

## ESTIMATION OF THE BIPLANAR CROSSING NUMBERS

KI SUNG PARK

ABSTRACT. This paper is a sequel to our earlier research on biplanar drawings [4] and biplanar crossing numbers [3]. The biplanar crossing number  $cr_2(G)$  of a graph  $G$  is  $\min\{cr(G_1) + cr(G_2)\}$ , where  $cr$  is the planar crossing number and  $G = G_1 \cup G_2$ . In this paper we show that  $cr_2(G) \leq \frac{3}{8}cr(G)$ .

### 1. Introduction

Recall that a graph  $G$  is *biplanar*, if one can write  $G = G_1 \cup G_2$ , where  $G_1$  and  $G_2$  are planar graphs. Owen [2] introduced the biplanar crossing number of a graph  $G$ , that we denote by  $cr_2(G)$ . One can define  $cr_k(G) = \min\{cr(G_1) + cr(G_2) + \cdots + cr(G_k)\}$ , similarly for any  $k \geq 2$ , making  $G$  a union of  $k$  subgraphs, but perhaps  $k = 2$  is more relevant for VLSI for the following reason: one always can realize  $cr_2(G)$  by drawing the edges of  $G_1$  and  $G_2$  one two different sides of the same plane, while identical vertices of  $G_1$  and  $G_2$  are placed to identical locations on the plane.

This article deals with the problem of estimating on the biplanar crossing number.

### 2. Preliminaries

Let  $cr(G)$  denote the standard crossing number of the graph  $G$ , i.e. the minimum number of crossings of its edges over all possible drawings of  $G$  in the plane, under the usual rules for drawings for crossing numbers [6]. For instance, a graph is planar if and only if its crossing number is zero.

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DEFINITION. For the planar graphs  $G_1$  and  $G_2$ , we denote the *biplanar crossing number* of a graph  $G$  by  $cr_2(G)$ . Here

$$cr_2(G) = \min\{cr(G_1) + cr(G_2)\},$$

where the minimum is taken over all unions  $G = G_1 \cup G_2$ .

A biplanar drawing of a graph  $G$  means drawings of two subgraphs,  $G_1$  and  $G_2$ , of  $G$ , on two disjoint planes under the usual rules for drawings for crossing numbers, such that  $G = G_1 \cup G_2$ .

DEFINITION. The thickness of the planar graphs  $G$ ,

$$\Theta(G) = \min\{k : G = G_1 \cup \dots \cup G_k\},$$

where  $G_1, \dots, G_k$  are planar.

By definition,  $cr_2(G) = 0$  if and only if  $\Theta(G) \leq 2$ , i.e.  $G$  is biplanar.

Euler's polyhedral formula states that  $v - e + f = 2$ , where  $v$  is the number of vertices,  $e$  is the number of edges and  $f$  is the number of faces. Any face is bounded by at least three edges and every edge touches at most two faces. Then  $e - v + 2 = f \leq \frac{2}{3}e$  and  $e \leq 3v - 6$  if  $v \geq 3$ , which is true for all planar graphs with  $m$  edges and  $n$  vertices.

For any graph  $G$  with  $m$  edges and  $n$  vertices, we consider a diagram of  $G$  which has exactly  $cr(G)$  crossings. Each of these crossings can be removed by removing an edge from  $G$ . Thus we can find a graph with at least  $m - cr(G)$  edges and  $n$  vertices with no crossings, and is thus a planar graph. From the above results follows  $m - cr(G) \leq 3n - 6$  and hence

$$cr_2(G) \geq m - 6n + 12$$

### 3. The estimates of the biplanar crossing number

THEOREM 3.1. *Let  $G$  be a graph with order  $n$  and size  $m$ . For all  $c > 6$ , if  $m \geq cn$ , then*

$$cr_2(G) \geq \frac{c-6}{c^3} \frac{m^3}{n^2}.$$

*Proof.* Let  $D$  be a nice drawing that realize  $cr_2(G)$ , take  $p = \frac{cn}{m} \leq 1$ , pick each vertex in  $D$  with probability  $p$ , which will result in a random subdrawing  $D'$ , then

$$cr_2(D') \geq m(D') - 6n(D') + 12,$$

take expectations, we have

$$p^4 cr_2(G) \geq mp^2 - 6np,$$

and hence

$$cr_2(G) \geq \frac{m}{p^2} - \frac{6n}{p^3} = \frac{m^3}{c^2 n^2} - \frac{6nm^3}{c^3 n^3} = \frac{c - 6}{c^3} \frac{m^3}{n^2}.$$

□

**THEOREM 3.2.** *For all graph  $G$ ,  $cr_2(G) \leq \frac{3}{8} cr(G)$ .*

*Proof.* Without loss of generality we may assume that the input drawing is *nice*, i.e. any two edges of  $G$  cross at most once, edges do not "touch", and edges sharing an endvertex do not cross; since all these assumptions do not change the crossing number.

Splitting Algorithm. INPUT any nice drawing  $D$  of  $G$  in the plane. Let  $cr(D)$  denote the number of crossings in this drawing. Consider a random bipartition  $(U, W)$  of  $V(G)$ : for every vertex, independently toss a fair coin, and if Head is obtained, add it to  $U$ , otherwise to  $V$ . Now any crossing in  $D$  occurs in 6 possible forms, according to which classes the endpoints of the crossing edges belong to:

- it is a crossings of  $UU, UU$  edges with probability  $\frac{1}{16}$
- it is a crossings of  $WW, WW$  edges with probability  $\frac{1}{16}$
- it is a crossings of  $UW, UW$  edges with probability  $\frac{1}{4}$
- it is a crossings of  $UU, WW$  edges with probability  $\frac{1}{8}$
- it is a crossings of  $UU, UW$  edges with probability  $\frac{1}{4}$
- it is a crossings of  $WW, UW$  edges with probability  $\frac{1}{4}$

Draw in the first plane the subdrawings spanned by  $U$  and spanned by  $W$ , draw in the second plane the subdrawing of edges connecting  $U$  to  $W$ . In the second plane we have the  $UW, UW$  type crossings,

in expectation  $\frac{1}{4}cr(D)$ . In the first plane we have the  $UU, UU$  and  $WW, WW$  type crossings, and also the  $UU, WW$  type crossings. However, we easily get rid of the latter type of crossing, by a translation of the  $W$  point set and its induced edges to sufficiently far away. Therefore, the first plane has in expectation  $\frac{1}{8}cr(D)$  crossings.  $\square$

Unfortunately, not any kind of converse of Theorem 3.2 can be true, as the following theorem shows:

**THEOREM 3.3.** ([5]) *There are graphs  $G$  with crossing number  $cr(G) = \Theta(m^2)$  (i.e. as large as possible) and biplanar crossing number  $cr_2(G) = \Theta(\frac{m^3}{n^2})$  (i.e. as small as possible), for any  $m = m(n)$ , where  $\frac{m}{n}$  exceeds a certain absolute constant.*

**OPEN PROBLEM.** *What is the infimum  $c^*$  of those constants  $c$ , for which  $cr_2(G) \leq c \cdot cr(G)$  holds for every graph  $G$  ?*

In [2], Owens came up with a conjectured  $cr_2$ -optimal drawing of the complete graph  $K_n$  which has about  $\frac{7}{24}$  of the crossings of a conjectured  $cr$ -optimal drawing of  $K_n$ . This might give some basis to conjecture that  $c^* \leq \frac{7}{24}$ .

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Department of Mathematics  
 Kangnam University  
 Yongin 449–702, Korea  
*E-mail:* parkks@kangnam.ac.kr