

## MATRIX REALIZATION AND ITS APPLICATION OF THE LIE ALGEBRA OF TYPE $F_4$

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**Abstract.** The Lie algebra of type  $F_4$  has the 26 dimensional representation. Its matrix realization can be obtained via 26 by 26 matrices and has a direct useful application to degenerate principal series for  $p$ -adic groups of type  $F_4$ .

### 1. Introduction

The problem of classifying the unitary dual of  $G$ , a connected reductive group over a field  $F$ , has been studied using normalized inductions. Among normalized inductions from parabolic subgroups of  $G$ , we will look into degenerate principal series. Degenerate principal series are representations obtained by inducing a one-dimensional representation of a maximal parabolic subgroup. Jantzen [2, 3, 4] determined reducibility points of degenerate principal series for orthogonal groups and symplectic groups using their matrix realizations. The Lie algebra of type  $G_2$  was shown to be the Lie algebra of derivations of the Cayley algebra in [6] and [7]. This gives the seven dimensional representation of the Lie algebra of type  $G_2$  and its matrix realization is explicitly shown in [5]. In this paper, we derive a matrix realization of the Lie algebra of

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type  $F_4$  and apply this realization to degenerate principal series for  $p$ -adic groups of type  $F_4$ . This matrix realization will be useful in other research topics involving the Lie algebra of type  $F_4$ .

## 2. Preliminaries and Computation

The Lie algebra of type  $F_4$  over a field  $F$  is the derivation algebra  $\mathfrak{D}$  of the exceptional Jordan algebra  $\mathfrak{J}$  of dimension 27 over  $F$  in [1] and [8]. The matrix realization of type  $F_4$  via 26 by 26 matrices can be obtained as follows.

Let  $\mathfrak{C}$  be the split Cayley algebra over  $F$  of characteristic  $\neq 2$ . Let  $e_1 = (1, 0, 0)$ ,  $e_2 = (0, 1, 0)$ ,  $e_3 = (0, 0, 1)$  be the usual basis for the space of triples of elements of  $F$ .

Set

$$u_i = \begin{pmatrix} 0 & 0 \\ e_i & 0 \end{pmatrix}, u_{4+i} = -2 \begin{pmatrix} 0 & e_i \\ 0 & 0 \end{pmatrix} (i = 1, 2, 3)$$

$$u_4 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \quad u_8 = \begin{pmatrix} 0 & 0 \\ 0 & 2 \end{pmatrix}.$$

Then  $\{u_1, \dots, u_8\}$  is a basis for  $\mathfrak{C}$ .

Let  $\mathfrak{J}$  be the 27 dimensional space over  $F$  of all 3 by 3 matrices of the form

$$\alpha = \begin{pmatrix} \alpha_{11} & a_{12} & a_{13} \\ \overline{a_{12}} & \alpha_{22} & a_{23} \\ \overline{a_{13}} & \overline{a_{23}} & \alpha_{33} \end{pmatrix}$$

$$= \text{diag}(\alpha_{11}, \alpha_{22}, \alpha_{33}) + a_{12}(1, 2) + a_{13}(1, 3) + a_{23}(2, 3),$$

where  $\alpha_{ii} \in F$  and  $a_{ij} \in \mathfrak{C}$ .

Let  $\mathcal{L}$  be the Lie algebra of all derivations of  $\mathfrak{J}$ . In [8], Seligman showed that  $\mathcal{L} = \mathfrak{C} \oplus \mathfrak{C} \oplus \mathfrak{C} \oplus D_4$  where the Lie algebra  $D_4$  consists of all

skew transformations of  $\mathfrak{J}$ . For  $a_{12}, a_{13}, a_{23} \in \mathfrak{C}$  and  $T \in D_4$ , we define a linear transformation  $D$  of  $\mathfrak{J}$  by

$$\begin{aligned} & (diag(\beta_{11}, \beta_{22}, \beta_{33}) + b_{12}(1, 2) + b_{13}(1, 3) + b_{23}(2, 3))D \\ = & \frac{1}{2} [ diag(-2(b_{12}, a_{12}) - 2(b_{13}, a_{13}), 2(b_{12}, a_{12}) - 2(b_{23}, a_{23}), \\ & 2(b_{13}, a_{13}) + 2(b_{23}, a_{23})) \\ & + ((\beta_{11} - \beta_{22})a_{12} - b_{13}\overline{a_{23}} - a_{13}\overline{b_{23}} + b_{12}T)(1, 2) \\ & + ((\beta_{11} - \beta_{33})a_{13} + b_{12}a_{23} - a_{12}b_{23} + b_{13}T^\psi)(1, 3) \\ & + ((\beta_{22} - \beta_{33})a_{23} + \overline{b_{12}}a_{13} + \overline{a_{12}}b_{13} + b_{23}T^\phi)(2, 3) ] \end{aligned}$$

where the symmetric bilinear form  $(x, y)$  is defined by  $(x, y)I = (x\bar{y} + y\bar{x})/2$  for  $x, y \in \mathfrak{C}$  and  $T^\psi, T^\phi$  are defined by the principal of triviality. The principal of triviality says that if  $T$  is a linear transformation of  $\mathfrak{C}$  which is skew w.r.t.  $(x, y)$ , there are uniquely determined skew transformations  $T^\psi, T^\phi$  such that  $(xy)T^\psi = (xT)y + x(yT^\phi) \forall x, y \in \mathfrak{C}$ .

If  $E_{ij}$  are the unit matrices relative to the basis  $\{u_1, \dots, u_8\}$  of  $\mathfrak{C}$ , then  $H_i = E_{ii} - E_{i+4, i+4}$  ( $1 \leq i \leq 4$ ) spans the Cartan subalgebra of  $D_4$ . Let  $\mathcal{S}$  be the subspace spanned by  $2(0, 0, 0, H_i) = h_i$  ( $1 \leq i \leq 4$ ). For  $h \in \mathcal{S}$  and  $1 \leq i \leq 8$ ,

$$\begin{aligned} [(u_i, 0, 0, 0), h] &= \beta(h)(u_i, 0, 0, 0) \\ [(0, u_i, 0, 0), h] &= \beta(h)(0, u_i, 0, 0) \\ [(0, 0, u_i, 0), h] &= \beta(h)(0, 0, u_i, 0) \end{aligned}$$

where  $\beta$  is one of the 24 short roots of  $F_4$ .

For  $h \in \mathcal{S}$  and  $T \in \{E_{ij} - E_{j+4, i+4} \mid 1 \leq i, j \leq 4\} \cup \{E_{i, j+4} - E_{j, i+4}, E_{i+4, j} - E_{j+4, i} \mid 1 \leq i < j \leq 4\}$ ,

$$[(0, 0, 0, T), h] = \beta(h)(0, 0, 0, T)$$

where  $\beta$  is one of the 24 long roots of  $F_4$ .

Let  $\mathfrak{J}'$  be the space of matrices of trace zero. Set ( $1 \leq i \leq 8$ )

$$v_1 = \text{diag}(1, -1, 0) + 0(1, 2) + 0(1, 3) + 0(2, 3)$$

$$v_2 = \text{diag}(0, 1, -1) + 0(1, 2) + 0(1, 3) + 0(2, 3)$$

$$v_{i+2} = \text{diag}(0, 0, 0) + u_i(1, 2) + 0(1, 3) + 0(2, 3)$$

$$v_{i+10} = \text{diag}(0, 0, 0) + 0(1, 2) + u_i(1, 3) + 0(2, 3)$$

$$v_{i+18} = \text{diag}(0, 0, 0) + 0(1, 2) + 0(1, 3) + u_i(2, 3).$$

Then  $\{v_i \mid 1 \leq i \leq 26\}$  is a basis for  $\mathfrak{J}'$ . By computing the matrix for  $\{h_i \mid 1 \leq i \leq 4\}$  and each element of  $\mathcal{L}$  corresponding to 24 short roots and 24 long roots w.r.t. the basis  $\{v_i \mid 1 \leq i \leq 26\}$ , we obtain the matrix realization via 26 by 26 matrices.

### 3. Matrix Realization

Let  $\mathfrak{g}$  be the Lie algebra of  $G$ , a split group of type  $F_4$ . In the following realization, the set  $\mathfrak{a}$  of diagonal matrices in  $\mathfrak{g}$  is a Cartan subalgebra corresponding to a maximal split torus  $A$  of  $G$ .

Denote by  $E_{i,j}$  the  $26 \times 26$  matrix whose  $r, s$  entry is  $\delta_{r,i} \delta_{s,j}$  and abbreviate  $E_{i,i}$  to  $E_i$ . The Cartan subalgebra  $\mathfrak{a}$  is spanned by the four vectors

$$E_{Y_1} = E_1 - E_{26} + (E_2 + E_3 + E_4 + E_5 + E_6 + E_8 + E_{10} + E_{12})/2$$

$$-(E_{15} + E_{17} + E_{19} + E_{21} + E_{22} + E_{23} + E_{24} + E_{25})/2,$$

$$E_{Y_2} = E_7 - E_{20} + (E_2 + E_3 + E_4 + E_6 + E_{15} + E_{17} + E_{19} + E_{22})/2$$

$$-(E_5 + E_8 + E_{10} + E_{12} + E_{21} + E_{23} + E_{24} + E_{25})/2,$$

$$E_{Y_3} = E_9 - E_{18} + (E_2 + E_3 + E_5 + E_8 + E_{15} + E_{17} + E_{21} + E_{23})/2$$

$$\begin{aligned}
 & -(E_4 + E_6 + E_{10} + E_{12} + E_{19} + E_{22} + E_{24} + E_{25})/2, \\
 E_{Y_4} = & E_{11} - E_{16} + (E_2 + E_4 + E_5 + E_{10} + E_{15} + E_{19} + E_{21} + E_{24})/2 \\
 & -(E_3 + E_6 + E_8 + E_{12} + E_{17} + E_{22} + E_{23} + E_{25})/2.
 \end{aligned}$$

Define linear functionals  $\alpha, \beta, \gamma, \delta$  on  $\mathfrak{a}$  by

$$\begin{aligned}
 \alpha(pE_{Y_1} + qE_{Y_2} + rE_{Y_3} + sE_{Y_4}) &= (p - q - r - s)/2, \\
 \beta(pE_{Y_1} + qE_{Y_2} + rE_{Y_3} + sE_{Y_4}) &= s, \\
 \gamma(pE_{Y_1} + qE_{Y_2} + rE_{Y_3} + sE_{Y_4}) &= r - s, \\
 \delta(pE_{Y_1} + qE_{Y_2} + rE_{Y_3} + sE_{Y_4}) &= q - r.
 \end{aligned}$$

We set as follows for 24 positive roots and use lowercase letters for 24 negative roots,

$$\begin{aligned}
 E_H = E_\alpha, \quad E_D = E_\beta, \quad E_R = E_\gamma, \quad E_P = E_\delta, \\
 E_L = E_{\alpha+\beta}, \quad E_C = E_{\beta+\gamma}, \quad E_X = E_{2\beta+\gamma}, \quad E_Q = E_{\gamma+\delta}, \\
 E_I = E_{\alpha+\beta+\gamma}, \quad E_B = E_{\beta+\gamma+\delta}, \quad E_M = E_{2\alpha+2\beta+\gamma}, \quad E_W = E_{2\beta+\gamma+\delta}, \\
 E_E = E_{\alpha+2\beta+\gamma}, \quad E_J = E_{\alpha+\beta+\gamma+\delta}, \quad E_N = E_{2\alpha+2\beta+\gamma+\delta}, \\
 E_V = E_{2\beta+2\gamma+\delta}, \quad E_F = E_{\alpha+2\beta+\gamma+\delta}, \quad E_O = E_{2\alpha+2\beta+2\gamma+\delta}, \\
 E_G = E_{\alpha+2\beta+2\gamma+\delta}, \quad E_U = E_{2\alpha+4\beta+2\gamma+\delta}, \quad E_K = E_{\alpha+3\beta+2\gamma+\delta}, \\
 E_T = E_{2\alpha+4\beta+3\gamma+\delta}, \quad E_A = E_{2\alpha+3\beta+2\gamma+\delta}, \quad E_S = E_{2\alpha+4\beta+3\gamma+2\delta}.
 \end{aligned}$$

Then the collection  $\Phi$  of forty eight linear functionals forms a root system of type  $F_4$  and the Lie algebra of type  $F_4$  is generated by  $\{E_\omega \mid \omega \in \Phi\}$ .

In this way, we have the following matrix realization

Y1	H	L	I	J	E	4M	F	4N	2G	4O	2K	4A	0	0	2U	0	2T	0	2S	0	0	0	0	0	0	0	0
h	Y2	D	C	B	X	4E	W	-4F	2V	4G	0	0	-4K	4A	0	4U	0	-8T	0	8S	0	0	0	0	0	0	0
l	d	Y3	R	Q	C	4I	B	-4J	0	0	2V	4G	4G	-4O	2K	4A	0	0	0	0	-8T	8S	0	0	0	0	0
i	c	r	Y4	P	-D	-4L	0	0	2B	4J	-2W	-4F	-4F	-4N	0	0	-2K	-8A	0	0	8U	0	-8S	0	0	0	0
j	b	q	p	Y5	0	0	-D	4L	-2C	-4I	2X	4E	4E	-4M	0	0	0	0	-2K	8A	0	-8U	8T	0	0	0	0
e	z	c	-d	0	Y6	-4H	P	0	-2Q	0	2B	0	4J	0	-2F	-4N	-2G	-8O	0	0	-8A	0	0	-8S	0	0	0
m/4	e/4	i/4	-l/4	0	-h/4	Y7	0	-P	0	-Q	0	B	0	-J	W/2	-F	V/2	2G	0	0	2K	0	0	0	0	-2S	0
f	w	b	0	-d	p	0	Y8	4H	2R	0	-2C	0	-4I	0	2E	-4M	0	0	-2G	8O	0	8A	0	8T	0	0	0
n/4	-f/4	-j/4	0	l/4	0	-p	h/4	Y9	0	-R	0	C	0	-I	X/2	-E	0	0	-V/2	2G	0	2K	0	0	0	-2T	0
g/2	v/2	0	b/2	-c/2	-g/2	0	r/2	0	Y10	-2H	D	0	2L	0	0	0	-2E	4M	F	4N	0	0	-4A	-2U	0	0	0
o/4	g/4	0	j/4	-i/4	0	-q	0	-r	-h/2	Y11	0	D	0	-L	0	0	-X/2	E	-W/2	2F	0	0	2K	0	-U	0	0
k/2	0	v/2	-w/2	z/2	b/2	0	-c/2	0	d	0	Y12	-2H	-2H	0	L	0	I	0	J	0	4M	4N	4O	-4A	0	0	0
a/2	k/4	g/4	-f/4	e/4	-j/4	2b	i/4	2c	-l/2	2d	-h/2	0	0	H	-D	L	-C	-2I	-B	2J	-2E	2F	-2G	-2K	-4A	0	0
-a/4	-k/2	g/4	-f/4	e/4	j/2	-b	-i/2	-c	l	-d	-h/2	0	0	H	D/2	-2L	C/2	4I	B/2	-4J	-2E	2F	-2G	4K	2A	0	0
0	a/4	-o/4	-n/4	-m/4	0	-j	0	-i	0	-l	0	h	h	-Y12	0	-D	0	2C	0	-2B	-2X	2W	-2V	0	-2K	0	0
u/2	0	-k/2	0	0	-f/2	2w	e/2	2x	0	0	l	-2d	0	0	-Y11	2H	R	0	Q	0	4I	-4J	0	-4G	-4O	0	0
0	u/4	a/4	0	0	-n/4	-f	-m/4	-e	0	0	0	0	0	-l	-d	h/2	-Y10	0	-2R	0	2Q	2C	-2B	0	-2V	-2G	0
t/2	0	0	-k/2	0	-g/2	2v	0	0	e	-2z	i	-2c	0	0	r	0	-Y9	-4H	P	0	-4L	0	4J	4F	-4N	0	0
0	-t/8	0	-a/8	0	-o/8	g/2	0	0	m/4	-e/2	0	0	i/2	c/2	0	-r/2	-h/4	-Y8	0	-P	D	0	-B	-W	-F	0	0
s/2	0	0	0	-k/2	0	0	-g/2	-2v	f	-2w	j	-2b	0	0	q	0	p	0	-Y7	4H	0	4L	-4I	-4E	-4M	0	0
0	s/8	0	0	a/8	0	0	o/8	g/2	n/4	f/2	0	0	-j/2	-b/2	0	q/2	0	-p	h/4	-Y6	0	D	-C	-X	-E	0	0
0	0	-t/8	u/8	0	-a/8	k/2	0	0	0	0	m/4	-e/2	-e/2	-z/2	i/4	c/2	-l/4	d	0	0	-Y5	-P	-Q	-B	-J	0	0
0	0	s/8	0	-u/8	0	0	a/8	k/2	0	0	n/4	f/2	f/2	w/2	-j/4	-b/2	0	0	l/4	d	-p	-Y4	-R	-C	-I	0	0
0	0	0	-s/8	t/8	0	0	0	0	-a/4	k/2	o/4	-g/2	-g/2	-v/2	0	0	j/4	-b	-i/4	-c	-q	-r	-Y3	-D	-L	0	0
0	0	0	0	0	-s/8	0	t/8	0	-u/4	0	-a/4	0	k/2	0	-g/4	-v/2	f/4	-w	-e/4	-x	-b	-c	-d	-Y2	-H	0	0
0	0	0	0	0	0	-s/2	0	-t/2	0	-u/2	0	-a/2	0	-k/2	-o/4	-g/2	-n/4	-f	-m/4	-e	-j	-i	-l	-h	-Y1	0	0

### 4. Application

To determine reducibility points of degenerate principal series in regular cases, we will use a criterion developed by Jantzen in [2]. And we will use matrix realization derived in the previous section.

For a root  $\omega \in \Phi$ , we will write  $x_\omega(t)$  for  $\exp(tX_\omega)$ . Set  $w_\omega(t) = x_\omega(t)x_{-\omega}(-t^{-1})x_\omega(t)$  and  $h_\omega(t) = w_\omega(t)w_\omega(1)^{-1}$ .

The group  $A$ , generated by  $\{h_\omega(t) \mid \omega \in \Phi, t \in F^\times\}$ , is equal to the set of  $26 \times 26$  matrices of the form

$$\begin{aligned} \text{diag}(p, q, r, s) = & pE_1 + qE_2 + rE_3 + sE_4 + pq/rsE_5 + rs/qE_6 + rs/pE_7 \\ & + p/sE_8 + q/sE_9 + p/rE_{10} + q/rE_{11} + p/qE_{12} + E_{13} + E_{14} + q/pE_{15} \\ & + r/qE_{16} + r/pE_{17} + s/qE_{18} + s/pE_{19} + p/rsE_{20} + q/rsE_{21} + rs/pqE_{22} \\ & + 1/sE_{23} + 1/rE_{24} + 1/qE_{25} + 1/pE_{26}, \quad p, q, r, s \in F^\times. \end{aligned}$$

The  $w_\omega(t)$ 's and  $A$  generate the group  $N$ . The Weyl group  $W = N/A$  is generated by the reflections

$$s_1 = w_H(1), \quad s_2 = w_D(1) \quad s_3 = w_R(1) \quad s_4 = w_P(1).$$

Let  $B = AU_{\min}$  be the minimal parabolic and set  $N_i = \langle B, s_i \rangle$  ( $i = 1, 2, 3, 4$ ), which is the Levi factor of a larger parabolic subgroup. To use Jantzen's criterion, we need to understand the structure of  $N_i$  and the Weyl group actions on  $A$ .

Using matrix realization, we can identify the structure of  $N_i$  which are isomorphic to  $GL(1, F) \times GL(1, F) \times GL(2, F)$ . And we can compute the Weyl group action on  $A$  with the help of a computational software such as Maple and Matlab.

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