

## ARITHMETIC OF THE MODULAR FUNCTIONS $j_{1,2}$ AND $j_{1,3}$

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ABSTRACT. We find the uniformizers of modular curves  $X_1(N)$  ( $N = 2, 3$ ) and explore the relationship with Thompson series and number theoretic property.

### 1. Introduction

Let  $\mathfrak{H}$  be the complex upper half plane and let  $\Gamma_1(N)$  be a congruence subgroup of  $SL_2(\mathbb{Z})$  whose elements are congruent to  $\begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \pmod{N}$  ( $N = 1, 2, 3, \dots$ ). Since the group  $\Gamma_1(N)$  acts on  $\mathfrak{H}$  by linear fractional transformations, we get the modular curve  $X_1(N) = \Gamma_1(N) \backslash \mathfrak{H}^*$ , as the projective closure of smooth affine curve  $\Gamma_1(N) \backslash \mathfrak{H}$ , with genus  $g_{1,N}$ . Since  $g_{1,N} = 0$  only for the eleven cases  $1 \leq N \leq 10$  and  $N = 12$  ([6]), the function field  $K(X_1(N))$  of the curve  $X_1(N)$  is a rational function field over  $\mathbb{C}$  for such  $N$ .

In this article we shall find the field generators  $j_{1,2}$  and  $j_{1,3}$  as the uniformizers of modular curves  $X_1(N)$  when  $N = 2$  and  $3$ , respectively. In §3  $j_{1,2}$  is constructed by making use of the classical Jacobi theta functions  $\theta_2$  and  $\theta_4$ . Meanwhile in §4  $j_{1,3}$  is made by the Eisenstein series of weight 4. In §5 we shall estimate the normalized generators  $N(j_{1,2})$  and  $N(j_{1,3})$  which turn out to be the Thompson series of type 2B and 3B, respectively. And, when  $\tau \in \mathfrak{H} \cap \mathbb{Q}(\sqrt{-d})$  for a square free positive integer  $d$ , we shall show that  $N(j_{1,N})(\tau)$  ( $N = 2, 3$ ) becomes an algebraic integer.

Throughout the article we adopt the following notations:

- (1)  $\mathfrak{H}^*$  the extended complex upper half plane
- (2)  $\Gamma(N) = \{\gamma \in SL_2(\mathbb{Z}) \mid \gamma \equiv I \pmod{N}\}$
- (3)  $\Gamma_0(N)$  the Hecke subgroup  $\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma(1) \mid c \equiv 0 \pmod{N} \}$
- (4)  $\bar{\Gamma}$  the inhomogeneous group of  $\Gamma (= \Gamma / \pm I)$
- (5)  $q_h = e^{2\pi iz/h}$ ,  $z \in \mathfrak{H}$
- (6)  $M_k(\Gamma_1(N))$  the space of modular forms of weight  $k$  with respect to the group  $\Gamma_1(N)$

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Received August 26, 2005.

2000 *Mathematics Subject Classification.* 11F03, 11F06, 11F11.

*Key words and phrases.* modular curve, uniformizer, normalized generator, Thompson series.

- (7)  $f|_{\begin{pmatrix} a & b \\ c & d \end{pmatrix}} = f\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot z\right)$   
(8)  $f|_{\left[\begin{pmatrix} a & b \\ c & d \end{pmatrix}\right]_k} = (ad - bc)^{\frac{k}{2}} \cdot f\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot z\right) \cdot (cz + d)^{-k}$   
(9)  $\nu_0(F)$  the sum of orders of zeros of a modular form (or function)  $F$

## 2. Fundamental region of $X_1(N)$

Let  $\Gamma$  be a congruence subgroup of  $SL_2(\mathbb{Z})$ .

**Definition.** An (*open*) *fundamental region*  $R$  for  $\Gamma$  is an open subset of  $\mathfrak{H}^*$  with the properties:

1. there do not exist  $\gamma \in \Gamma$  and  $w, z \in R$  for which  $w \neq z$  and  $w = \gamma z$ ,
2. for any  $z \in \mathfrak{H}^*$ , there exists  $\gamma \in \Gamma$  such that  $\gamma z \in \overline{R}$  the closure of  $R$ .

We will develop some elementary results about fundamental regions, which will give us useful geometric informations about the modular curve  $X_1(N)$ . Let  $\Gamma^1(N)$  be a congruence subgroup of  $SL_2(\mathbb{Z})$  whose elements are congruent to  $\begin{pmatrix} 1 & 0 \\ * & 1 \end{pmatrix} \pmod N$  ( $N = 1, 2, 3, \dots$ ). We note that two groups  $\Gamma_1(N)$  and  $\Gamma^1(N)$  are conjugate:

$$(1) \quad \Gamma^1(N) = \begin{pmatrix} N & 0 \\ 0 & 1 \end{pmatrix} \Gamma_1(N) \begin{pmatrix} 1/N & 0 \\ 0 & 1 \end{pmatrix}.$$

It turns out that the  $\Gamma^1$  groups are more convenient than their  $\Gamma_1$  counterparts in drawing pictures and making geometric computations. Now we will draw fundamental regions using Ferenbaugh's idea ([4], §3). Suppose  $c, r \in \mathbb{R}$  with  $r > 0$ . Then we define the sets

$$\begin{aligned} \text{arc}(c, r) &= \{z \in \mathfrak{H}^* \mid |z - c| = r\} \\ \text{inside}(c, r) &= \{z \in \mathfrak{H}^* \mid |z - c| < r\} \\ \text{outside}(c, r) &= \{z \in \mathfrak{H}^* \mid |z - c| > r\}. \end{aligned}$$

Let  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  be an element of  $\Gamma$ , and assume  $c \neq 0$ . Then we define

$$\begin{aligned} \text{arc}(\gamma) &= \text{arc}(a/c, 1/|c|), \\ \text{inside}(\gamma) &= \text{inside}(a/c, 1/|c|) \quad \text{and} \\ \text{outside}(\gamma) &= \text{outside}(a/c, 1/|c|). \end{aligned}$$

If  $c = 0$ ,  $\gamma$  is of the form  $z \mapsto z + n$  for some integer  $n$ . We shall assume  $\gamma$  is not the identity, so  $n \neq 0$ . We then adopt the following conventions: for  $n > 0$ , we define

$$\begin{aligned} \text{arc}(\gamma) &= \left\{z \in \mathfrak{H}^* \mid \text{Re}(z) = \frac{n}{2}\right\} \\ \text{inside}(\gamma) &= \left\{z \in \mathfrak{H}^* \mid \text{Re}(z) > \frac{n}{2}\right\} \\ \text{outside}(\gamma) &= \left\{z \in \mathfrak{H}^* \mid \text{Re}(z) < \frac{n}{2}\right\}. \end{aligned}$$

While for  $n < 0$ , we define “arc” in the same way and reverse the inequalities in the definitions of “inside” and “outside”. Then we have

**Proposition 1.** *The element  $\gamma \in \Gamma - \{I\}$  sends  $\text{arc}(\gamma^{-1})$  to  $\text{arc}(\gamma)$ ,  $\text{inside}(\gamma^{-1})$  to  $\text{outside}(\gamma)$  and  $\text{outside}(\gamma^{-1})$  to  $\text{inside}(\gamma)$ .*

*Proof.* [4], Proposition 3.1. □

**Theorem 2.** *With definitions as above, a fundamental region  $R$  for  $\Gamma$  is given by*

$$R = \bigcap_{\gamma \in \Gamma - \{I\}} \text{outside}(\gamma).$$

*Proof.* [4], Theorem 3.3. □

Now the following theorem allows us to get the generators of the group  $\bar{\Gamma}$ .

**Theorem 3.** *Let  $\bar{\Gamma}$  be a congruence subgroup of  $\bar{\Gamma}(1)$  of finite index and  $R$  be a fundamental region for  $\bar{\Gamma}$ . Then the sides of  $R$  can be grouped into pairs  $\lambda_i, \lambda'_i$  ( $i = 1, 2, \dots, s$ ) in such a way that  $\lambda_i \subseteq \bar{R}$  and  $\lambda'_i = \gamma_i \lambda_i$  where  $\gamma_i \in \bar{\Gamma}$  ( $i = 1, 2, \dots, s$ ).  $\gamma_i$ 's are called boundary substitutions of  $R$ . Furthermore,  $\bar{\Gamma}$  is generated by the boundary substitutions  $\gamma_1, \dots, \gamma_s$ .*

*Proof.* [13], Theorem 2.4.4 (or [7], Theorem 1). □

### 3. Modular function $j_{1,2}$

Let us take  $\Gamma = \Gamma^1(2)$ . Put

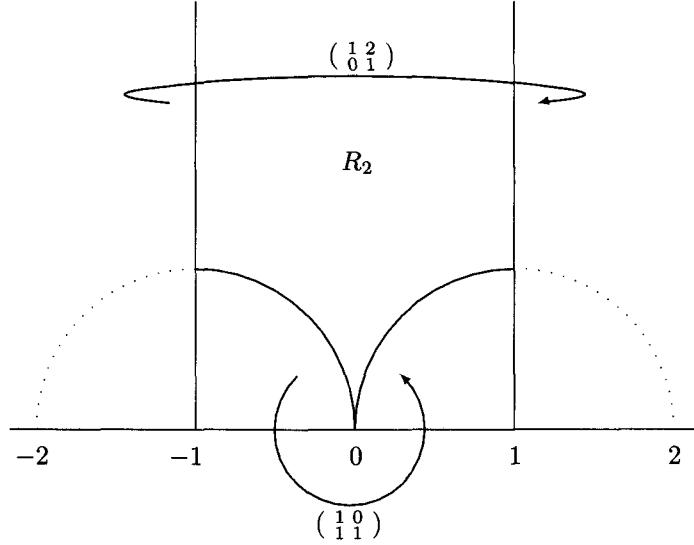
$$\gamma_1 = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix} \quad \text{and} \quad \gamma_2 = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}.$$

If  $R_2$  is a fundamental region of  $\Gamma^1(2)$ , then by Theorem 2

$$R_2 = \bigcap_{i=1}^2 \text{outside}(\gamma_i^{\pm 1})$$

and its figure is as follows.

We denote by  $S_\Gamma$  the set of inequivalent cusps of  $\Gamma$ . Then as in the above figure  $S_{\Gamma^1(2)} = \{\infty, 0\}$ . Furthermore it follows from Theorem 3 that  $\bar{\Gamma}^1(2)$  is generated by  $\gamma_1$  and  $\gamma_2$ . Thus we obtain the following theorem by (1).



**Theorem 4.** (i)  $S_{\Gamma_1(2)} = \{\infty, 0\}$ . All cusps of  $\Gamma_1(2)$  are regular ([11], [16]).  
(ii)  $\bar{\Gamma}_1(2)$  is generated by  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}$ .

For later use we are in need of calculating the widths of the cusps of  $\Gamma_1(2)$ .

**Lemma 5.** Let  $a/c \in \mathbb{P}^1(\mathbb{Q})$  be a cusp with  $(a, c) = 1$ . Then the width of  $a/c$  in  $X_1(N)$  is given by  $N/(c, N)$  if  $N \neq 4$ .

*Proof.* [8], Lemma 3. □

We then have the following table of inequivalent cusps of  $\Gamma_1(2)$ :

**Table 1. Cusps of  $\Gamma_1(2)$**

cusps	$\infty$	0
width	1	2

Now, we recall the Jacobi theta functions  $\theta_2, \theta_3, \theta_4$  defined by

$$\theta_2(z) = \sum_{n \in \mathbb{Z}} q_2^{(n + \frac{1}{2})^2}$$

$$\theta_3(z) = \sum_{n \in \mathbb{Z}} q_2^{n^2}$$

$$\theta_4(z) = \sum_{n \in \mathbb{Z}} (-1)^n q_2^{n^2}$$

for  $z \in \mathfrak{H}$ . Here we list the following useful transformation formulas ([13] pp.218–219).

$$\begin{aligned}
 (2) \quad & \theta_2(z+1) = e^{\frac{1}{4}\pi i} \theta_2(z) \\
 (3) \quad & \theta_3(z+1) = \theta_4(z) \\
 (4) \quad & \theta_4(z+1) = \theta_3(z) \\
 (5) \quad & \theta_2\left(-\frac{1}{z}\right) = (-iz)^{\frac{1}{2}} \theta_4(z) \\
 (6) \quad & \theta_3\left(-\frac{1}{z}\right) = (-iz)^{\frac{1}{2}} \theta_3(z) \\
 (7) \quad & \theta_4\left(-\frac{1}{z}\right) = (-iz)^{\frac{1}{2}} \theta_2(z).
 \end{aligned}$$

Put  $j_{1,2}(z) = \theta_2(z)^8 / \theta_4(2z)^8$ . Then we obtain the following theorem.

**Theorem 6.** (i)  $\theta_2(z)^8, \theta_4(2z)^8 \in M_4(\Gamma_1(2))$ .  
(ii)  $K(X_1(2)) = \mathbb{C}(j_{1,2}(z))$  and  $j_{1,2}(\infty) = 0$  (simple zero),  $j_{1,2}(0) = \infty$  (simple pole).

*Proof.* For the first part, we must check the invariance of slash operator and the cusp conditions. Let  $T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ . Since  $T$  and  $ST^2S$  generate  $\bar{\Gamma}_1(2)$  by Theorem 4-(ii), it is enough to check the invariance for these generators.

$$\begin{aligned}
 & \theta_2(z)^8|_{[T]_4} = \theta_2(z+1)^8 \\
 & \quad = (e^{\frac{\pi i}{4}} \theta_2(z))^8 \text{ by (2)} \\
 & \quad = \theta_2(z)^8 \\
 & \theta_2(z)^8|_{[S]_4} = z^{-4} \theta_2\left(-\frac{1}{z}\right)^8 \\
 (8) \quad & \quad = z^{-4} \{(-iz)^{\frac{1}{2}} \theta_4(z)\}^8 \text{ by (5)} \\
 & \quad = \theta_4(z)^8 \\
 & \theta_2(z)^8|_{[ST^2]_4} = \theta_4(z)^8|_{[T^2]_4} \\
 & \quad = \theta_4(z)^8 \text{ by (3) and (4)} \\
 & \theta_2(z)^8|_{[ST^2S]_4} = \theta_4(z)^8|_{[S]_4} \\
 & \quad = z^{-4} \{(-iz)^{\frac{1}{2}} \theta_2(z)\}^8 \text{ by (7)} \\
 & \quad = \theta_2(z)^8
 \end{aligned}$$

$$\begin{aligned}
\theta_4(2z)^8|_{[T]_4} &= \theta_4(2z+2)^8 \\
&= \theta_4(2z)^8 \text{ by (3) and (4)} \\
\theta_4(2z)^8|_{[S]_4} &= z^{-4}\theta_4\left(-\frac{2}{z}\right)^8 \\
(9) \quad &= z^{-4}\left\{\left(-\frac{iz}{2}\right)^{\frac{1}{2}}\theta_2\left(\frac{z}{2}\right)\right\}^8 \text{ by (7)} \\
&= \frac{1}{16}\theta_2\left(\frac{z}{2}\right)^8 \\
\theta_4(2z)^8|_{[ST^2]_4} &= \frac{1}{16}\theta_2\left(\frac{z}{2}\right)^8|_{[T^2]_4} \\
&= \frac{1}{16}\theta_2\left(\frac{z}{2}\right)^8 \text{ by (2)} \\
\theta_4(2z)^8|_{[ST^2S]_4} &= \frac{1}{16}\theta_2\left(\frac{z}{2}\right)^8|_{[S]_4} \\
&= \frac{1}{16}z^{-4}\{(-2iz)^{\frac{1}{2}}\theta_4(2z)\}^8 \text{ by (5)} \\
&= \theta_4(2z)^8.
\end{aligned}$$

Now we'll check the boundary conditions.

(i)  $s = \infty$ :

Since  $\theta_2(z) = 2q_8(1 + q + q^3 + \dots)$ ,  $\theta_2(z)^8 = 2^8q(1 + q + q^3 + \dots)^8$ . Hence  $\theta_2(z)^8$  has a simple zero at  $s = \infty$ . On the other hand,  $\theta_4(2z)^8 = (\sum_{n \in \mathbb{Z}} (-1)^n q^{n^2})^8 = (1 - 2q + 2q^4 - 2q^9 + \dots)^8$ . Thus  $\theta_4(2z)^8|_{s=\infty} = 1$ .

(ii)  $s = 0$ :

$$\begin{aligned}
\theta_2(z)^8|_{s=0} &= \lim_{z \rightarrow i\infty} \theta_2(z)^8|_{[S]_4} \\
&= \lim_{z \rightarrow i\infty} \theta_4(z)^8 \text{ by (8)} \\
&= 1
\end{aligned}$$

and

$$\begin{aligned}
\theta_4(2z)^8|_{s=0} &= \lim_{z \rightarrow i\infty} \theta_4(2z)^8|_{[S]_4} \\
&= \lim_{z \rightarrow i\infty} \frac{1}{16}\theta_2\left(\frac{z}{2}\right)^8 \text{ by (9)} \\
&= \lim_{z \rightarrow i\infty} \frac{1}{16} \cdot 2^8q(1 + q + q^3 + \dots)^8 \\
&= 0. \text{ (a simple zero)}
\end{aligned}$$

Now, we'll prove the second part. From the well-known formula ([16], p.39) concerning the sum of orders of zeros of modular forms, it follows that

$$\nu_0(\theta_2(z)^8) = \nu_0(\theta_4(2z)^8) = 1.$$

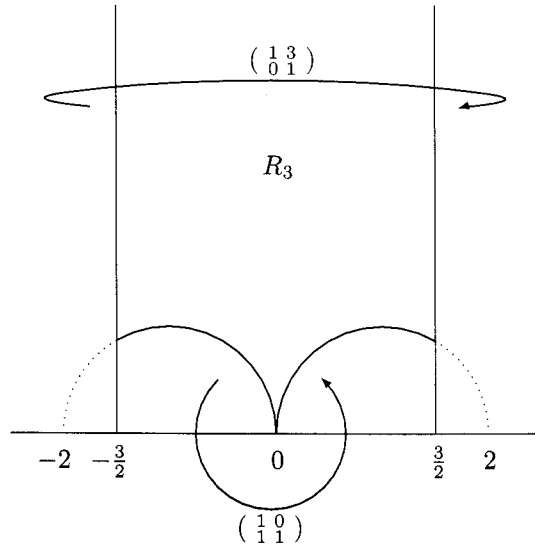
Hence  $\theta_2(z)^8$  (resp.  $\theta_4(2z)^8$ ) has no other zeros in  $X_1(2)$  except at  $s = \infty$  (resp.  $s = 0$ ). Therefore  $[K(X_1(4)) : \mathbb{C}(j_{1,2}(z))] = \nu_0(j_{1,2}(z)) = 1$ , and so (ii) follows.  $\square$

#### 4. Modular function $j_{1,3}$

Now let us take  $\Gamma = \pm\Gamma^1(3)$ , and put  $\gamma_1 = \begin{pmatrix} 1 & 3 \\ 0 & 1 \end{pmatrix}$  and  $\gamma_2 = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ . Let  $R_3$  be a fundamental region of  $\Gamma^1(3)$ . Then it is given by

$$R_3 = \bigcap_{i=1}^2 \text{outside}(\gamma_i^{\pm 1})$$

with the following figure.



As is seen in the above figure  $S_{\Gamma^1(3)} = \{\infty, 0\}$ . Hence it follows from Theorem 3 that  $\bar{\Gamma}^1(3)$  is generated by  $\gamma_1$  and  $\gamma_2$ . And we obtain the following theorem by (1).

**Theorem 7.** (i)  $S_{\Gamma_1(3)} = \{\infty, 0\}$ . All cusps of  $\Gamma_1(3)$  are regular ([11], [16]).  
 (ii)  $\bar{\Gamma}_1(3)$  is generated by  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 0 \\ 3 & 1 \end{pmatrix}$ .

By Lemma 5 we have the following table of inequivalent cusps of  $\Gamma_1(3)$ :

**Table 2.** Cusps of  $\Gamma_1(3)$

cusps	$\infty$	0
width	1	3

Let  $E_4(z)$  be the normalized Eisenstein series of weight 4 defined by

$$E_4(z) = \frac{1}{2\zeta(4)} \sum'_{m,n \in \mathbb{Z}} \frac{1}{(mz+n)^4}, \quad z \in \mathfrak{H}$$

where the summation runs over pairs of integers  $m, n$  not both zero, and  $\zeta(s)$  denotes the Riemann zeta function for  $s \in \mathbb{C}$ . Then it has the following  $q$ -expansion ([9], p.111):

$$(10) \quad E_4(z) = 1 + 240 \sum_{n=1}^{\infty} \sigma_3(n) q^n, \quad z \in \mathfrak{H}.$$

Put  $j_{1,3}(z) = E_4(z)/E_4(3z)$ .

**Theorem 8.** *We have*

- (i)  $j_{1,3}(z) \in K(X_1(3))$  and  $j_{1,3}(\infty) = 1$ ,  $j_{1,3}(0) = 81$ .
- (ii)  $K(X_1(3)) = \mathbb{C}(j_{1,3}(z))$ .

*Proof.* It is well known ([9], p.110 or [16], pp.32-33) that  $E_4(z)$  is the modular form of weight 4 with respect to the full modular group  $\Gamma(1)$ . Hence  $E_4$  satisfies  $E_4(z+1) = E_4(z)$  and  $E_4(-\frac{1}{z}) = z^4 E_4(z)$  for each  $z \in \mathfrak{H}$ . We observe that

$$\begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix}^{-1} \Gamma(1) \begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix} \cap \Gamma(1) = \Gamma_0(3) = \pm \Gamma_1(3).$$

This implies that  $E_4(3z) \in M_4(\Gamma_1(3))$ . Thus

$$j_{1,3}(z) = E_4(z)/E_4(3z) \in K(X_1(3)).$$

From (10) it follows that  $j_{1,3}(\infty) = 1$ . And

$$\begin{aligned} j_{1,3}(0) &= \lim_{z \rightarrow i\infty} j_{1,3} \left| \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \right. \\ &= \lim_{z \rightarrow i\infty} j_{1,3} \left( -\frac{1}{z} \right) = \frac{E_4(-\frac{1}{z})}{E_4(-\frac{3}{z})} = \frac{z^4 E_4(z)}{(\frac{z}{3})^4 E_4(\frac{z}{3})} \\ &= \lim_{z \rightarrow i\infty} 81 \cdot \frac{1 + 240(q + 9q^2 + \dots)}{1 + 240(q_3 + 9q_3^2 + \dots)} = 81. \end{aligned}$$

Now we consider (ii). From the zero formula we get that  $\nu_0(F) = \frac{4}{3}$  for any  $F \in M_4(\Gamma_1(3))$ . And  $\nu_0(E_4(z)) = \nu_0(E_4(3z)) = \frac{4}{3}$  so that

$$(11) \quad \nu_0(j_{1,3}) \leq \frac{4}{3}.$$

Since  $j_{1,3}$  is not a constant function, we have

$$[K(X_1(3)) : \mathbb{C}(j_{1,3})] = \nu_0(j_{1,3}),$$

which is an integer greater than or equal to 1. By (11) it must be 1. This proves (ii).  $\square$



### 5. Some remarks on Thompson series

For a modular function  $f$ , we call  $f$  *normalized* if its  $q$ -series is

$$\frac{1}{q} + 0 + a_1q + a_2q^2 + \cdots .$$

**Lemma 9.** *The normalized generator of a genus zero function field is unique.*

*Proof.* [7], Lemma 8. □

Let  $\mathfrak{F}$  be the set of functions  $f(z)$  satisfying the following conditions:

- (i)  $f(z) \in K(X(\Gamma))$  for some discrete subgroup  $\Gamma$  of  $SL_2(\mathbb{R})$  that contains  $\Gamma_0(N)$  for some  $N$ .
- (ii) The genus of the curve  $X(\Gamma)$  is 0 and its function field  $K(X(\Gamma))$  is equal to  $\mathbb{C}(f)$ .
- (iii) In a neighborhood of  $\infty$ ,  $f(z)$  is expressed in the form

$$f(z) = \frac{1}{q} + \sum_{n=0}^{\infty} a_n q^n, \quad a_n \in \mathbb{C}.$$

We say that a pair  $(G, \phi)$  is a “moonshine” for a finite group  $G$  if  $\phi$  is a function from  $G$  to  $\mathfrak{F}$  and the mapping  $\sigma \rightarrow a_n(\sigma)$  from  $G$  to  $\mathbb{C}$  is a generalized character of  $G$  when  $\phi_\sigma(z) = \frac{1}{q} + a_0(\sigma) + \sum_{n=1}^{\infty} a_n(\sigma)q^n$  for  $\sigma \in G$ . In particular,  $\phi_\sigma$  is a class function of  $G$ .

Finding or constructing a “moonshine”  $(G, \phi)$  for a given group  $G$ , however, involves some nontrivial work. It is because that for each element  $\sigma$  of  $G$ , we have to find a natural number  $N$  and a Fuchsian group  $\Gamma$  containing  $\Gamma_0(N)$  in such a way that its function field  $K(X(\Gamma))$  is equal to  $\mathbb{C}(\phi_\sigma)$  and the coefficients  $a_n(\sigma)$  in the expansion of  $\phi_\sigma(z)$  at  $\infty$  induce generalized characters for all  $n \geq 1$ .

Let  $j$  be the modular invariant of  $\Gamma(1)$  whose  $q$ -series is

$$(12) \quad j = q^{-1} + 744 + 196884 q + \cdots = \sum_r c_r q^r.$$

Then  $j - 744$  is the normalized generator of  $\Gamma(1)$ . Let  $M$  be the monster simple group of order approximately  $8 \times 10^{53}$ . Thompson proposed that the coefficients in the  $q$ -series for  $j - 744$  be replaced by the representations of  $M$  so that we obtain a formal series

$$H_{-1} q^{-1} + 0 + H_1 q + H_2 q^2 + \cdots$$

in which the  $H_r$  are certain representations of  $M$  called *head representations*.  $H_r$  has degree  $c_r$  as in (12), for example,  $H_{-1}$  is the trivial representation (degree 1), while  $H_1$  is the sum of this and the degree 196883 representation and  $H_2$  is the sum of former two and the degree 21296876 representation ([18]). The following theorem conjectured by Thompson ([2]) and proved by Borcherds ([1]) shows that there exists a “moonshine” for the monster group  $M$ .

**Theorem 10.** *The series*

$$T_m = \frac{1}{q} + 0 + H_1(m)q + H_2(m)q^2 + \dots$$

is the normalized generator of a genus zero function field arising from a group between  $\Gamma_0(N)$  and its normalizer in  $PSL_2(\mathbb{R})$ , where  $m$  is an element of  $M$  and  $H_r(m)$  is the character value of head representation  $H_r$  at  $m$ .

We will construct such a normalized generator (or the Hauptmodul) of the function field  $K(X_1(N))$  ( $N = 2, 3$ ) from the modular function  $j_{1,N}$  ( $N = 2, 3$ ) mentioned in Theorem 6 and Theorem 8.

$$\begin{aligned} \frac{2^8}{j_{1,2}} &= \frac{2^8 \theta_4(2z)^8}{\theta_2(z)^8} \\ &= \frac{2^8(1 - 2q + 2q^4 - 2q^9 + \dots)^8}{\{2q_8(1 + q + q^3 + \dots)\}^8} \\ &= \frac{1}{q} - 24 + 276q - 2048q^2 + 11202q^3 - 49152q^4 + 184024q^5 + \dots, \end{aligned}$$

which is in  $q^{-1}\mathbb{Z}[[q]]$ . Let  $N(j_{1,2}) = \frac{2^8}{j_{1,2}} + 24$ . In the case of the modular function  $j_{1,3}$ , we consider

$$\begin{aligned} \frac{240}{j_{1,3} - 1} &= \frac{240 E_4(3z)}{E_4(z) - E_4(3z)} \\ &= \frac{240\{1 + 240(q^3 + 9q^6 + 28q^9 + 73q^{12} + \dots)\}}{240(q + 9q^2 + 27q^3 + 73q^4 + 126q^5 + \dots)} \\ &= \frac{1}{q} - 9 + 54q - 76q^2 - 243q^3 + 1188q^4 - 1384q^5 + \dots, \end{aligned}$$

which is also in  $q^{-1}\mathbb{Z}[[q]]$ . Let  $N(j_{1,3}) = \frac{240}{j_{1,3} - 1} + 9$ . Then the above computations show that  $N(j_{1,2})$  and  $N(j_{1,3})$  are the normalized generators of  $K(X_1(2))$  and  $K(X_1(3))$ , respectively. On the other hand by observing  $\bar{\Gamma}_0(2) = \bar{\Gamma}_1(2)$  and  $\bar{\Gamma}_0(3) = \bar{\Gamma}_1(3)$ , we can get the normalized generators using  $\eta$ -functions (p.57 in [5] or Table 3 in [2]). Since the normalized generator is unique (Lemma 9) we get the following identities after adjusting the constant terms.

$$\frac{2^8 \theta_4(2z)^8}{\theta_2(z)^8} = \frac{\eta(z)^{24}}{\eta(2z)^{24}}$$

and

$$\frac{240 E_4(3z)}{E_4(z) - E_4(3z)} = \frac{\eta(z)^{12}}{\eta(3z)^{12}} + 3.$$

By Table 3 in [2] and Theorem 10,  $N(j_{1,2})$  (resp.  $N(j_{1,3})$ ) corresponds to the Thompson series of type 2B (resp. type 3B). By Theorem 6-(ii) and 8-(ii) we have the following tables:

**Table 3.** Cusp values of  $N(j_{1,2})$ 

$s$	$\infty$	0
$N(j_{1,2})(s)$	$\infty$	24

**Table 4.** Cusp values of  $N(j_{1,3})$ 

$s$	$\infty$	0
$N(j_{1,3})(s)$	$\infty$	12

**Lemma 11.** *Let  $N$  be a positive integer such that the modular curve  $X_1(N)$  is of genus 0. Let  $t$  be an element of  $K(X_1(N))$  for which (i)  $K(X_1(N)) = \mathbb{C}(t)$  and (ii)  $t$  has no poles except for a simple pole at one cusp  $s$ . Let  $f \in K(X_1(N))$ . If  $f$  has a pole of order  $n$  only at  $s$ , then  $f$  can be written as a polynomial in  $t$  of degree  $n$ .*

*Proof.* Take  $\gamma \in SL_2(\mathbb{Z})$  such that  $\gamma\infty = s$ . Let  $h$  be the width of  $s$ . Then we have

$$t|_{\gamma} = \frac{1}{c} \frac{1}{q_h} + \dots$$

and

$$f|_{\gamma} = b_n \frac{1}{q_h^n} + \dots$$

for some  $c \neq 0$  and  $b_n \neq 0$ . Thus

$$(f - b_n(ct)^n)|_{\gamma} = \lambda_{n-1} \frac{1}{q_h^{n-1}} + \dots$$

for some  $\lambda_{n-1}$ . And

$$(f - b_n(ct)^n - \lambda_{n-1}(ct)^{n-1})|_{\gamma} = \lambda_{n-2} \frac{1}{q_h^{n-2}} + \dots$$

for some  $\lambda_{n-2}$ . In this way we can choose  $\lambda_i \in \mathbb{C}$  such that

$$(f - b_n(ct)^n - \lambda_{n-1}(ct)^{n-1} - \dots - \lambda_1(ct))|_{\gamma} \in \mathbb{C}[[q_h]].$$

Let  $g = f - b_n(ct)^n - \lambda_{n-1}(ct)^{n-1} - \dots - \lambda_1(ct)$ . Then  $g$  has no poles in  $\mathfrak{H}^*$ , and so  $g$  must be a constant, say  $\lambda_0$ . Therefore we end up with  $f = b_n c^n t^n + \lambda_{n-1} c^{n-1} t^{n-1} + \dots + \lambda_1 ct + \lambda_0$ , as desired.  $\square$

**Theorem 12.** *Let  $d$  be a square free positive integer and  $t$  be the Hauptmodul  $N(j_{1,N})$ , ( $N = 2, 3$ ). For  $\tau \in \mathbb{Q}(\sqrt{-d}) \cap \mathfrak{H}$ ,  $t(\tau)$  is an algebraic integer.*

*Proof.* Let  $j(z) = \frac{1}{q} + 744 + 196884q + \dots$ . It is well-known that  $j(\tau)$  is an algebraic integer for  $\tau \in \mathbb{Q}(\sqrt{-d}) \cap \mathfrak{H}$  ([10], [16]). For algebraic proofs, see [3], [12], [15] and [17]. Now, we view  $j$  as a function on the modular curve  $X_1(N)$ . Let  $s$  be a cusp of  $\Gamma_1(N)$  other than  $\infty$ , whose width is  $h_s$ . Then  $j$  has a pole

of order  $h_s$  at the cusp  $s$ . On the other hand,  $t(z) - t(s)$  has a simple zero at  $s$ . Thus

$$j \times \prod_{s \in S_{\Gamma_1(N)} \setminus \{\infty\}} (t(z) - t(s))^{h_s}$$

has a pole only at  $\infty$  whose degree is 3 if  $N = 2$ , and 4 if  $N = 3$ . And so by Lemma 11, it is a monic polynomial in  $t$  of degree 3 or 4 according as  $N = 2$  or 3, which we denote by  $f(t)$ . With the aid of Table 1~4, we can compute the product part in the above more explicitly, that is,

$$\prod_{s \in S_{\Gamma_1(N)} \setminus \{\infty\}} (t(z) - t(s))^{h_s} = \begin{cases} (t - 24)^2, & \text{if } N = 2 \\ (t - 12)^3, & \text{if } N = 3. \end{cases}$$

Since  $j$  and  $t$  have integer coefficients in the  $q$ -expansions,  $f(t)$  is a monic polynomial in  $\mathbb{Z}[t]$  of degree 3 or 4 according as  $N = 2$  or 3. This claims that  $t(\tau)$  is integral over  $\mathbb{Z}[j(\tau)]$ . Therefore  $t(\tau)$  is integral over  $\mathbb{Z}$  for  $\tau \in \mathbb{Q}(\sqrt{-d}) \cap \mathfrak{H}$ .  $\square$

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