



Two-Way Relaying-Based Two-Hop Two-User Multiple-Input Multiple-Output System

Young Seek Cho and Seyeong Choi*, *Member, KIICE*

Division of Electrical Information Communication Engineering, Wonkwang University, Iksan 570-749, Korea

Abstract

In multi-hop communication systems, two-way relaying is one of the solutions to mitigate the spectral efficiency loss caused by a half-duplex transmission. In this paper, a simple two-way relaying scheme is proposed for two-hop two-user multiple input multiple output (MIMO) systems. In the proposed system, a base station and a relay station (RS), both equipped with two antennas, form a point-to-point MIMO channel, while the RS and two single-antenna mobile users form a point-to-multipoint multiuser (MU)-MIMO channel. Numerical examples show that the proposed system achieves a significant sum rate gain as compared to a one-way relaying system as the distance between a relay and the two users decreases. We also show that although we can expand the proposed scheme to more than two users, its performance gain as compared to that of one-way relaying decreases with an increase in the number of users.

Index Terms: Half-duplex transmission, MU-MIMO, Multi-hop communication, Two-way relaying

I. INTRODUCTION

Next-generation wireless communication systems will demand enhanced link capacity and larger coverage. One of the solutions to meet these demands is to use space-division multiple access in a multiuser multiple input multiple output (MU-MIMO) system, which can achieve a multiplexing gain even when each user has a small number of antennas [1–3]. However, the problem arises when the users are far away from the base station (BS) and there are many obstructions between the BS and the users, such as in an urban cell edge area, where the users experience significant path loss and shadowing. The conventional solution to overcome this coverage shrinking problem is to increase the density of the BS or the repeater. Unfortunately, additional BS deployment is impractical due to its considerably high cost. Moreover, the repeater has the problem of amplifying

interference and finds it difficult to perform complicated signal processing.

One of the practical schemes to enhance the coverage area in a cellular network is fixed relay station (RS)-based multi-hop communication [4, 5]. In this system, the BS and the RS form a point-to-point MIMO channel, while the RS and the users form a point-to-multipoint MU-MIMO channel. Deploying the RS with multiple antennas in a cell edge area, where the nearby users experience significant shadowing, enables the system to achieve a high data transmission rate at the BS and the users [6]. In addition, since the fixed RS can perform more complex operations than a mobile relay, the RS can take over a portion of the operations in the BS [7]. Thus, it is possible to reduce the complexity of the BS by using the RS as a sub-BS. However, the problem of a relay system is that all the terminals in this system operate in the half-duplex mode; this leads to a loss

Received 20 April 2013, Revised 24 July 2013, Accepted 14 August 2013

*Corresponding Author Seyeong Choi (E-mail: sychoi@wku.ac.kr, Tel:+82-63-850-6882)

Division of Electrical Information Communication Engineering, Wonkwang University, 460, Iksan-daero, Iksan 570-749, Korea.

Open Access <http://dx.doi.org/10.6109/jicce.2014.12.2.067>

print ISSN: 2234-8255 online ISSN: 2234-8883

© This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (<http://creativecommons.org/licenses/by-nc/3.0/>) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

Copyright © The Korea Institute of Information and Communication Engineering

in the spectral efficiency [8]. Conventional techniques to solve this problem include opportunistic relaying, two-path relaying, and two-way/bi-directional relaying [8–17]. Among them, in this study, we consider a two-way relaying system where a relay simultaneously transmits the uplink data symbols to the BS and the downlink data symbols to the users [10–15].

The coded two-way relaying schemes have been shown in [10, 11] by using bit-wise and symbol-wise XOR operations at the RS, respectively. A combination of two-way relaying and cooperative relaying has been proposed in [12]. In [13, 14], an information-theoretic approach for various two-hop two-way relaying schemes has been introduced by showing that the sum rate can be increased significantly as compared to the one-way relaying scheme. In [15], the two-hop two-way relaying-based MU-MIMO system has been proposed, where the decode-and-forward operation is performed at the RS, while the successive interference cancellation is performed by the BS and the users. However, the author in [15] did not describe the transmitting signal construction at the RS in detail. In addition, the feedback load and the computational complexity of the proposed scheme in [15] are very high.

In this paper, we propose a low-feedback-load and low-complexity two-way relaying scheme for a two-hop two-user MIMO system. In the proposed scheme, one needs three phases to transmit the uplink and downlink data symbols and the operation of the first two phases is the same as in [15]. In the third time slot, the RS decodes the received signal and constructs the transmitting signal by using singular value decomposition (SVD) and QR decomposition and simultaneously transmits this signal to the BS and the users. We also propose an optimal power allocation algorithm at the RS for the uplink and downlink data symbols.

The rest of this paper is organized as follows: Section II introduces the system model and notations, and Section III describes the process of the proposed scheme, computes its sum rate, and proposes an optimal power allocation. Section IV shows the simulation results of the proposed scheme in comparison with the conventional schemes. Finally, Section V presents the conclusion.

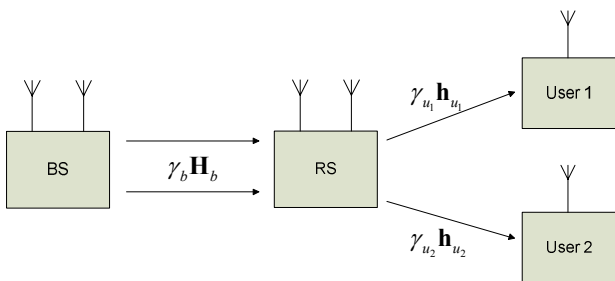


Fig. 1. System model of two-hop two-user multiple-input multiple-output system. BS: base station, RS: relay station.

II. SYSTEM MODEL

The considered two-hop two-user MIMO system is as illustrated in Fig. 1. There are only two users in this system, and the direct path between the BS and both the users is blocked due to the path loss and shadowing. Also, the time division duplex (TDD) mode is assumed, where the channels are assumed to be constant during one TDD frame but change independently between different frames. Both the BS and the RS are assumed to have two antennas, and each user has a single antenna. The matrix $\gamma_b \mathbf{H}_b$ and the vector $\gamma_{u_i} \mathbf{h}_{u_i}$ ($i = 1, 2$) model the downlink channels from the BS to the RS and the uplink channel from the i -th user to the RS, respectively. All the elements of \mathbf{H}_b and \mathbf{H}_u ($= [\mathbf{h}_{u_1} \mathbf{h}_{u_2}]$) are independent, zero mean complex Gaussian random variables with unit variance. The distance between the RS and the i -th user and the distance between the BS and the RS are denoted as d_{u_i} and d_b km, respectively. A simple path loss model is considered, where the power of the transmitted signal decays as a function of $d_j^{-3.76}$ ($j \in \{b, u_1, u_2\}$); i.e., the signal magnitude decays like $\gamma_j = d_j^{-3.76/2}$. In addition, the effect of path loss and shadowing at the direct path between the users is also assumed to be negligible. The uplink channel matrix from the RS to the BS and the downlink channel matrix from the RS to the users are denoted as \mathbf{H}_b^H and \mathbf{H}_u^H , respectively, where $(\cdot)^H$ denotes the Hermitian operator. The downlink and uplink transmitting data symbols between the BS and the i -th user are denoted as s_i and t_i , respectively, where $|s_i| = |t_i| = 1$. The total power for one TDD frame of the BS, the RS, and the two users is constrained as P_b , P_r , and P_{u_i} , respectively.

III. PROPOSED SCHEME

A. Previous Work

In the proposed scheme in [15], a TDD frame is equally divided into three time slots. In the first and the second time slots, the BS transmits two data symbols to the RS by using the space time block code [18]. At the same time, user 1 and user 2 transmit the uplink data symbols to the RS in the first and the second time slots, respectively. While one of the two users, say user 1, transmits the uplink data symbol, the other user listens to the transmission of user 1. Then, the received signal vector at the RS in the i -th time slot, $\mathbf{y}_r^{(i)}$, can be written as

$$\mathbf{y}_r^{(1)} = \sqrt{\frac{P_b}{4}} \gamma_b \mathbf{H}_b \begin{bmatrix} s_1 \\ s_2^* \end{bmatrix} + \sqrt{\frac{P_u}{2}} \gamma_{u_1} \mathbf{h}_{u_1} t_1 + \mathbf{n}_r^{(1)}, \quad (1)$$

and

$$\mathbf{y}_r^{(2)} = \sqrt{\frac{P_b}{4}} \gamma_b \mathbf{H}_b \begin{bmatrix} -s_1^* \\ s_2 \end{bmatrix} + \sqrt{\frac{P_u}{2}} \gamma_{u_2} \mathbf{h}_{u_2} t_2 + \mathbf{n}_r^{(2)}. \quad (2)$$

The noise terms, $\mathbf{n}_r^{(i)}$ ($i = 1, 2$), are the additive white Gaussian noise (AWGN) vector with zero mean and covariance matrix $\sigma_r^2 I_2$, where I_n denotes an $n \times n$ identity matrix.

After the transmissions of the first and the second time slots, the RS decodes the downlink and uplink data symbols by using minimum mean square estimation and successive interference cancellation (MMSE-SIC) [19] depending on the two decoding ordering methods. For example, at first, the RS decodes the uplink data symbols by treating the downlink data symbols as interference and subtracts the uplink data symbols from the received signal vector and then, decodes the downlink data symbols without interference. In this case, the achievable sum rates of the downlink and uplink transmission, $R_s^{(1)}$ and $R_t^{(1)}$, are given as [20]

$$R_{s_i}^{(1)} = \frac{1}{3} \log_2 \left(1 + \frac{P_b \gamma_b^2}{4\sigma_r^2} \text{tr} \{ \mathbf{H}_b \mathbf{H}_b^H \} \right), \quad (3)$$

and

$$R_{t_i}^{(1)} = \frac{1}{3} \log_2 \left(1 + \frac{2P_u \gamma_u^2 \mathbf{h}_{u_i}^H \mathbf{h}_{u_i}}{4\sigma_r^2 + P_b \gamma_b^2 \text{tr} \{ \mathbf{H}_b \mathbf{H}_b^H \}} \right), \quad (4)$$

where $\text{tr} \{ \cdot \}$ denotes the trace operator.

Similarly, if the RS first decodes the downlink data symbols and then, decodes the uplink data symbols, $R_s^{(1)}$ and $R_t^{(1)}$ are given as

$$R_{s_i}^{(1)} = \frac{1}{3} \log_2 \left(1 + \frac{P_b \gamma_b^2 \text{tr} \{ \mathbf{H}_b \mathbf{H}_b^H \}}{4\sigma_r^2 + 2P_u \sum_{k=1}^2 \gamma_u^2 \mathbf{h}_{u_k}^H \mathbf{h}_{u_k}} \right), \quad (5)$$

and

$$R_{t_i}^{(1)} = \frac{1}{3} \log_2 \left(1 + \frac{P_u \gamma_u^2}{2\sigma_r^2} \mathbf{h}_{u_i}^H \mathbf{h}_{u_i} \right). \quad (6)$$

In the third time slot, the RS executes the water-filling algorithm [20] to transform uplink data symbols and the dirty paper code (DPC) [21] into the downlink data symbols, which are denoted as \mathbf{b}_s and \mathbf{b}_t , respectively. Then, the RS simultaneously transmits $\mathbf{b} = \mathbf{b}_s + \mathbf{b}_t$ to the BS and the users.

Note that the BS and the users need to know the perfect channel state information (CSI) of the other side to cancel the interference, which leads to a high feedback load. In addition, although executing the DPC at the RS achieves the optimal sum rate for the MIMO broadcast channel, it may be difficult to implement this in the practical system and its complexity may be very high.

B. Proposed Two-Way Relaying Strategy

For the first two time slots, the operation of the proposed scheme is the same as that in [15]. Let \hat{s}_i and \hat{t}_i ($i = 1, 2$) denote the decoded downlink and uplink data symbols at the RS, respectively. Then, the RS constructs the transmitting signal vector as follows:

The application of the SVD to the channel matrices \mathbf{H}_b and QR decomposition to the channel matrix \mathbf{H}_u yields [22]

$$\mathbf{H}_b = \mathbf{U} \Sigma \mathbf{V}^H, \mathbf{H}_u = \mathbf{Q} \mathbf{G}^H, \quad (7)$$

where \mathbf{U} , \mathbf{V} , and \mathbf{Q} are the unitary matrices, Σ has nonzero diagonal elements placed in the descending order ($\lambda_1 > \lambda_2 > 0$), and $\mathbf{G} = \begin{bmatrix} g_1 & 0 \\ g_3 & g_2 \end{bmatrix}$ is the lower triangular matrix whose diagonal terms, g_1 and g_2 , are positive.

Let $\mathbf{s}_p = [\sqrt{p_{s_1}} \hat{s}_1, \sqrt{p_{s_2}} \hat{s}_2]^T$ and $\mathbf{t}_p = [\sqrt{p_{t_1}} \hat{t}_1, \sqrt{p_{t_2}} \hat{t}_2]^T$ where p_{s_i} and p_{t_i} ($i = 1, 2$) denote the transmit powers for the downlink and uplink data symbols at the RS, $p_{t_1} + p_{t_2} + p_{s_1} + p_{s_2} \leq P_r$, and $(\cdot)^T$ represents the transpose operator. The RS constructs the transmitting signal vector, \mathbf{x}_r , as

$$\mathbf{x}_r = \mathbf{U} \mathbf{t}_p + \mathbf{Q} \mathbf{G}^{-1} \mathbf{D}(g_1/\alpha, g_2) \mathbf{s}_p, \quad (8)$$

where $\mathbf{D}(g_1/\alpha, g_2)$ denotes the diagonal matrix whose diagonal terms are g_1/α and g_2 , and $\alpha = \sqrt{1 + |g_3/g_2|^2}$.

However, if α is larger than a certain value, it would be better in terms of the sum rate to load all the power of the downlink data symbols to the user of the largest channel gain, which will be described in more detail at the end of the following subsection.

At the BS, the received signal is multiplied by the unitary matrix \mathbf{V}^H , and then, the output of the multiplier, \mathbf{n}_b , can be expressed as

$$\mathbf{r}_b = \gamma_b \Sigma \mathbf{t}_p + \gamma_u \Sigma \mathbf{U}^H \mathbf{Q} \mathbf{G}^{-1} \mathbf{D}(g_1/\alpha, g_2) \mathbf{s}_p + \mathbf{V}^H \mathbf{n}_b, \quad (9)$$

where \mathbf{n}_b denotes the AWGN vector with zero mean and covariance matrix $\sigma_b^2 I_2$. Note that, since \mathbf{V}^H is a unitary matrix, the covariance matrix of $\mathbf{V}^H \mathbf{n}_b$ is the same as that of \mathbf{n}_b . On the other hand, the received signal vector at the users, \mathbf{r}_u , can be expressed as

$$\mathbf{r}_u = \gamma_u \mathbf{D}(g_1/\alpha, g_2) \mathbf{s}_p + \gamma_b \mathbf{G} \mathbf{Q}^H \mathbf{U} \mathbf{t}_p + \mathbf{n}_u, \quad (10)$$

where \mathbf{n}_u denotes the AWGN vector with zero mean and covariance matrix $\mathbf{D}(\sigma_{u_1}^2, \sigma_{u_2}^2)$.

Before transmission, the RS feeds back the information of the correlation matrix $\mathbf{U}^H \mathbf{Q}$, g_3/g_2 , and power loading parameters to the BS and the users.

Using this feedback information, the BS and the users execute the SIC to obtain \mathbf{r}_b and \mathbf{r}_u , respectively. Then, the output signal vectors of the BS and the users after the execution of the SIC, \mathbf{y}_b and \mathbf{y}_u can be written as

$$\mathbf{y}_b = \gamma_b \Sigma \mathbf{t}_p + \mathbf{V}^H \mathbf{n}_b, \tag{11}$$

and

$$\mathbf{y}_u = \mathbf{D}(\gamma_{u_1}, \gamma_{u_2}) \mathbf{D}(g_1 / \alpha, g_2) \mathbf{s}_p + \mathbf{n}_u. \tag{12}$$

Note that the feedback load of the proposed protocol is considerably lower than that obtained in the previous work. Perfect channel knowledge of the other path \mathbf{H}_u and \mathbf{H}_b is required to execute the SIC at the BS and the users, respectively. On the other hand, in the proposed protocol, the BS and the users require the same information of $\mathbf{U}^H \mathbf{Q}$ and g_3 / g_2 (since $\mathbf{u}^H \mathbf{Q}$ is the multiplication of the unitary matrix, its feedback load is considerably less than that of \mathbf{H}_u or \mathbf{H}_b).

The results of the electromagnetic simulations for the lumped element and the distributed design show that the attenuation characteristics of the lumped design are superior to those of the distributed one.

C. Sum Rate of the Proposed Scheme

After transmission of the third time slot, the sum rate of the uplink data symbols at the BS can be computed from (11), as follows:

$$R_t^{(2)} = \frac{1}{3} \sum_{i=1}^2 \log_2 \left(1 + \frac{P_i \gamma_b^2 \lambda_i^2}{\sigma_b^2} \right). \tag{13}$$

In addition, the sum rate of the downlink data symbols at the both users can be computed from (12), as follows:

$$R_s^{(2)} = \frac{1}{3} \log_2 \left[\left(1 + \frac{P_{s_1} \gamma_{u_1}^2 g_1^2}{\sigma_{u_1}^2 \alpha^2} \right) \left(1 + \frac{P_{s_2} \gamma_{u_2}^2 g_2^2}{\sigma_{u_2}^2} \right) \right]. \tag{14}$$

However, since additional power is used for pre-subtraction as in (8), there may be a situation in which the rate of the downlink data symbol is higher than the sum rate of the downlink data symbols of both users when the RS only transmits the downlink data symbol of the user with the largest channel gain ($m = \arg \max_{i=1,2} \|\mathbf{h}_{u_i}\|$). This situation occurs when the correlation of the two uplink channel vectors, i.e., $|\mathbf{h}_{u_1}^H \mathbf{h}_{u_2}|$, is high, and thus, $\alpha^2 > \rho_{th}$ (if $\alpha^2 > \rho_{th}$, then $R_s^{(2)} < \frac{1}{3} \log_2 \left[1 + \frac{(P_{s_1} + P_{s_2}) \gamma_{u_m}^2 \|\mathbf{h}_{u_m}\|^2}{\sigma_{u_m}^2} \right]$), where ρ_{th} is given as

$$\rho_{th} = \frac{P_{s_1} g_1^2 (1 + P_{s_2} \gamma_{u_m}^2 g_2^2)}{(P_{s_1} + P_{s_2}) \|\mathbf{h}_{u_m}\|^2 - P_{s_2} g_2^2}. \tag{15}$$

Therefore, we need check whether $\alpha^2 > \rho_{th}$. If yes, then the RS transmits the downlink data symbol of the user m rather than the downlink data symbols of both the users. In this case, the transmitting signal vector at the RS can be constructed as (note that, in this case, the RS feeds back the information of $\mathbf{u}^H \mathbf{h}_{u_m} / \|\mathbf{h}_{u_m}\|$ instead of $\mathbf{u}^H \mathbf{Q}$.)

$$\mathbf{x}_r = \mathbf{U} \mathbf{t}_p + \frac{\mathbf{h}_{u_m}}{\|\mathbf{h}_{u_m}\|} \hat{s}_m. \tag{16}$$

Then, the corresponding sum rate of the downlink data symbol can be calculated as

$$R_s^{(2)} = \frac{1}{3} \log_2 \left[1 + \frac{(P_{s_1} + P_{s_2}) \gamma_{u_m}^2 \|\mathbf{h}_{u_m}\|^2}{\sigma_{u_m}^2} \right]. \tag{17}$$

Consequently, the total system sum rate can be achieved in a TDD frame as

$$R_{sys} = \min \{ R_s^{(1)}, R_s^{(2)} \} + \min \{ R_t^{(1)}, R_t^{(2)} \}. \tag{18}$$

D. Optimal Power Allocation at RS

In this paper, only the power allocation (PA) at the RS is considered since the BS and the users need to know the perfect CSI of two channel matrices, \mathbf{H}_u and \mathbf{H}_b , to perform the PA, which is not available in the proposed system model.

Based on the sum rate of the downlink and uplink data symbols $R_s^{(1)}$ and $R_t^{(1)}$, which is achieved by using a certain decoding order, the optimal PA for $\alpha^2 < \rho_{th}$ can be computed by solving the following optimization problem:

$$\begin{aligned} & \max_{P_{s_1}, P_{s_2}, P_{t_1}, P_{t_2}} \left[\prod_{i=1}^2 (1 + p_i v_i) (1 + p_{s_i} v_{s_i}) \right] \\ & \text{s.t. } \prod_{i=1}^2 (1 + p_i v_i) \leq 2^{3R_t^{(1)}}, \\ & \prod_{i=1}^2 (1 + p_{s_i} v_{s_i}) \leq 2^{3R_s^{(1)}}, \\ & p_1 + p_2 + p_{s_1} + p_{s_2} \leq P_r, p_i, p_{s_i} \geq 0, \end{aligned} \tag{19}$$

where $v_i = \frac{\gamma_b^2 \lambda_i^2}{\sigma_b^2}$, $v_{s_i} = \frac{\gamma_{u_i}^2 g_i^2}{\sigma_{u_i}^2 \alpha^2}$, and $v_{s_i} = \frac{\gamma_{u_2}^2 g_2^2}{\sigma_{u_2}^2}$. This optimization problem can be solved by the following algorithm:

- Step 1: Using the water-filling algorithm, solve the sum rate optimization problem in (19) by considering only the

power constraints as

$$p_{s_i} = \left(\mu - \frac{1}{v_{s_i}} \right)^+, \quad p_{t_i} = \left(\mu - \frac{1}{v_{t_i}} \right)^+ \quad (i=1,2), \quad (20)$$

$$p_{t_1} + p_{t_2} + p_{s_1} + p_{s_2} \leq P_r,$$

where μ denotes the Lagrange multiplier, which is chosen to satisfy the constraint and $(x)^+ = \max(x, 0)$. If the solution in (20) satisfies the other constraints in (19), the algorithm will be completed. If the solution does not satisfy both the downlink and the uplink sum rate constraints in (19), go to Step 2; else, go to Step 3.

- Step 2: Since the power of the RS is enough to meet the sum rate of the downlink and the uplink data symbols at the RS, $R_s^{(1)}$ and $R_t^{(1)}$, respectively, find the optimal PA by solving the following problem:

$$\min_{p_{k_1} p_{k_2}} p_{k_1} + p_{k_2} \quad (21)$$

$$s.t. \prod_{i=1}^2 (1 + p_{k_i} v_{k_i}) \leq 2^{3R_k^{(1)}}, \quad p_{k_i} \geq 0,$$

where $k \in \{s, t\}$. The solution of (21) can be found as

$$p_{k_i} = \left(\mu - \frac{1}{v_{k_i}} \right)^+, \quad \prod_{i=1}^2 (1 + p_{k_i} v_{k_i}) = 2^{3R_k^{(1)}}. \quad (22)$$

Then, the algorithm is completed.

- Step 3: Let $k = s$, if the solution in (20) does not satisfy the downlink sum rate constraint in (19); other, $k = t$. Find the optimal PA for $p_{k,1}$ and $p_{k,2}$, by solving the following problem:

$$\min_{p_{k_1} p_{k_2}} p_{k_1} + p_{k_2} \quad (23)$$

$$s.t. \prod_{i=1}^2 (1 + p_{k_i} v_{k_i}) \leq 2^{3R_k^{(1)}}, \quad p_{k_i} \geq 0.$$

The solution can be found as

$$p_{k_i} = \left(\mu - \frac{1}{v_{k_i}} \right)^+, \quad \prod_{i=1}^2 (1 + p_{k_i} v_{k_i}) = 2^{3R_k^{(1)}}. \quad (24)$$

Then, solve the following problem to calculate p_{l_1} and p_{l_2} , where $l \in \{s, t\}$ and $l \neq k$,

$$\min_{p_{l_1} p_{l_2}} \prod_{i=1}^2 (1 + p_{l_i} v_{l_i}) \quad (25)$$

$$s.t. p_{l_1} + p_{l_2} \leq P_r - p_{k_1} - p_{k_2} \geq 0.$$

The solution of (25) can be found as

$$p_{l_i} = \left(\mu - \frac{1}{v_{l_i}} \right)^+, \quad p_{l_1} + p_{l_2} = P_r - p_{k_1} - p_{k_2}. \quad (26)$$

Then, the algorithm is completed.

On the other hand, the optimal PA for $\alpha^2 > \rho_{th}$ can be computed by solving the following optimization problem:

$$\max_{p_{t_1} p_{t_2} p_{s_m}} \left[\prod_{i=1}^2 (1 + p_{t_i} v_{t_i}) (1 + p_{s_m} v_{s_m}) \right]$$

$$s.t. \prod_{i=1}^2 (1 + p_{t_i} v_{t_i}) \leq 2^{3R_t^{(1)}}, \quad p_{s_m} \leq \frac{1}{v_{s_m}} (2^{3R_t^{(1)}} - 1), \quad (27)$$

$$p_{t_1} + p_{t_2} + p_{s_m} \leq P_r, \quad p_{t_i}, p_{s_m} \geq 0,$$

where $v_{s_m} = \gamma_{u_m}^2 \|\mathbf{h}_{u_m}\|^2 / \sigma_{u_m}^2$. This optimization problem can be solved by the similar processing for the proposed algorithm.

It should also be noted that the RS determines the decoding order to maximize the total sum rate if the RS can estimate the channels perfectly. For this reason, the RS needs to execute the power loading algorithm twice since there are two decoding ordering methods.

IV. NUMERICAL EXAMPLES

In this section, various Monte-Carlo simulation results are illustrated by averaging more than 10,000 channel realizations. It is assumed that the noise variances are $\sigma_j^2 = \sigma^2$ ($j \in \{b, r, u_1, u_2\}$); the distance between the BS and the users, d , is kept constant as 2 km; the distance between the RS and each user is d_u ; and $d = d_u + d_b$. It is also assumed that the powers of the BS, the RS, and the users are $P_b = P_r = P_u = 10$ W.

Fig. 2 shows the ergodic sum rate of the proposed scheme calculated on the basis of the optimal PA for a low and a high signal-to-noise ratio (SNR). To show the optimality of the proposed PA, the sub-optimal PA is illustrated, which achieves a near-optimal sum rate at a high SNR. In the sub-optimal PA, at first, the lower bound of the cost function

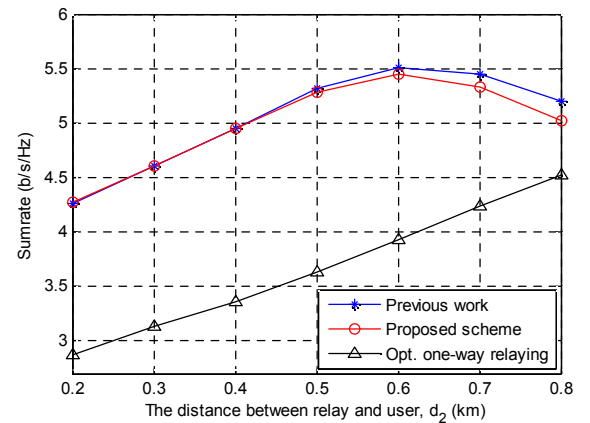


Fig. 2. Performance comparison between the proposed power allocation (PA) and the sub-optimal PA for $1/\sigma^2 = 2$ dB and $1/\sigma^2 = 10$ dB.

R_{LB} is found, which approximates $R_t^{(1)} + R_s^{(2)}$ in the high-SNR region, as given in [23].

$$R_t^{(1)} + R_s^{(2)} \geq \frac{1}{3} \log_2 \prod_{i=1}^2 (p_{t_i} v_{t_i})(p_{s_i} v_{s_i}) = R_{LB}. \quad (28)$$

Then, the following optimization problem is solved by geometrical programming.

$$\begin{aligned} \max_{p_{t_1} p_{t_2} p_{s_1} p_{s_2}} R_{LB} &= \min_{p_{t_1} p_{t_2} p_{s_1} p_{s_2}} \prod_{i=1}^2 p_{t_i}^{-1} p_{s_i}^{-1} \\ \text{s.t.} \quad \prod_{i=1}^2 (1 + p_{t_i} v_{t_i}) &\leq 2^{3R_t^{(1)}}, \quad \prod_{i=1}^2 (1 + p_{s_i} v_{s_i}) \leq 2^{3R_s^{(1)}}, \quad (29) \\ p_{t_1} + p_{t_2} + p_{s_1} + p_{s_2} &\leq P_r, \quad p_{t_i}, p_{s_i} \geq 0. \end{aligned}$$

Fig. 2 shows that the proposed PA achieves the optimal sum rate of the system since sub-optimal PA achieves the optimal sum rate as SNR reaches infinity. Note that the gap between the two PA schemes at a low SNR is caused by the lower bound optimization of sub-optimal PA. Besides, Fig. 2 shows that fixing the decoding order to decode the uplink data symbol at the first time and the downlink data symbol at the next time can provide the near-optimal sum rate as the distance between the RS and the user decreases.

In Figs. 3 and 4, the proposed scheme is compared to the previous work presented in [15] and the optimal one-way relaying scheme in order to demonstrate the performance gain of the proposed scheme. In the optimal one-way relaying scheme, a TDD frame is equally divided into four time slots. In the first and the second time slots, the BS transmits the downlink data symbols to the RS, and the users transmit the uplink data symbols to the RS. The RS decodes the downlink and uplink data symbols by using MMSE-SIC. In the third time slot, the RS transmits the decoded uplink data symbol to the BS by using the water-filling algorithm. Finally, the RS transmits the decoded downlink data symbol to the users by using DPC in the fourth time slot. It is assumed that the optimal PA is performed at the RS for all the schemes.

Fig. 3 illustrates the sum rates versus d_u of the three different schemes for $1/\sigma^2 = 10$ dB. It shows that the proposed protocol achieves a significant gain over the one-way relaying scheme and the gain increases as the distance between the RS and both the users becomes close to $d_u = 0.7$ km due to the decreased pre-log factor. However, the performance of the two-way relaying schemes decreases as d_u becomes smaller or larger than 0.7 km. This is attributed to the fact that although the downlink sum rate increases as d_u decreases, the uplink sum rate decreases. Therefore, the maximum sum rate is achieved for an asymmetric channel situation [13]. It can also be seen in Fig. 3 that the performance gap between the previous work and the proposed scheme, which needs additional power to pre-subtract the other user interference as compared to the previous work, becomes negligible as d_u decreases.

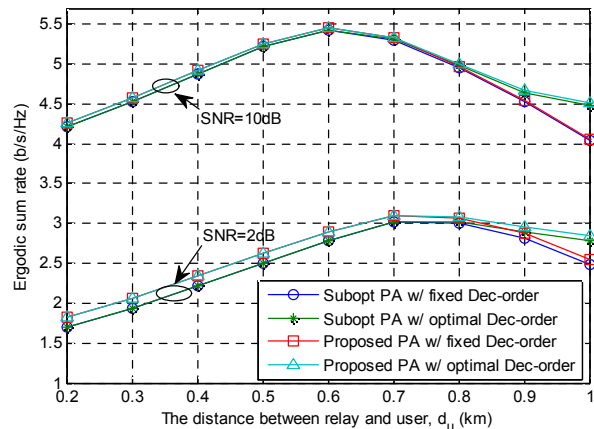


Fig. 3. The sum rates versus the distance between the relay station and the users of three different schemes for $1/\sigma^2 = 10$ dB. PA: power allocation, SNR: signal-to-noise ratio.

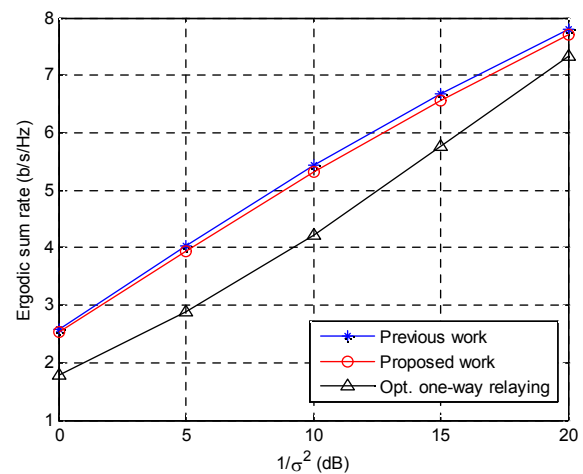


Fig. 4. The sum rates versus the inverse of noise variance, $1/\sigma^2$, of three different schemes for $d_u = 0.7$ km.

This is because as d_u decreases, the allocated power to satisfy the downlink sum rate $R_s^{(1)}$ at the RS decreases and the portion of the uplink sum rate in the total system sum rate decreases. Fig. 4 illustrates the sum rate versus $1/\sigma^2$ of the three different schemes for $d_u = 0.7$ km. It can be observed that the proposed protocol still achieves the sum rate gain over the one-way relaying scheme irrespective of the SNR.

V. DISCUSSION AND CONCLUSIONS

Two-way communication at the RS is one of the solutions to overcome the disadvantage of the time resource consumption due to the half-duplex constraint. A problem of this scheme is the degradation of the system sum rate mainly due to the interference. In the proposed scheme, the

RS constructs the transmitting signal vector by employing SVD and QR decomposition, where the feedback load at the BS and the users is considerably lower than that in the previous work described in [15]. Simulation results show that the proposed protocol can achieve a significant sum rate gain as compared to the one-way relaying scheme. In addition, the DPC used in [15] has some difficulties for practical implementation. In this aspect, the proposed scheme can be easily implemented in various circumstances. Although we can expand the proposed scheme to more than two users, its performance gain as compared to one-way relaying decreases with an increase in the number of users. This is because each user needs one time slot for transmitting the uplink data symbol to the RS and the power consumption of the interference pre-subtraction for the downlink transmitting signal at the RS increases with an increase in the number of user.

ACKNOWLEDGMENTS

This paper was supported by Wonkwang University in 2013.

REFERENCES

- [1] R. S. Blum, J. H. Winters, and N. R. Sollenberger, "On the capacity of cellular systems with MIMO," *IEEE Communications Letters*, vol. 6, no. 6, pp. 242-244, 2002.
- [2] R. S. Blum, "MIMO capacity with interference," *IEEE Journal on Selected Areas in Communications*, vol. 21, no. 5, pp. 793-801, 2003.
- [3] R. W. Heath, M. Airy, and A. J. Paulraj, "Multiuser diversity for MIMO wireless systems with linear receivers," in *Proceedings of the 35th Asilomar Conference on Signals, Systems and Computers*, Pacific Grove, CA, pp. 1194-1199, 2001.
- [4] R. Pabst, B. H. Walke, D. C. Schultz, P. Herhold, H. Yanikomeroglu, S. Mukherjee, et al., "Relay-based deployment concepts for wireless and mobile broadband radio," *IEEE Communications Magazine*, vol. 42, no. 9, pp. 80-89, 2004.
- [5] T. Ohseki, N. Fuke, H. Ishikawa, and Y. Takeuchi, "Multihop mobile communications system adopting fixed relay stations and its time slot allocation schemes," in *Proceedings of the IEEE 17th International Symposium on Personal, Indoor and Mobile Radio Communications*, Helsinki, Finland, pp. 1-5, 2006.
- [6] I. K. Fu, W. H. Sheen, R. J. Chen, C. L. Hsiao, and S. S. Lin, "Throughput improvement with relay-augmented cellular architecture," *IEEE C80216mmr-05_008*, ITRI Computer & Communication Research Labs, National Chiao Tung University, Taiwan, 2005.
- [7] P. H. Kuo, J. Choi, J. Suh, and S. Kim, "A feedback scheme for ZFBF-based MIMO broadcast systems with infrastructure relay stations," in *Proceedings of IEEE Wireless Communications and Networking Conference*, Las Vegas, NV, pp. 1061-1066, 2008.
- [8] J. N. Laneman, D. N. Tse, and G. W. Wornell, "Cooperative diversity in wireless networks: efficient protocols and outage behavior," *IEEE Transactions on Information Theory*, vol. 50, no. 12, pp. 3062-3080, 2004.
- [9] A. Bletsas, H. Shin, and M. Z. Win, "Cooperative communications with outage-optimal opportunistic relaying," *IEEE Transactions on Wireless Communications*, vol. 6, no. 9, pp. 3450-3460, 2007.
- [10] P. Larsson, N. Johansson, and K. E. Sunell, "Coded bi-directional relaying," in *Proceedings of the IEEE 63rd Vehicular Technology Conference*, Melbourne, Australia, pp. 851-855, 2006.
- [11] J. Wu, S. Miyazaki, K. Obuchi, and T. Taniguchi, "A system level performance study on symbol-wise XOR based bi-directional relaying," in *Proceedings of the IEEE 68th Vehicular Technology Conference*, Calgary, Canada, pp. 1-5, 2008.
- [12] H. Zhou, "Combination of bi-directional and cooperative relaying for wireless networks," in *Proceedings of the IEEE 17th International Conference on Telecommunications*, Doha, Qatar, pp. 331-338, 2010.
- [13] B. Rankov and A. Wittneben, "Spectral efficient protocols for half-duplex fading relay channels," *IEEE Journal on Selected Areas in Communications*, vol. 25, no. 2, pp. 379-389, 2007.
- [14] S. J. Kim, P. Mitran, and V. Tarokh, "Performance bounds for bidirectional coded cooperation protocols," *IEEE Transactions on Information Theory*, vol. 54, no. 11, pp. 5235-5241, 2008.
- [15] L. Weng and R. D. Murch, "Multi-user MIMO relay system with self-interference cancellation," in *Proceedings of IEEE Wireless Communications and Networking Conference*, Hong Kong, China, pp. 958-962, 2007.
- [16] M. O. Hasna and M. S. Alouini, "End-to-end performance of transmission systems with relays over Rayleigh-fading channels," *IEEE Transactions on Wireless Communications*, vol. 2, no. 6, pp. 1126-1131, 2003.
- [17] J. N. Laneman, D. N. Tse, and G. W. Wornell, "Cooperative diversity in wireless networks: efficient protocols and outage behavior," *IEEE Transactions on Information Theory*, vol. 50, no. 12, pp. 3062-3080, 2004.
- [18] S. Alamouti, "A simple transmit diversity technique for wireless communications," *IEEE Journal on Selected Areas in Communications*, vol. 16, no. 8, pp. 1451-1458, 1998.
- [19] P. Viswanath and D. N. C. Tse, "Sum capacity of the vector Gaussian broadcast channel and uplink-downlink duality," *IEEE Transactions on Information Theory*, vol. 49, no. 8, pp. 1912-1921, 2003.
- [20] D. Tse and P. Viswanath, *Fundamentals of Wireless Communication*. Cambridge: Cambridge University Press, 2005.
- [21] G. Caire and S. Shamai, "On the achievable throughput of a multiantenna Gaussian broadcast channel," *IEEE Transactions on Information Theory*, vol. 49, no. 7, pp. 1691-1706, 2003.
- [22] R. A. Horn and C. R. Johnson, *Matrix Analysis*. Cambridge: Cambridge University Press, 1985.
- [23] C. B. Chae, T. Tang, R. W. Heath, and S. Cho, "MIMO relaying with linear processing for multiuser transmission in fixed relay networks," *IEEE Transactions on Signal Processing*, vol. 56, no. 2, pp. 727-738, 2008.



Young Seek Cho

was born in Iksan, Republic of Korea, in 1972. He received his B.S. and M.S. in Electrical and Telecommunications Engineering from Hanyang University, Seoul, Korea, in 1996 and 1998, respectively, and his Ph.D. in Electrical Engineering from the University of Minnesota, Minneapolis, MN, USA, in 2010. In 1998, Dr. Cho joined SK Telecom, Seoul, where he was with the Strategic Planning for Intelligent Terminal Manufacturing R&D as Assistant Researcher. From 1998 to 2004, he was Researcher with the R&D Center, SK Teletch, Seoul, where he was involved in the development of CDMA cellular phones. His research interests include microwave and millimeter-wave circuits, mixed RF/photonic packaging, micromachining, and the development of sensor networks.



Seyeong Choi

was born in Seoul, Korea. He received his B.S. and M.S. degrees from Hanyang University, Seoul, Korea, in 1996 and 1998, respectively, and his Ph.D. in Electrical and Computer Engineering from the Texas A&M University, College Station, TX, USA, in 2007. He is currently with Wonkwang University, Korea. His research interests include wireless communications, MIMO fading channels, diversity techniques, and system performance evaluation.