

BLASCHKE PRODUCTS AND RATIONAL FUNCTIONS WITH SIEGEL DISKS

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ABSTRACT. Let m be a positive integer. We show that for any given real number $\alpha \in [0, 1]$ and complex number μ with $|\mu| \leq 1$ which satisfy $e^{2\pi i \alpha} \mu^m \neq 1$, there exists a Blaschke product B of degree $2m + 1$ which has a fixed point of multiplier μ^m at the point at infinity such that the restriction of the Blaschke product B on the unit circle is a critical circle map with rotation number α . Moreover if the given real number α is irrational of bounded type, then a modified Blaschke product of B is quasiconformally conjugate to some rational function of degree $m + 1$ which has a fixed point of multiplier μ^m at the point at infinity and a Siegel disk whose boundary is a quasicircle containing its critical point.

1. Introduction

Let $f : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$ be a rational function of degree $d \geq 2$. In the theory of the complex dynamics, there are two important sets called the *Fatou set* and the *Julia set*. The Fatou set $F(f)$ is the set of normality in the sense of Montel for the family $\{f^n\}_{n=1}^{\infty}$, where $f^n = f \circ \dots \circ f$ is n iterates of f . The Julia set $J(f)$ is the complement $\widehat{\mathbb{C}} \setminus F(f)$. A solution z_0 of the equation $f(z) = z$ is called a *fixed point* of f and $\lambda = f'(z_0)$ is called the *multiplier* of z_0 if $z_0 \in \mathbb{C}$. The multiplier of $z_0 = \infty$ is defined as the multiplier of the origin for $\psi \circ f \circ \psi^{-1}$, where $\psi(z) = 1/z$. The fixed point z_0 is attracting, repelling or indifferent if its multiplier λ satisfies that $|\lambda| < 1$, $|\lambda| > 1$ or $|\lambda| = 1$ respectively. Attracting fixed points belong to the Fatou set and repelling fixed points belong to the Julia set. In the case that z_0 is indifferent, the classification is more complicated. The fixed point z_0 is parabolic, a Siegel point or a Cremer point if its multiplier is a root of unity, $z_0 \in F(f)$ or $z_0 \in J(f)$ respectively. Parabolic fixed points belong to the Julia set. The Fatou component containing a Siegel point is called a *Siegel disk* centered at z_0 . Non-repelling fixed points “capture” at least one critical point of f , which is a solution of the equation $f'(z) = 0$.

In this paper, we investigate rational functions with Siegel disks. Let $f : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$ be a rational function of degree $d \geq 2$ with a fixed point of multiplier

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$e^{2\pi i\alpha}$ at the origin, where $\alpha \in [0, 1]$ is irrational. If the origin is a Siegel point, then there exists a local holomorphic change of coordinate $\Phi : \mathbb{D} \rightarrow \mathbb{C}$ with $0 = \Phi(0)$ such that $\Phi^{-1} \circ f \circ \Phi(z) = e^{2\pi i\alpha} z$, where \mathbb{D} is the unit disk. The Siegel disk Δ centered at the origin contains $\Phi(\mathbb{D})$.

For the irrational number α , we consider the continued fraction expansion

$$\alpha = \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\ddots}}}$$

of α and then a sequence of rational numbers

$$\frac{p_n}{q_n} = \frac{1}{a_1 + \frac{1}{\ddots + \frac{1}{a_n}}}$$

converges to α , where a_n is a positive integer uniquely determined by α for all $n \in \mathbb{N}$. The irrational number α is a *Diophantine number of order $\kappa \geq 2$* if there exists $\varepsilon > 0$ such that

$$\left| \alpha - \frac{p}{q} \right| > \frac{\varepsilon}{q^\kappa}$$

for all rational numbers p/q . The class of Diophantine numbers of order κ is denoted by \mathcal{D}_κ . The irrational number α belongs to \mathcal{D}_κ if and only if the sequence

$$\left\{ \frac{q_{n+1}}{q_n^{\kappa-1}} \right\}_{n=1}^{\infty}$$

is bounded. In the case that $\kappa = 2$, the sequence $\{a_n\}_{n=1}^{\infty}$ is bounded if and only if $\{q_{n+1}/q_n\}_{n=1}^{\infty}$ is bounded. Therefore Diophantine numbers of order 2 are said to be of *bounded type*. The irrational number α is a *Bryuno number* if the sum

$$\sum_{n=1}^{\infty} \frac{\log q_{n+1}}{q_n}$$

converges. The class of Bryuno numbers is denoted by \mathcal{B} . Note that for $\kappa > 2$, $\mathcal{D}_2 \subsetneq \mathcal{D}_\kappa \subsetneq \mathcal{B}$ and \mathcal{D}_κ has full measure on \mathbb{R}/\mathbb{Z} (see [7] or [11]). Bryuno showed that if α is a Bryuno number, then f is linearizable at the origin. Yoccoz showed that if a quadratic polynomial $P_\alpha(z) = z^2 + e^{2\pi i\alpha} z$ is linearizable at the origin, then α is a Bryuno number, that is, P_α is linearizable at the origin if and only if α is a Bryuno number. Moreover the following theorem holds if α is of bounded type (see [10] or [11]).

Theorem 1.1 (Ghys-Douady-Herman-Shishikura-Świątek). *If an irrational number $\alpha \in [0, 1]$ is of bounded type, then the boundary of the Siegel disk Δ of P_α centered at the origin is a quasicircle containing its critical point $-e^{2\pi i\alpha}/2$.*

Moreover if the irrational number α is of bounded type, then the following holds:

- (a) (Petersen). The Julia set $J(P_\alpha)$ of P_α is locally connected and has measure zero.
- (b) (McMullen). The Hausdorff dimension of $J(P_\alpha)$ is less than 2.
- (c) (Graczyk-Jones). The Hausdorff dimension of $\partial\Delta$ is greater than 1.

Conversely, Petersen showed that if $\partial\Delta$ is a quasicircle containing the finite critical point $-e^{2\pi i\alpha}/2$ of P_α , then $\alpha \in [0, 1]$ is of bounded type. Zakeri extended Theorem 1.1 to the case of cubic polynomials.

Theorem 1.2 ([12]). *Let P be a cubic polynomial with fixed point of multiplier $e^{2\pi i\alpha}$ at the origin. If an irrational number $\alpha \in [0, 1]$ is of bounded type, then the boundary of the Siegel disk Δ of P centered at the origin is a quasicircle containing one or both critical points.*

Geyer showed the following theorem which is extended to some polynomials. Let $Q_m(z) = e^{2\pi i\alpha}z(1+z/m)^m$. Note that P_α is conformally conjugate to Q_1 .

Theorem 1.3 ([4]). *Let $m \geq 1$ be a positive integer. If an irrational number $\alpha \in [0, 1]$ is of bounded type, then the boundary of the Siegel disk Δ of Q_m centered at the origin is a quasicircle containing its critical point $-m/(m+1)$.*

Let $F_{\lambda,\mu}(z) = z(z+\lambda)/(\mu z+1)$ with $\lambda\mu \neq 1$. The origin and the point at infinity are fixed points of $F_{\lambda,\mu}$ of multiplier λ and μ respectively. In the case that $\mu = 0$, $F_{\lambda,0}(z) = \lambda z + z^2$. Therefore the quadratic rational function $F_{\lambda,\mu}$ is considered as a perturbation of the quadratic polynomial $z \mapsto \lambda z + z^2$. In the case that $\lambda = e^{2\pi i\alpha}$ and α is irrational of bounded type, the author showed the following theorem which is a generalization of Theorem 1.1.

Theorem 1.4 ([5]). *If an irrational number $\alpha \in [0, 1]$ is of bounded type, $\lambda = e^{2\pi i\alpha}$ and $\mu \in \overline{\mathbb{D}}$ with $\lambda\mu \neq 1$, then the boundary of the Siegel disk Δ of $F_{\lambda,\mu}$ centered at the origin is a quasicircle containing its critical point.*

For complex numbers λ and μ with $\lambda\mu \neq 1$ and a positive integer m , let

$$F_{\lambda,\mu,m}(z) = z \left(\frac{z+\lambda}{\mu z+1} \right)^m.$$

Note that $F_{\lambda,\mu,1} = F_{\lambda,\mu}$. The origin and the point at infinity are fixed points of $F_{\lambda,\mu,m}$ of multiplier λ^m and μ^m respectively. In the case that $\mu = 0$,

$$F_{\lambda,0,m}(z) = z(z+\lambda)^m.$$

Therefore the rational function $F_{\lambda,\mu,m}$ of degree $m+1$ is considered as a perturbation of the polynomial $F_{\lambda,0,m}$ of degree $m+1$. Note that $F_{\lambda,0,m}$ is conformally conjugate to Q_m if $\lambda^m = e^{2\pi i\alpha}$. We show the following theorem which contains Theorem 1.4.

Theorem 1.5. *Let $m \geq 1$ be a positive integer and let $\mu \in \overline{\mathbb{D}}$. If an irrational number $\alpha \in [0, 1]$ is of bounded type and $e^{2\pi i\alpha} \mu^m \neq 1$, then there exist suitable pairs $\{(\lambda_j, \mu_j)\}_{j=1}^m$ with*

- (i) $\lambda_j^m = e^{2\pi i\alpha}$, $\mu_j^m = \mu^m$ and $\lambda_j \mu_j \neq 1$ for $j \in \{1, \dots, m\}$
- (ii) $\lambda_j \neq \lambda_k$ if $j \neq k$

such that for each $j \in \{1, \dots, m\}$, the boundary of the Siegel disk Δ of $F_{\lambda_j, \mu_j, m}$ centered at the origin is a quasicircle containing its critical point.

Theorem 1.5	
$m = 1, \mu = 0$	Theorem 1.1
$m = 1$	Theorem 1.4
$\mu = 0$	Theorem 1.3

TABLE 1. Special cases of Theorem 1.5

Theorem 1.5 contains Theorems 1.1, 1.3, and 1.4. Moreover we obtain the following corollary.

Corollary 1.6. *Let $m \geq 1$ be a positive integer, $\alpha \in [0, 1]$ be an irrational number of bounded type, $\mu^m = e^{2\pi i\beta}$ with $e^{2\pi i\alpha} \mu^m \neq 1$ and $\{(\lambda_j, \mu_j)\}_{j=1}^m$ be as in Theorem 1.5. If $\beta \in [0, 1]$ is an irrational number of bounded type, then the boundaries of Siegel disks Δ and Δ_∞ of $F_{\lambda_j, \mu_j, m}$ centered at the origin and the point at infinity respectively are quasicircles containing its critical point.*

2. Blaschke products with a critical point on the unit circle

2.1. Existence of Blaschke products

Let $m \geq 1$ be a positive integer. We consider a Blaschke product

$$B(z) = e^{2\pi im\theta} z \left(\frac{z-a}{1-\bar{a}z} \right)^m \left(\frac{z-b}{1-\bar{b}z} \right)^m$$

of degree $2m+1$ with $\bar{a}\bar{b} \neq 1$ and $0 < |a| \leq |b| < \infty$. Let $\lambda = abe^{2\pi i\theta}$ and let $\mu = \bar{a}\bar{b}e^{-2\pi i\theta}$. The derivative B' of B is

$$B'(z) = \frac{e^{2\pi im\theta}}{(1-\bar{a}z)^2(1-\bar{b}z)^2} \left(\frac{z-a}{1-\bar{a}z} \right)^{m-1} \left(\frac{z-b}{1-\bar{b}z} \right)^{m-1} g(z),$$

where

$$\begin{aligned} g(z) = & \bar{a}\bar{b}z^4 + \left\{ -(m+1)(\bar{a} + \bar{b}) + (m-1)\bar{a}\bar{b}(a+b) \right\} z^3 \\ & + \left\{ 2m+1 - (2m-1)|ab|^2 + |a+b|^2 \right\} z^2 \\ & + \left\{ -(m+1)(a+b) + (m-1)ab(\bar{a} + \bar{b}) \right\} z + ab. \end{aligned}$$

So multipliers of fixed points $z = 0$ and $z = \infty$ are λ^m and μ^m respectively. Let $c_1, c_2, c_3 = 1/\bar{c}_2$ and $c_4 = 1/\bar{c}_1$ be solutions of the equation $g(z) = 0$. Therefore critical points of B are $a, 1/\bar{a}, b, 1/\bar{b}, c_1, c_2, c_3$ and c_4 and multiplicities of critical points $a, 1/\bar{a}, b$ and $1/\bar{b}$ are $m - 1$. Since c_1, c_2, c_3 and c_4 are solutions of $g(z) = 0$, we obtain that

$$\begin{aligned} g(z) &= \bar{a}\bar{b}(z - c_1)(z - c_2)(z - c_3)(z - c_4) \\ &= \bar{a}\bar{b}\left\{z^4 - C_3z^3 + C_2z^2 - C_1z + C_0\right\}, \end{aligned}$$

where

$$\begin{aligned} C_3 &= c_1 + \frac{1}{\bar{c}_1} + c_2 + \frac{1}{\bar{c}_2}, \\ C_2 &= \frac{c_1}{\bar{c}_1} + \frac{c_2}{\bar{c}_2} + \left(c_1 + \frac{1}{\bar{c}_1}\right)\left(c_2 + \frac{1}{\bar{c}_2}\right), \\ C_1 &= \frac{c_1}{\bar{c}_1}\left(c_2 + \frac{1}{\bar{c}_2}\right) + \frac{c_2}{\bar{c}_2}\left(c_1 + \frac{1}{\bar{c}_1}\right), \\ C_0 &= \frac{c_1c_2}{\bar{c}_1\bar{c}_2}. \end{aligned}$$

Comparing coefficients of two representations of $g(z)$ implies that

$$(1) \quad c_1 + \frac{1}{\bar{c}_1} + c_2 + \frac{1}{\bar{c}_2} = \frac{(m+1)(\bar{a} + \bar{b}) - (m-1)(a+b)\bar{a}\bar{b}}{\bar{a}\bar{b}},$$

$$(2) \quad \frac{c_1}{\bar{c}_1} + \frac{c_2}{\bar{c}_2} + \left(c_1 + \frac{1}{\bar{c}_1}\right)\left(c_2 + \frac{1}{\bar{c}_2}\right) = \frac{2m+1 - (2m-1)|ab|^2 + |a+b|^2}{\bar{a}\bar{b}},$$

$$(3) \quad \frac{c_1}{\bar{c}_1}\left(c_2 + \frac{1}{\bar{c}_2}\right) + \frac{c_2}{\bar{c}_2}\left(c_1 + \frac{1}{\bar{c}_1}\right) = \frac{(m+1)(a+b) - (m-1)(\bar{a} + \bar{b})ab}{\bar{a}\bar{b}},$$

$$(4) \quad \frac{c_1c_2}{\bar{c}_1\bar{c}_2} = \frac{ab}{\bar{a}\bar{b}}.$$

Eliminating c_1 and \bar{c}_1 from equations (1), (2), and (4) gives that

$$\begin{aligned} (5) \quad &|a+b|^2 - (m+1)\left(c_2 + \frac{1}{\bar{c}_2}\right)(\bar{a} + \bar{b}) - \left(\frac{\bar{c}_2}{c_2}\right)ab \\ &+ \left\{\left(c_2 + \frac{1}{\bar{c}_2}\right)^2 - \frac{c_2}{\bar{c}_2}\right\}\bar{a}\bar{b} + (m-1)\left(c_2 + \frac{1}{\bar{c}_2}\right)(a+b)\bar{a}\bar{b} \\ &+ 2m+1 - (2m-1)|ab|^2 = 0 \end{aligned}$$

and eliminating c_1 and \bar{c}_1 from equations (1), (3), and (4) gives that

$$(6) \quad \begin{aligned} & \frac{\bar{c}_2}{c_2} \left(c_2 + \frac{1}{\bar{c}_2} \right) ab + (m+1) \left(\frac{c_2}{\bar{c}_2} \right) (\bar{a} + \bar{b}) - (m-1) \left(\frac{c_2}{\bar{c}_2} \right) (a+b)\bar{a}\bar{b} \\ &= \frac{c_2}{\bar{c}_2} \left(c_2 + \frac{1}{\bar{c}_2} \right) \bar{a}\bar{b} + (m+1)(a+b) - (m-1)(\bar{a} + \bar{b})ab. \end{aligned}$$

We obtain that

$$(7) \quad \begin{aligned} & |a+b|^2 - 2(m+1)e^{2\pi i\varphi}(\bar{a} + \bar{b}) - e^{2\pi i(-2\varphi)}ab + 3e^{2\pi i \cdot 2\varphi}\bar{a}\bar{b} \\ & + 2(m-1)e^{2\pi i\varphi}(a+b)\bar{a}\bar{b} + 2m+1 - (2m-1)|ab|^2 = 0 \end{aligned}$$

and

$$(8) \quad \begin{aligned} & e^{2\pi i(-2\varphi)}ab + \frac{m+1}{2}e^{2\pi i\varphi}(\bar{a} + \bar{b}) - \frac{m-1}{2}e^{2\pi i\varphi}(a+b)\bar{a}\bar{b} \\ &= e^{2\pi i \cdot 2\varphi}\bar{a}\bar{b} + \frac{m+1}{2}e^{2\pi i(-\varphi)}(a+b) - \frac{m-1}{2}e^{2\pi i(-\varphi)}(\bar{a} + \bar{b})ab \end{aligned}$$

by substituting $c_2 = e^{2\pi i\varphi}$ into equations (5) and (6). Eliminating ab from equations (7) and (8) gives that

$$(9) \quad \begin{aligned} & |a+b|^2 - \frac{3}{2}(m+1)e^{2\pi i\varphi}(\bar{a} + \bar{b}) \\ & - \frac{m+1}{2}e^{2\pi i(-\varphi)}(a+b) + 2e^{2\pi i \cdot 2\varphi}\bar{a}\bar{b} + \frac{m-1}{2}e^{2\pi i(-\varphi)}(\bar{a} + \bar{b})ab \\ & + \frac{3}{2}(m-1)e^{2\pi i\varphi}(a+b)\bar{a}\bar{b} + 2m+1 - (2m-1)|ab|^2 = 0. \end{aligned}$$

Let $\zeta = a+b$. Then

$$(10) \quad \begin{aligned} & |\zeta|^2 - \frac{3}{2}(m+1)e^{2\pi i\varphi}\bar{\zeta} - \frac{m+1}{2}e^{2\pi i(-\varphi)}\zeta + 2e^{2\pi i \cdot 2\varphi}\bar{a}\bar{b} + \frac{m-1}{2}e^{2\pi i(-\varphi)}ab\bar{\zeta} \\ & + \frac{3}{2}(m-1)e^{2\pi i\varphi}\bar{a}\bar{b}\zeta + 2m+1 - (2m-1)|ab|^2 = 0. \end{aligned}$$

The real part of the left side of the equation (10) is

$$(11) \quad \begin{aligned} & x^2 + y^2 - 2x \left\{ (m+1) \cos 2\pi\varphi - (m-1)r \cos 2\pi(\varphi + \theta + \omega) \right\} \\ & - 2y \left\{ (m+1) \sin 2\pi\varphi + (m-1)r \sin 2\pi(\varphi + \theta + \omega) \right\} \\ & + 2r \cos 2\pi(2\varphi + \theta + \omega) + 2m+1 - (2m-1)r^2 = 0 \end{aligned}$$

and the imaginary part of the left side of the equation (10) is

$$(12) \quad \begin{aligned} & y \left\{ (m+1) \cos 2\pi\varphi + (m-1)r \cos 2\pi(\varphi + \theta + \omega) \right\} \\ & - x \left\{ (m+1) \sin 2\pi\varphi - (m-1)r \sin 2\pi(\varphi + \theta + \omega) \right\} \\ & + 2r \sin 2\pi(2\varphi + \theta + \omega) = 0, \end{aligned}$$

where $\zeta = x + iy$ and $\mu = \bar{a}\bar{b}e^{-2\pi i\theta} = re^{2\pi i\omega}$. The solutions of simultaneous equations (11) and (12) are

$$x = \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-1} \\ \times \left\{ C_4 \cos 2\pi\varphi + C_5 \cos 2\pi(\varphi + \theta + \omega) + C_6 \cos 2\pi(3\varphi + \theta + \omega) \right. \\ \left. + C_7 \cos 2\pi(3\varphi + 2\theta + 2\omega) \right\}$$

and

$$y = \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-1} \\ \times \left\{ C_4 \sin 2\pi\varphi - C_5 \sin 2\pi(\varphi + \theta + \omega) + C_6 \sin 2\pi(3\varphi + \theta + \omega) \right. \\ \left. - C_7 \sin 2\pi(3\varphi + 2\theta + 2\omega) \right\},$$

where

$$C_4 = (m+1)^2(2m+1) - 2m(m^2-1)r^2, \\ C_5 = 2m(m^2-1)r - (m-1)^2(2m-1)r^3, \\ C_6 = -(m+1)^2 r, \\ C_7 = -(m-1)^2 r^2.$$

Hence $\zeta = x + iy$ satisfies the equation (10). Conversely, we show the following theorem.

Theorem 2.1. *Let $\mu = re^{2\pi i\omega} \in \bar{\mathbb{D}}$ and let $a = a(\theta, \varphi)$ and $b = b(\theta, \varphi)$ with $|a| \leq |b|$ be complex numbers satisfying relations $a + b = x + iy$ and $ab = re^{-2\pi i(\theta+\omega)}$, that is, a and b are the solutions of the equation*

$$(\dagger) \quad Z^2 - (x + iy)Z + re^{-2\pi i(\theta+\omega)} = 0,$$

where x and y are as above and $(\theta, \varphi) \in [0, 1]^2$. Then the following holds:

- (a) In the case that $r = 0$, solutions of the equation (\dagger) are $a = 0$ and $b = (2m+1)e^{2\pi i\varphi}$.
- (b) In the case that $0 < r < 1$, the equation (\dagger) does not have double roots. Moreover $0 < |a| < 1 < |b| < \infty$.
- (c) In the case that $r = 1$ and $2\varphi + \theta + \omega \equiv 0 \pmod{1}$, the equation (\dagger) has double roots and $a = b = e^{2\pi i\varphi}$.
- (d) In the case that $r = 1$ and $2\varphi + \theta + \omega \not\equiv 0 \pmod{1}$, the equation (\dagger) does not have double roots. Moreover $0 < |a| < 1 < |b| < \infty$.
- (e) In the case (a), (b) or (d),

$$B(z) = B_{\theta, \varphi, m}(z) = e^{2\pi im\theta} z \left(\frac{z-a}{1-\bar{a}z} \right)^m \left(\frac{z-b}{1-\bar{b}z} \right)^m$$

is a Blaschke product of degree $2m + 1$ and the point at infinity is a fixed point of B with multiplier μ^m . Moreover $z = e^{2\pi i\varphi}$ is a critical point of B and $B|_{\mathbb{T}} : \mathbb{T} \rightarrow \mathbb{T}$ is a homeomorphism, where \mathbb{T} is the unit circle.

Proof. First, we show the following lemma.

Lemma 2.2. $|x + iy| \geq 2$. Moreover the equality holds if and only if $r = 1$ and $2\varphi + \theta + \omega \equiv 0 \pmod{1}$ hold.

Proof of Lemma 2.2. We calculate that

$$\begin{aligned}
& |x + iy|^2 \\
&= \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-2} \\
&\quad \times \left| C_4 e^{2\pi i\varphi} + C_5 e^{-2\pi i(\varphi + \theta + \omega)} + C_6 e^{2\pi i(3\varphi + \theta + \omega)} + C_7 e^{-2\pi i(3\varphi + 2\theta + 2\omega)} \right|^2 \\
&= \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-2} \\
&\quad \times \left\{ C_4 e^{2\pi i\varphi} + C_5 e^{-2\pi i(\varphi + \theta + \omega)} + C_6 e^{2\pi i(3\varphi + \theta + \omega)} + C_7 e^{-2\pi i(3\varphi + 2\theta + 2\omega)} \right\} \\
&\quad \times \left\{ C_4 e^{-2\pi i\varphi} + C_5 e^{2\pi i(\varphi + \theta + \omega)} + C_6 e^{-2\pi i(3\varphi + \theta + \omega)} + C_7 e^{2\pi i(3\varphi + 2\theta + 2\omega)} \right\} \\
&= \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-2} \\
&\quad \times \left\{ C_4^2 + C_5^2 + C_6^2 + C_7^2 + (2C_4 C_5 + 2C_4 C_6 + 2C_5 C_7) \cos 2\pi(2\varphi + \theta + \omega) \right. \\
&\quad \left. + (2C_4 C_7 + 2C_5 C_6) \cos 2\pi \cdot 2(2\varphi + \theta + \omega) + 2C_6 C_7 \cos 2\pi \cdot 3(2\varphi + \theta + \omega) \right\} \\
&= \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-2} \\
&\quad \times \left\{ C_4^2 + C_5^2 + C_6^2 + C_7^2 - 2C_4 C_7 - 2C_5 C_6 \right. \\
&\quad \left. + 2(C_4 C_5 + C_4 C_6 + C_5 C_7 - 3C_6 C_7) \cos 2\pi(2\varphi + \theta + \omega) \right. \\
&\quad \left. + 4(C_4 C_7 + C_5 C_6) \cos^2 2\pi(2\varphi + \theta + \omega) + 8C_6 C_7 \cos^3 2\pi(2\varphi + \theta + \omega) \right\}
\end{aligned}$$

since

$$\cos 2\pi \cdot 2(2\varphi + \theta + \omega) = 2 \cos^2 2\pi(2\varphi + \theta + \omega) - 1$$

and

$$\cos 2\pi \cdot 3(2\varphi + \theta + \omega) = 4 \cos^3 2\pi(2\varphi + \theta + \omega) - 3 \cos 2\pi(2\varphi + \theta + \omega).$$

Therefore

$$\begin{aligned}
 & |x + iy|^2 \\
 = & \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-2} \\
 & \times \left[4m^6 + 20m^5 + 41m^4 + 44m^3 + 26m^2 + 8m + 1 \right. \\
 & + (-4m^6 - 12m^5 - 5m^4 + 12m^3 + 14m^2 + 8m + 3) r^2 \\
 & + (-4m^6 + 12m^5 - 5m^4 - 12m^3 + 14m^2 - 8m + 3) r^4 \\
 & + (4m^6 - 20m^5 + 41m^4 - 44m^3 + 26m^2 - 8m + 1) r^6 \\
 & + \left\{ (8m^6 + 16m^5 - 10m^4 - 48m^3 - 44m^2 - 16m - 2) r \right. \\
 & + (-16m^6 + 44m^4 - 24m^2 - 4) r^3 \\
 & + (8m^6 - 16m^5 - 10m^4 + 48m^3 - 44m^2 + 16m - 2) r^5 \left. \right\} \cos 2\pi(2\varphi + \theta + \omega) \\
 & + \left\{ (-16m^5 - 20m^4 + 16m^3 + 24m^2 - 4) r^2 \right. \\
 & + (16m^5 - 20m^4 - 16m^3 + 24m^2 - 4) r^4 \left. \right\} \cos^2 2\pi(2\varphi + \theta + \omega) \\
 & + (8m^4 - 16m^2 + 8) r^3 \cos^3 2\pi(2\varphi + \theta + \omega) \left. \right] \\
 = & \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-2} \\
 & \times \left[\left[(m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right] \right. \\
 & \times \left[(m+1)^2(2m+1)^2 - 2(4m^4 - 5m^2 - 1)r^2 + (m-1)^2(2m-1)^2 r^4 \right. \\
 & + \left\{ -4m(m+1)(2m+1)r + 4m(m-1)(2m-1)r^3 \right\} \cos 2\pi(2\varphi + \theta + \omega) \\
 & \left. \left. + 4(m^2-1)r^2 \cos^2 2\pi(2\varphi + \theta + \omega) \right] \right] \\
 = & \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)r \cos 2\pi(2\varphi + \theta + \omega) \right\}^{-1} \\
 & \times \left[(m+1)^2(2m+1)^2 - 2(4m^4 - 5m^2 - 1)r^2 + (m-1)^2(2m-1)^2 r^4 \right. \\
 & + 4mr \left\{ -(m+1)(2m+1) + (m-1)(2m-1)r^2 \right\} \cos 2\pi(2\varphi + \theta + \omega) \\
 & \left. + 4(m^2-1)r^2 \cos^2 2\pi(2\varphi + \theta + \omega) \right].
 \end{aligned}$$

Let $X = \cos 2\pi(2\varphi + \theta + \omega)$ and we consider the function

$$f(X) = \left\{ (m+1)^2 + (m-1)^2 r^2 + 2(m^2-1)rX \right\}^{-1} \\ \times \left[(m+1)^2(2m+1)^2 - 2(4m^4 - 5m^2 - 1)r^2 + (m-1)^2(2m-1)^2 r^4 \right. \\ \left. + 4mr \left\{ -(m+1)(2m+1) + (m-1)(2m-1)r^2 \right\} X + 4(m^2-1)r^2 X^2 \right].$$

Then the function f is monotone decreasing on $[-1, 1]$ and

$$f(1) = \left\{ 2m+1 - (2m-1)r \right\}^2.$$

In the case that $0 \leq r < 1$, we obtain that

$$|x+iy| \geq \sqrt{f(1)} = 2m+1 - (2m-1)r > 2.$$

In the case that $r = 1$ and $2\varphi + \theta + \omega \not\equiv 0 \pmod{1}$, we obtain that

$$|x+iy| > \sqrt{f(1)} = 2m+1 - (2m-1) \cdot 1 = 2.$$

Moreover in the case that $r = 1$ and $2\varphi + \theta + \omega \equiv 0 \pmod{1}$, we obtain that

$$|x+iy| = \sqrt{f(1)} = 2m+1 - (2m-1) \cdot 1 = 2. \quad \square$$

Proof of (a). It is clear.

Proof of (b). By Lemma 2.2, $|a+b| = |x+iy| > 2$. In the case that $0 < r < 1$, either $0 < |a| < 1 \leq |b| < \infty$ or $0 < |a| \leq |b| \leq 1$ hold since $|a||b| = r$. If $0 < |a| \leq |b| \leq 1$, then

$$2 < |a+b| \leq |a| + |b| \leq 2.$$

This is a contradiction and hence the situation $0 < |a| < 1 \leq |b| < \infty$ happens. If $|b| = 1$, then

$$2 < |a+b| \leq |a| + |b| = |a| + 1 < 2.$$

This is a contradiction. Therefore the equation (†) does not have double roots and $0 < |a| < 1 < |b| < \infty$.

Proof of (c). By assumptions, we obtain that $x+iy = 2e^{2\pi i\varphi}$ and $re^{-2\pi i(\theta+\omega)} = e^{2\pi i \cdot 2\varphi}$. Therefore the equation (†) is

$$Z^2 - 2e^{2\pi i\varphi} Z + e^{2\pi i \cdot 2\varphi} = 0$$

and hence $a = b = e^{2\pi i\varphi}$.

Proof of (d). By Lemma 2.2, $|a+b| = |x+iy| > 2$. In the case that $r = 1$, either $0 < |a| < 1 < |b| < \infty$ or $|a| = |b| = 1$ hold since $|a||b| = 1$. If $|a| = |b| = 1$, then

$$2 < |a+b| \leq |a| + |b| = 2.$$

This is a contradiction. Therefore the equation (†) does not have double roots and $0 < |a| < 1 < |b| < \infty$.

Proof of (e). Let

$$u(z) = \left(\frac{z-a}{1-\bar{a}z} \right) \left(\frac{z-b}{1-\bar{b}z} \right) = \frac{z^2 - (a+b)z + ab}{\bar{a}\bar{b}z^2 - (\bar{a} + \bar{b})z + 1}.$$

The necessary and sufficient condition that the degree of the Blaschke product B be $2m+1$ is that the function u be not constant. So the necessary and sufficient condition that the degree of the Blaschke product B be 1 is that the function u be constant. In the case that $r=0$, the function u is not constant since

$$u(z) = \frac{z^2 - (2m+1)e^{2\pi i\varphi}z}{-(2m+1)e^{-2\pi i\varphi}z + 1}.$$

If $r \neq 0$, then

$$u(z) = \frac{1}{\bar{a}\bar{b}} \cdot \frac{\bar{a}\bar{b}z^2 - \bar{a}\bar{b}(a+b)z + |ab|^2}{\bar{a}\bar{b}z^2 - (\bar{a} + \bar{b})z + 1}.$$

In the case that $0 < r < 1$, the degree of the Blaschke product B is $2m+1$ since $|ab| = r < 1$. In the case that $r=1$, we obtain that

$$\bar{a}\bar{b}(a+b) - (\bar{a} + \bar{b}) = \frac{-2me^{-2\pi i(3\varphi+\theta+\omega)} \{e^{2\pi i(2\varphi+\theta+\omega)} - 1\}^3}{m^2 + 1 + (m^2 - 1) \cos 2\pi(2\varphi + \theta + \omega)}.$$

Therefore in the case that $r=1$ and $2\varphi + \theta + \omega \not\equiv 0 \pmod{1}$, the degree of the Blaschke product B is $2m+1$. On the other hand, if $r=1$ and $2\varphi + \theta + \omega \equiv 0 \pmod{1}$, then

$$u(z) = \frac{1}{\bar{a}\bar{b}} = e^{2\pi i \cdot 2\varphi}$$

and the degree of the Blaschke product B is 1. It is clear that the point at infinity is a fixed point of B with multiplier μ^m . Moreover it is clear that $g(e^{2\pi i\varphi}) = 0$ and hence $z = e^{2\pi i\varphi}$ is a critical point of B , where

$$B'(z) = \frac{e^{2\pi im\theta}}{(1-\bar{a}z)^2(1-\bar{b}z)^2} \left(\frac{z-a}{1-\bar{a}z} \right)^{m-1} \left(\frac{z-b}{1-\bar{b}z} \right)^{m-1} g(z)$$

and

$$\begin{aligned} g(z) &= \bar{a}\bar{b}z^4 + \left\{ -(m+1)(\bar{a} + \bar{b}) + (m-1)\bar{a}\bar{b}(a+b) \right\} z^3 \\ &\quad + \left\{ 2m+1 - (2m-1)|ab|^2 + |a+b|^2 \right\} z^2 \\ &\quad + \left\{ -(m+1)(a+b) + (m-1)ab(\bar{a} + \bar{b}) \right\} z + ab. \end{aligned}$$

Finally we show that two critical points of B other than a , $1/\bar{a}$, b , $1/\bar{b}$ (if $m \geq 2$) and $e^{2\pi i\varphi}$ are in $\widehat{\mathbb{C}} \setminus \mathbb{T}$. In the case that $r=0$, we obtain that

$$g(z) = -(m+1)(2m+1)e^{-2\pi i\varphi}z(z - e^{2\pi i\varphi})^2.$$

Therefore critical points of B are $b, 1/\bar{b}$ (if $m \geq 2$), $0, \infty$ and $e^{2\pi i\varphi}$. In the case that $r \neq 0$, let

$$h(z) = z^2 + \frac{e^{2\pi i\varphi}}{C_{10}} \left\{ C_9 e^{-2\pi i \cdot 2(2\varphi + \theta + \omega)} + C_8 e^{-2\pi i(2\varphi + \theta + \omega)} + C_9 \right\} z + e^{-2\pi i \cdot 2(\varphi + \theta + \omega)},$$

where

$$C_8 = -(m+1)^3(2m+1) + 2(2m^4 - m^2 - 1)r^2 - (m-1)^3(2m-1)r^4,$$

$$C_9 = (m+1)^3r - (m-1)^3r^3,$$

$$C_{10} = (m+1)^2r + (m-1)^2r^3 + 2(m^2 - 1)r \cos 2\pi(2\varphi + \theta + \omega).$$

Then we can factor $r^{-1}e^{-2\pi i(\theta + \omega)}g(z)$ as

$$\frac{1}{r} \cdot e^{-2\pi i(\theta + \omega)} \cdot g(z) = (z - e^{2\pi i\varphi})^2 \cdot h(z).$$

Let

$$h_1(z) = \frac{e^{2\pi i\varphi}}{C_{10}} \left\{ C_9 e^{-2\pi i \cdot 2(2\varphi + \theta + \omega)} + C_8 e^{-2\pi i(2\varphi + \theta + \omega)} + C_9 \right\} z$$

and

$$h_2(z) = z^2 + e^{-2\pi i \cdot 2(\varphi + \theta + \omega)}.$$

For $z \in \mathbb{T}$, $|h_2(z)| \leq 2$.

Lemma 2.3. $|h_1(z)| > 2$ on \mathbb{T} .

Proof of Lemma 2.3. In the case that $0 < r < 1$, we obtain that

$$\begin{aligned} |h_1(z)| &= \frac{1}{|C_{10}|} \left| C_9 e^{-2\pi i \cdot 2(2\varphi + \theta + \omega)} + C_8 e^{-2\pi i(2\varphi + \theta + \omega)} + C_9 \right| \\ &\geq \frac{|C_8| - 2|C_9|}{|C_{10}|} \\ &= \frac{-C_8 - 2C_9}{|C_{10}|} \\ &\geq v(m, r) \end{aligned}$$

on \mathbb{T} , where

$$\begin{aligned} v(m, r) &= \left\{ (3m-1)(m+1)r + (m-1)^2r^3 \right\}^{-1} \\ &\quad \times \left\{ (m+1)^3(2m+1) - 2(m+1)^3r - 2(2m^4 - m^2 - 1)r^2 \right. \\ &\quad \left. + 2(m-1)^3r^3 + (m-1)^3(2m-1)r^4 \right\}. \end{aligned}$$

Since the function $r \mapsto v(m, r)$ is monotone decreasing on $(0, 1]$ and $v(m, 1) = 2$, we obtain that $|h_1(z)| > 2$ on \mathbb{T} . In the case that $r = 1$ and $2\varphi + \theta + \omega \neq 0$

(mod 1), we obtain that

$$\begin{aligned}
 |h_1(z)| &= \frac{|C_9|}{|C_{10}|} \left| e^{-2\pi i \cdot 2(2\varphi+\theta+\omega)} + \frac{C_8}{C_9} e^{-2\pi i(2\varphi+\theta+\omega)} + 1 \right| \\
 &= \frac{C_9}{|C_{10}|} \left| \left\{ e^{-2\pi i(2\varphi+\theta+\omega)} + 1 \right\}^2 + \left(\frac{C_8}{C_9} - 2 \right) e^{-2\pi i(2\varphi+\theta+\omega)} \right| \\
 &\geq \frac{C_9}{|C_{10}|} \left| \left| e^{-2\pi i(2\varphi+\theta+\omega)} + 1 \right|^2 - \left| \frac{C_8}{C_9} - 2 \right| \right| \\
 &= \frac{C_9}{|C_{10}|} \left| \left| e^{-2\pi i(2\varphi+\theta+\omega)} + 1 \right|^2 - \frac{4(4m^2 + 1)}{3m^2 + 1} \right| \\
 &\geq \frac{3m^2 + 1}{2m^2} \left\{ \frac{4(4m^2 + 1)}{3m^2 + 1} - \left| e^{-2\pi i(2\varphi+\theta+\omega)} + 1 \right|^2 \right\} \\
 &> \frac{3m^2 + 1}{2m^2} \left\{ \frac{4(4m^2 + 1)}{3m^2 + 1} - 4 \right\} \\
 &= 2
 \end{aligned}$$

on \mathbb{T} . □

By the Rouché’s theorem, the number of roots of $h(z) = h_1(z) + h_2(z)$ on \mathbb{D} is one since $|h_1(z)| > 2 \geq |h_2(z)|$ on \mathbb{T} and the number of roots of $h_1(z)$ on \mathbb{D} is one. So one of critical points of B other than $a, 1/\bar{a}, b, 1/\bar{b}$ (if $m \geq 2$) and $e^{2\pi i\varphi}$ is in \mathbb{D} . Since critical points of a Blaschke product are symmetric with respect to the unit circle, the other one critical point of B is in $\widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}$. In this case, the inverse image $B^{-1}(\mathbb{T})$ of the unit circle \mathbb{T} is the union of \mathbb{T} and a figure eight 8 which crosses at $z = e^{2\pi i\varphi}$. Refer to Figure 1. Then $B|_8 : 8 \rightarrow \mathbb{T}$ is a $2m$ -to-1 map and therefore $B|_{\mathbb{T}} : \mathbb{T} \rightarrow \mathbb{T}$ is a homeomorphism. □

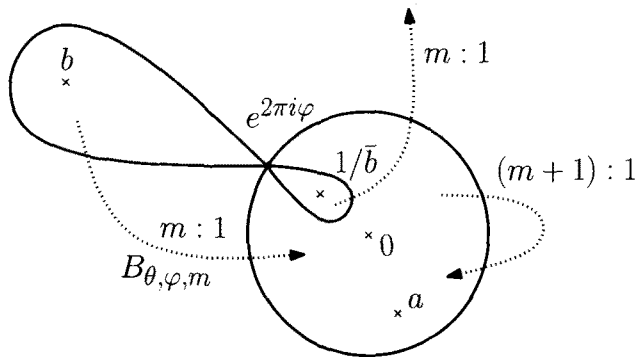


FIGURE 1. The inverse image $B_{\theta, \varphi, m}^{-1}(\mathbb{T})$ of the unit circle \mathbb{T} .

Remark 2.4. Two complex numbers $a = a(\theta, \varphi)$ and $b = b(\theta, \varphi)$ satisfy that

$$a(\theta + 1, \varphi) = a(\theta, \varphi) = a(\theta, \varphi + 1)$$

and

$$b(\theta + 1, \varphi) = b(\theta, \varphi) = b(\theta, \varphi + 1).$$

2.2. Rotation numbers of Blaschke products

Let $f : \mathbb{T} \rightarrow \mathbb{T}$ be an orientation preserving homeomorphism and let $\tilde{f} : \mathbb{R} \rightarrow \mathbb{R}$ be a lift of f via $x \mapsto e^{2\pi i x}$ which satisfies $\tilde{f}(x + 1) = \tilde{f}(x) + 1$ for all $x \in \mathbb{R}$. The lift \tilde{f} of f is unique up to addition of an integer constant. The rotation number $\rho(\tilde{f})$ of \tilde{f} is defined as

$$\rho(\tilde{f}) = \lim_{n \rightarrow \infty} \frac{\tilde{f}^n(x)}{n},$$

which is independent of $x \in \mathbb{R}$. The rotation number $\rho(f)$ is defined as the residue class of $\rho(\tilde{f})$ modulo \mathbb{Z} . Poincaré showed that the rotation number is rational with denominator q if and only if f has a periodic point with period q . The following theorem is important (see [6]).

Theorem 2.5. *Let \mathcal{F} be the set of all orientation preserving homeomorphisms from the unit circle onto itself with the topology of uniform convergence. Then the rotation number function $\rho : \mathcal{F} \rightarrow \mathbb{R}/\mathbb{Z}$ defined as $f \mapsto \rho(f)$ is continuous.*

Let $a(\theta, \varphi)$ and $b(\theta, \varphi)$ be as in Theorem 2.1. We define a map $\Gamma_m : [0, 1]^3 \rightarrow \mathbb{T}$ as

$$\Gamma_m(x, \theta, \varphi) = \left(\frac{e^{2\pi i x} - a(\theta, \varphi)}{1 - \overline{a(\theta, \varphi)} e^{2\pi i x}} \right)^m \left(\frac{e^{2\pi i x} - b(\theta, \varphi)}{1 - \overline{b(\theta, \varphi)} e^{2\pi i x}} \right)^m$$

and a map $H_m : [0, 1]^4 \rightarrow \mathbb{T}$ as

$$H_m(x, \theta, \varphi, t) = \left(\frac{e^{2\pi i x} - a(\theta, \varphi, t)}{1 - \overline{a(\theta, \varphi, t)} e^{2\pi i x}} \right)^m \left(\frac{e^{2\pi i x} - b(\theta, \varphi, t)}{1 - \overline{b(\theta, \varphi, t)} e^{2\pi i x}} \right)^m,$$

where

$$a(\theta, \varphi, t) = (1 - t)a(\theta, \varphi) + te^{2\pi i \varphi}$$

and

$$b(\theta, \varphi, t) = (1 - t)b(\theta, \varphi) + te^{2\pi i \varphi}.$$

Note that $\Gamma_m(x, \theta, \varphi) = e^{2\pi i \cdot 2m\varphi}$ if $r = 1$ and $2\varphi + \theta + \omega \equiv 0 \pmod{1}$. The following three lemmas play important roles in the proof of Theorem 2.9.

Lemma 2.6. *A map $H_m(\cdot, \theta, \varphi, \cdot) : [0, 1]^2 \rightarrow \mathbb{T}$ is a homotopy between a loop $x \mapsto \Gamma_m(x, \theta, \varphi)$ and a constant loop $x \mapsto e^{2\pi i \cdot 2m\varphi}$ for any $(\theta, \varphi) \in [0, 1]^2$.*

Proof. It is clear since $H_m(\cdot, \theta, \varphi, 0) = \Gamma_m(\cdot, \theta, \varphi)$ and $H_m(\cdot, \theta, \varphi, 1) = e^{2\pi i \cdot 2m\varphi}$. □

Lemma 2.7. *A map $H_m(x, \cdot, \varphi, \cdot) : [0, 1]^2 \rightarrow \mathbb{T}$ is a homotopy between a loop $\theta \mapsto \Gamma_m(x, \theta, \varphi)$ and a constant loop $\theta \mapsto e^{2\pi i \cdot 2m\varphi}$ for any $(x, \varphi) \in [0, 1]^2$.*

Proof. It is clear since $H_m(x, \cdot, \varphi, 0) = \Gamma_m(x, \cdot, \varphi)$ and $H_m(x, \cdot, \varphi, 1) = e^{2\pi i \cdot 2m\varphi}$. □

Lemma 2.8. *A map $H_m(x, \theta, \cdot, \cdot) : [0, 1]^2 \rightarrow \mathbb{T}$ is a homotopy between a loop $\varphi \mapsto \Gamma_m(x, \theta, \varphi)$ and a loop $\varphi \mapsto e^{2\pi i \cdot 2m\varphi}$ for any $(x, \theta) \in [0, 1]^2$.*

Proof. It is clear since $H_m(x, \theta, \cdot, 0) = \Gamma_m(x, \theta, \cdot)$ and $H_m(x, \theta, \cdot, 1) = e^{2\pi i \cdot 2m\varphi}$. □

Lemma 2.6 and Lemma 2.7 imply that

$$\arg(\Gamma_m(x + 1, \theta, \varphi)) = \arg(\Gamma_m(x, \theta, \varphi)) = \arg(\Gamma_m(x, \theta + 1, \varphi))$$

and Lemma 2.8 implies that

$$\frac{1}{2\pi} \arg(\Gamma_m(x, \theta, \varphi + 1)) = \frac{1}{2\pi} \arg(\Gamma_m(x, \theta, \varphi)) + 2m.$$

Theorem 2.9. *Let $\alpha \in [0, 1]$ and let $\mu = re^{2\pi i\omega} \in \overline{\mathbb{D}}$. Besides let $a = a(\theta, \varphi)$ and $b = b(\theta, \varphi)$ be as in Theorem 2.1. Then for the Blaschke product*

$$B_{\theta, \varphi, m}(z) = e^{2\pi i m \theta} z \left(\frac{z - a}{1 - \bar{a}z} \right)^m \left(\frac{z - b}{1 - \bar{b}z} \right)^m,$$

$B_{\theta, \varphi, m}|_{\mathbb{T}} : \mathbb{T} \rightarrow \mathbb{T}$ is an orientation preserving homeomorphism. Moreover

(a) *In the case that $0 \leq r < 1$, there exists $(\theta_0, \varphi_0) \in [0, 1]^2$ such that*

$$\rho(B_{\theta_0, \varphi_0, m}|_{\mathbb{T}}) = \alpha.$$

(b) *In the case that $r = 1$, if $\alpha + m\omega \not\equiv 0 \pmod{1}$, then there exists $(\theta_0, \varphi_0) \in [0, 1]^2$ such that $\rho(B_{\theta_0, \varphi_0, m}|_{\mathbb{T}}) = \alpha$ and $2\varphi_0 + \theta_0 + \omega \not\equiv 0 \pmod{1}$.*

Proof. In the case that $r = 1$ and $2\varphi + \theta + \omega \equiv 0 \pmod{1}$,

$$B_{\theta, \varphi, m}(z) = e^{2\pi i m(2\varphi + \theta)} z = e^{2\pi i(-m\omega)} z.$$

Therefore $B_{\theta, \varphi, m}|_{\mathbb{T}} : \mathbb{T} \rightarrow \mathbb{T}$ is an orientation preserving homeomorphism and its rotation number satisfies that $\rho(B_{\theta, \varphi, m}|_{\mathbb{T}}) \equiv -m\omega \pmod{1}$. In the other cases, we consider a lift

$$\tilde{B}_{\theta, \varphi, m}(x) = m\theta + x + \frac{1}{2\pi} \arg(\Gamma_m(x, \theta, \varphi))$$

of $B_{\theta, \varphi, m}|_{\mathbb{T}} : \mathbb{T} \rightarrow \mathbb{T}$ via $x \mapsto e^{2\pi i x}$. By Lemma 2.6,

$$\tilde{B}_{\theta, \varphi, m}(x + 1) = m\theta + x + 1 + \frac{1}{2\pi} \arg(\Gamma_m(x + 1, \theta, \varphi)) = \tilde{B}_{\theta, \varphi, m}(x) + 1$$

for all $x \in \mathbb{R}$. This implies that $B_{\theta, \varphi, m}|_{\mathbb{T}} : \mathbb{T} \rightarrow \mathbb{T}$ is an orientation preserving homeomorphism. Consequently the rotation number of $\rho(\tilde{B}_{\theta, \varphi, m})$ is well defined. By Lemma 2.7, we obtain that $\tilde{B}_{1, \varphi, m}^n(x) = \tilde{B}_{0, \varphi, m}^n(x) + mn$ and hence

$$(13) \quad \rho(\tilde{B}_{1, \varphi, m}) = \rho(\tilde{B}_{0, \varphi, m}) + m.$$

Moreover by Lemma 2.8, we obtain that $\tilde{B}_{\theta,1,m}^n(x) = \tilde{B}_{\theta,0,m}^n(x) + 2mn$ and hence

$$(14) \quad \rho(\tilde{B}_{\theta,1,m}) = \rho(\tilde{B}_{\theta,0,m}) + 2m.$$

These two equations (13) and (14) imply that

$$\rho(\tilde{B}_{1,1,m}) = \rho(\tilde{B}_{0,0,m}) + 3m.$$

Therefore in the case that $0 \leq r < 1$, there exists $(\theta_0, \varphi_0) \in [0, 1]^2$ such that

$$\alpha = \rho(B_{\theta_0, \varphi_0, m} |_{\mathbb{T}}) \equiv \rho(\tilde{B}_{\theta_0, \varphi_0, m}) \pmod{1}$$

since the rotation number function $(\theta, \varphi) \mapsto \rho(B_{\theta, \varphi, m} |_{\mathbb{T}})$ is continuous. In the case that $r = 1$, if $2\varphi + \theta + \omega \equiv 0 \pmod{1}$, then $\rho(B_{\theta, \varphi, m} |_{\mathbb{T}}) \equiv -m\omega \pmod{1}$. Hence if $\alpha + m\omega \not\equiv 0 \pmod{1}$, then there exists $(\theta_0, \varphi_0) \in [0, 1]^2$ such that

$$\alpha = \rho(B_{\theta_0, \varphi_0, m} |_{\mathbb{T}}) \equiv \rho(\tilde{B}_{\theta_0, \varphi_0, m}) \pmod{1}$$

and $2\varphi_0 + \theta_0 + \omega \not\equiv 0 \pmod{1}$. □

Remark 2.10. By Theorem 2.1, the degree of $B_{\theta_0, \varphi_0, m}$ is $2m + 1$.

3. Rational functions with Siegel disks

In this section, we show Theorem 1.5. Let $f : \mathbb{R} \rightarrow \mathbb{R}$ be a homeomorphism. If there exists $k \geq 1$ such that

$$\frac{1}{k} \leq \left| \frac{f(x+t) - f(x)}{f(x) - f(x-t)} \right| \leq k$$

for all $x \in \mathbb{R}$ and all $t \geq 0$, then f is called *k-quasisymmetric*. A homeomorphism $h : \mathbb{T} \rightarrow \mathbb{T}$ is *k-quasisymmetric* if its lift $\tilde{h} : \mathbb{R} \rightarrow \mathbb{R}$ is *k-quasisymmetric*. By the theorem of Beurling and Ahlfors, any *k-quasisymmetric* homeomorphism $f : \mathbb{R} \rightarrow \mathbb{R}$ is extended to a *K-quasiconformal* map $F : \mathbb{H} \rightarrow \mathbb{H}$, where \mathbb{H} is the upper half plain (More precisely $F : \mathbb{C} \rightarrow \mathbb{C}$). The dilatation K of F depends only on k . Therefore if a homeomorphism $h : \mathbb{T} \rightarrow \mathbb{T}$ is *k-quasisymmetric*, then we can extend h to a *K-quasiconformal* map $H : \mathbb{D} \rightarrow \mathbb{D}$ whose dilatation depends only on k .

Theorem 3.1 (Herman-Świątek). *The rotation number $\rho(f)$ of a real analytic orientation preserving homeomorphism $f : \mathbb{T} \rightarrow \mathbb{T}$ is of bounded type if and only if f is quasisymmetrically linearizable, that is, there exists a quasisymmetric homeomorphism $h : \mathbb{T} \rightarrow \mathbb{T}$ such that $h \circ f \circ h^{-1}(z) = e^{2\pi i \rho(f)} z$.*

Recall that

$$B_{\theta, \varphi, m}(z) = e^{2\pi i m \theta} z \left(\frac{z - a}{1 - \bar{a}z} \right)^m \left(\frac{z - b}{1 - \bar{b}z} \right)^m$$

and

$$F_{\lambda, \mu, m}(z) = z \left(\frac{z + \lambda}{\mu z + 1} \right)^m.$$

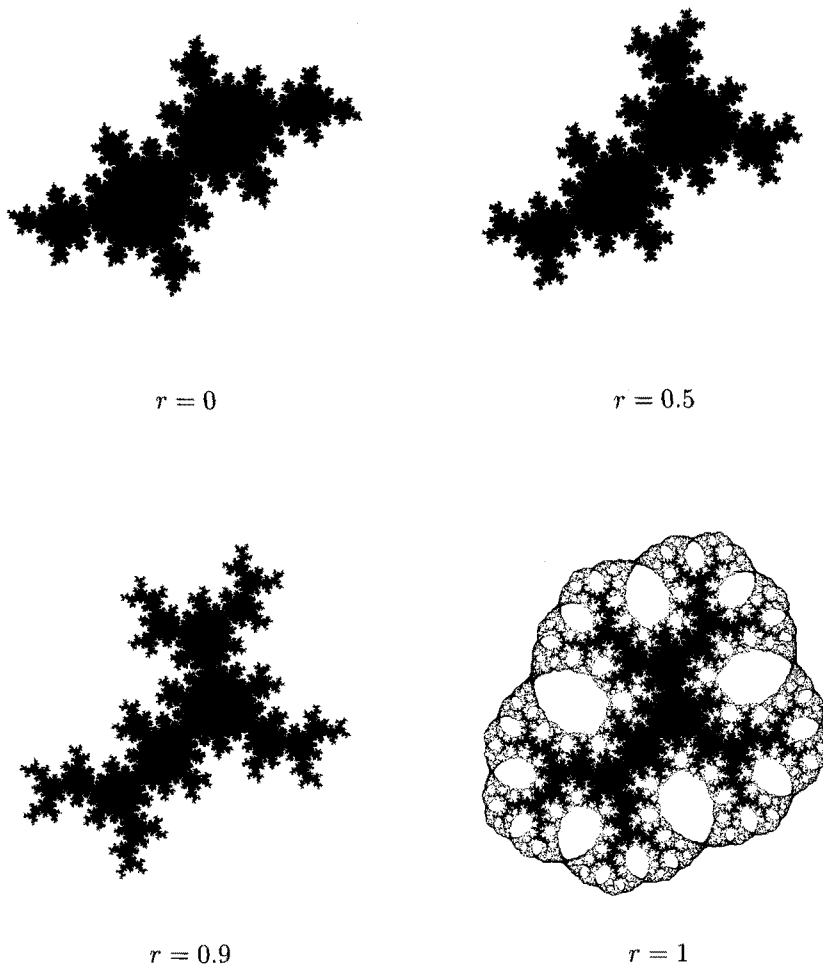


FIGURE 2. Golden Siegel disks of $F_{\lambda, \mu, 1}$ centered at the origin, where $\lambda = e^{2\pi i \cdot (\sqrt{5}-1)/2}$ and $\mu = r e^{2\pi i \cdot (\sqrt{5}-1)/2}$. In the case that $r = 1$, the point at infinity is the center of another golden Siegel disk.

Proof of Theorem 1.5. By Theorem 2.9, there exist $(\theta, \varphi) \in [0, 1]^2$ such that the degree of $B_{\theta, \varphi, m}$ is $2m + 1$ and $\rho(B_{\theta, \varphi, m} |_{\mathbb{T}}) = \alpha$. By Theorem 3.1, there exists a quasimetric homeomorphism $h : \mathbb{T} \rightarrow \mathbb{T}$ such that $h \circ B_{\theta, \varphi, m} |_{\mathbb{T}} \circ h^{-1}(z) = R_{\alpha}(z) = e^{2\pi i \alpha} z$ since α is of bounded type. By the theorem of Beurling and Ahlfors, h has a quasiconformal extension $H : \mathbb{D} \rightarrow \mathbb{D}$ with $H(0) = 0$. We

define a new map $\mathfrak{B}_{\theta,\varphi,m}$ as

$$\mathfrak{B}_{\theta,\varphi,m} = \begin{cases} B_{\theta,\varphi,m} & \text{on } \widehat{\mathbb{C}} \setminus \mathbb{D}, \\ H^{-1} \circ R_\alpha \circ H & \text{on } \mathbb{D}. \end{cases}$$

The map $\mathfrak{B}_{\theta,\varphi,m}$ is quasiregular on $\widehat{\mathbb{C}}$ since \mathbb{T} is an analytic curve. Moreover $\mathfrak{B}_{\theta,\varphi,m}$ is a degree $m+1$ branched covering of $\widehat{\mathbb{C}}$. We define a conformal structure $\sigma_{\theta,\varphi,m}$ as

$$\sigma_{\theta,\varphi,m} = \begin{cases} H^*(\sigma_0) & \text{on } \mathbb{D}, \\ \left(\mathfrak{B}_{\theta,\varphi,m}^n\right)^* \circ H^*(\sigma_0) & \text{on } \mathfrak{B}_{\theta,\varphi,m}^{-n}(\mathbb{D}) \setminus \mathbb{D} \text{ for all } n \in \mathbb{N}, \\ \sigma_0 & \text{on } \widehat{\mathbb{C}} \setminus \bigcup_{n=1}^{\infty} \mathfrak{B}_{\theta,\varphi,m}^{-n}(\mathbb{D}), \end{cases}$$

where σ_0 is the standard conformal structure on $\widehat{\mathbb{C}}$. The conformal structure $\sigma_{\theta,\varphi,m}$ is invariant under $\mathfrak{B}_{\theta,\varphi,m}$ and its maximal dilatation is the dilatation of H since H is quasiconformal and $B_{\theta,\varphi,m}$ is holomorphic. By the measurable Riemann mapping theorem, there exists a quasiconformal homeomorphism $\Psi : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$ such that $\Psi^*\sigma_0 = \sigma_{\theta,\varphi,m}$. Therefore $\Psi \circ \mathfrak{B}_{\theta,\varphi,m} \circ \Psi^{-1}$ is a rational map of degree $m+1$. We normalize $\Psi = \Psi_j$ by $\Psi_j(0) = 0$, $\Psi_j(b) = -\lambda_j$ and $\Psi_j(\infty) = \infty$, where $\lambda_j = e^{2\pi i(\alpha+j)/m}$ for $j \in \{1, \dots, m\}$.

Lemma 3.2. *If $\mu \neq 0$, then there exists μ_j with $\mu_j^m = \mu^m$ such that*

$$F_{\lambda_j, \mu_j, m} = \Psi_j \circ \mathfrak{B}_{\theta,\varphi,m} \circ \Psi_j^{-1}.$$

Proof of Lemma 3.2. Define ξ_j as $\xi_j = -\Psi_j(1/\bar{a})$. Note that $\lambda_j \neq \xi_j$ since such Ψ_j is unique. Since orders of zeros and poles are invariant under conjugation, we obtain that

$$\Psi_j \circ \mathfrak{B}_{\theta,\varphi,m} \circ \Psi_j^{-1}(z) = \eta_j z \left(\frac{z + \lambda_j}{z + \xi_j} \right)^m.$$

Since multipliers of fixed points are also invariant under conjugation, we obtain that

$$(15) \quad (\Psi_j \circ \mathfrak{B}_{\theta,\varphi,m} \circ \Psi_j^{-1})'(0) = \frac{\eta_j \lambda_j^m}{\xi_j^m} = e^{2\pi i \alpha}$$

and

$$(16) \quad \frac{1}{(\Psi_j \circ \mathfrak{B}_{\theta,\varphi,m} \circ \Psi_j^{-1})'(\infty)} = \frac{1}{\eta_j} = \mu^m.$$

By the equations (15) and (16), we obtain that $(\xi_j \mu)^m = 1$. Then there exists an m -th root of unity ν_j such that $\xi_j = \nu_j / \mu$. Therefore

$$\begin{aligned} \Psi_j \circ \mathfrak{B}_{\theta, \varphi, m} \circ \Psi_j^{-1}(z) &= \frac{z}{\mu^m} \left(\frac{z + \lambda_j}{z + \nu_j / \mu} \right)^m = z \left(\frac{z + \lambda_j}{\mu z + \nu_j} \right)^m \\ &= \frac{z}{\nu_j^m} \left(\frac{z + \lambda_j}{(\mu / \nu_j) z + 1} \right)^m = z \left(\frac{z + \lambda_j}{\mu_j z + 1} \right)^m = F_{\lambda_j, \mu_j, m}(z), \end{aligned}$$

where $\mu_j = \mu / \nu_j$. □

Let $\mu_j = 0$ for all $j \in \{1, \dots, m\}$ if $\mu = 0$. It is easy to check that the pairs $\{(\lambda_j, \mu_j)\}_{j=1}^m$ satisfies (i) and (ii). The map $F_{\lambda_j, \mu_j, m}$ has a Siegel disk $\Delta = \Psi_j(\mathbb{D})$ with a critical point $\Psi_j(e^{2\pi i \varphi}) \in \partial \Delta$. Moreover $\partial \Delta = \Psi_j(\mathbb{T})$ is a quasicircle since Ψ_j is quasiconformal. □

Proof of Corollary 1.6. Let $\mathcal{I}(z) = 1/z$. Then $F_{\lambda_j, \mu_j, m} = \mathcal{I} \circ F_{\mu_j, \lambda_j, m} \circ \mathcal{I}$. Let Δ and Δ_∞ be Siegel disks of $F_{\lambda_j, \mu_j, m}$ centered at the origin and the point at infinity respectively. By Theorem 1.5, the boundary of Δ contains a critical point of $F_{\lambda_j, \mu_j, m}$. On the other hand, $\mathcal{I}(\Delta_\infty)$ is the Siegel disk of $F_{\mu_j, \lambda_j, m}$ centered at the origin. By Theorem 1.5, the boundary of $\mathcal{I}(\Delta_\infty)$ contains a critical point of $F_{\mu_j, \lambda_j, m}$. Therefore the boundary of Δ_∞ contains a critical point of $F_{\lambda_j, \mu_j, m}$. □

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