

SOME REMARKS ON M -IDEALS AND STRONG PROXIMALITY

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ABSTRACT. We prove that every M -ideal is strongly proximal and that, for any Banach space X , $K(X, c_0)$ is an M -ideal in $L(X, \ell^\infty)$.

1. Introduction

Many papers ([2], [10]-[14], [16], ...) are concerned with the phenomenon that for certain Banach spaces X and Y , the Banach space $K(X, Y)$ of compact linear operators from X to Y is an M -ideal in $L(X, Y)$, the Banach space of bounded linear operators from X to Y . This special attention is due to the facts that, for example, when this happens :

- every element of $L(X, Y)$ has a best compact approximant (cf. Theorem A and Theorem B),
- one has the uniqueness of Hahn-Banach extensions from $K(X, Y)$ to $L(X, Y)$ ([14]).

This article extends some results of [2]. The authors proved that if X is a Banach space with an unconditional shrinking basis then $K(X, c_0)$ is proximal in $L(X, \ell^\infty)$. Here, we prove that every M -ideal is strongly proximal. We also show that $K(X, c_0)$ is an M -ideal in $L(X, \ell^\infty)$. This implies that, without any assumption on X , $K(X, c_0)$ is strongly proximal in $L(X, \ell^\infty)$.

Let us recall the notions mentioned above.

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Let J be a closed subspace of a Banach space X . For $x \in X$, let

$$P_J(x) := \{j \in J : \|x - j\| = d(x, J)\}$$

where $d(x, J) := \inf\{\|x - j\| : j \in J\}$. The subspace J is said to be *proximal* in X , if for each x in X , the set $P_J(x)$ is non-empty. An element of $P_J(x)$ is called a *best approximant* of x in J . Every closed subspace of a finite dimensional space or of a uniformly convex space (and then of a reflexive space) is clearly proximal. It is known that a Banach space X is reflexive if and only if every closed hyperplane is proximal in X . If J is a proximal subspace of finite codimension in X then $J^\perp := \{x^* \in X^* : x^*|_J \equiv 0\}$ is contained in $NA(X)$, the subset of X^* consisting of all norm attaining functionals on X ([5]). The converse fails in general (see [15]) but it is true for c_0 and its subspaces ([6]).

When each “nearly best approximant” of x in J is necessarily close to an actual best approximant one says that J is *strongly proximal* in X . More precisely, for $\delta > 0$ let $P_J(x, \delta) := \{j \in J : \|x - j\| < d(x, J) + \delta\}$, then J is strongly proximal in X if and only if for each x in X and each $\epsilon > 0$ there is $\delta > 0$ such that $d(j, P_J(x)) < \epsilon$ for all $j \in P_J(x, \delta)$. Every strongly proximal subspace is proximal. It is easy to prove that every closed subspace of a finite dimensional space is strongly proximal. But in general proximality does not imply strong proximality. For example, every proximal hyperplane is strongly proximal if and only if $NA_1(X) := NA(X) \cap S(X^*)$ coincides with the set $S := \{x^* \in S(X^*) : \|\cdot\|_{X^*} \text{ is SSD at } x^*\}$ ([7]). A norm $\|\cdot\|$ on X is said to be *strongly subdifferentiable (SSD)* at x if the one-side limit $\lim_{t \rightarrow 0^+} \frac{1}{t} (\|x + th\| - \|x\|)$ exists uniformly in $h \in S(X)$. In [4], it is shown that $S \subseteq NA_1(X)$. Then, in a reflexive infinite dimensional space with a dual norm which is not everywhere SSD, there exists a hyperplane which is not strongly proximal. In ℓ_1 , there exist non strongly proximal hyperplanes since the canonical sup-norm on ℓ_∞ is not everywhere SSD (see [3]). In [8], the authors proved that a finite codimensional subspace J of $K(\ell^2)$ is strongly proximal if and only if J^\perp is contained in $NA(K(\ell^2))$.

A closed subspace J in X is said to be an *M-ideal* in X if and only if there exists a linear projection P from X^* to J^\perp such that :

$$\forall x^* \in X^* : \|x^*\| = \|Px^*\| + \|x^* - Px^*\|.$$

This notion is due to Alfsen and Effros [1] and studied in detail in [9].

Examples of *M-ideals* are [9] : c_0 in its bidual ℓ^∞ , $K(H)$ in $L(H)$ where H is an Hilbert space, $K(X, c_0)$ in $L(X, c_0)$ for every Banach

space X , or $K(\ell^p, \ell^q)$ in $L(\ell^p, \ell^q)$ for $1 < p, q < +\infty$, while it is not true for $K(X)$ in $L(X)$ if $X = \ell^\infty$ or $X = L^p(0, 1)$, $p \in [1, +\infty] \setminus \{2\}$.

In [12], it is shown that $K(L^p, \ell^p)$ is an M -ideal in $L(L^p, \ell^p)$ if $1 < p \leq 2$ and it is not true if $p > 2$. In [10], it is proved for $2 \leq p < +\infty$ and subspaces X of quotients of L^p with a 1-unconditional finite dimensional Schauder decomposition that $K(X, \ell^p)$ is an M -ideal in $L(X, \ell^p)$.

In [1], the authors also gave the following equivalent condition for J to be an M -ideal in X which avoids mentioning the dual space X^* .

THEOREM A. *For a closed subspace J of a Banach space X , the following assertions are equivalent :*

1. J is an M -ideal,
2. The n -ball property.

For all $n \in \mathbb{N}$ and all families $(B(x_i, r_i))_{i=1}^n$ of n closed balls satisfying $B(x_i, r_i) \cap J \neq \emptyset$ for all $i = 1, \dots, n$ and $\bigcap_{i=1}^n B(x_i, r_i) \neq \emptyset$ the

conclusion $\bigcap_{i=1}^n B(x_i, r_i + \epsilon) \cap J \neq \emptyset$ for all $\epsilon > 0$ holds.

3. The [restricted] 3-ball property.

For all $j_1, j_2, j_3 \in B(J)$, all $x \in B(X)$ and all $\epsilon > 0$ there is $j \in J$ satisfying : $\|x + j_i - j\| \leq 1 + \epsilon$ for $i = 1, 2, 3$.

Following [16], we say that a closed subspace J of a Banach space X has the $1\frac{1}{2}$ -ball property in X if the conditions

$$x \in X, j \in J, \quad \|x - j\| \leq r_1 + r_2 \quad \text{and} \quad B(x, r_2) \cap J \neq \emptyset$$

implies that

$$B(j, r_1) \cap B(x, r_2) \cap J \neq \emptyset.$$

This is equivalent to requiring the (strict) 2-ball property subject to the restriction that one of the centers lies in J :

$$\begin{aligned} \text{if } x \in X, j \in J, \quad B(j, r_1) \cap B(x, r_2) \neq \emptyset \quad \text{and} \quad B(x, r_2) \cap J \neq \emptyset \\ \text{then} \quad B(j, r_1) \cap B(x, r_2) \cap J \neq \emptyset. \end{aligned}$$

Let us note that the $1\frac{1}{2}$ -ball property is not a sufficient condition to be an M -ideal : $K(\ell^1)$ has the $1\frac{1}{2}$ -ball property in $L(\ell^1)$ but it is not an M -ideal (see [9], [16]).

THEOREM B. [16] *Let J be a closed subspace of a Banach space X . If J has the $1\frac{1}{2}$ -ball property in X then J is proximal in X .*

According to Theorem A and Theorem B, we get

COROLLARY. *Every M -ideal is proximal.*

2. Results

As mentioned before, the $1\frac{1}{2}$ -ball property is a sufficient condition for proximality. Here we prove that this property implies strong proximality.

THEOREM 1. *Let J be a closed subspace of a Banach space X . If J has the $1\frac{1}{2}$ -ball property in X then J is strongly proximal in X .*

Proof. The proof follows the ideas of the proof of Theorem B in [16]. Let $x \in X$ be such that $d := d(x, J) > 0$ and $\epsilon > 0$ be fixed. We want to prove that there exists $\delta > 0$ such that :

$$[\forall j \in J : \|x - j\| < d + \delta] \Rightarrow [\exists j' \in J : \|j - j'\| < \epsilon \text{ and } \|x - j'\| = d].$$

Let us take $\delta = \epsilon$ and let $j_1 \in J$ be such that $\|x - j_1\| < d + \delta = (d + \frac{\delta}{2}) + \frac{\delta}{2}$. By definition of d , $B(x, d + \frac{\delta}{2}) \cap J \neq \emptyset$ and then by the $1\frac{1}{2}$ -ball property, we have

$$\exists j_2 \in J : j_2 \in B\left(j_1, \frac{\delta}{2}\right) \cap B\left(x, d + \frac{\delta}{2}\right).$$

We have now $\|x - j_2\| \leq (d + \frac{\delta}{2}) = (d + \frac{\delta}{4}) + \frac{\delta}{4}$ and by the $1\frac{1}{2}$ -ball property, we have :

$$\exists j_3 \in J : j_3 \in B\left(j_2, \frac{\delta}{4}\right) \cap B\left(x, d + \frac{\delta}{4}\right).$$

So, inductively, we construct a sequence $(j_n)_{n \geq 1} \subset J$ such that : $\forall n \geq 1$,

$$(1) \quad \|x - j_n\| \leq d + \frac{\delta}{2^{n-1}}$$

$$(2) \quad \|j_n - j_{n+1}\| \leq \frac{\delta}{2^n}.$$

By (2), $(j_n)_{n \geq 1}$ is a Cauchy sequence in J . Since J is closed, there exists $j' \in J$ such that $j' = \lim_{n \rightarrow +\infty} j_n$. By (1), we have $\|x - j'\| = d$. By (2) again,

$$\begin{aligned} \forall n \geq 1 \quad : \quad \|j_1 - j_n\| &\leq \|j_1 - j_2\| + \|j_2 - j_3\| + \cdots + \|j_{n-1} - j_n\| \\ &\leq \delta \sum_{n=1}^{+\infty} \frac{1}{2^n} = \delta. \end{aligned}$$

Then, $\|j_1 - j'\| \leq \delta = \epsilon$. □

By Theorem A and Theorem 1, we have the following

COROLLARY 2. *Every M -ideal is strongly proximal.*

Following ideas described in [9], we prove

PROPOSITION 3. *For every Banach space X , $K(X, c_0)$ is an M -ideal in $L(X, \ell^\infty)$.*

Proof. By Theorem A, it suffices to prove that $K(X, c_0)$ satisfies the 3-ball property in $L(X, \ell^\infty)$. Let $S \in B(L(X, \ell^\infty))$, $T_i \in B(K(X, c_0))$ ($i = 1, 2, 3$) and $\epsilon > 0$. We want to find $T \in K(X, c_0)$ such that :

$$\|S + T_i - T\| \leq 1 + \epsilon \quad \text{for } i = 1, 2, 3.$$

For $a = (a_j)_{j \geq 1} \in \ell^\infty$, let us put : $P_n(a) = (a_1, a_2, \dots, a_n, 0 \dots)$. Since $T_i \in K(X, c_0)$ ($i = 1, 2, 3$), we have :

$$\|P_n T_i - T_i\| < \epsilon \quad \text{for } i = 1, 2, 3 \text{ and } n \text{ big enough.}$$

Moreover,

$$\|P_n T_i + (Id_{\ell^\infty} - P_n)S\| \leq 1 \quad (i = 1, 2, 3),$$

since $\|P_n T_i + (Id_{\ell^\infty} - P_n)S\| \leq \max\{\|P_n T_i\|, \|(Id_{\ell^\infty} - P_n)S\|\}$.

If we take $T = P_n S$ (for n big enough), then for $i = 1, 2, 3$:

$$\begin{aligned} \|T_i + S - P_n S\| &\leq \|T_i - P_n T_i\| + \|P_n T_i + (Id_{\ell^\infty} - P_n)S\| \\ &\leq \epsilon + 1. \end{aligned}$$

□

By Corollary 2, we then have

COROLLARY 4. *For every Banach space X , $K(X, c_0)$ is strongly proximal in $L(X, \ell^\infty)$.*

REMARK 5. It is clear from the proof of Proposition 3 that if (K_α) is a net of compact operators on Y satisfying :

$$\begin{aligned} K_\alpha &\longrightarrow Id_Y \quad \text{in norm and} \\ \limsup_\alpha \|K_\alpha U + (Id_Y - K_\alpha)W\| &\leq \max\{\|U\|, \|W\|\} \\ &\text{for all } U, W \text{ in } L(X, Y) \end{aligned}$$

then $K(X, Y)$ is an M -ideal in $L(X, Y)$.

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