

## HILBERT-SCHMIDT INTERPOLATION ON $AX = Y$ IN A TRIDIAGONAL ALGEBRA $\text{Alg}\mathcal{L}$

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ABSTRACT. Given operators  $X$  and  $Y$  acting on a separable complex Hilbert space  $\mathcal{H}$ , an interpolating operator is a bounded operator  $A$  such that  $AX = Y$ . In this article, we investigate Hilbert-Schmidt interpolation problems for operators in a tridiagonal algebra and we get the following : Let  $\mathcal{L}$  be a subspace lattice acting on a separable complex Hilbert space  $\mathcal{H}$  and let  $X = (x_{ij})$  and  $Y = (y_{ij})$  be operators acting on  $\mathcal{H}$ . Then the following are equivalent:

- (1) There exists a Hilbert-Schmidt operator  $A = (a_{ij})$  in  $\text{Alg}\mathcal{L}$  such that  $AX = Y$ .
- (2) There is a bounded sequence  $\{\alpha_n\}$  in  $\mathbb{C}$  such that  $\sum_{n=1}^{\infty} |\alpha_n|^2 < \infty$  and

$$\begin{aligned}y_{1i} &= \alpha_1 x_{1i} + \alpha_2 x_{2i} \\y_{2k\ i} &= \alpha_{4k-1} x_{2k\ i} \\y_{2k+1\ i} &= \alpha_{4k} x_{2k\ i} + \alpha_{4k+1} x_{2k+1\ i} + \alpha_{4k+2} x_{2k+2\ i} \text{ for all } i, k \text{ in } \mathbb{N}.\end{aligned}$$

### 1. INTRODUCTION

Let  $\mathcal{H}$  be a Hilbert space and  $\mathcal{A}$  be a subalgebra of the algebra  $\mathcal{B}(\mathcal{H})$  of all operators acting on  $\mathcal{H}$ . Suppose that  $X$  and  $Y$  are specified, not necessarily in the algebra. Under what conditions can we expect there to be a solution of the operator equation  $AX = Y$ , where the operator  $A$  is required to lie in  $\mathcal{A}$ ? We refer to such a question as an interpolation problem. The ‘ $n$ -operator interpolation problem’, asks for an operator  $A$  such that  $AX_i = Y_i$  for fixed finite collections  $\{X_1, X_2, \dots, X_n\}$  and  $\{Y_1, Y_2, \dots, Y_n\}$ . The  $n$ -operator interpolation problem was considered for a  $C^*$ -algebra  $\mathcal{U}$  by Kadison [8]. In case  $\mathcal{U}$  is a nest algebra, the (one-operator) interpolation problem was solved by Lance [9]: his result was extended by Hopenwasser [2] to the case that  $\mathcal{U}$  is a CSL-algebra. Munch [10] obtained conditions for interpolation in case  $A$  is required to lie in the ideal of Hilbert-Schmidt operators

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in a nest algebra. Hopenwasser [3] once again extended the interpolation condition to the ideal of Hilbert-Schmidt operators in a CSL-algebra. Hopenwasser's paper also contains a sufficient condition for interpolation  $n$ -vectors, although necessity was not proved in that paper.

We establish some notations and conventions. A commutative subspace lattice  $\mathcal{L}$ , or CSL  $\mathcal{L}$  is a strongly closed lattice of pairwise-commuting projections acting on a Hilbert space  $\mathcal{H}$ . We assume that the projections  $0$  and  $I$  lie in  $\mathcal{L}$ . We usually identify projections and their ranges, so that it makes sense to speak of an operator as leaving a projection invariant. The symbol  $\text{Alg}\mathcal{L}$  is the algebra of all bounded operators on  $\mathcal{H}$  that leave invariant all the projections in  $\mathcal{L}$ . If  $\mathcal{L}$  is CSL,  $\text{Alg}\mathcal{L}$  is called a CSL-algebra. Let  $x$  and  $y$  be two vectors in a Hilbert space  $\mathcal{H}$ . Then  $\langle x, y \rangle$  means the inner product of the vectors  $x$  and  $y$ . Let  $M$  be a subset of a Hilbert space  $\mathcal{H}$ . Then  $\overline{M}$  means the closure of  $M$  and  $\overline{M}^\perp$  the orthogonal complement of  $\overline{M}$ . Let  $\mathbb{N}$  be the set of all natural numbers and let  $\mathbb{C}$  be the set of all complex numbers.

## 2. RESULTS

Let  $\mathcal{H}$  be a separable complex Hilbert space with a fixed orthonormal basis  $\{e_1, e_2, \dots\}$ . Let  $x_1, x_2, \dots, x_n$  be vectors in  $\mathcal{H}$ . Then  $[x_1, x_2, \dots, x_n]$  means the closed subspace generated by the vectors  $x_1, x_2, \dots, x_n$ . Let  $\mathcal{L}$  be the subspace lattice generated by the subspaces  $[e_{2k-1}], [e_{2k-1}, e_{2k}, e_{2k+1}]$  ( $k = 1, 2, \dots$ ). Then the algebra  $\text{Alg}\mathcal{L}$  is called a tridiagonal algebra which was introduced by F. Gilfeather and D. Larson [1]. These algebras have been found to be useful counterexample to a number of plausible conjectures.

Let  $\mathcal{A}$  be the algebra consisting of all bounded operators acting on  $\mathcal{H}$  of the form

$$\begin{pmatrix} * & * & & & \\ & * & & & \\ & * & * & * & \\ & & & * & \\ & & & & \ddots \\ & & & & * & \ddots \end{pmatrix}$$

relative to the orthonormal basis  $\{e_1, e_2, \dots\}$ , where all non-starred entries are zero. It is easy to see that  $\text{Alg}\mathcal{L} = \mathcal{A}$ .

We consider interpolation problems for the above tridiagonal algebra  $\text{Alg}\mathcal{L}$ .

**Theorem 2.1.** *Let  $\text{Alg}\mathcal{L}$  be the tridiagonal algebra on a separable complex Hilbert space  $\mathcal{H}$  and let  $X = (x_{ij})$  and  $Y = (y_{ij})$  be operators acting on  $\mathcal{H}$ . Then the following are equivalent:*

- (1) *There exists a Hilbert-Schmidt operator  $A = (a_{ij})$  in  $\text{Alg}\mathcal{L}$  such that  $AX = Y$ .*
- (2) *There is a bounded sequence  $\{\alpha_n\}$  in  $\mathbb{C}$  such that  $\sum_{n=1}^{\infty} |\alpha_n|^2 < \infty$  and*

$$y_{1i} = \alpha_1 x_{1i} + \alpha_2 x_{2i}$$

$$y_{2k\ i} = \alpha_{4k-1} x_{2k\ i}$$

$$y_{2k+1\ i} = \alpha_{4k} x_{2k\ i} + \alpha_{4k+1} x_{2k+1\ i} + \alpha_{4k+2} x_{2k+2\ i} \text{ for all } i, k \text{ in } \mathbb{N}.$$

*Proof.* Suppose that  $A$  is a Hilbert-Schmidt operator  $A = (a_{ij})$  in  $\text{Alg}\mathcal{L}$  such that  $AX = Y$ . Let  $\alpha_n = a_{ij}$  for  $n = i + j - 1$  and  $\{e_n\}$  the standard orthonormal basis for  $\mathcal{H}$ . Since  $A$  is Hilbert-Schmidt,  $\sum_i \|Ae_i\|^2 < \infty$ . Hence

$$\begin{aligned} \sum_i \|Ae_i\|^2 &= \sum_i \sum_j |\langle Ae_i, e_j \rangle|^2 \\ &= \sum_{k=1}^{\infty} \langle Ae_{2k-1}, e_{2k-1} \rangle + \sum_{k=1}^{\infty} \langle Ae_{2k}, (e_{2k-1} + e_{2k} + e_{2k+1}) \rangle \\ &= \sum_{k=1}^{\infty} |\alpha_{4k-3}|^2 + \sum_{k=1}^{\infty} (|\alpha_{4k-2}|^2 + |\alpha_{4k-1}|^2 + |\alpha_{4k}|^2) \\ &= \sum_{k=1}^{\infty} |\alpha_k|^2 < \infty. \end{aligned}$$

Since  $AX = Y$ , for all  $i, k$  in  $\mathbb{N}$ ,

$$y_{1i} = \alpha_1 x_{1i} + \alpha_2 x_{2i}$$

$$y_{2k\ i} = \alpha_{4k-1} x_{2k\ i}$$

$$y_{2k+1\ i} = \alpha_{4k} x_{2k\ i} + \alpha_{4k+1} x_{2k+1\ i} + \alpha_{4k+2} x_{2k+2\ i}.$$

Conversely, assume that there is a bounded sequence  $\{\alpha_n\}$  in  $\mathbb{C}$  such that

$$\sum_{n=1}^{\infty} |\alpha_n|^2 < \infty$$

and for all  $i, k$  in  $\mathbb{N}$ ,

$$y_{1i} = \alpha_1 x_{1i} + \alpha_2 x_{2i}$$

$$y_{2k\ i} = \alpha_{4k-1} x_{2k\ i}$$

$$y_{2k+1\ i} = \alpha_{4k}x_{2k\ i} + \alpha_{4k+1}x_{2k+1\ i} + \alpha_{4k+2}x_{2k+2\ i}.$$

Let  $A$  be a matrix with  $a_{ij} = \alpha_n$  for  $i + j - 1 = n$ . Then  $A$  is a Hilbert-Schmidt operator. Since for all  $i, k$  in  $\mathbb{N}$ ,

$$\begin{aligned} y_{1i} &= \alpha_1x_{1i} + \alpha_2x_{2i} \\ y_{2k\ i} &= \alpha_{4k-1}x_{2k\ i} \\ y_{2k+1\ i} &= \alpha_{4k}x_{2k\ i} + \alpha_{4k+1}x_{2k+1\ i} + \alpha_{4k+2}x_{2k+2\ i}, \\ AX &= Y. \end{aligned}$$

□

**Theorem 2.2.** *Let  $n$  be a fixed natural number ( $n \geq 2$ ). Let  $\text{Alg}\mathcal{L}$  be the tridiagonal algebra on a separable complex Hilbert space  $\mathcal{H}$  and let  $X_i = (x_{jk}^{(i)})$  and  $Y_i = (y_{jk}^{(i)})$  be operators acting on  $\mathcal{H}$  for  $i = 1, 2, \dots, n$ . Then the following are equivalent:*

- (1) *There exists a Hilbert-Schmidt operator  $A = (a_{jk})$  in  $\text{Alg}\mathcal{L}$  such that  $AX_i = Y_i$  for all  $i = 1, 2, \dots, n$ .*
- (2) *There is a bounded sequence  $\{\alpha_m\}$  in  $\mathbb{C}$  such that  $\sum_{m=1}^{\infty} |\alpha_m|^2 < \infty$  and*

$$\begin{aligned} y_{1j}^{(i)} &= \alpha_1x_{1j}^{(i)} + \alpha_2x_{2j}^{(i)} \\ y_{2k\ j}^{(i)} &= \alpha_{4k-1}x_{2k\ j}^{(i)} \\ y_{2k+1\ j}^{(i)} &= \alpha_{4k}x_{2k\ j}^{(i)} + \alpha_{4k+1}x_{2k+1\ j}^{(i)} + \alpha_{4k+2}x_{2k+2\ j}^{(i)} \text{ for } k \in \mathbb{N} \text{ and } i = 1, 2, \dots, n. \end{aligned}$$

*Proof.* Suppose that  $A$  is a Hilbert-Schmidt operator  $A = (a_{jk})$  in  $\text{Alg}\mathcal{L}$  such that  $AX_i = Y_i$  for all  $i = 1, 2, \dots, n$ . Let  $\alpha_m = a_{jk}$  for  $m = j + k - 1$  and  $\{e_m\}$  is the standard orthonormal basis for  $\mathcal{H}$ . Since  $A$  is Hilbert-Schmidt,  $\sum_m \|Ae_m\|^2 < \infty$ . Hence

$$\begin{aligned} \sum_m \|Ae_m\|^2 &= \sum_m \sum_j |\langle Ae_m, e_j \rangle|^2 \\ &= \sum_{l=1}^{\infty} \langle Ae_{2l-1}, e_{2l-1} \rangle + \sum_{l=1}^{\infty} \langle Ae_{2l}, (e_{2l-1} + e_{2l} + e_{2l+1}) \rangle \\ &= \sum_{l=1}^{\infty} |\alpha_{4l-3}|^2 + \sum_{l=1}^{\infty} (|\alpha_{4l-2}|^2 + |\alpha_{4l-1}|^2 + |\alpha_{4l}|^2) \\ &= \sum_{l=1}^{\infty} |\alpha_l|^2 < \infty. \end{aligned}$$

Since  $AX_i = Y_i$  for all  $i = 1, 2, \dots, n$ ,

$$\begin{aligned} y_{1j}^{(i)} &= \alpha_1 x_{1j}^{(i)} + \alpha_2 x_{2j}^{(i)} \\ y_{2k\ j}^{(i)} &= \alpha_{4k-1} x_{2k\ j}^{(i)} \\ y_{2k+1\ j}^{(i)} &= \alpha_{4k} x_{2k\ j}^{(i)} + \alpha_{4k+1} x_{2k+1\ j}^{(i)} + \alpha_{4k+2} x_{2k+2\ j}^{(i)} \text{ for } j, k \in \mathbb{N}, \end{aligned}$$

for all  $i = 1, 2, \dots, n$ .

Conversely, assume that there is a bounded sequence  $\{\alpha_m\}$  in  $\mathbb{C}$  such that  $\sum_{m=1}^\infty |\alpha_m|^2 < \infty$  and

$$\begin{aligned} y_{1j}^{(i)} &= \alpha_1 x_{1j}^{(i)} + \alpha_2 x_{2j}^{(i)} \\ y_{2k\ j}^{(i)} &= \alpha_{4k-1} x_{2k\ j}^{(i)} \\ y_{2k+1\ j}^{(i)} &= \alpha_{4k} x_{2k\ j}^{(i)} + \alpha_{4k+1} x_{2k+1\ j}^{(i)} + \alpha_{4k+2} x_{2k+2\ j}^{(i)} \text{ for } j, k \in \mathbb{N}, \end{aligned}$$

for all  $i = 1, 2, \dots, n$ . Let  $A$  be a matrix with  $a_{jk} = \alpha_n$  for  $j + k - 1 = n$ . Then  $A$  is a Hilbert-Schmidt operator. Since

$$\begin{aligned} y_{j1}^{(i)} &= \alpha_1 x_{j1}^{(i)} + \alpha_2 x_{j2}^{(i)} \\ y_{j\ 2k}^{(i)} &= \alpha_{4k-1} x_{j\ 2k}^{(i)} \\ y_{j\ 2k+1}^{(i)} &= \alpha_{4k} x_{j\ 2k}^{(i)} + \alpha_{4k+1} x_{j\ 2k+1}^{(i)} + \alpha_{4k+2} x_{j\ 2k+2}^{(i)} \text{ for } k \in \mathbb{N}, \end{aligned}$$

for all  $i = 1, 2, \dots, n$ ,  $AX_i = Y_i$ . □

By the similar way with the above, we have the following.

**Theorem 2.3.** *Let  $Alg\mathcal{L}$  be the tridiagonal algebra on a separable complex Hilbert space  $\mathcal{H}$  and let  $X_i = (x_{jk}^{(i)})$  and  $Y_i = (y_{jk}^{(i)})$  be operators acting on  $\mathcal{H}$  for  $i = 1, 2, \dots$ . Then the following are equivalent:*

- (1) *There exists a Hilbert-Schmidt operator  $A = (a_{jk})$  in  $Alg\mathcal{L}$  such that  $AX_i = Y_i$  for all  $i = 1, 2, \dots$ .*
- (2) *There is a sequence  $\{\alpha_n\}$  in  $\mathbb{C}$  such that  $\sum_{n1}^\infty |\alpha_n|^2 < \infty$  and for all  $i, j, k$  in  $\mathbb{N}$ ,*

$$\begin{aligned} y_{1j}^{(i)} &= \alpha_1 x_{1j}^{(i)} + \alpha_2 x_{2j}^{(i)} \\ y_{2k\ j}^{(i)} &= \alpha_{4k-1} x_{2k\ j}^{(i)} \\ y_{2k+1\ j}^{(i)} &= \alpha_{4k} x_{2k\ j}^{(i)} + \alpha_{4k+1} x_{2k+1\ j}^{(i)} + \alpha_{4k+2} x_{2k+2\ j}^{(i)}. \end{aligned}$$

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