steps in to assure that  $D_\tau R \in \mathcal{L}_{2r}^{(e)}(X, \ell_2)$ . Application of the multiplication theorem for the entropy ideals leads to  $SD_\sigma R = SD_\tau D_\tau R \in \mathcal{L}_{2r}^{(e)} \circ \mathcal{L}_{2r}^{(e)}(X, Y) \subseteq \mathcal{L}_r^{(e)}(X, Y) \subseteq \mathcal{L}_{r, \infty}^{(e)}(X, Y)$ . The remaining assertions are established by arguing exactly as in the second part of the proof of theorem 2.  $\square$

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