

ON CONJUGATE POINTS OF THE GROUP $H(2, 1)$

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ABSTRACT. Let \mathfrak{n} be a 2-step nilpotent Lie algebra which has an inner product $\langle \cdot, \cdot \rangle$ and has an orthogonal decomposition $\mathfrak{n} = \mathfrak{z} \oplus \mathfrak{v}$ for its center \mathfrak{z} and the orthogonal complement \mathfrak{v} of \mathfrak{z} . Then Each element Z of \mathfrak{z} defines a skew symmetric linear map $J_Z : \mathfrak{v} \rightarrow \mathfrak{v}$ given by $\langle J_Z X, Y \rangle = \langle Z, [X, Y] \rangle$ for all $X, Y \in \mathfrak{v}$. Let γ be a unit speed geodesic in a 2-step nilpotent Lie group $H(2, 1)$ with its Lie algebra $\mathfrak{n}(2, 1)$ and let its initial velocity $\gamma'(0)$ be given by $\gamma'(0) = Z_0 + X_0 \in \mathfrak{z} \oplus \mathfrak{v} = \mathfrak{n}(2, 1)$ with its center component Z_0 nonzero. Then we showed that $\gamma(0)$ is conjugate to $\gamma(\frac{2n\pi}{\theta})$, where n is a nonzero integer and $-\theta^2$ is a nonzero eigenvalue of $J_{Z_0}^2$, along γ if and only if either X_0 is an eigenvector of $J_{Z_0}^2$ or $\text{ad}X_0 : \mathfrak{v} \rightarrow \mathfrak{z}$ is not surjective.

1. Introduction

Let \mathfrak{n} denote a finite dimensional Lie algebra over the real numbers. The Lie algebra \mathfrak{n} is called 2-step nilpotent Lie algebra if $[X, [Y, Z]] = 0$ for any $X, Y, Z \in \mathfrak{n}$. A Lie group N is said to be 2-step nilpotent if its Lie algebra \mathfrak{n} is 2-step nilpotent. Throughout, N will denote a simply connected, 2-step nilpotent Lie group with Lie algebra \mathfrak{n} having center \mathfrak{z} . We shall use $\langle \cdot, \cdot \rangle$ to denote either an inner product on \mathfrak{n} or the induced left-invariant Riemannian metric tensor on N . Let \mathfrak{v} denote the orthogonal complement of \mathfrak{z} in \mathfrak{n} .

Each element Z of \mathfrak{z} defines a skew symmetric linear map $J_Z : \mathfrak{v} \rightarrow \mathfrak{v}$ given by $J_Z(X) = (\text{ad}X)^+(Z)$ for all $X \in \mathfrak{v}$, where $(\text{ad}X)^+$ is the

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adjoint of $\text{ad}X$ relative to the inner product $\langle \cdot, \cdot \rangle$. Equivalently and more usefully J_Z is defined by the equation

$$\langle J_Z(X), Y \rangle = \langle [X, Y], Z \rangle$$

for all $X, Y \in \mathfrak{v}$. One of simple examples of such groups is the 3-dimensional Heisenberg group H_3 with its Lie algebra \mathfrak{n}_3 with an orthonormal basis X, Y, Z and with the only nonzero bracket operations $[X, Y] = -[Y, Z] = Z$. The group H_3 may be generalized in the different two ways: H -type groups and groups $H(p, q)$. A 2-step nilpotent Lie group N with its Lie algebra \mathfrak{n} is called H -type if it satisfies

$$J_Z^2 = -\langle Z, Z \rangle I \text{ for all } Z \in \mathfrak{z}.$$

And The group $H(p, q)$ is defined as the simply connected 2-step nilpotent Lie group whose Lie algebra $\mathfrak{n}(p, q)$ of dimension $p + q + pq$ has an orthonormal basis

$$\{X_1, X_2, \dots, X_p\} \cup \{Y_1, Y_2, \dots, Y_q\} \cup \{Z_{ij} | i = 1, \dots, p, j = 1, \dots, q\}.$$

In $\mathfrak{n}(p, q)$, the bracket operations are given by

$$[X_i, Y_j] = -[Y_j, X_i] = Z_{ij}$$

for all $i = 1, \dots, p$ and $j = 1, \dots, q$ and all other brackets are zero. The first general studies for 2-step nilpotent Lie groups were done by P.Eberlein [1][2] and some works about conjugate points in 2-step nilpotent Lie groups followed. Especially, in 1997, Walschap showed [11] that for a nonsingular 2-step nilpotent Lie group with one dimensional center, the cut locus and the conjugate locus coincide, and he made an explicit determination of all first conjugate points in such a group. Gornet and Mast showed [3] that the first cut point of the starting point $\gamma(0)$ along a unit speed geodesic γ with initial velocity $\gamma'(0) = X_0 + Z_0$ for $X_0 \in \mathfrak{v}$ and $Z_0 \in \mathfrak{z}$ in a simply connected 2-step nilpotent Lie group N does not occur before length $\frac{2\pi}{\theta(Z)}$, where $\theta(Z)$ is the biggest of the norms of the eigenvalues of the skew-symmetric map J_z . Jang and Park later gave explicit formulas for all conjugate points along geodesics in any 2-step nilpotent Lie groups with one dimensional center [5]. And J. Kim [8] calculated all conjugate points of H -type groups. These last two works are generalized in a pseudo-Riemannian version by Jang, Parker and Park [6][7]. Lee [10]

considered a class of 2-step nilpotent Lie groups (N, \langle, \rangle) satisfying the following more general condition

$$(1.1) \quad J_Z^2 = \langle SZ, Z \rangle A \text{ for all } Z \in \mathfrak{z},$$

where S is a positive definite symmetric operator on \mathfrak{z} and A is a negative definite symmetric operator on \mathfrak{v} and calculated all conjugate points for these groups. Note that this class of 2-step nilpotent Lie groups contains all groups with one dimensional center and all H -type groups. A result of Gornet and Mast mentioned above tells that along a geodesic γ in a 2-step nilpotent Lie group N with initial velocity $\gamma'(0) = Z_0 + X_0 \in \mathfrak{z} \oplus \mathfrak{v}$, $Z_0 \neq 0$ there no conjugate point occurs before length $\frac{2\pi}{\theta(Z)}$, where $\theta(Z)$ is the biggest of the norms of the eigenvalues of the skew-symmetric map J_Z . But in most important cases including groups satisfying (1.1), we can see that such geodesics have its first conjugate point at length $\frac{2\pi}{\theta(z)}$, where $\theta(z)$ is the biggest of the norms of the eigenvalues of the skew-symmetric map J_Z . This leads to the question that "Does every geodesic in a 2-step nilpotent Lie group with initial velocity of nonzero center component have its first conjugate point at length $\frac{2\pi}{\theta(Z)}$, where $\theta(Z)$ is the biggest of the norms of the eigenvalues of the skew-symmetric map J_Z ?" In this paper we investigated some conjugate points in the group $H(2, 1)$ and found that in some geodesics with initial velocity of nonzero center component the first conjugate point does not occur at length $\frac{2\pi}{\theta(Z)}$, where $\theta(Z)$ is the biggest of the norms of the eigenvalues of the skew-symmetric map J_Z . To study conjugate points, we use the Jacobi operator.

DEFINITION 1.1. Along the geodesic γ , the *Jacobi operator* is given by

$$R_{\dot{\gamma}} \bullet = R(\bullet, \dot{\gamma})\dot{\gamma},$$

where R denotes the Riemannian curvature tensor.

For the reader's convenience, we recall that a *Jacobi field* along γ is a vector field along γ which is a solution of the *Jacobi equation*

$$\nabla_{\dot{\gamma}}^2 Y(t) + R_{\dot{\gamma}} Y(t) = 0$$

along γ , where ∇ denotes the Riemannian connection. The point $\gamma(t_0)$ is *conjugate* to the point $\gamma(0)$ if and only if there exists a nontrivial

Jacobi field Y along γ such that $Y(0) = Y(t_0) = 0$. The multiplicity of $\gamma(t_0)$ is equal to the number of linearly independent of Jacobi fields $Y(t)$ with $Y(0) = Y(t_0) = 0$. We will identify an element of \mathfrak{n} with a left invariant vector field on N since $T_e N$ may be identified with \mathfrak{n} , where e denotes the identity element of N . Since N is endowed with a left invariant metric, we will only consider Jacobi fields and conjugate points along geodesics emanating from the identity element of N . For the reader's convenience, we provide the statement of Proposition 2.1 from [6].

PROPOSITION 1.2. *Let γ be a geodesic in a simply connected 2-step nilpotent group N with $\gamma(0) = e$ and $\dot{\gamma}(0) = Z_0 + X_0 \in \mathfrak{z} \oplus \mathfrak{v} = \mathfrak{n}$. A vector field $Y(t) = Z(t) + e^{tJ}U(t)$ along γ , where $Z(t) \in \mathfrak{z}$ and $U(t) \in \mathfrak{v}$ for each t , is a Jacobi field if and only if*

$$(1.2) \quad \dot{Z}(t) - [e^{tJ}U(t), e^{tJ}X_0] = \zeta,$$

$$(1.3) \quad e^{tJ}\ddot{U}(t) + e^{tJ}J\dot{U}(t) - J_\zeta e^{tJ}X_0 = 0,$$

where $J = J_{Z_0}$ and $\zeta \in \mathfrak{z}$ is a constant.

2. Conjugate points of $H(2, 1)$

We may assume that the Lie algebra $\mathfrak{n}(2, 1)$ is spanned by an orthonormal basis $\{X_1, X_2, X_3\} \cup \{Z_1, Z_2\}$ and the only nonzero brackets are

$$[X_1, X_3] = -[X_3, X_1] = Z_1, \quad [X_2, X_3] = -[X_3, X_2] = Z_2.$$

Let $H(2, 1)$ be a simply connected two step nilpotent Lie group with its Lie algebra $\mathfrak{n}(2, 1)$ and let γ be a unit geodesic in $H(2, 1)$ such that $\gamma(0) = e$, $\dot{\gamma}(0) = X_0 + Z_0 \in \mathfrak{v} \oplus \mathfrak{z} = \mathfrak{n}(2, 1)$ and $Z_0 \neq 0$. Then without loss of generality we may work under the assumption $Z_0 = \theta Z_1$ for a nonzero constant θ and $X_0 = x_{01}X_1 + x_{02}X_2 + x_{03}X_3$ for constants x_{01}, x_{02}, x_{03} . Suppose that a vector field $Y(t) = Z(t) + e^{tJ}U(t)$ is a Jacobi field along γ , where $J = J_{Z_0}$. Then by solving the equations (1.2) and (1.3) under the condition $U(0) = 0$, $Z(0) = 0$ and $\zeta =$

$c\theta Z_1 + \theta' Z_2$, where c and θ' are constants, we have

$$\begin{aligned}
 U(t) = & \{a_1(\cos t\theta - 1) + a_2 \sin t\theta + ct x_{01} - \frac{x_{02}\theta'}{\theta} t \cos t\theta\} X_1 \\
 & + \{\frac{\theta'}{\theta^2} x_{01} \sin t\theta + \frac{\theta'}{\theta^2} x_{03}(\cos t\theta - 1) + \alpha t\} X_2 \\
 (2.1) \quad & + \{-a_1 \sin t\theta + a_2(\cos t\theta - 1) + \frac{x_{02}\theta'}{\theta} t \sin t\theta + ct x_{03}\} X_3
 \end{aligned}$$

and

$$\begin{aligned}
 Z(t) = & \{\frac{1}{\theta}(a_1 x_{03} - a_2 x_{01} - \frac{1}{\theta^2} \theta' x_{02} x_{01}) \sin t\theta \\
 & + \frac{1}{\theta}(a_2 x_{03} + a_1 x_{01} + \frac{1}{\theta^2} \theta' x_{02} x_{03})(1 - \cos \theta) \\
 & + \frac{\theta' x_{02}}{\theta^2} t(x_{03} \sin t\theta - x_{01} \cos t\theta) + (a_2 x_{01} - a_1 x_{03})t + c\theta t\} Z_1 \\
 & + \{\alpha x_{01}(-\frac{t}{\theta} \cos t\theta + \frac{1}{\theta^2} \sin t\theta) + \alpha x_{03}(\frac{t}{\theta} \sin t\theta + \frac{1}{\theta^2}(\cos t\theta - 1)) \\
 & + \frac{1}{\theta}(\frac{\theta' x_{01} x_{03}}{\theta^2} - a_1 x_{02})(\cos t\theta - 1) + \frac{1}{\theta}(a_2 x_{02} - \frac{\theta' x_{03}^2}{\theta^2}) \sin t\theta \\
 & + \frac{\theta'}{2\theta^2}(x_{01}^2 + x_{03}^2)t - a_2 x_{02} t + \frac{\theta'}{4\theta^3} \sin 2t\theta(x_{03}^2 - x_{01}^2) \\
 (2.2) \quad & - \frac{\theta'}{2\theta^3} x_{01} x_{03}(\cos 2t\theta - 1) + \theta' t\} Z_2,
 \end{aligned}$$

where a_1 , a_2 , and α are arbitrary constants. Suppose that $\gamma(t_0)$ is conjugate to $\gamma(0)$ along γ and $t_0 = \frac{2n\pi}{\theta}$. Then we may assume that $U(\frac{2n\pi}{\theta}) = 0$ and $Z(\frac{2n\pi}{\theta}) = 0$ in (2.1) and (2.2). These conditions imply that

$$\begin{aligned}
 (2.3) \quad & cx_{01} - \frac{x_{02}\theta'}{\theta} = 0, \\
 & \alpha = cx_{03} = 0,
 \end{aligned}$$

$$(2.4) \quad \frac{\theta' x_{01} x_{02}}{\theta^2} + a_2 x_{01} - a_1 x_{03} + c\theta = 0,$$

and

$$(2.5) \quad \frac{\theta'}{2\theta^2}(x_{01}^2 + x_{03}^2) - a_2 x_{02} + \theta' = 0.$$

Since $cx_{03} = 0$, we can consider 2-cases: $x_{03} \neq 0$ or $x_{03} = 0$. Suppose that $x_{03} \neq 0$. Then we have $c = 0$, from which and (2.3) it follows that $x_{02}\theta' = 0$. Multiplying θ' at both sides of (2.5), we have

$$\frac{\theta'^2}{2\theta^2}(x_{01}^2 + x_{03}^2) - a_2x_{02}\theta' + \theta'^2 = 0.$$

This and $x_{02}\theta' = 0$ imply

$$\theta'^2 \left\{ 1 + \frac{x_{01}^2 + x_{03}^2}{2\theta^2} \right\} = 0$$

which implies that $\theta' = 0$. Thus, if $x_{02} \neq 0$, then from (2.3) we have $a_2 = 0$. This and (2.4) imply that $a_1 = 0$. So all constants in (2.1) and (2.2) are zero, which means that $U(t) \equiv 0$ and $Z(t) \equiv 0$. In other words there is no nonzero *Jacobi field* $Y(t)$ along γ which satisfies $Y(0) = Y(\frac{2n\pi}{\theta})$, which contradicts to the assumption $\gamma(0)$ is conjugate $\gamma(\frac{2n\pi}{\theta})$ along γ . Therefore we can conclude that if $x_{02} \neq 0$ and $x_{03} \neq 0$, then $\gamma(0)$ does not have conjugate points at $t = \frac{2n\pi}{\theta}$. And if $x_{03} \neq 0$ and $x_{02} = 0$, then we have $c = \alpha = \theta' = 0$ and $a_1 = \frac{a_2x_{01}}{x_{03}}$ from equations (2.3)-(2.5) and $\alpha = cx_{03} = 0$. This imply that if $x_{02} = 0$ and $x_{03} \neq 0$, then $\gamma(0)$ has a conjugate point of multiplicity 1 at every $t = \frac{2n\pi}{\theta}$. Now assume that $x_{03} = 0$. Then from (2.4) we get

$$c = -\frac{\theta'x_{01}x_{02}}{\theta^3} - a_2\frac{x_{01}}{\theta}.$$

Substituting this into (2.3), we find

$$-\frac{\theta'x_{01}^2x_{02}}{\theta^3} - a_2\frac{x_{01}^2}{\theta} - \frac{x_{02}\theta'}{\theta} = 0$$

or

$$(2.6) \quad -\frac{\theta'x_{01}^2x_{02}}{\theta^2} - a_2x_{01}^2 - x_{02}\theta' = 0.$$

From (2.5) and $x_{01}^2 + x_{02}^2 + \theta^2 = 1$, we find

$$\theta' = \frac{2\theta^2}{1 + \theta^2}a_2x_{02}.$$

Substituting this into (2.6), we get

$$a_2 \left\{ \frac{2\theta^2x_{02}^2}{1 + \theta^2}(1 + x_{01}^2) + x_{01}^2 \right\} = 0.$$

If $x_{01}^2 + x_{02}^2 \neq 0$, then we have $a_2 = 0$. From this $c = \theta' = 0$ follows. In this case a_1 in (2.1) and (2.2) is arbitrary. Suppose that $x_{01} = x_{02} = 0$, then we may assume a_1 and a_2 are arbitrary. Thus we have the following.

PROPOSITION 2.1. *Let γ be a geodesic in a group $H(2, 1)$ with $\gamma(0) = e$ and $\dot{\gamma}(0) = Z_0 + X_0 \in \mathfrak{z} \oplus \mathfrak{v} = \mathfrak{n}$ and $Z_0 \neq 0$. Then for the nonzero eigen value θ of the map J_{Z_0} , $\gamma(0)$ has its conjugate points at points $t = \frac{2n\pi}{\theta}$ along γ if and only if either X_0 is an eigenvector of $J^2 = J_{Z_0}^2$ or the map $adX_0 : \mathfrak{v} \rightarrow \mathfrak{z}$ given by $adX_0(Y) = [X_0, Y]$ for $Y \in \mathfrak{v}$ is not surjective. The multiplicities of conjugate points are 1 (or 2) if X_0 is nonzero (or zero).*

In this paper we do not calculate all conjugate points of the group $H(2, 1)$. But we show that if x_0 is an eigenvector of $J_{Z_0}^2$, then $\gamma(0)$ has conjugate points at some values of t which are different from $\frac{2n\pi}{\theta}$. Actually we will calculate under more general condition. First of all we need to recall the definition of Heigenberglke groups.

DEFINITION 2.2. A two step nilpotent Lie group N with its Lie algebra \mathfrak{n} and a left invariant metric \langle , \rangle is said to be Hesenberglke if it satisfies

$$[X, J_Z X] = cZ$$

where c is a constant for all $Z \in \mathfrak{z}$ and any eigenvector X of J_Z^2

PROPOSITION 2.3. *Let N be a simply connected two step nilpotent Heigeberlike group with its Lie algebra \mathfrak{n} and a leftinvariant metric. And let γ be a unit spped geodesic in N with initial velocity $\dot{\gamma}(0) = Z_0 + X_0 \in \mathfrak{z} \oplus \mathfrak{v} = \mathfrak{n}$. Suppose that X_0 is an eigenvector of $J_{Z_0}^2$ with nonzero eigenvalue $-\theta^2$. Then $\gamma(0)$ has a conjugate point at every point t contained in the set $\{t|\langle X_0, X_0 \rangle \frac{\theta t}{2} \cot \frac{\theta t}{2} = 1\}$.*

Proof. For a number t_0 contained in $\{t|\langle X_0, X_0 \rangle \frac{\theta t}{2} \cot \frac{\theta t}{2} = 1\}$ let

$$U(t) = ctX_0 + (e^{-tJ} - I)(e^{-t_0J} - I)^{-1}(ct_0X_0)$$

and

$$Z(t) = \alpha(t)Z_0$$

for a constant c , where

$$\alpha(t) = ct + \frac{\langle JX_0, (-J)^{-1}(e^{tJ} - I)(e^{-t_0J} - I)^{-1}ct_0X_0 - t(e^{t_0J} - I)^{-1}ct_0X_0 \rangle}{\langle Z_0, Z_0 \rangle}$$

Note that $J = J_{Z_0}$ is invertible on the eigenspace corresponding to the eigenvalue θ . Then by a direct computation we can see that $U(t)$ and $Z(t)$ satisfy (1.2) and (1.3). Also we can confirm that $U(0) = U(t_0) = 0$ and $Z(0) = Z(t_0) = 0$. \square

COROLLARY 2.4. *Let γ be a unit speed geodesic in $H(2, 1)$ with initial velocity $\gamma'(0) = Z_0 + X_0 \in \mathfrak{z} \oplus \mathfrak{v} = \mathfrak{n}(2, 1)$. Suppose that X_0 is an eigenvector of $J_{z_0}^2$ with nonzero eigenvalue $-\theta^2$. Then $\gamma(0)$ has a conjugate point at every point t contained in the set $\{t | \langle X_0, X_0 \rangle \frac{\theta t}{2} \cot \frac{\theta t}{2} = 1\}$.*

Proof. For nonzero vectors $X \in \mathfrak{v}$ and $Z \in \mathfrak{z}$ in the Lie algebra $\mathfrak{n}(2, 1)$ we can see easily that $[X, J_Z X]$ is a multiple of the vector Z when the vector X is an eigenvector of J_Z^2 . So the conclusion follows from Proposition 2.3. \square

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