

**THE NUMBER OF THE CRITICAL POINTS OF THE  
STRONGLY INDEFINITE FUNCTIONAL WITH ONE  
PAIR OF THE TORUS-SPHERE VARIATIONAL  
LINKING SUBLEVELS**

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ABSTRACT. Let  $I \in C^{1,1}$  be a strongly indefinite functional defined on a Hilbert space  $H$ . We investigate the number of the critical points of  $I$  when  $I$  satisfies one pair of Torus-Sphere variational linking inequality. We show that  $I$  has at least two critical points when  $I$  satisfies one pair of Torus-Sphere variational linking inequality with  $(P.S.)_c^*$  condition. We prove this result by use of the limit relative category and critical point theory on the manifold with boundary.

**1. Introduction and statement of the main result**

Let  $I \in C^{1,1}$  be a strongly indefinite functional defined on a Hilbert Space  $H$ . In this paper, we investigate the number of the critical points of  $I$  when  $I$  satisfies one pair of Torus-Sphere variational linking inequalities and  $(P.S.)_c^*$  condition. We show that  $I$  has at least two critical points when  $I$  has the sublevel set satisfying one pair of Torus-Sphere variational linking inequalities and satisfying the  $(P.S.)_c^*$  condition. We prove this result by use of the limit relative category and critical point theory on the manifold with boundary. In the case that  $I$  is not strongly indefinite functional, Marino, A., Micheletti, A.M., Pistoia, Schechter, M., Tintarev. K., and Rabinowitz, P., proved in Theorem (3.4) of [4], [7] and [8] a theorem of existence of two solutions when  $I$  satisfies one pair of Sphere-Torus variational linking inequality by the mountain pass theorem and degree theory. Marino, A., Micheletti, A. M. and Pistoia, A.

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proved in Theorem (8.4) of [5] a theorem of existence of three solutions when  $I$  satisfies two pairs of Sphere-Torus variational linking inequalities and  $(P.S.)_c$  condition by the mountain pass theorem and degree theory. In this paper we obtain the following results for the strongly indefinite functional case:

**THEOREM 1.1.** *(One pair of Torus-Sphere variational link) Let  $H$  be a Hilbert space with a norm  $\|\cdot\|$ , which is topological direct sum of the three subspaces  $X_0$ ,  $X_1$  and  $X_2$ . Let  $I \in C^{1,1}(H, R)$  be a strongly indefinite functional. Assume that*

- (1)  $\dim X_1 < +\infty$ ;
- (2) *There exist a small number  $\rho > 0$ ,  $r > 0$  and  $R > 0$  such that  $r < R$  and*

$$\sup_{\Sigma_R(S_1(\rho), X_0)} I < \inf_{S_r(X_1 \oplus X_2)} I,$$

where

$$S_1(\rho) = \{u \in X_1 \mid \|u\| = \rho\},$$

$$S_r(X_1 \oplus X_2) = \{u \in X_1 \oplus X_2 \mid \|u\| = r\},$$

$$B_r(X_1 \oplus X_2) = \{u \in X_1 \oplus X_2 \mid \|u\| \leq r\},$$

$$\begin{aligned} \Sigma_R(S_1(\rho), X_0) &= \{u = u_1 + u_2 \mid u_1 \in S_1(\rho), u_2 \in X_0, \|u_1\| = \rho, \\ &1 \leq \|u_1 + u_2\| = R\} \cup \{u = u_1 + u_2 \mid u_1 \in S_1(\rho), \\ &\|u_1\| = \rho, 1 \leq \|u_2\| \leq R\}, \end{aligned}$$

$$\begin{aligned} \Delta_R(S_1(\rho), X_0) &= \{u = u_1 + u_2 \mid u_1 \in S_1(\rho), u_2 \in X_0, \|u_1\| = \rho, \\ &1 \leq \|u_1 + u_2\| \leq R\}; \end{aligned}$$

- (3)  $\beta = \sup_{\Delta_R(S_1(\rho), X_0)} I < +\infty$ ;
- (4)  $(P.S.)_c^*$  condition holds for any  $c \in [\alpha, \beta]$  where

$$\alpha = \inf_{S_r(X_1 \oplus X_2)} I;$$

- (5) *There exists one critical point  $e$  in  $X_0 \oplus X_2$  with  $I(e) < \alpha$ . Then there exist at least two distinct critical points except  $e$ ,  $u_i$ ,  $i = 1, 2$ , in  $X_1$ , of  $I$  with*

$$\inf_{S_r(X_1 \oplus X_2)} I \leq I(u_i) \leq \sup_{\Delta_R(S_1(\rho), X_0)} I.$$

For the proof of the main result we use the critical point theory on the manifold with boundary. Since the functional  $I$  is strongly indefinite functional, it is convenient to use the notion of the limit relative category instead of the relative category and the  $(P.S.)_c^*$  condition which is a version of the Palais-Smale condition. We restrict the functional  $I$  to the manifold  $C$  with boundary, where  $C$  is introduced in section 3. We study the geometry and topology of the sub-levels of  $I$  and  $\tilde{I}$  and investigate the limit relative category of the sub-level sets of  $\tilde{I}$  and  $(P.S.)_c^*$  condition in  $C$ . By the facts that the number of the limit relative category  $\text{cat}_{(C, \tilde{\Sigma}_R)}^*(\tilde{\Delta}_R)$  is equal to 2 and the critical point theory on the manifold with boundary, we obtain at least two distinct critical points of  $\tilde{I}$ , so we obtain at least two distinct critical points of  $I$ .

## 2. Critical Point Theory on the manifold with boundary

Now, we consider the critical point theory on the manifold with boundary. Let  $H$  be a Hilbert space and  $M$  be the closure of an open subset of  $H$  such that  $M$  can be endowed with the structure of  $C^2$  manifold with boundary. Let  $f : W \rightarrow R$  be a  $C^{1,1}$  functional, where  $W$  is an open set containing  $M$ . For applying the usual topological methods of critical points theory we need a suitable notion of critical point for  $f$  on  $M$ . Since the functional  $I(u)$  is strongly indefinite, the notion of the  $(P.S.)_c^*$  condition and the limit relative category (see [2]) is a useful tool for the proof of the main theorem.

DEFINITION 2.1. *If  $u \in M$ , the lower gradient of  $f$  on  $M$  at  $u$  is defined by*

$$\text{grad}_M^- f(u) = \begin{cases} \nabla f(u) & \text{if } u \in \text{int}(M), \\ \nabla f(u) + [\langle \nabla f(u), \nu(u) \rangle]^- \nu(u) & \text{if } u \in \partial M, \end{cases} \quad (2.1)$$

where we denote by  $\nu(u)$  the unit normal vector to  $\partial M$  at the point  $u$ , pointing outwards. We say that  $u$  is a lower critical for  $f$  on  $M$ , if  $\text{grad}_M^- f(u) = 0$ .

Let  $(H_n)_n$  be a sequence of closed finite dimensional subspace of  $H$  with  $\dim H_n < +\infty$ ,  $H_n \subset H_{n+1}$ ,  $\cup_{n \in \mathbb{N}} H_n$  is dense in  $H$ .

Let  $M_n = M \cap H_n$ , for any  $n$ , be the closure of an open subset of  $H_n$  and has the structure of a  $C^2$  manifold with boundary in  $H_n$ . We

assume that for any  $n$  there exists a retraction  $r_n : M \rightarrow M_n$ . For given  $B \subset H$ , we will write  $B_n = B \cap H_n$ .

**DEFINITION 2.2.** Let  $c \in R$ . We say that  $f$  satisfies the  $(P.S.)_c^*$  condition with respect to  $(M_n)_n$ , on the manifold with boundary  $M$ , if for any sequence  $(k_n)_n$  in  $N$  and any sequence  $(u_n)_n$  in  $M$  such that  $k_n \rightarrow \infty$ ,  $u_n \in M_{k_n}$ ,  $\forall n$ ,  $f(u_n) \rightarrow c$ ,  $\text{grad}_{M_{k_n}}^- f(u_n) \rightarrow 0$ , there exists a subsequence of  $(u_n)_n$  which converges to a point  $u \in M$  such that  $\text{grad}_M^- f(u) = 0$ .

Let  $Y$  be a closed subspace of  $M$ .

**DEFINITION 2.3.** Let  $B$  be a closed subset of  $M$  with  $Y \subset B$ . We define the relative category  $\text{cat}_{M,Y}(B)$  of  $B$  in  $(M, Y)$ , as the least integer  $h$  such that there exist  $h + 1$  closed subsets  $U_0, U_1, \dots, U_h$  with the following properties:

$B \subset U_0 \cup U_1 \cup \dots \cup U_h$ ;

$U_1, \dots, U_h$  are contractible in  $M$ ;

$Y \subset U_0$  and there exists a continuous map  $F : U_0 \times [0, 1] \rightarrow M$  such that

$$\begin{aligned} F(x, 0) &= x & \forall x \in U_0, \\ F(x, t) &\in Y & \forall x \in Y, \forall t \in [0, 1], \\ F(x, 1) &\in Y & \forall x \in U_0. \end{aligned}$$

If such an  $h$  does not exist, we say that  $\text{cat}_{M,Y}(B) = +\infty$ .

**DEFINITION 2.4.** Let  $(X, Y)$  be a topological pair and  $(X_n)_n$  be a sequence of subsets of  $X$ . For any subset  $B$  of  $X$  we define the limit relative category of  $B$  in  $(X, Y)$ , with respect to  $(X_n)_n$ , by

$$\text{cat}_{(X,Y)}^*(B) = \limsup_{n \rightarrow \infty} \text{cat}_{(X_n, Y_n)}(B_n).$$

Let  $Y$  be a fixed subset of  $M$ . We set

$$\mathcal{B}_i = \{B \subset M \mid \text{cat}_{(M,Y)}^*(B) \geq i\},$$

$$c_i = \inf_{B \in \mathcal{B}_i} \sup_{x \in B} f(x).$$

We have the following multiplicity theorem, which was proved in [6].

**THEOREM 2.1.** Let  $i \in N$  and assume that

- (1)  $c_i < +\infty$ ,
- (2)  $\sup_{x \in Y} f(x) < c_i$ ,

(3) the  $(P.S.)_{c_i}^*$  condition with respect to  $(M_n)_n$  holds.  
 Then there exists a lower critical point  $x$  such that  $f(x) = c_i$ . If

$$c_i = c_{i+1} = \dots = c_{i+k-1} = c,$$

then

$$\text{cat}_M(\{x \in M \mid f(x) = c, \text{grad}_M^- f(x) = 0\}) \geq k.$$

### 3. Proof of Theorem 1.1

Let  $H$  be a Hilbert space with a norm  $\|\cdot\|$  and  $H = X_0 \oplus X_1 \oplus X_2$  with  $\dim X_1 < \infty$ . Let  $I \in C^{1,1}(H, R)$  be a strongly indefinite functional. Let  $(H_n)_n$  be a sequence of closed subspaces of  $H$  with finite dimension and such that for all  $n$ ,

$$X_1 \subset H_n, \quad P_{X_i} \cdot P_{H_n} = P_{H_n} \cdot P_{X_i} (= P_{X_i \cap H_n}), \quad i = 0, 1, 2,$$

where, for all given subspace  $X$  of  $H$ ,  $P_X$  is the orthogonal projection from  $H$  onto  $X$ . Set

$$C = \{u \in H \mid \|P_{X_1} u\| \geq 1\}.$$

Then  $C$  is the smooth manifold with boundary. Let  $C_n = C \cap H_n$ . Let us define a functional  $\psi : H \setminus (X_0 \oplus X_2) \rightarrow H$  by

$$\psi(u) = u - \frac{P_{X_1} u}{\|P_{X_1} u\|} = P_{X_0 \oplus X_2} u + \left(1 - \frac{1}{\|P_{X_1} u\|}\right) P_{X_1} u. \quad (3.1)$$

We have

$$\psi'(u)(v) = v - \frac{1}{\|P_{X_1} u\|} \left( P_{X_1} v - \left\langle \frac{P_{X_1} u}{\|P_{X_1} u\|}, v \right\rangle \frac{P_{X_1} u}{\|P_{X_1} u\|} \right). \quad (3.2)$$

Let us introduce the constrained functional  $\tilde{I} : C \rightarrow H$  by

$$\tilde{I} = I \cdot \psi.$$

Then  $\tilde{I} \in C_{loc}^{1,1}$ . We note that if  $\tilde{u}$  is the critical point of  $\tilde{I}$  and lies in the interior of  $C$ , then  $u = \psi(\tilde{u})$  is the critical point of  $I$ . We also note that

$$\|\text{grad}_C^- \tilde{I}(\tilde{u})\| \geq \|P_{X_0 \oplus X_2} \nabla I(\psi(\tilde{u}))\|, \quad \forall \tilde{u} \in \partial C. \quad (3.3)$$

Let us set

$$\begin{aligned} \tilde{S}_r &= \psi^{-1}(S_r(X_1 \oplus X_2)), \\ \tilde{\Sigma}_R &= \psi^{-1}(\Sigma_R(S_1(\rho), X_0), \\ \tilde{\Delta}_R &= \psi^{-1}(\Delta_R(S_1(\rho), X_0). \end{aligned}$$

Then  $\tilde{S}_r$ ,  $\tilde{\Sigma}_R$  and  $\tilde{\Delta}_R$  has the same topological structure as that of  $S_r$ ,  $\Sigma_R$  and  $\Delta_R$ .

From condition (2), we have

$$\sup_{\tilde{S}_r} \tilde{I} = \sup_{\Sigma_R(S_1(\rho), X_0)} I < \inf_{S_r(X_1 \oplus X_2)} I = \inf_{\tilde{S}_r} \tilde{I}. \tag{3.4}$$

From the condition (4),  $\tilde{I}$  satisfies the  $(P.S.)_c^*$  condition on  $C$  with respect to  $(C_n)$  ( $C_n = C \cap H_n$ ) for any  $c$  such that

$$\inf_{\tilde{S}_r} \tilde{I} = \inf_{S_r(X_1 \oplus X_2)} I \leq c \leq \sup_{\Delta_R(S_1(\rho), X_0)} I = \sup_{\tilde{\Delta}_R} \tilde{I}. \tag{3.5}$$

Next, we claim that  $\text{cat}_{(C, \tilde{\Sigma}_R)}^*(\tilde{\Delta}_R) = 2$ . Let us set

$$\Sigma_n = \Sigma_R(S_1(\rho), X_0) \cap H_n, \quad \Delta_n = \Delta_R(S_1(\rho), X_0) \cap H_n,$$

$$\tilde{\Sigma}_n = \tilde{\Sigma}_R \cap H_n \quad \text{and} \quad \tilde{\Delta}_n = \tilde{\Delta}_R \cap H_n.$$

We consider a continuous deformation  $r : \tilde{S}_r \setminus X_2 \times [0, 1] \rightarrow \tilde{S}_r \setminus X_2$  such that

- $r(x, 0) = x, \quad \forall x \in \tilde{S}_r \setminus X_2,$
- $r(x, t) = x, \quad \forall x \in \tilde{S}_r \cap X_1 \quad \forall t \in [0, 1],$
- $r(x, 1) \in \tilde{S}_r \cap X_1 \quad \forall x \in \tilde{S}_r \setminus X_2.$

Now we can define, if  $x = x_0 + x_1 + x_2, x_i \in X_i, i = 0, 1, 2, t \in [0, 1],$

$$r_1(x, t) = x_0 + \|x_1 + x_2\| r \left( \frac{x_1 + x_2}{\|x_1 + x_2\|}, t \right).$$

Using  $r_1$  we construct, for all  $n$ , a continuous deformation  $\eta_n : C_n \times [0, 1] \rightarrow C_n$  such that

- $\eta_n(x, 0) = x \quad \forall x \in C_n,$
- $\eta_n(x, t) = x \quad \forall x \in \tilde{\Delta}_n, \quad \forall t \in [0, 1],$
- $\eta_n(x, 1) \in \tilde{\Delta}_n \quad \forall x \in C_n.$
- $\eta_n(x, t) \in C_n \setminus \tilde{S}_r, \quad \forall x \in C_n \setminus \tilde{S}_r, \quad \forall t \in [0, 1].$

The existence of  $\eta_n$  implies that  $\text{cat}_{(C_n, \tilde{\Sigma}_n)}(\tilde{\Delta}_n) = \text{cat}_{(\tilde{\Delta}_n, \tilde{\Sigma}_n)}(\tilde{\Delta}_n)$ ; moreover the pair  $(\tilde{\Delta}_n, \tilde{\Sigma}_n)$  is homeomorphic to the pair  $(\Delta_n, \Sigma_n)$  and  $(\tilde{\Delta}_n, \tilde{\Sigma}_n)$  is homeomorphic to the pair  $(\mathcal{B}^{p+1} \times \mathcal{S}^{q-1}, \mathcal{S}^p \times \mathcal{S}^{q-1})$ , so  $(\tilde{\Delta}_n, \tilde{\Sigma}_n)$  is homeomorphic to the pair  $(\mathcal{B}^{p+1} \times \mathcal{S}^{q-1}, \mathcal{S}^p \times \mathcal{S}^{q-1})$ , where  $p = \dim(X_0) \cap$

$H_n$ ,  $q = \dim(X_1) \cap H_n$  and we are denoting by  $\mathcal{B}^r$  and  $\mathcal{S}^r$  the  $r$ -dimensional ball and the  $r$ -dimensional sphere, respectively. This implies that  $\text{cat}_{(C_n, \tilde{\Sigma}_n)}(\tilde{\Delta}_n) = 2$  (in the case  $q = 1$  a connection argument can be used, otherwise this is a consequence of the fact that cuplength  $(\mathcal{B}^{p+1} \times \mathcal{S}^{q-1}, \mathcal{S}^p \times \mathcal{S}^{q-1}) = 1$  and (b) of (3.7) in [7]). Thus  $\text{cat}_{(C_n, \tilde{\Sigma}_n)}(\tilde{\Delta}_n) = 2$ , so we have  $\text{cat}_{(C, \tilde{\Sigma}_R)}^*(\tilde{\Delta}_R) = 2$ . Thus we prove the claim. Let us set

$$\mathcal{A}_1 = \{A \subset C \mid \text{cat}_{(C, \tilde{\Sigma}_R)}^*(A) \geq 1\}, \quad \mathcal{A}_2 = \{A \subset C \mid \text{cat}_{(C, \tilde{\Sigma}_R)}^*(A) \geq 2\}.$$

Since  $\text{cat}_{(C, \tilde{\Sigma}_R)}^*(\tilde{\Delta}_R) = 2$ ,  $\tilde{\Delta}_R \in \mathcal{A}_1$  and  $\tilde{\Delta}_R \in \mathcal{A}_2$ . Let us set

$$\tilde{c}_1 = \inf_{A \in \mathcal{A}_1} \sup_{\tilde{u} \in A} \tilde{I}(\tilde{u}) \quad \text{and} \quad \tilde{c}_2 = \inf_{A \in \mathcal{A}_2} \sup_{\tilde{u} \in A} \tilde{I}(\tilde{u}).$$

From the condition (3) and  $\tilde{\Delta}_R \in \mathcal{A}_i$ ,  $i = 1, 2$ , it follows that

$$\tilde{c}_i = \inf_{A \in \mathcal{A}_i} \sup_{\tilde{u} \in A} \tilde{I}(\tilde{u}) \leq \sup_{\tilde{u} \in \tilde{\Delta}_R} \tilde{I}(\tilde{u}) = \sup_{u \in \Delta_R(S_1(\rho), X_0)} I(u) < \infty, i = 1, 2.$$

It is easily checked that for  $\tilde{\Sigma}_R \subset A \in \mathcal{A}_i$ ,  $i = 1, 2$ ,

$$\sup_{\tilde{u} \in \tilde{\Sigma}_R} \tilde{I}(\tilde{u}) \leq \sup_{\tilde{u} \in A} \tilde{I}(\tilde{u}),$$

and hence  $\sup_{\tilde{u} \in \tilde{\Sigma}_R} \tilde{I}(\tilde{u}) \leq \inf_{A \in \mathcal{A}_i} \sup_{\tilde{u} \in A} \tilde{I}(\tilde{u}) = \tilde{c}_i$ . From the condition (4),  $\tilde{I}$  satisfies the  $(P.S.)_c^*$  condition on  $C$  with respect to  $(C_n)$  for any  $c$  with (3.5). Thus, by Theorem 2.1, there exist two critical points  $\tilde{u}_1, \tilde{u}_2$  such that

$$\tilde{I}(\tilde{u}_1) = \tilde{c}_1 \quad \text{and} \quad \tilde{I}(\tilde{u}_2) = \tilde{c}_2.$$

We claim that

$$\inf_{\tilde{u} \in \tilde{S}_r} \tilde{I}(\tilde{u}) \leq \tilde{c}_1 \leq \tilde{c}_2 \leq \sup_{\tilde{u} \in \tilde{\Delta}_R} \tilde{I}(\tilde{u}).$$

In fact, since  $\text{cat}_{(C, \tilde{\Sigma}_R)}^*(\tilde{\Delta}_R) = 2$ ,  $\tilde{\Delta}_R \in \mathcal{A}_2$  and hence

$$\tilde{c}_2 = \inf_{A \in \mathcal{A}_2} \sup_{\tilde{u} \in A} \tilde{I}(\tilde{u}) \leq \sup_{\tilde{u} \in \tilde{\Delta}_R} \tilde{I}(\tilde{u}), \forall A \in \mathcal{A}_2.$$

For the proof of  $\tilde{c}_1 \geq \inf_{\tilde{u} \in \tilde{S}_r} \tilde{I}(\tilde{u})$ , we construct a deformation  $\eta'_n : C_n \setminus \tilde{S}_r \times$

$[0, 1] \rightarrow C_n \setminus \tilde{S}_r$  such that

- $\eta'_n(x, 0) = x \quad \forall x \in C_n \setminus \tilde{S}_r$ ,
- $\eta'_n(x, t) = x \quad \forall x \in \tilde{\Sigma}_n \quad \forall t \in [0, 1]$ ,

$\cdot \eta'_n(x, 1) \in \tilde{\Sigma}_n \ \forall x \in C_n$ .

Actually  $\eta'_n$  can be defined taking the restriction of  $\eta_n$  on  $C_n \setminus \tilde{S}_r$  followed by a retraction of  $\tilde{\Delta}_n \setminus \tilde{S}_r$  to  $\tilde{\Sigma}_n$ . The existence of  $\eta'_n$ , for all  $n$ , implies that any  $A \in \mathcal{A}_1$  must intersect  $\tilde{S}_r$ , so  $\sup \tilde{I}(A) \geq \inf_{\tilde{u} \in \tilde{S}_r} \tilde{I}(\tilde{u})$ ,  $\forall A \in \mathcal{A}_1$ .

So we have that  $\tilde{c}_1 = \inf_{A \in \mathcal{A}_1} \sup_{\tilde{u} \in A} \tilde{I}(\tilde{u}) \geq \inf_{\tilde{u} \in \tilde{S}_r} \tilde{I}(\tilde{u})$ . Thus we prove the claim. Setting  $u_i = \psi(\tilde{u}_i)$ ,  $i = 1, 2$ , we have

$$\begin{aligned} \inf_{u \in S_r(X_1 \oplus X_2)} I(u) &= \inf_{\tilde{u} \in \tilde{S}_r} \tilde{I}(\tilde{u}) \leq \tilde{I}(\tilde{u}_1) = I(u_1) \leq \tilde{I}(\tilde{u}_2) = I(u_2) \\ &\leq \sup_{\tilde{u} \in \tilde{\Delta}_R} \tilde{I}(\tilde{u}) = \sup_{u \in \Delta_R(S_1(\rho), X_0)} I(u). \end{aligned}$$

Next, we claim that  $\tilde{u}_i \notin \partial C$ , that is  $u_i \notin X_0 \oplus X_2$ , which implies that  $u_i$  are critical points of  $I$  in  $X_1$ . For this we assume by contradiction that  $u_i \in X_0 \oplus X_2$ . From (3.3),  $\|\text{grad}_C^- \tilde{I}(\tilde{u})\| \geq \|P_{X_0 \oplus X_2} \nabla I(\psi(\tilde{u}))\|$ ,  $\forall \tilde{u} \in \partial C$  and  $P_{X_0 \oplus X_2} \nabla I(u_i) = 0$ , namely  $u_i$ ,  $i = 1, 2$ , are critical points for  $I|_{X_0 \oplus X_2}$ . Just notice that, for fixed  $w_0 \in X_0$  the functional  $w_2 \mapsto I(w_0 + w_2)$  is weakly convex in  $X_2$ , while, for fixed  $w_2 \in X_2$  the functional  $w_0 \mapsto I(w_0 + w_2)$  is strictly concave in  $X_0$ . Moreover  $e$  is the critical point in  $X_0 \oplus X_2$  with  $I(e) < \alpha = \inf_{S_r(X_1 \oplus X_2)} I$ . If  $u_1 = w_0 + w_2$  is another

critical point, we have

$$I(e) \leq I(w_2) \leq I(w_0 + w_2) = I(u_1) \leq I(w_0) \leq I(e),$$

so we have  $I(u_1) = I(e)$ . Similary we have  $I(u_2) = I(e)$ , so we have  $I(u_1) = I(u_2) = I(e) < \alpha$ , which is absurd for the fact that  $\alpha = \inf_{u \in S_r(X_1 \oplus X_2)} I(u) \leq I(u_1) \leq I(u_2) \leq \sup_{u \in \Delta_R(S_1(\rho), X_0)} I(u) = \beta$ . Thus

$u_i \notin X_0 \oplus X_2$ ,  $i = 1, 2$ . Moreover it is easily checked that there is no critical point  $u \in X_0 \oplus X_2$  such that  $I(u) \in [\alpha, \beta]$ . Hence  $u_i$ ,  $i = 1, 2$ , are critical points of  $I$ , in  $X_1$ . Thus we prove the theorem.

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