

## ABELIAN-BY-NILPOTENT GROUPS WITH CHAIN CONDITIONS FOR NORMAL SUBGROUPS OF INFINITE ORDER OR INDEX

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ABSTRACT. We study the structure of abelian-by-nilpotent groups satisfying the maximal condition on infinite normal subgroups or the minimal condition on normal subgroups of infinite index.

### 1. Introduction

A group  $G$  is said to satisfy the *weak maximal condition on normal subgroups* if there are no infinite ascending chains  $G_1 < G_2 < \cdots$  of normal subgroups of  $G$  such that all the indices  $|G_{i+1} : G_i|$  are infinite. The *weak minimal condition on normal subgroups* is defined by substituting descending for ascending chains. Kurdachenko [2] considered groups satisfying the weak maximal or weak minimal conditions on normal subgroups.

A group  $G$  is said to satisfy *max- $\infty n$*  (the maximal condition on infinite normal subgroups) if there are no infinite ascending chains of infinite normal subgroups of  $G$ . Similarly a group  $G$  is said to satisfy *min- $\infty n$*  (the minimal condition on normal subgroups of infinite index) if there are no infinite descending chains of normal subgroups with infinite index in  $G$ . Since the chain conditions *max- $\infty n$*  and *min- $\infty n$*  are weaker than the chain conditions *max- $n$*  and *min- $n$*  (the maximal and minimal conditions on normal subgroups, respectively), we define a group satisfies *max- $\infty n^*$*  if it satisfies *max- $\infty n$* , but not *max- $n$*  and a group satisfies *min- $\infty n^*$*  if it satisfies *min- $\infty n$* , but not *min- $n$* .

De Giovanni et al. [3] characterized the structure of groups satisfying *max- $\infty n^*$*  or *min- $\infty n^*$* . In addition, the structure of nonfinitely generated solvable groups satisfying *max- $\infty n^*$*  and solvable groups satisfying *min- $\infty n^*$*  was investigated in detail. In this paper, we consider abelian-by-nilpotent groups with these chain conditions.

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## 2. Basic results

We say that a  $G$ -operator group  $N$  is  $G$ -*quasifinite* if  $N$  is infinite but every proper  $G$ -invariant subgroup of  $N$  is finite.

**Lemma 2.1** ([3], Theorem 2.1). *Let  $G$  be a group satisfying  $\max\text{-}\infty n^*$ . Then there is an infinite normal subgroup  $R$  which has the following properties:*

- (1)  $R$  is the unique smallest normal subgroup such that  $G/R$  has  $\max\text{-}n$ ;
- (2)  $R$  is the unique  $G$ -quasifinite normal subgroup;
- (3)  $R$  is either an elementary abelian  $p$ -group or a radicable abelian  $p$ -group of finite rank for some prime  $p$ ;
- (4)  $R$  is a countably infinite, locally finite  $G$ -module.

And the subgroup  $R$  in Lemma 2.1 is denoted by

$$\rho(G).$$

**Lemma 2.2** ([3], Proposition 4.4). *If  $G$  is a nonfinitely generated soluble group with  $\max\text{-}\infty n^*$ , then  $C_G(\rho(G))$  is a torsion group.*

Karbe [1] proved that the weak maximal or weak minimal conditions on normal subgroups are inherited by any subgroups of finite index. We aim to extend Wilson's theorem on groups with  $\min\text{-}n$  to  $G$ -operator groups. This will be used for investigating abelian-by-nilpotent groups with  $\min\text{-}\infty n^*$ . Recall the statement of Wilson's theorem: if a group  $G$  satisfies  $\min\text{-}n$  and  $H$  is a subgroup of  $G$  with finite index, then  $H$  satisfies  $\min\text{-}n$ .

The following is the generalization of Wilson's theorem.

**Proposition 2.3.** *Let  $M$  be a  $G$ -operator group and let  $H$  be a subgroup of  $G$  of finite index. If  $M$  has  $\min\text{-}G$ , then it has  $\min\text{-}H$ .*

*Proof.* First note that the case  $M = G$  is Wilson's Theorem. The proof is substantially Wilson's. Suppose that  $M$  does not in fact have  $\min\text{-}H$ . Since  $G/H_G$  is finite, we may assume that  $H \triangleleft G$ . By  $\min\text{-}G$  it follows that  $M$  contains a subgroup  $K$  which is  $G$ -invariant and minimal with respect to not satisfying  $\min\text{-}H$ .

Consider the set  $\mathfrak{S}$  of all finite nonempty subsets  $X$  of  $G$  with the following property: if

$$(1) \quad K_1 > K_2 > \dots$$

is an infinite descending chain of  $H$ -invariant subgroups of  $K$ , then

$$(2) \quad K = K_i^X$$

holds for all  $i$ . It is not evident that such subsets exist, so our first concern is to produce one.

Let  $T$  be a transversal to  $H$  in  $G$ ; thus  $G = HT$ . For any chain the above type we have  $K_i^T = K_i^{HT} = K_i^G \leq K$  since  $K_i$  is  $H$ -invariant and  $K$  is  $G$ -invariant. If  $K_i^T \neq K$ , then  $K_i^T$  has the property  $\min\text{-}H$  by minimality of

$K$ . But this implies that  $K_j = K_{j+1}$  for some  $j \geq i$ . By this contradiction  $K_i^T = K$  for all  $i$  and  $T \in \mathfrak{S}$ .

We now select a minimal element of  $\mathfrak{S}$ , say  $X$ . If  $x \in X$ , then  $Xx^{-1} \in \mathfrak{S}$  because  $K$  is  $G$ -invariant. Of course  $Xx^{-1}$  is also minimal in  $\mathfrak{S}$  and it contains 1. Thus we may assume that  $1 \in X$ . If in fact  $X$  contains no other element, then (1) and (2) are inconsistent, so that  $K$  has min- $H$ . Consequently the set

$$Y = X \setminus \{1\}$$

is nonempty. Therefore  $Y$  does not belong to  $\mathfrak{S}$  by minimality of  $X$ .

It follows that there exists an infinite descending chain  $K_1 > K_2 > \dots$  of  $H$ -invariant subgroups of  $K$  such that  $K_j^Y \neq K$  for some  $j$ . Define

$$L_i = K_i \cap K_i^Y.$$

Then  $L_i$  is a  $H$ -invariant subgroup of  $K$ . Also  $L_i \geq L_{i+1}$ . Suppose that  $L_i = L_{i+1}$ ; since  $X \in \mathfrak{S}$ , we must have  $K = K_{i+1}^X$  and

$$K_i = K_i \cap K_{i+1}^X = K_i \cap (K_{i+1}K_{i+1}^Y) \leq K_{i+1}L_i = K_{i+1},$$

contradicting  $K_i > K_{i+1}$ . Hence  $L_i > L_{i+1}$  for all  $i$ . Therefore  $L_i^X = K$  for all  $i$ , which shows that

$$K_j = K_j \cap L_j^X = K_j \cap (L_jL_j^Y) \leq L_j(K_j \cap K_j^Y) = L_j.$$

Hence  $K_j = L_j$ . Finally, by definition of  $L_j$  we obtain  $K_j^Y = K_j^X = K$ , a contradiction. □

### 3. Abelian-by-nilpotent groups with max- $\infty n^*$ or min- $\infty n^*$

Our first result describes abelian-by-nilpotent groups with max- $\infty n^*$ .

**Theorem 3.1.** *An abelian-by-nilpotent group  $G$  satisfies max- $\infty n^*$  if and only if there is an infinite abelian normal subgroup  $R$  such that  $G/R$  is finitely generated,  $R$  is  $G$ -quasifinite, and  $C_G(R)$  is torsion.*

*Proof.* Suppose that  $G$  satisfies max- $\infty n^*$ . Then, since finitely generated abelian-by-nilpotent groups satisfy max- $n$ ,  $G$  is not finitely generated. Hence the result follows from Lemmas 2.1 and 2.2.

Conversely, suppose that  $G$  has the structure indicated, but does not satisfy max- $\infty n$ . Let  $G_1 < G_2 < \dots$  be an infinite ascending chain of infinite normal subgroups of  $G$ .

*Case:  $G_i \cap R$  is infinite for some  $i$ .* Since  $R$  is  $G$ -quasifinite,  $G_i \cap R = R$  and so  $R \leq G_i$ . Hence  $G/R$  does not have max- $n$ , a contradiction.

*Case:  $G_i \cap R$  is finite for all  $i$ .* Since  $G_iR/R \simeq G_i/G_i \cap R$  is infinite,  $G_iR/R$  is not torsion. Hence  $G_iR/R$  has an element  $xR$  of infinite order. Since  $\langle x \rangle R \leq G_iR$ , we can assume that  $x \in G_i$ . If  $[R, x^j] = 1$ , then  $x^j \in C_G(R)$ , a contradiction. Hence  $[R, x^j]$  is finite with bounded order. Since  $[R, x^j]^{(x)} = [R, x^j]$ , it follows that  $[R, x^j, x^k] = 1$  for some  $k > 0$  and all

$j > 0$ . Hence  $[R, x^k, x^k] = 1$ . If  $l$  is the order of  $[R, x^k]$ , then  $[R, x^{lk}] \leq [R, x^k]^l [R, x^k, x^k] = 1$ . Hence  $x^{lk} \in C_G(R)$ , a contradiction.

Thus  $G$  satisfies  $\text{max-}\infty n$ . Finally,  $R$  cannot be finitely generated since otherwise it would be finite. Hence  $G$  does not have  $\text{max-}n$ .  $\square$

**Proposition 3.2.** *A torsion abelian-by-nilpotent group  $G$  with  $\text{max-}\infty n^*$  is Chernikov.*

*Proof.* Let  $R = \rho(G)$ . Then  $G/R$  is finitely generated solvable torsion, so it is finite. Write  $G = XR$  where  $X$  is finite abelian-by-nilpotent. We will show that  $R$  is not an elementary abelian  $p$ -group. Let

$$U = R^p(X \cap R)$$

for some prime  $p$ . We pass to the group

$$\bar{G} = G/U = (XU/U) \times (R/U).$$

Thus we can assume that  $G = X \times R$  with  $R$  an elementary abelian  $p$ -group and  $X$  a finite nilpotent group. Write  $X = P \times Q$  where  $P$  is the  $p$ -component and  $Q$  is the  $p'$ -component. Write

$$C = C_R(P).$$

Then  $C$  is a  $\mathbb{Z}_p Q$ -module. By Maschke's Theorem,  $C$  is completely reducible, that is, a direct sum of simple submodules-the latter are  $X$ -invariant and so are normal in  $G$ . Thus  $C$  is a direct summand of finitely many simple submodules; hence  $C$  is finite.

Next observe that  $PR$  is nilpotent since  $P$  is a finite  $p$ -group and  $R$  is an elementary abelian  $p$ -group. The argument of the last paragraph shows that each  $Z_{i+1}(PR)/Z_i(PR)$  is finite. Consequently  $PR$  is finite and so is  $R$ , a contradiction.

Therefore  $R$  is a radicable abelian  $p$ -group of finite rank for some prime  $p$  by Lemma 2.1. Hence  $G$  is Chernikov.  $\square$

We now consider abelian-by-nilpotent groups with  $\text{min-}\infty n^*$ ; in order to do this, we begin with polycyclic groups with  $\text{min-}\infty n^*$ .

**Lemma 3.3.** *A polycyclic group  $G$  satisfies  $\text{min-}\infty n^*$  if and only if it is a finite extension of a  $G$ -rationally irreducible free abelian subgroup of finite rank.*

*Proof.* Suppose that  $G$  satisfies  $\text{min-}\infty n^*$ . Let  $A$  be a non-trivial free abelian normal subgroup of  $G$ . Then  $G/A$  must be finite since otherwise  $A$  has  $\text{min-}G$ . Now let  $B$  be a non-trivial  $G$ -invariant subgroup of  $A$ . Then  $G/B$  is finite, hence so is  $A/B$ , by the preceding argument. Consequently  $A$  is  $G$ -rationally irreducible.

Conversely, suppose that  $A$  is a  $G$ -rationally irreducible free abelian subgroup of finite rank such that  $G/A$  is finite. Suppose that  $G$  does not satisfy  $\text{min-}\infty n$  and let  $G_1 > G_2 > \dots$  be an infinite descending chain of normal subgroups of  $G$  with infinite index.

*Case:  $G_i \cap A$  is finite for some  $i$ .* Since  $A$  is torsion-free,  $G_i \cap A = 1$ . Hence  $G_i A/A \simeq G_i/G_i \cap A \simeq G_i$  is finite, a contradiction.

*Case:  $G_i \cap A$  is infinite for all  $i$ .* Since  $A/A \cap G_i \simeq AG_i/G_i$  is finite, and so is  $G/G_i$ , a contradiction. Thus  $G$  satisfies  $\text{min-}\infty n$ . Finally,  $G$  does not satisfy  $\text{min-}n$ : for  $A$  does not have  $\text{min-}G$ . □

Now we can determine the structure of abelian-by-nilpotent groups with  $\text{min-}\infty n^*$ .

**Theorem 3.4.** *An abelian-by-nilpotent group  $G$  satisfies  $\text{min-}\infty n^*$  if and only if it has an infinite abelian normal subgroup  $A$  such that:*

*either*

(1)  *$A$  is a  $G$ -rationally irreducible free abelian subgroup of finite rank such that  $G/A$  is finite*

*or else*

(2)  *$G/A$  is infinite cyclic-by-finite,  $A$  has  $\text{min-}G$ , and  $A/[A, x]$  is finite where  $x$  is any element of infinite order in  $G$ .*

*Proof.* Suppose that  $G$  has  $\text{min-}\infty n^*$ . Let  $A$  be an abelian normal subgroup of  $G$  with  $G/A$  nilpotent. If  $A$  is finite, then  $G/A$  is a nilpotent group with  $\text{min-}\infty n^*$ . Hence it is infinite cyclic-by-finite and so is  $G$  ([5], Lemma 3.1). Hence  $G$  has the structure given in (1). Thus we now assume that  $A$  is infinite.

*Case:  $G/A$  is finite.* We will show that  $G$  is polycyclic in this case. Most of the work is to show that  $A$  is not torsion. Suppose for now that we have shown this. Let  $x \in A$  have infinite order and put  $B = \langle x \rangle^G$ . Since  $G/A$  is finite,  $B$  is a finitely generated infinite abelian normal subgroup of  $G$ . Hence  $G/B$  is finite since otherwise  $B$  has  $\text{min-}G$ . Therefore  $G$  is polycyclic. Thus it will suffice to argue that  $A$  is not torsion. Note that  $A$  does not have  $\text{min-}G$ . Assuming that  $A$  is torsion, we know that it is the direct sum of finitely many non-trivial primary components and only one primary component  $A_p$  can be infinite since  $A$  does not satisfy  $\text{min-}G$ . Let

$$A[p] = \{a \in A \mid a^p = 1\}.$$

If  $A[p]$  is finite, then  $A$  has finite rank. Hence it is a direct sum of finitely many cyclic and quasicyclic groups. But then  $A$  has  $\text{min}$ , as must  $G$ , a contradiction. Therefore  $A[p]$  is infinite elementary abelian.

If  $G/A[p]$  is infinite, then  $A[p]$  has  $\text{min-}G$ ; and hence has  $\text{min-}A$  by Proposition 2.3. This implies that  $A[p]$  is finite, a contradiction. Hence  $G/A[p]$  is finite and  $A[p]$  does not have  $\text{min-}G$ . If  $H$  is an infinite  $G$ -invariant subgroup of  $A[p]$  of infinite index, then as before  $H$  has  $\text{min-}A$  by Proposition 2.3, a contradiction. Consequently every infinite  $G$ -invariant subgroup of  $A[p]$  has finite index. It follows that  $A[p]$  has  $\text{max-}\infty G$  (the maximal condition for infinite  $G$ -invariant subgroups), so  $G$  has  $\text{max-}\infty n$ . Hence  $G$  is Chernikov by Proposition 3.2 and so  $A[p]$  is finite. By this contradiction  $A$  is not torsion.

*Case:  $G/A$  is infinite.* We will show that  $G$  has the structure given in (2) in this case. Since  $G/A$  is infinite,  $A$  has  $\text{min-}G$  and so  $G/A$  does not have  $\text{min-}n$ .

Thus  $G/A$  is cyclic-by-finite ([5], Lemma 3.1), and so it is finite-by-cyclic. Now we write  $G = XA$  with  $X$  a finitely generated subgroup.

Let  $z \in A$  have infinite order. Then, since  $A$  has min- $G$ , it follows that  $\langle z \rangle^G$  has min- $G$ . Also  $\langle z \rangle^G$  is a finitely generated  $G/A$ -module. Since  $G/A$  is finitely generated nilpotent, it is polycyclic. Hence  $\langle z \rangle^G$  has max- $G$  ([7], 15.3.3). It follows that  $\langle z \rangle^G$  is finite, a contradiction. Therefore  $A$  is torsion.

Now let  $M$  be a maximal normal torsion subgroup of  $G$  containing  $A$  such that  $G/M = \langle xM \rangle$  is infinite cyclic and  $M/A$  is finite.

Now we write  $G/A = M/A \times \langle xA \rangle$  where  $|x| = \infty$ . We note that

$$\langle x, [M, x] \rangle \triangleleft \langle x, M \rangle = G.$$

If  $M/[M, x]$  is infinite, then  $\langle x, [M, x] \rangle$  has infinite index in  $G$ . Thus  $\langle x, [M, x] \rangle$  has min- $G$ . But then  $\langle x^k, [M, x^k] \rangle$  is a  $G$ -invariant subgroup of  $\langle x, [M, x] \rangle$  for each  $k > 0$ , which cannot be true. Hence  $M/[M, x]$  is finite.

Write  $G = XA$  where  $X$  is a finitely generated abelian-by-nilpotent group. Then  $X$  has max- $n$ . Hence  $X \cap A$  has max- $X$ , and also min- $X$  since  $G = XA$ . Therefore  $X \cap A$  is finite. Now factor out by the finite normal subgroup  $X \cap A$ . Then

$$G = X \rtimes A \quad \text{and} \quad M = (M \cap X) \rtimes A.$$

Let  $x \in G$  with  $|x| = \infty$ . Then  $x = ya$  with  $y \in X, a \in A$  and clearly  $|y| = \infty$ . Also  $[A, x] = [A, y]$ . So we can assume that  $x \in X$ . Then  $[M, x] = [A, x]$  since  $[M, x] \leq [A, x][M \cap X, x]$  and  $[M \cap X, x] \leq X \cap A = 1$ . This argument shows that

$$[M, x] \leq [A, x](X \cap A).$$

Since  $X \cap A$  is finite, so is  $[M, x]/[A, x]$ . Therefore  $A/[A, x]$  is finite.

Conversely, if (1) holds, then  $G$  is polycyclic and the result follows from Lemma 3.3. Thus we assume that (2) holds. Suppose that  $G_1 > G_2 > \dots$  is an infinite descending chain of normal subgroups of  $G$  with infinite index.

*Case:  $G_i A/A$  is infinite for some  $i$ .*  $G_i A/A$  contains an element  $xA$  of infinite order where  $x \in G_i$  and  $G/G_i A$  is finite. Since  $[A, x] \leq A \cap G_i$ , it follows that  $A/A \cap G_i \simeq AG_i/G_i$  is finite and so is  $G/G_i$ , a contradiction.

*Case:  $G_i A/A$  is finite for all  $i$ .* There is an  $i$  such that  $G_i A = G_{i+1} A$  and  $G_i \cap A = G_{i+1} \cap A$ , which implies that

$$G_{i+1} = G_{i+1} \cap G_i A = G_i(G_{i+1} \cap A) = G_i,$$

a contradiction.

Therefore  $G$  has min- $\infty n$ . Finally if  $G$  has min- $n$ , then it is locally finite ([6], Theorem 5.25). Hence  $G/A$  is finitely generated locally finite and so is finite, a contradiction.  $\square$

**Example 3.5** ([4], Example 3.4). Let  $M = \langle a_1 \rangle \times \langle a_2 \rangle \times \dots$  be an infinite elementary abelian  $p$ -group and let  $X = \langle x \rangle$  be an infinite cyclic group acting on  $M$  via

$$a_1^x = a_1 \quad \text{and} \quad a_{i+1}^x = a_{i+1} a_i$$

for all  $i = 1, 2, \dots$ . Then  $G = X \times M$  is an abelian-by-nilpotent group with  $\text{min-}\infty n^*$ .

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