

# Efficient Calculation of a Step Discontinuity for Shielded-Microstrip using Vector Finite Element (VFEM) and Mode Matching Method

Young-Tae Kim, Jun-Seok Park and Hyeong-Seok Kim

**Abstract** - In this paper, we proposed a procedure to analyze a shielded-microstrip step discontinuity using the mode matching method (MMM) combined with the vector finite element method (VFEM), which is used to find the equivalent waveguide-model for a microstrip. In order to calculate the effective-widths and dielectric permittivity of the equivalent waveguide-model corresponding shielded-microstrip, the propagation constant and characteristic impedance are calculated from the VFEM. MMM is then applied to find the scattering parameter in the planar waveguide. This technique makes it possible to take advantage of the high accuracy of the VFEM as well as the high efficiency of the MMM.

**Keywords:** shielded-microstrip, vector finite element method, mode matching method

## 1. Introduction

The calculation of the scattering at the step discontinuities for the planar transmission line becomes increasingly important as more precise design procedure are required for monolithic microwave and millimeter-wave integrated circuits. Therefore, it is important to develop an efficient and accurate calculation procedure to compute the characteristics of step discontinuities. Microstrip discontinuity problems have been treated during the past years by several authors. Several comprehensive reviews on microstrip discontinuity problems are also presented in several articles [1]–[4]. The unshielded asymmetric microstrip step discontinuity was studied in Ref. [5] where they employed an equivalent waveguide model based on a spectral domain approach using hybrid mode analysis [5]–[6]. This method is well suited to handle geometries where modal expansions of electromagnetic fields can be derived analytically. However, this method has a limitation in handling a shielded structure with complex and irregular shape.

In this paper, we present a technique to analyze a shielded microstrip step discontinuity based on VFEM [7] and MMM [3]. In order to achieve an equivalent waveguide model of a microstrip discontinuity, the propagation constant and characteristic impedance are calculated from the VFEM in the shielded microstrip cross section.

2D electromagnetic full analysis was used to calculate the shielded microstrip. In this paper, the propagation constant and characteristic impedance of a cross section are calculated

in the analysis domain when the operating frequency is specified. This method could improve the accuracy of the extracted equivalence parameter values for the equivalent waveguide-model compared with existing methods. Furthermore, in order to save computing time, MMM is used for deriving scattering parameters in the analysis domain. The analytical approach used in computing the mode properties allows the development of an efficient MMM procedure.

## 2. The equivalent waveguide for the shielded microstrip

For shielded-microstrip analysis, the propagating modes can be divided into TE or TM modes, which can be solved separately. For the TE mode  $E_z = 0$ , the transverse electric field vector  $E_t$  satisfies the vector wave equation.

$$\nabla_t \times \left( \frac{1}{\mu_r} \nabla_t \times E_t \right) - k_0^2 \epsilon_r E_t = 0 \quad (1)$$

where  $\epsilon_r$  and  $\mu_r$  are the permeability and permittivity of the materials in the microstrip, respectively.

The representative variational functional for such a problem is given by

$$F(E) = \frac{1}{2} \iint_{\Omega} \left[ (\nabla_t \times E) \frac{1}{\mu_r} (\nabla_t \times E)^* - k_0^2 \epsilon_r E E^* \right] d\Omega \quad (2)$$

Assuming that the dependence of the fields in the  $z$ -direction is  $e^{-jk_0 z}$ , the functional can be written in terms of the transverse and the longitudinal fields similar to [7];

$$F(E) = \frac{1}{2} \iint_{\Omega} \left[ (\nabla_t \times E) \mu_r (\nabla_t \times E)^* \right]$$

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$$\begin{aligned}
 & -k_0^2(E_t \epsilon_r E_t^* + E_z \epsilon_r E_z^*) \\
 & + (\nabla_t E_z + jk_z E_t) \mu_r (\nabla_t E_z + jk_z E_t)^* \Big] d\Omega
 \end{aligned} \quad (3)$$

where  $\nabla_t$  is the transverse del operator; these field components can be subsequently expanded as a summation of scalar and vector basis functions. For obtaining more accurate solutions, the second-order vector elements are applied [8].

$$e_t = k_z E_t = \sum_{i=1}^n N_i^e e_{ti}^e \quad (4)$$

$$e_z = -jE_z = \sum_{i=1}^n N_i^e e_{zi}^e \quad (5)$$

where  $n$  denotes the number of degree of freedom in each element which is 9 as shown in Fig. 1

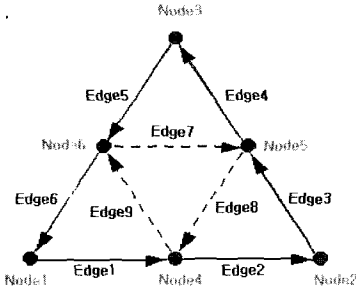


Fig. 1 The second-order triangular vector shape function.

$$S_{el(tt)} = \frac{1}{\mu_r} \iint_{\Delta} (\nabla_t \times N) \cdot (\nabla_t \times N) ds - k_0^2 \epsilon_r \iint_{\Delta} (N_{tm} \cdot N_{tm}) ds \quad (6)$$

$$T_{el(tt)} = \epsilon_r \iint_{\Delta} (N_{tm} \cdot N_{tm}) \quad (7)$$

$$T_{el(tz)} = \frac{1}{\mu_r} \iint_{\Delta} (N_{tm} \cdot \nabla L_j) ds \quad (8)$$

$$T_{el(zt)} = \frac{1}{\mu_r} \iint_{\Delta} (\nabla L_i \cdot N_{tm}) ds \quad (9)$$

$$T_{el(zz)} = \frac{1}{\mu_r} \iint_{\Delta} (\nabla L_i \cdot \nabla L_j) ds - k_0^2 \epsilon_r \iint_{\Delta} L_i L_j ds \quad (10)$$

These element matrices can be assembled over all the triangles in the cross section of the shielded microstrip to obtain a global eigenvalue equation.

$$\begin{bmatrix} S_{tt} & 0 \\ 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} e_t \\ e_z \end{bmatrix} = (-\beta^2) \begin{bmatrix} T_{tt} & T_{tz} \\ T_{zt} & T_{zz} \end{bmatrix} \cdot \begin{bmatrix} e_t \\ e_z \end{bmatrix} \quad (11)$$

The shielded microstrip can be divided into the dielectric substrate material with a height  $h$  and a dielectric constant  $\epsilon_r$ , and a metallic strip of the width  $W$  and the thickness  $t$ . In this model, the shielded microstrip is represented by a parallel plate waveguide of width  $W_{eff}$  and height  $h$  as

shown in Fig. 2.

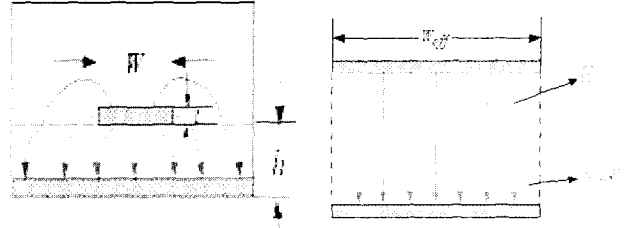


Fig. 2 The electromagnetic fields of the dominant (TEM) mode in the shielded microstrip line and equivalent waveguide model.

The effective dielectric constant  $\epsilon_{eff}$  and the effective width  $W_{eff}$  can be found from the propagation constant  $\beta$  and the characteristic impedance  $Z$  of the microstrip modeled by the waveguide.

$$\epsilon_{eff} = (\beta / k_0)^2 \quad (12)$$

$$Z = (120\pi / \sqrt{\epsilon_{eff}})(h / w_{eff}) \quad (13)$$

where  $\beta$  and  $Z$  can be calculated beforehand from structural parameters by the VFEM. To verify the above method, it is compared with the wheeler formula [9], [10] from (14) to (17).

$$w_{eff} = \left\{ \frac{w}{h} + \frac{2}{\pi} \ln \left[ 2\pi e \left( \frac{w}{2h} + 0.92 \right) \right] \right\} h, \quad e = 2.718 \quad (14)$$

$$\epsilon_{eff}(f) = \epsilon_r \cdot \epsilon_{eff(0)} \left[ \frac{(f/f_w)^2 + 1}{\sqrt{\epsilon_{eff(0)}(f/f_w)^2 + \sqrt{\epsilon_r}}} \right]^2 \quad (15)$$

$$f_w = 3.5 + \frac{16.2\epsilon_r^{0.25}}{1 + 0.12(w/h)\epsilon_r^{0.35}} \quad (16)$$

$$\begin{aligned}
 F(w, h, \epsilon_r) &= \left[ 1 + \frac{12}{(w/h)^{1.14}} \right]^{-\frac{1}{2}} \\
 &+ 1.2 \times 10^{-4} (\epsilon_r - 6) \left( \frac{w}{h} - 40 \right)
 \end{aligned} \quad (17)$$

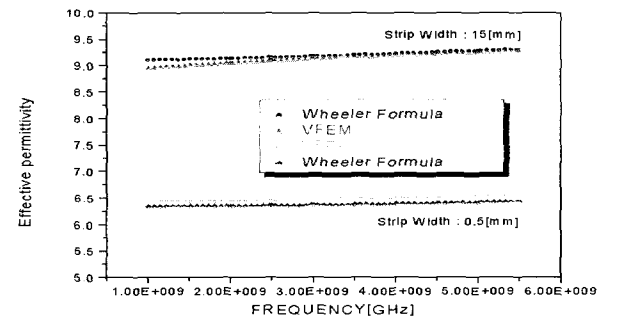
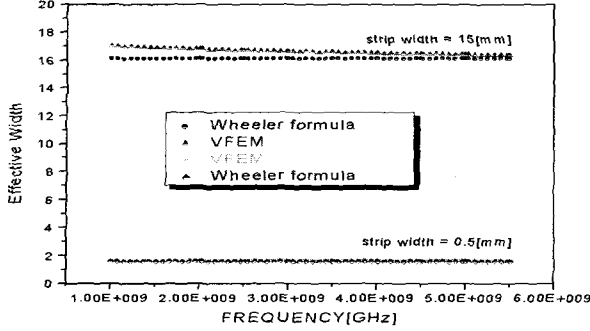


Fig. 3 Comparison between the calculated effective permittivities using the wheeler formula and VFEM for the waveguide model.

As can be seen from Fig. 3 and 4, there is good agreement between the wheeler formula results and the VFEM values of the effective width and dielectric constant.



**Fig. 4** Comparison between the calculated effective widths using the wheeler formula and VFEM for the waveguide model.

### 3. Sparameter calculation using MMM

The planar waveguide model of a step discontinuity is shown in Fig.5. Dimensions  $a$  and  $b$  are the width of the planar waveguides corresponding to the microstrip lines "a" and "b", respectively. The cross-sectional areas of these guides are denoted by  $A^a (= a \times h)$  and  $A^b (= b \times h)$ .

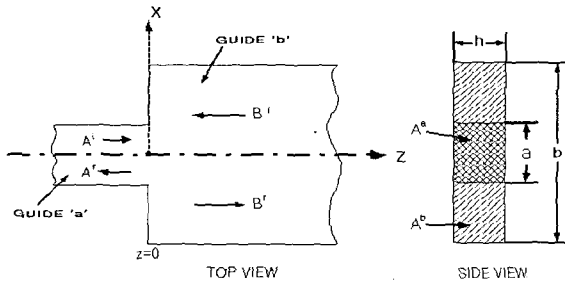
In this case, only TEM and  $TE_{m0}$  modes can be excited. The transverse fields, which are expressed by each mode, in the planar waveguide model can be expressed in terms of orthogonal series expansions as follow

$$E_t = \sum_{m=0}^{\infty} U_{m0} \{ \hat{z} \times \nabla_t \Psi_{m0}(x, y) \} \quad (18)$$

$$H_t = \sum_{m=0}^{\infty} I_{m0} \{ -\nabla_t \Psi_{m0}(x, y) \} \quad (19)$$

where  $U_{m0}$  and  $I_{m0}$  are the expansion coefficients and  $\Psi_{m0}$  is the scalar potential. The unit vector along the  $z$ -axis is denoted by  $\hat{z}$ , and  $m=0$  corresponds to the dominant mode (TEM). The symbol  $\nabla_t$  designates the transverse component of the gradient.

At the discontinuity plane ( $z=0$ ), the following boundary and interface conditions must be satisfied.



**Fig. 5** Top and side views of a step in width discontinuity

$$H_t^b = 0 \quad \text{in region } (A^b - A^a) \quad (20)$$

$$H_t^a = H_t^b \quad \text{in region } A^a \quad (21)$$

$$E_t^a = E_t^b \quad \text{in region } A^a \quad (22)$$

Multiplying (22) by  $(\hat{z} \times \nabla_t \Psi_{M0}^a)$  for various values of  $M (=0,1,2,\dots)$  and integrating over the aperture  $A^a$  leads to the equation.

$$U_{M0}^a = \iint_{A^a} E_t^b \cdot (\hat{z} \times \nabla_t \Psi_{M0}^a) dA \quad (23)$$

Similarly the following expression is found for the expansion coefficients  $I^b$ :

$$I_{P0}^b = \iint_{A^a} H_t^b \cdot (-\nabla_t \Psi_{P0}^b) dA \quad (24)$$

Substituting the expansion of  $E_t^b$  and  $H_t^b$  from (18) and (19), respectively,  $U_{M0}^a$  and  $I_{P0}^b$  are given by

$$U_{M0}^a = \sum_{p=0}^{\infty} U_{p0}^b K_{(M0)(P0)} \quad (25)$$

$$I_{P0}^b = \sum_{m=0}^{\infty} I_{m0}^a K_{(m0)(P0)} \quad (26)$$

where  $M, m$  and  $P, p$  correspond to the models in the guide "a" and "b" respectively,  $K$ 's are called the coupling integrals. The coupling integrals are given by

$$K = K_{(M0)(P0)} = \iint_{A^a} (\hat{z} \times \nabla_t \Psi_{P0}^b) (\hat{z} \times \nabla_t \Psi_{M0}^a) dA \quad (27)$$

$$K^T = K_{(m0)(P0)} = \iint_{A^a} (\nabla_t \Psi_{m0}^a) \cdot (\nabla_t \Psi_{P0}^b) dA \quad (28)$$

The expansion coefficients  $U$  and  $I$  can be replaced by the normal mode coefficients  $A^i, A^r, B^i$ , and  $B^r$ . The resulting equations are given by

$$\sqrt{Z^a} (A^i + A^r) = \sqrt{Z^b} K (B^i + B^r) \quad (29)$$

$$\sqrt{Y^b} (B^i + B^r) = \sqrt{Y^b} K^T (A^i - A^r) \quad (30)$$

where  $m, M, p, P=0,1,2,\dots$  and  $Z (=1/Y)$  is the wave impedance. The incident and the reflected modes may be related by S-matrix as

$$\begin{bmatrix} A^r \\ B^r \end{bmatrix} = \begin{bmatrix} S^{AA} & S^{AB} \\ S^{BA} & S^{BB} \end{bmatrix} \begin{bmatrix} A^i \\ B^i \end{bmatrix} \quad (31)$$

By knowing  $K, K^T, Z^a$ , and  $Z^b$ , one can calculate the S-parameters of the step discontinuity.

#### 4. Numerical results

Fig. 6 shows the propagation constant  $\beta$  of a shielded microstrip discontinuity in Regions A and B. The substrate material is a dielectric constant of  $\epsilon_r = 9.7$  and a height of  $h = 0.635[\text{mm}]$ .

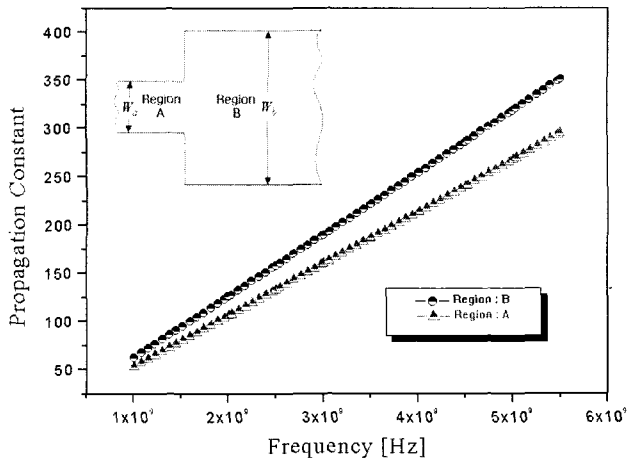


Fig. 6 Computed propagation constants of a symmetric step discontinuity where  $W_a = 0.5[\text{mm}]$  and  $W_b = 15[\text{mm}]$ .

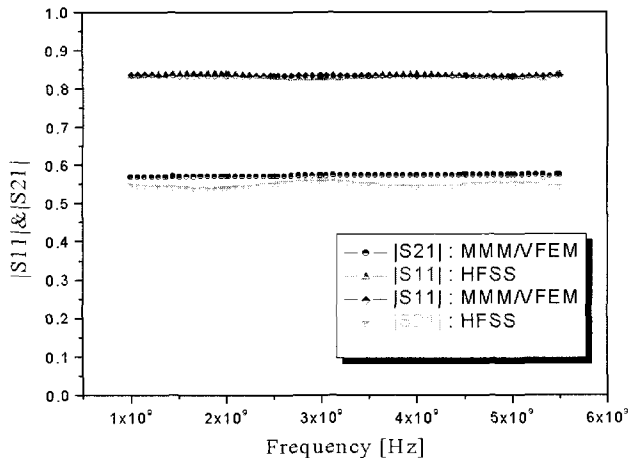


Fig. 7 Calculated S-parameters of a symmetric step discontinuity

The scattering parameter obtained in this case is plotted in Fig. 7. The analysis took about 30 seconds for this calculation with a PC. For reasonable comparison, the same structure has been analyzed with the commercial software Ansoft HFSS, which is based on a full 3-D VFEM formulation. The calculated result could confirm the efficiency and accuracy of proposed VFEM and MMM.

#### 4. Conclusion

In this paper, we have been presented a hybrid method to analyze a step discontinuity for the shielded microstrip lines based on MMM and VFEM. For equivalent

waveguide conversion in the shielded microstrip line, VFEM has been applied. MMM has been employed to calculate the S-parameters in waveguide structure with a step discontinuity. Comparisons of simulations show the validity of the theory. In principle, this method could be used to treat other types of discontinuity problems in planar lines such as strip, CPW, and so on.

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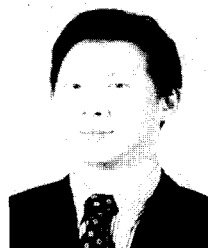
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