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# APPLICATIONS OF LINKING INEQUALITIES TO AN ASYMMETRIC BEAM EQUATION

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ABSTRACT. We prove that an asymmetric beam equation has at least two solutions, one of which is a positive solution. To prove the existence of the other solution, we use linking inequalities.

## 1. Introduction

We investigate the existence of multiple solutions of the nonlinear beam equation in an interval  $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$ ,

(1) 
$$u_{tt} + u_{xxxx} + bu^+ - |u^-|^{p-1} = f(x,t)$$
 in  $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right) \times R$ ,

(2) 
$$u(\pm \frac{\pi}{2}, t) = u_{xx}(\pm \frac{\pi}{2}, t) = 0,$$

(3) 
$$u \text{ is } \pi - periodic \text{ in } t \text{ and } even \text{ in } x \text{ and } t$$
,

where the nonlinearity  $-(bu^+)$  crosses eigenvalues and  $u^+ = max\{u, 0\}$ ,  $u^- = max\{-u, 0\}$ . Here we suppose that p > 2 and  $f = s\phi_{00} + \alpha h(x,t)(s > 0)$ , h is bounded. This equation represents a bending beam supported by cables under a load f. The nonlinearity  $u^+$  models the fact that cables resist expansion but do not resist compression.

Let L be the differential operator,  $Lu = u_{tt} + u_{xxxx}$ . Then the eigenvalue problem for u(x, t)

$$Lu = \lambda u$$
 in  $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right) \times R$ 

with (2) and (3), has infinitely many eigenvalues

$$\lambda_{mn} = (2n+1)^4 - 4m^2$$
  $(m, n = 0, 1, 2, \cdots)$ 

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Q-Heung Choi and Tacksun Jung

and corresponding eigenfunctions  $\phi_{mn}(m, n \ge 0)$  given by

 $\phi_{mn} = \cos 2mt \cos(2n+1)x$ 

We note that all eigenvalues in the interval (-19, 45) are given by

$$\lambda_{20} = -15 < \lambda_{10} = -3 < \lambda_{00} = 1 < \lambda_{41} = 17$$

Let  $\Omega$  be the square  $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right] \times \left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$  and H the Hilbert space defined by

$$H = \{ u \in L^2(\Omega) : u \text{ is even in } x \text{ and } t \}.$$

Then the set of eigenfunctions  $\{\phi_{mn}\}$  is an orthonormal base in H. Hence equation (1) with (2) and (3) is equivalent to

$$Lu + bu^+ = f \text{ in } H.$$

In [6], the authors showed by degree theory that equation (4) with constant load  $1 + \epsilon h$  (*h* is bounded) has at least two solutions. In [1], the authors showed by a variational reduction method that equation (4) with constant load  $1 + \epsilon h$  (*h* is bounded) has at least three solutions when condition (3) is replaced by

(5) 
$$u \text{ is } \pi - periodic \text{ in } t \text{ and } even \text{ in } x.$$

In [5], the author showed by linking method and category theory that the following asymmetric beam equation has multiple nontrivial solutions

(6) 
$$Lu + bu^+ = |u^+|^{p-1} - |u^-|^{q-1}$$
 in  $H$ .

McKenna and Walter [7] proved that if 3 < b < 15 then at least two  $\pi$ periodic solutions exist, one of which is large in amplitude. The existence of at least three solutions was later proved by Choi, Jung and McKenna [2] using a variational reduction method. Humphreys [4] proved that there exists an  $\varepsilon > 0$  such that when  $15 < b < 15 + \varepsilon$  at least four periodic solutions exist. Choi and Jung [1] suppose that 3 < b < 15 and f is generated by eigenfunctions. Since Micheletti and Saccon [8] applied the limit relative category to studying multiple nontrivial solutions for a floating beam.

The main result of this paper is the following.

THEOREM 1.1. Let  $\Lambda_i^- < -b(b > 0)$  and  $f = se_1^+(s > 0)$ . Let  $u_p$  be the positive solution of (1). Then problem (1) has at least two solutions, one of which is a positive solution.

240

In this paper, we use a variational approach and look for critical points of a suitable functional I on a Hilbert space H. In Section 2, we find a suitable functional I on a Hilbert space H and prove the suitable version of the Palais-Smale condition for the topological method. In Section 3, we study the geometry of the sub-levels of I and find two linking type inequalities, relative to two different decompositions of the space H.

### 2. The Palais Smale condition

To begin with, we consider the associated eigenvalue problem

(7)  
$$Lu = \lambda u \quad \text{in } (-\frac{\pi}{2}, \frac{\pi}{2}) \times R$$
$$u(\pm \frac{\pi}{2}, t) = u_{xx}(\pm \frac{\pi}{2}, t) = 0$$
$$u(x, t) = u(-x, t) = u(x, -t) = u(x, t + \pi).$$

A simple computation shows that equation (3) has infinitely many eigenvalues  $\lambda_{mn}$  and the corresponding eigenfunctions  $\phi_{mn}$  given by

$$\lambda_{mn} = (2n+1)^4 - 4m^2,$$

$$\phi_{mn}(x,t) = \cos 2mt \cos(2n+1)x \qquad (m,n=0,1,2,\cdots)$$

Let  $\Omega$  be the square  $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right] \times \left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$  and H the Hilbert space defined by

(8) 
$$H = \{ u \in L^2(\Omega) | u \text{ is even in } x \text{ and } t \}.$$

Then the set  $\{\phi_{mn}|m, n = 0, 1, 2, \dots\}$  is an orthogonal base of H and H consists of the functions

(9) 
$$u(x,t) = \sum_{m,n=0}^{\infty} a_{mn}\phi_{mn}(x,t)$$

with the norm given by

(10) 
$$||u||^2 = \sum_{m,n=0}^{\infty} a_{mn}^2$$

We denote by  $(\Lambda_i^-)_{i\geq 1}$  the sequence of the negative eigenvalues of equation (3), by  $(\Lambda_i^+)_{i\geq 1}$  the sequence of the positive ones, so that

$$\dots < \Lambda_1^- = -3 < \Lambda_1^+ = 1 < \Lambda_2^+ = 17 < \dots$$

We consider an orthonormal system of eigenfunctions  $\{e_i^-, e_i^+, i \geq 1\}$ associated with the eigenvalues  $\{\Lambda_i^-, \Lambda_i^+, i \geq 1\}$ . The following theorem is the uniqueness result.

PROPOSITION 2.1. Let  $b < -\Lambda_1^-$  and p > 2. Then the equation

(11) 
$$Lu + bu^+ - |u^-|^{p-1} = 0$$
 in  $H$ 

has only the trivial solution.

*Proof.* We rewrite the above equation as

$$Lu - \Lambda_1^+ u = -\Lambda_1^+ u - bu^+ + |u^-|^{p-1}$$
  
=  $-\Lambda_1^+ u^+ - bu^+ + |u^-|^{p-1} + \Lambda_1 u^- + |u^-|^{p-1}.$ 

Multiplying across by  $e_1^+$  and integrating over  $\Omega$ ,

$$0 = \langle [L - \Lambda_1^+] u, e_1^+ \rangle$$
  
= 
$$\int_{\Omega} (-\Lambda_1^+ u^+ - bu^+ + |u^-|^{p-1} + \Lambda_1 u^- + |u^-|^{p-1}) e_1^+ dx dt \ge 0$$

since the condition  $b < -\Lambda_1^-$  imply that  $-\Lambda_1^+ u^+ - bu^+ + |u^-|^{p-1} + \Lambda_1 u^- + |u^-|^{p-1} \ge 0$  for all real valued functions u and  $e_1^+(x) > 0$  for all  $x \in \Omega$ . Therefore the only possibility to hold (1) is that  $u \equiv 0$ .

THEOREM 2.2. Let  $b < -\Lambda_1^-$ , s > 0 and ||h|| = 1. Then there exists  $\alpha_0 > 0$  such that for  $\alpha < \alpha_0$  the equation

(12) 
$$Lu + bu^+ + |u^-|^{p-1} = se_1^+ + \alpha h(x,t)$$
 in H

has a positive solution.

Proof. Since  $b < -\Lambda_1^- < -\Lambda_1^+$ ,  $b + \Lambda_1^+ > 0$  Thus the equation  $Lu + bu^+ = se_1^+ \text{ in } H$ 

has a positive solution  $u_p = \frac{s}{b+\Lambda_1^+}e_1^+$ , which is a positive solution of the equation

$$Lu + bu^+ + |u^-|^{p-1} = se_1^+$$
 in  $H$ .

Therefore there exists  $\alpha_0 > 0$  such that for  $\alpha < \alpha_0$  equation (1) has a positive solution.

We set

 $H^+ = \text{closure of span}\{\text{eigenfunctions with eigenvalue} \ge 0\},\$ 

 $H^- = \text{closure of span}\{\text{eigenfunctions with eigenvalue} \le 0\}.$ 

We define the linear projections  $P^-: H \to H^-, P^+: H \to H^+$ .

242

Applications of linking inequalities to an asymmetric beam equation 243

We also introduce two linear operators  $R: H \to H^+, S: H \to H^-$  by

$$S(u) = \sum_{i=1}^{\infty} \frac{a_i^- e_i^-}{\sqrt{-\Lambda_i^-}}, R(u) = \sum_{i=1}^{\infty} \frac{a_i^+ e_i^+}{\sqrt{\Lambda_i^+}}$$

$$u = \sum_{i=1}^{\infty} a_i^- e_i^- + \sum_{i=1}^{\infty} a_i^+ e_i^+.$$

It is clear that S and R are compact and self adjoint on H.

DEFINITION 2.3. Let  $I_b: H \to R$  be defined by

$$I_b(u) = \frac{1}{2} \|P^+ u\|^2 - \frac{1}{2} \|P^- u\|^2 + \frac{b}{2} \|[Au]^+\|^2 - \int_{\Omega} G(Au) dx dt$$

where A = R + S and  $G(s) = \int_0^s g(x, t, \tau) d\tau$ ,  $g(x, t, \tau) = se_1^+ - |\tau^-|^{p-1}$ .

It is straightforward that

$$\nabla I_b(u) = P^+ u - P^- u + bA(Au)^+ - Ag(Au).$$

Following the idea of Hofer (see [3]) one can show that

PROPOSITION 2.4.  $I_b \in C^{1,1}(H, R)$ . Moreover  $\nabla I_b(u) = 0$  if and only if w = (R+S)(u) is a weak solution of (P), that is,

$$\int_{\Omega} (w(v_{tt} + v_{xxxx}) + b[w]^+ v) dx dt = \int_{\Omega} g(w) v dx dt$$

for all smooth  $v \in H$ .

In this section, we suppose b > 0. Under this assumption, we have a concern with multiplicity of solutions of equation (1). Here we suppose that f is defined by equation (2).

In the following, we consider the following sequence of subspaces of  $L^2(\mathbb{R}^N)$ :

$$H_n = \left( \oplus_{i=1}^n H_{\Lambda_i^-} \right) \oplus \left( \oplus_{i=1}^n H_{\Lambda_i^+} \right)$$

where  $H_{\Lambda}$  is the eigenspace associated to  $\Lambda$ .

LEMMA 2.5. The functional  $I_b$  satisfies  $(P.S.)^*_{\gamma}$  condition, with respect to  $(H_n)$ , for all  $\gamma$ .

For the proof we refer [2], [5].

#### 3. Linking theory and main result

Fixed  $\Lambda_i^-$  and  $\Lambda_i^- < -b < \Lambda_{i-1}^-$ . We prove the Theorem via a linking argument.

First of all, we introduce a suitable splitting of the space H. Let

$$Z_1 = \bigoplus_{j=i+1}^{\infty} H_{\Lambda_j^-}, Z_2 = H_{\Lambda_i^-}, Z_3 = \bigoplus_{j=1}^{i-1} H_{\Lambda_j^-} \oplus H^+$$

LEMMA 3.1. There exists R such that  $\sup_{v \in Z_1 \oplus Z_2, ||v|| = R} I_b(v) \leq 0.$ 

*Proof.* If  $v \in Z_1 \oplus Z_2$  then

$$I_b(v) = -\frac{1}{2} \|v\|^2 + \frac{b}{2} \|[Sv]^+\|^2 - \int_{\Omega} G(Sv) dx dt$$

Since

$$\frac{b}{2} \| [Sv]^+ \|^2 - \int_{\Omega} G(Sv) dx = \int_{\Omega} \frac{b}{2} ([Sv]^+)^2 - \frac{1}{p} ([Sv]^-)^p dx dt,$$

there exists R such that  $-\frac{1}{4}||v||^2 + \frac{b}{2}||[Sv]^+||^2 - \int_{\Omega} G(Sv)dx \le 0$  for all ||v|| = R. Hence

$$I_b(v) \le -\frac{1}{4} \|v\|^2 \le 0$$

LEMMA 3.2. There exists  $\rho$  such that  $\inf_{u \in Z_2 \oplus Z_3, ||u|| = \rho} I_b(u) > 0$ .

For the proof we refer [5].

DEFINITION 3.3. Let H be an Hilbert space,  $Y \subset H$ ,  $\rho > 0$  and  $e \in H \setminus Y$ ,  $e \neq 0$ . Set:

$$B_{\rho}(Y) = \{x \in Y \mid ||x|| \le \rho\},\$$
  

$$S_{\rho}(Y) = \{x \in Y \mid ||x|| = \rho\},\$$
  

$$\Delta_{\rho}(e, Y) = \{\sigma e + v \mid \sigma \ge 0, v \in Y, ||\sigma e + v|| \le \rho\},\$$
  

$$\Sigma_{\rho}(e, Y) = \{\sigma e + v \mid \sigma \ge 0, v \in Y, ||\sigma e + v|| = \rho\} \cup \{v \mid v \in Y, ||v|| \le \rho\}$$

THEOREM 3.4. Let  $\Lambda_i^- < -b(b > 0)$  and  $f = se_1^+(s > 0)$ . Let  $u_p$  be the positive solution of (1). Then problem (1) has at least two solutions, one of which is a positive solution.

244

*Proof.* Let  $e \in Z_2$ . By Lemma 3.1 and Lemma 3.2, for a suitable large R and a suitable small  $\rho$ , we have the linking inequality

(13) 
$$\sup I_b(\Sigma_R(e, Z_1)) < \inf I_b(S_\rho(Z_2 \oplus Z_3)).$$

Moreover  $(P.S.)^*_{\gamma}$  holds. By standard linking arguments, it follows that there exists a critical point u for  $I_b$  with  $\alpha \leq I_b(u) \leq \beta$ , where  $\alpha =$ inf  $I_b(S_\rho(Z_2 \oplus Z_3))$  and  $\beta = \sup I_b(\Delta_R(e, Z_1))$ . Since  $\alpha > 0$  and  $I_b(u_p) =$  $0, u \neq u_p$ . Therefore then problem (1) has at least two solutions, one of which is a positive solution.  $\Box$ 

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