

## Non-monotonic Size Dependence of Electron Mobility in Indium Oxide Nanocrystals Thin Film Transistor

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Indium oxide nanocrystals ( $\text{In}_2\text{O}_3$  NCs) with sizes of 5.5 nm–10 nm were synthesized by hot injection of the mixture precursors, indium acetate and oleic acid, into alcohol solution (1-octadecanol and 1-octadecene mixture). Field emission transmission electron microscopy (FE-TEM), High resolution X-Ray diffraction (X-ray), Nuclear magnetic resonance (NMR), and Fourier transform infrared spectroscopy (FT-IR) were employed to investigate the size, surface molecular structure, and crystallinity of the synthesized  $\text{In}_2\text{O}_3$  NCs. When covered by oleic acid as a capping group, the  $\text{In}_2\text{O}_3$  NCs had a high crystallinity with a cubic structure, demonstrating a narrow size distribution. A high mobility of  $2.51 \text{ cm}^2/\text{V}\cdot\text{s}$  and an on/off current ratio of about  $1.0 \times 10^3$  were observed with an  $\text{In}_2\text{O}_3$  NCs thin film transistor (TFT) device, where the channel layer of  $\text{In}_2\text{O}_3$  NCs thin films were formed by a solution process of spin coating, cured at a relatively low temperature,  $350^\circ\text{C}$ . A size-dependent, non-monotonic trend on electron mobility was distinctly observed: the electron mobility increased from  $0.43 \text{ cm}^2/\text{V}\cdot\text{s}$  for NCs with a 5.5 nm diameter to  $2.51 \text{ cm}^2/\text{V}\cdot\text{s}$  for NCs with a diameter of 7.1 nm, and then decreased for NCs larger than 7.1 nm. This phenomenon is clearly explained by the combination of a smaller number of hops, a decrease in charging energy, and a decrease in electronic coupling with the increasing NC size, where the crossover diameter is estimated to be 7.1 nm. The decrease in electronic coupling proved to be the decisive factor giving rise to the decrease in the mobility associated with increasing size in the larger NCs above the crossover diameter.

**Key Words :** Indium oxide nanocrystals, Thin film transistor, Electron mobility, Non-monotonic, Electronic coupling

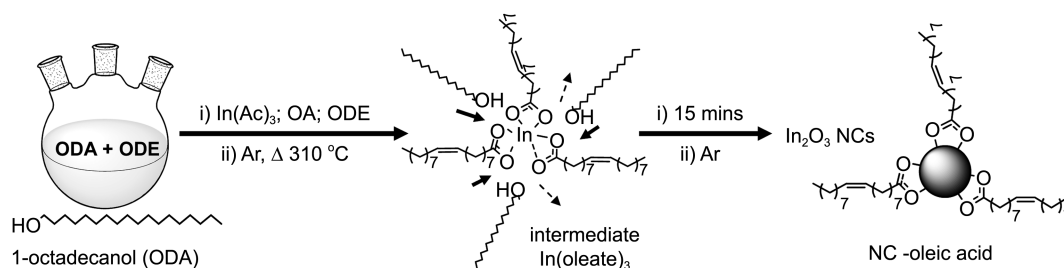
### Introduction

A great deal of focus on metal oxide semiconductors has been observed in recent years as a means of realizing transparent electronics for next generation display applications; such materials are expected to enable the realization of transparent pixel transistors for display that do not block light, enabling realization of brighter displays with a higher aperture ratio. Among them, indium oxide ( $\text{In}_2\text{O}_3$ ), an n-type metal oxide semiconductor, has attracted much attention because of its wide bandgap (direct bandgap around 3.6 eV at room temperature), high single crystal mobility ( $160 \text{ cm}^2/\text{V}\cdot\text{s}$ ), and good visible region transparency ( $> 90\%$ ).<sup>1</sup> Previous studies have mainly focused on the fabrication of  $\text{In}_2\text{O}_3$  thin films using sputtering, spray pyrolysis, and chemical vapor deposition methods.<sup>2–4</sup> The  $\text{In}_2\text{O}_3$  thin films deposited using sputtering have exhibited field-effect mobilities ( $\mu$ ) as high as  $130 \text{ cm}^2/\text{V}\cdot\text{s}$ ,  $I_{\text{on}}/I_{\text{off}} = 10^5$ , subthreshold gate voltage swing (S.S.) =  $190 \text{ mV/decade}$ .<sup>4</sup>

More recently, Marks *et al.* first reported in 2008 a solution-processed  $\text{In}_2\text{O}_3$  thin film transistor (TFT), where the  $\text{In}_2\text{O}_3$  semiconducting channel layer was formed from an indium chloride ( $\text{InCl}_3$ ) precursor solution by a spin coating process, producing a high mobility value ( $\sim 43 \text{ cm}^2/\text{V}\cdot\text{s}$ ) and an excellent TFT performance ( $I_{\text{on}}/I_{\text{off}} = 10^6$ ) at the annealing temperature of about  $400^\circ\text{C}$ .<sup>5</sup> In 2011, Marks *et al.* reported in improvement, the low-temperature ( $325^\circ\text{C}$ ) fabrication of

high-performance solution-processed  $\text{In}_2\text{O}_3$  TFT ( $\mu = 9.4 \text{ cm}^2/\text{V}\cdot\text{s}$ ;  $I_{\text{on}}/I_{\text{off}} = 10^3$ ) with combustion processing.<sup>6</sup> In 2011, Bae *et al.* reported a solution-processed  $\text{In}_2\text{O}_3$  thin film transistor (TFT), with indium acetylacetonate ( $\text{In}(\text{acac})_3$ ) as an indium source precursor, achieving a high TFT performance ( $\mu = 2.37 \text{ cm}^2/\text{V}\cdot\text{s}$ ;  $I_{\text{on}}/I_{\text{off}} = 10^6$ ) at an annealing temperature of  $250^\circ\text{C}$ .<sup>7</sup> The same group also reported a simple and novel aqueous route for the fabrication of indium oxide TFTs at a low annealing temperature ( $200^\circ\text{C}$ ) using unique indium complex ( $\text{In}(\text{OH}_2)_6^{3+}$ ), which was converted from indium nitrate ( $\text{InNO}_3$ ) in water solvent, with post annealing on both  $\text{SiO}_2$  and flexible plastic substrates.<sup>8</sup> The metal oxide TFTs fabricated showed good device uniformity and good electrical performance:  $\mu = 2.62 \pm 0.25 \text{ cm}^2/\text{V}\cdot\text{s}$  and S.S. =  $0.29 \pm 0.06 \text{ V/decade}$ , with a turn-on voltage of 0 V for  $\text{SiO}_2$  substrates;  $\mu = 3.14 \text{ cm}^2/\text{V}\cdot\text{s}$ , S.S. =  $158 \text{ mV/decade}$  and  $I_{\text{on}}/I_{\text{off}} > 10^9$  for the flexible PEN substrate.<sup>8</sup> Continuous investigations by the group uncovered a novel material using fluorine-doped indium zinc oxide (IZO:F) flexible TFTs, which showed that fluorine doping can enhance the mobility and improve the gate bias stability of TFT ( $\mu = 6.4 \text{ cm}^2/\text{V}\cdot\text{s}$ ;  $I_{\text{on}}/I_{\text{off}} = 10^8$ ) which was fabricated at the annealing temperature of  $200^\circ\text{C}$ .<sup>9</sup>

Even though there have been a lot of studies on solution-processed  $\text{In}_2\text{O}_3$  TFT, including ones mentioned above,<sup>1–13</sup> the investigation of thin films of  $\text{In}_2\text{O}_3$  nanocrystals (NCs) using solution-processing deposition, and its applications



**Scheme 1.** Synthetic procedure for indium oxide NCs (NCs–oleic acid). The fast injection of indium oleate precursor into alcohol solution (ODA in ODE solvent) at high temperature ( $310^\circ\text{C}$ ) during 15 mins resulted in indium oxide NCs formation.

into the solution-processed TFT, have rarely been performed. In this article, we report the preparation of colloidal, highly crystalline  $\text{In}_2\text{O}_3$  NCs with a defined size controllability of by use of the hot injection method, and its applications in the channel layer of  $\text{In}_2\text{O}_3$  NCs TFTs. There are two fundamental motivations for the utilization of  $\text{In}_2\text{O}_3$  thin films: (i) the perfect nanocrystalline domain inside the NCs is believed to endow a high intrinsic mobility in the finally deposited NCs thin films; (ii) The NCs thin film is one of the best solid material systems for validation of the concept of artificial solids<sup>14,15</sup> in a practical manner. The electron transport properties of the artificial solids are known to be controlled by changing the size of the building block and interdistance between them.<sup>14,16</sup> In the  $\text{In}_2\text{O}_3$  NC thin films, the size and interdistance of the NCs are easily determined by the synthetic conditions and capping ligands, respectively. Our  $\text{In}_2\text{O}_3$  NCs were capped with oleic acid (Schematic 1), and we used spin-coated  $\text{In}_2\text{O}_3$  NCs film to successfully fabricate high-performance  $\text{In}_2\text{O}_3$  TFT at a low curing temperature ( $350^\circ\text{C}$ ) giving the excellent mobility of about  $2.51\text{ cm}^2/\text{V}\cdot\text{s}$ . Interestingly, we also found that the electron mobility was non-monotonically dependent on the size of  $\text{In}_2\text{O}_3$  NCs; the electron mobility increased from  $0.43\text{ cm}^2/\text{V}\cdot\text{s}$  for NCs with a  $5.5\text{ nm}$  diameter to  $2.51\text{ cm}^2/\text{V}\cdot\text{s}$  for NCs with a  $7.1\text{ nm}$  diameter (Region 1), dropping off for larger NCs (Region 2). The decreasing charging energy  $E_C$  in region 1 ( $d \leq 7.1\text{ nm}$ ), and the smaller number of hops needed for carriers to travels across channels composed of a larger size were mainly considered for the monotonically increase of mobility. On the other hand, region 2 ( $d > 7.1\text{ nm}$ ) displayed a decrease in mobility with an increase in size, resulting from the degeneration of coupling energy  $E_k$ .

## Experimental

**Materials.** The chemicals used included Indium (III) acetate ( $\text{In}(\text{Ac})_3$ , 99.99%, Aldrich), Oleic acid ( $\text{C}_{17}\text{H}_{34}\text{COOH}$ ; OA, 95% Aldrich), 1-octadecanol ( $\text{C}_{18}\text{H}_{37}\text{OH}$ ; ODA, 97% Alfa Aesar), and 1-octadecene ( $\text{C}_{18}\text{H}_{36}$ ; ODE, 90% Aldrich). All chemicals were used without further purification.

**Synthesis of Stable Dot-shaped  $\text{In}_2\text{O}_3$  Nanocrystals.** The  $\text{In}_2\text{O}_3$  NCs were synthesized using established methods by Narayanaswamy *et al.*, with the modifications.<sup>17</sup> Stable dot-shaped ( $5\text{--}10\text{ nm}$ )  $\text{In}_2\text{O}_3$  nanocrystals were formed by direct injection of the precursors solution into three-neck

flask which contained alcohol solution. Briefly, the alcohol solution containing ODA ( $8.7\text{ g}$ ) and ODE ( $75\text{ mL}$ ) were load in a  $250\text{ mL}$  three-necked flask, degassed at  $120^\circ\text{C}$  for  $2\text{ h}$ , then heated to  $310^\circ\text{C}$ . Then a typical solution precursor containing indium (III) acetate, and oleic acid in  $7.5\text{ mL}$  of ODE were prepared separately by degassing and heating to  $120^\circ\text{C}$ , then swiftly injected into the above alcohol solution at  $310^\circ\text{C}$ . When the temperature of the resulting mixture dropped to about  $294^\circ\text{C}$ , it was incubated for  $15\text{ mins}$ . The mixture was cooled to  $50^\circ\text{C}$ , then acetone was used to quench the reaction and precipitate the entire product, and the nanocrystals were collected by centrifugation. The nanocrystals were then dispersed in hexane, and any insoluble residue was removed by centrifugation. Purification was performed by washing several times with hexane and acetone. The NCs were vacuum-dried for  $12\text{ h}$  at  $100^\circ\text{C}$ . A slightly yellow powder was collected and dissolved well in various organic solvents.

The preparation of indium oxide nanocrystals in ODE with different  $\text{In}:\text{OA}$  molar ratios, 1:1, 1:3, 1:5, 1:6, 1:7, and 1:8 as mention in Table 1 have given a different size of NCs. Excepting the changing in the molar ratio between  $\text{In}:\text{OA}$ , all condition synthesis and the purification steps were the same as those described above.

**Preparation of NC Films.** Thin film transistor (TFT) device was fabricated in standard bottom-gate, top contact configuration. Nanocrystal solution with an approximate concentration of  $3\text{ wt \%}$  in toluene solvent was spin coated on a  $\text{SiO}_2$  ( $100\text{ nm}$ )/Si substrate. The doped silicon wafer acted as the gate electrode. After spinning, the samples were covered in aluminum foil and soft-annealed at  $80^\circ\text{C}$  for  $1\text{ hour}$ , then hard-annealed at  $350^\circ\text{C}$  in ambient air conditions. A  $100\text{ nm}$  thick aluminum layer was deposited through a shadow mask for the source and drain contacts.

**Material Characterization.** Field emission transmission electron microscope (FE-TEM) images of samples were taken using a JEOL JEM-2100F, operated at  $80\text{--}200\text{ kV}$ . Field emission scanning electron microscope (FE-SEM) images of samples were taken using a JEOL ISM-7500F, operated at  $15\text{ kV}$ . For TEM sampling, one drop of a solution of  $0.2\text{ wt \%}$  of  $\text{In}_2\text{O}_3$  NCs on toluene was casted onto a graphite-coated copper grid and then dried in a vacuum overnight. High resolution X-Ray diffractometer images were collected using a X'Pert PRO Multi Purpose X-Ray diffractometer with a  $\text{Cu K}\alpha$  source, operated at  $40\text{ kV}$  and  $30\text{ mA}$ . The  $2\theta$

**Table 1.** Summary of synthesized condition and electric properties of NCs ranging from 5.5–10.0 nm: mobility value  $\mu$ , threshold voltage ( $V_T$ ), and on and off current ratio ( $I_{on}/I_{off}$ )

NCs Diameter [nm]	ODA [gram]	ODE [mL]	In:OA [molar ratio]	In(Ac) <sub>3</sub> [gram] <sup>a</sup>	OA [gram] <sup>a</sup>	Mobility [cm <sup>2</sup> ·V <sup>-1</sup> ·s <sup>-1</sup> ]	V <sub>T</sub> [V]	I <sub>on/off</sub>
5.5 nm	8.7	75	1:3	0.43	1.27	0.43 ± 0.03	-17.9 ± 4.8	8.3 × 10 <sup>2</sup>
6.8 nm	8.7	75	1:5	0.43	2.11	1.14 ± 0.07	-17.4 ± 2.1	4.3 × 10 <sup>2</sup>
7.1 nm	8.7	75	1:6	0.43	2.54	2.51 ± 0.14	-22.3 ± 3.5	2.0 × 10 <sup>3</sup>
7.8 nm	8.7	75	1:7	0.43	2.96	1.35 ± 0.19	-26.6 ± 4.6	7.1 × 10 <sup>2</sup>
10 nm	8.7	75	1:8	0.43	3.38	0.44 ± 0.09	-25.1 ± 4.0	3.1 × 10 <sup>2</sup>

<sup>a</sup>In(Ac)<sub>3</sub> and OA was prepared in the presence of 7.5 mL ODE

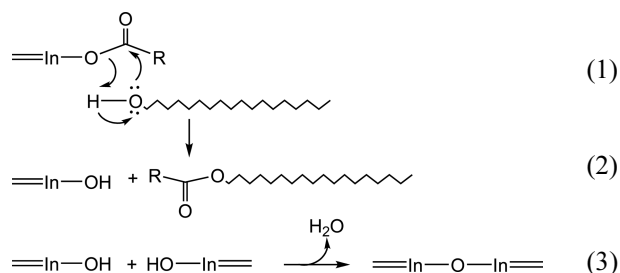
angle was scanned from 10° to 90°. Fourier transform infrared spectroscopy (FT-IR) was performed on a spectrum 400 (PekinElmer, USA). All NMR data were collected on the FT-NMR 300 MHz (Varian Inc, Palo Alto, California, USA). Chemical shifts were reported in parts per million (ppm) and toluene-*d*<sub>8</sub> was used as a solvent. Thermogravimetric (TG) analysis was conducted on a TGA-50H under air condition flow in a range from room temperature to 900 °C. TFT properties were analyzed on an HP4145B semiconductor analyzer with gate voltage (VG) limited to 40 V (from -40 V to +40 V).

## Results and Discussion

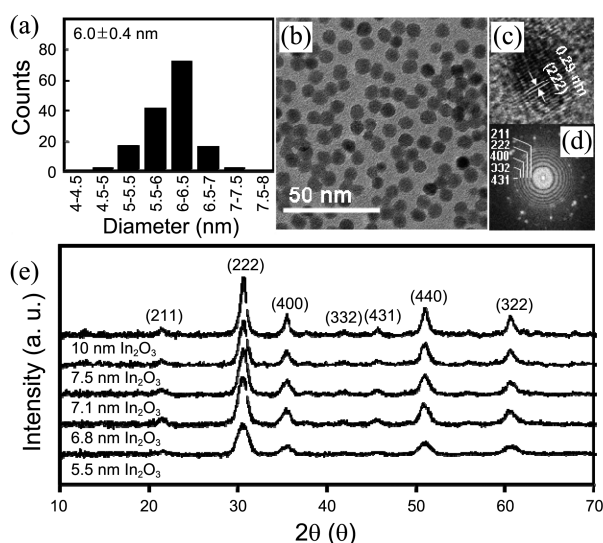
The oleic acid capped In<sub>2</sub>O<sub>3</sub> NCs were synthesized by direct injection of the indium oleate precursor (In(OA)<sub>3</sub>) in 1-octadecene (ODE) into a 1-octadecanol solution (ODA) at a high temperature (310 °C) (see experimental section).<sup>17–19</sup> The typical reaction was summarized in schematic 1. To tune the size of the NCs, reaction conditions were modified, as summarized in Table 1. This resulted in the successful synthesis of In<sub>2</sub>O<sub>3</sub> NCs with sizes, as follows: 5.5 nm, 6.8

nm, 7.1 nm, 7.5 nm, and 10 nm, estimated from the XRD results (Fig. 1(e)). The size distribution of the smallest In<sub>2</sub>O<sub>3</sub> NCs sample was 6.0 ± 0.4 nm, presented in Figure 1. TEM images of single NCs had a plan distance of 0.29 nm, corresponding to the d-spacing of the (222) planes of cubic In<sub>2</sub>O<sub>3</sub> (Fig. 1(c)). The selected area electron diffraction pattern (SAED) was consistent with the cubic structure of In<sub>2</sub>O<sub>3</sub>, featuring strong ring patterns assigned to (211), (222), (400), (332), and (431) planes, proving the high crystallinity of the NCs (Fig. 1(d)).<sup>17–19</sup> These data referred well with the data of the smallest NCs (5.5 nm NCs) obtained in the XRD pattern (Fig. 1(e)). Figure 1(e) showed an X-ray diffraction of five typical size: 5.5 nm, 6.8 nm, 7.1 nm, 7.5 nm and 10 nm, corresponding well to the cubic phase of In<sub>2</sub>O<sub>3</sub> in the standard data (JCPDS 06-0416).<sup>17–19</sup> The crystal size calculated using the Scherer equation was 5.5 nm for the smallest NCs, which is considered to be in good agreement with the observed results from the TEM images of the crystal.

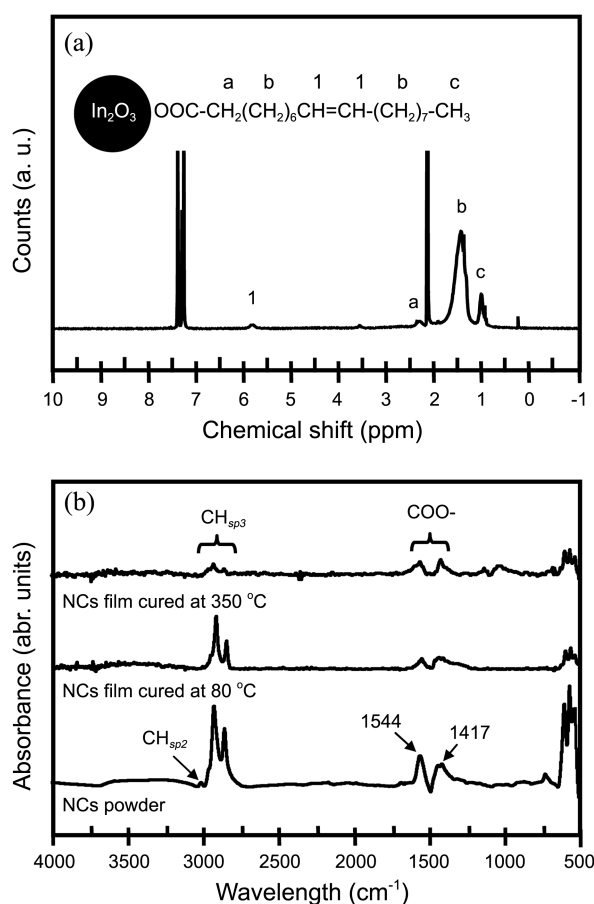
The NMR spectrum of the smallest NCs in toluene solvent showed the appearance of the corresponding proton at 5.6 ppm for (-HC=CH-), 2.3 ppm for (-CH<sub>2</sub>-) near the carboxylic group, and 1.4 ppm and 0.99 ppm for the (-CH<sub>2</sub>-) and (-CH<sub>3</sub>) in the alkyl chain, respectively (Fig. 2(a)). The integral ratio of the (-CH<sub>2</sub>-) and (-CH<sub>3</sub>) in the alkyl chain is around 7.8/1. Thus, our suggestion of the main reaction observed in this given system is summarized as below:



The ester elimination process used in this study has been employed for the synthesis of metal oxide nanocrystal,<sup>20</sup> such as copper oxide NCs, and zinc oxide NCs.<sup>17,20,21</sup> As shown in the reaction (1)–(3), the In (III) ion, which withdraws electron density from the carboxylate ligand, facilitates the nucleophilic attack of oxygen in 1-octadecanol to the carboxylate ligand, thereby activating the ester elimination reaction.<sup>20–22</sup> The notation R can be understood as the -CH<sub>3</sub> group (from acetic acid) or CH<sub>3</sub>(CH<sub>2</sub>)<sub>7</sub>CH=CH(CH<sub>2</sub>)<sub>6</sub>CH<sub>2</sub>-



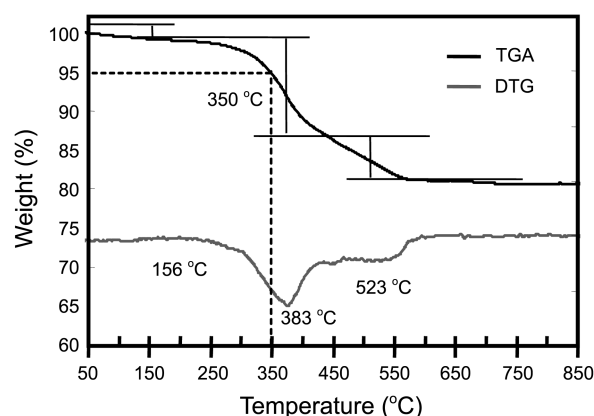
**Figure 1.** (a) Sizing histograms, (b) TEM image, (c) TEM image of one NC, (d) a selected area electron diffraction pattern (SAED) of 5.5 nm In<sub>2</sub>O<sub>3</sub> NCs and (e) XRD patterns of 5.5 nm In<sub>2</sub>O<sub>3</sub> NCs, 6.8 nm In<sub>2</sub>O<sub>3</sub> NCs, 7.1 nm In<sub>2</sub>O<sub>3</sub> NCs, 7.5 nm In<sub>2</sub>O<sub>3</sub> NCs, and 10 nm In<sub>2</sub>O<sub>3</sub> NCs.



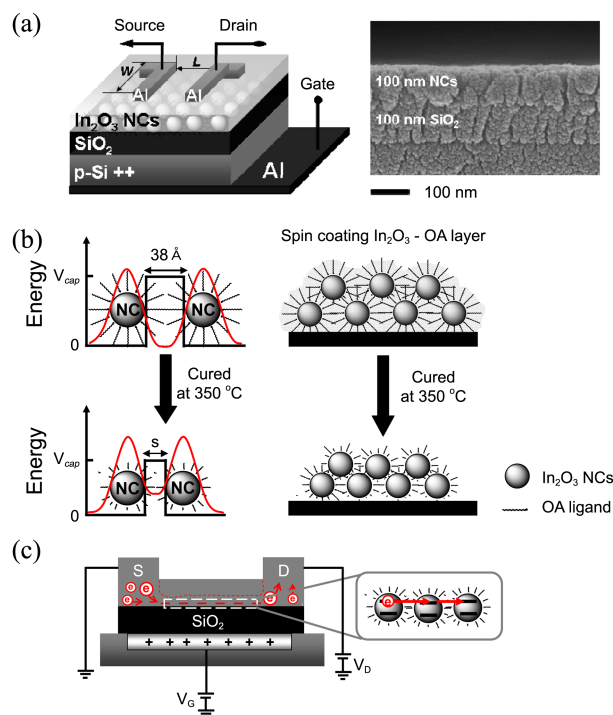
**Figure 2.** (a)  $^1\text{H}$ -NMR spectra (300 MHz; toluene- $d_8$ ) of 5.5 nm  $\text{In}_2\text{O}_3$  NCs. (b) FT-IR spectra of 5.5 nm  $\text{In}_2\text{O}_3$  NCs powder, film cured at 80 °C and film cured at 350 °C for the same NCs.

group (from oleic acid). Therefore, we proposed the structure of ligand capping on the surface of  $\text{In}_2\text{O}_3$  NCs was  $(\text{CH}_3(\text{CH}_2)_7\text{CH}=\text{CH}(\text{CH}_2)_6\text{CH}_2\text{COO})_x(\text{CH}_3\text{COO})_{3-x}$ . By comparing the integral ratio of  $(-\text{CH}_2-)$  and  $(-\text{CH}_3)$  obtained above (7.8/1) with the ratio of the total relative hydrogen in the two (26x/9) (Fig. 2(a)), the  $x$  value was estimated to be 2.7, therefore demonstrating that the ratio between oleic acid and acetic acid on  $\text{In}_2\text{O}_3$  NCs surface was 90/10. This means that the surface of  $\text{In}_2\text{O}_3$  NCs obtained was mainly covered with oleic acid (OA). Figure 2(b) showed the FT-IR spectra of the 5.5 nm  $\text{In}_2\text{O}_3$  NCs powder and  $\text{In}_2\text{O}_3$  NCs thin film cured at 80 °C and 350 °C, producing absorption peaks in the range of  $3009\text{ cm}^{-1}$ ,  $2800\text{--}3000\text{ cm}^{-1}$  which coincide with the spectrum signals for alkenyl C-H stretch ( $-\text{HC}=\text{CH}-$ ) and alkyl C-H stretch ( $-\text{CH}_3$ ), respectively. The  $(\text{COO}-)$  asymmetric vibration band was presented at  $1544\text{--}1417\text{ cm}^{-1}$ . With the thin films results, it was observed that the majority of the organic ligand on the surface of the thin film was removed after being heated to 350 °C for 3 h.

The organic content of the  $\text{In}_2\text{O}_3$  NCs was determined by thermogravimetric analysis (TGA). TGA runs were conducted at a constant heating rate of  $10\text{ }^\circ\text{C}/\text{min}$  in air. The heating process was carried out up to  $900\text{ }^\circ\text{C}$ , which has been demonstrated to be sufficiently high to degrade all

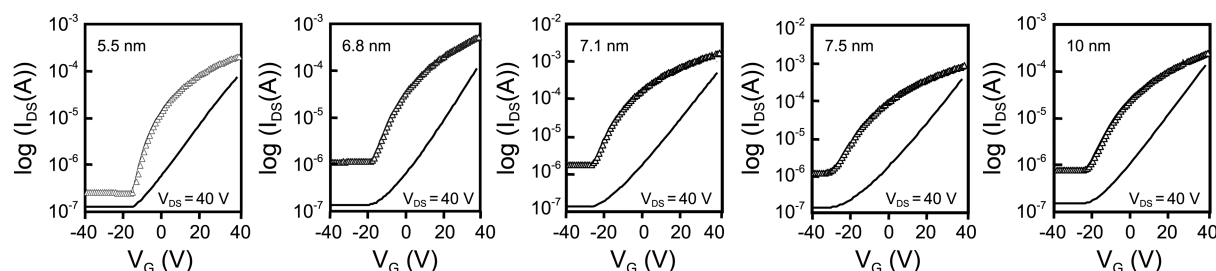


**Figure 3.** Thermogravimetric analysis–TGA and DTG for  $\text{In}_2\text{O}_3$  NCs. TGA runs were conducted at a constant heating rate of  $10\text{ }^\circ\text{C}/\text{min}$  in air. Heating process was carried up to  $900\text{ }^\circ\text{C}$ .



**Figure 4.** (a) Schematic structure and cross-SEM image of the  $\text{p-Si}^{++}/\text{SiO}_2/\text{NC}$  film cured at  $350\text{ }^\circ\text{C}/\text{Al}$  source-drain TFT device. Channel width ( $W$ ) and channel length ( $L$ ) ratio was 10/1 (b) Schematic structure of NCs channel layer on Si substrate before and after annealing at  $350\text{ }^\circ\text{C}$  for 3 h (right) and relative schematic representation of their coupling energy diagram (left) before and after annealing; after curing, interdot distance was smaller than  $3.8\text{ nm}$  ( $s < 3.8\text{ nm}$ ) producing a higher coupling energy. (c) the  $n$ -channel semiconductor NCs TFT operator under high fields  $V_G > 0$  and  $V_D > 0$ .

surface bonded molecules, resulting in the thermograms in Figure 3. The weight retention profile was observed to reach a plateau at  $\sim 600\text{ }^\circ\text{C}$ , confirming that there was no organic material remaining on the  $\text{In}_2\text{O}_3$  NCs. From the DTG curves, it could be suggested that the decomposition of remaining acetic acid and water occurred in the period from room temperature up to  $156\text{ }^\circ\text{C}$  (confirmed by the small percent-



**Figure 5.** Transfer curve of five TFT device ( $V_{DS} = 40$  V), with NCs size in the following order: 5.5 nm NCs, 6.8 nm NCs, 7.1 nm NCs, 7.5 nm NCs and 10 nm NCs. Volt gate  $V_G$  was limited to 40 V (from  $-40$  V to  $40$  V).

age of lost weight, around 3%). This was followed by decomposition of OA ligand, from  $156$  °C to approximately  $523$  °C, expressed as a 20% reduction of the weight percentage.

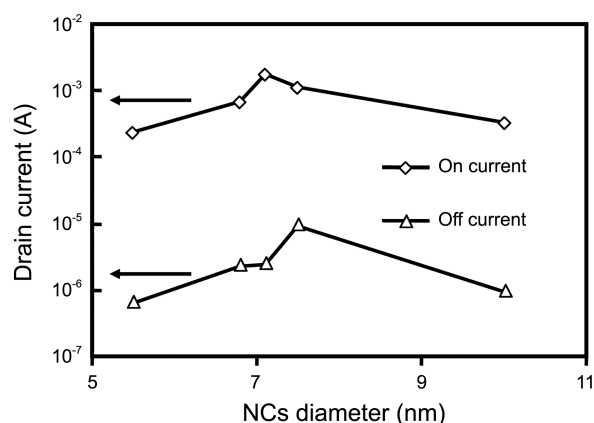
In order to explore the applicability of the  $\text{In}_2\text{O}_3$  NCs into TFT devices, TFT devices with a structure of  $\text{p-Si}^{++}/\text{SiO}_2/\text{NC film}/\text{Al}$  source-drain were fabricated using a thin film of the NCs on the  $\text{SiO}_2/\text{p-Si}^{++}$  substrate cured at  $350$  °C. The structure of these TFT was schematically shown in Figure 4(a). Thickness of the  $\text{SiO}_2$  and  $\text{In}_2\text{O}_3$  NCs film with a crystal size of  $5.5$  nm were determined by cross-sectional SEM to be about  $100$  nm and  $100$  nm, respectively. Five TFT devices with a structure of  $\text{p-Si}^{++}/\text{SiO}_2/\text{NCs film}/\text{Al}$  source-drain were fabricated for comparison. NCs films used as channel layer were made from the crystal sizes of  $5.5$  nm,  $6.8$  nm,  $7.1$  nm,  $7.5$  nm, and  $10$  nm. Figure 4(b) shows a schematic representation of the proposed structure of the channel  $\text{In}_2\text{O}_3$  NCs layer on Si substrate, before and after the films were cured, corresponding to the schematic coupling energy diagram showing the respective differences. After curing, the thickness of the NCs layer was suggested to be decreased, and the films underwent structural variation as depicted. As schematically drawn, the interdistance before curing was estimated to be  $38$  Å, while the surfaces of the two adjacent NC were separated by 2 times the oleic acid (OA) length. OA length was geometrically optimized by using the Gaussian 03 package at B3LYP level and 6-31\*\* basic set. After curing, the new interdistance generated, which is denoted  $s$ , was suggested to be smaller than  $38$  Å because of the significant removal of the organic capping groups. This reduction of the interdistance lead to a higher electron exchange coupling and increased electron mobility, as depicted in the schematic representation of their coupling energy diagram before and after curing.

To explain further surface structure information, we come back to the simultaneous FT-IR spectra (Fig. 2(b)). Complementary FT-IR spectra of  $\text{In}_2\text{O}_3$  NCs films deposited on silicon wafer were obtained under 3 h annealing temperatures at  $80$  °C and  $350$  °C. At  $80$  °C, the carboxylic group ( $\text{COO}^-$ ), alkenyl C-H stretch ( $\text{C}^{\text{sp}2}\text{-H}$ ) group and alkyl C-H stretch ( $\text{C}^{\text{sp}3}\text{-H}$ ) group dominantly appeared on the surface. After the films were cured at  $350$  °C, most of the organic groups were removed *via* decomposition as we can see in the intensity of peaks. This means that the oleic acid group still remained on surface of NCs, even after curing at  $350$  °C, as

depicted in Figure 4(b). Figure 4(c) depicted the electron transport in the main channel current of a TFT device under high fields.

Transfer curves was studied by sweeping  $V_G$  from  $-40$  to  $+40$  V at  $V_{DS} = 40$  V (Figure 5), for those devices which exhibited the  $n$ -channel TFT characteristic. The saturated mobility ( $\mu_{\text{sat}}$ ) for TFT saturated regions was calculated by fitting a straight line to the plot of the square root of  $I_{DS}$  and  $V_G$  according to the expression:  $I_{DS} = (C_i \mu_{\text{sat}} W/2L)(V_G - V_T)^2$ , where  $I_{DS}$  is the drain current,  $W$  and  $L$  are the channel width and length, respectively,  $\mu_{\text{sat}}$  is the field-effect mobility,  $C_i$  is the capacitance per unit area, and  $V_T$  is the threshold voltage. Value of mobility ( $\mu$ ), threshold voltage ( $V_T$ ), and  $I_{\text{on}}/I_{\text{off}}$  ratio for those TFT devices were calculated and summarized in Table 1. Briefly, by comparison of the mobility of these films, we obtained significant mobility values reaching  $2.5 \pm 0.14$  ( $\text{cm}^2/\text{V}\cdot\text{s}$ ), with  $V_T$  and  $I_{\text{on}}/I_{\text{off}}$  were  $-22 \pm 3.5$  (V) and  $2 \times 10^3$ , respectively for  $\text{In}_2\text{O}_3$  NCs size of about  $7.1$  nm. After the diameter of the NCs increased over  $7.1$  nm to  $7.5$  nm, a decreased in mobility of 1.5 times was observed, down to  $1.35 \pm 0.19$  ( $\text{cm}^2/\text{V}\cdot\text{s}$ ). A more dramatic decreased of 5 times was observed when the diameter reached  $10$  nm, providing a value of  $0.44 \pm 0.09$  ( $\text{cm}^2/\text{V}\cdot\text{s}$ ). Evidently, the mobility was non-monotonically dependent on the size of NCs.

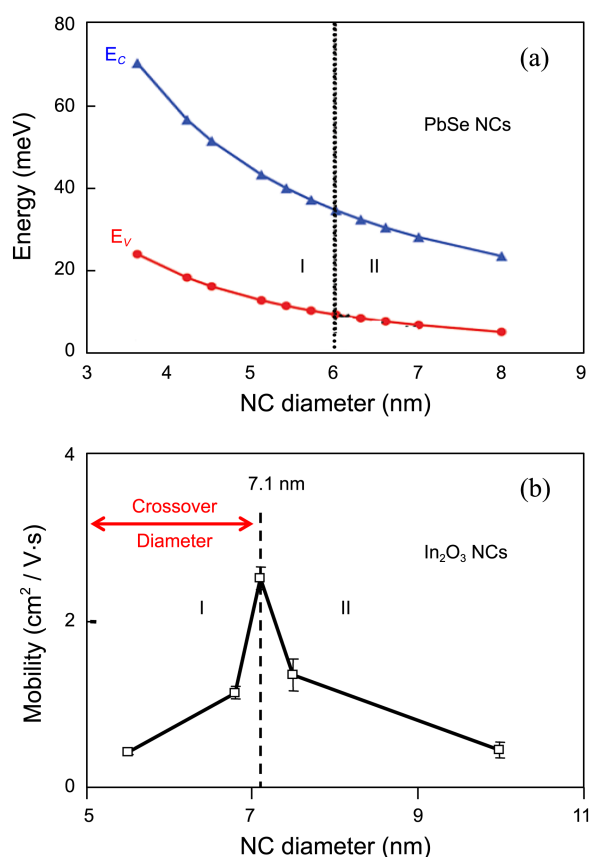
Figure 6 showed the changes in the electrical parameters such as on and off current based on the diameter of the NCs. Threshold voltage value (Table 1) in our devices were



**Figure 6.** Size dependent on and off current. The off current state was  $\sim 10^{-6}$  to  $10^{-5}$  and the on current state was  $10^{-3}$  to  $10^{-2}$ .

situated in a minus value; the off state (Figure 6) stayed in the range of approximately of  $10^{-6}$  to  $10^{-5}$ , which was quite high compared with the off state for amorphous  $\text{In}_2\text{O}_3$  previously reported (Marks *et al.*:  $\sim 10^{-11}$ ,<sup>5,6</sup> Han *et al.*:  $\sim 10^{-9}$ <sup>23</sup>). This demonstrates that there are an excess of free electrons for electrical conduction in our NCs films. The main factor controlling the excess of free electrons was the well known oxygen vacancy. The electron was generated easily from oxygen vacancy according to the following equation:  $\text{O}_\text{o}^\times \rightarrow 1/2\text{O}_2 + \text{V}_\text{o}^{\bullet\bullet} + 2\text{e}^-$  (3). The generation of a doubly-charged oxygen vacancy ( $\text{V}_\text{o}^{\bullet\bullet}$ ) and two free electrons is accelerated by the temperature from the oxide lattice ( $\text{O}_\text{o}^\times$ ).<sup>9,23,24</sup> In particular, decreasing the off-state current ( $I_\text{off}$ ) and controlling the shift of  $V_\text{T}$  to a positive value by suppressing the generation of oxygen vacancy is crucial for enhancing the electrical properties of our  $\text{In}_2\text{O}_3$  NCs.<sup>23-25</sup> It should be possible to alter  $V_\text{T}$  and decrease  $I_\text{off}$  state via control of oxygen vacancy through optimized annealing, and modification of the initial material (for example: doping Ga, Zn). In addition, the use of high-K electrics to reduce operating voltage has been widely applied to these semiconductor oxide systems.<sup>4,5,9,26,27</sup>

Previously, Sim *et al.*<sup>26</sup> and Matt *et al.*<sup>27</sup> reported the non-monotonic behavior of electron mobility with a change in PbSe NCs size. This behavior was again explained by investigating three factors: the number of hops, charging energy ( $E_\text{C}$ ) and electronic coupling ( $E_\text{V}$ ). Figure 7(a) showed the charging energy ( $E_\text{C}$ ) and the coupling energy ( $E_\text{V}$ ) of PbSe NCs, which was calculated from the double quantum-well model as a function of NC size.<sup>26</sup> From the experimental data, PbSe NCs mobility was separated to two regions. Region (I) showed an increase in mobility correspondent to an increase in size, where the diameter ( $d$ ) of NCs is less than 6 nm ( $d < 6$  nm). Region (II) showed a decrease in mobility corresponding to an increase in size, where  $d$  is greater than 6 nm ( $d > 6$  nm). Clearly, both the  $E_\text{C}$  (blue dot line) and  $E_\text{V}$  (red dot line) decrease with the growing size of NCs, which conflict with each other. In region (I), the  $E_\text{V}$  was ruled out, and the decreasing charging energy  $E_\text{C}$  and the smaller number of hops needed for carriers to travels across a channel composed of the lager size were mainly considered for the monotonically increase of mobility. On the other hand, in region (II) the number of hops and charging energy was negligible, instead showing that the coupling energy  $E_\text{V}$  was a more decisive factor for controlling the mobility. In other words, the decrease of mobility in region (II) was due to the smaller electronic coupling energy. Sim *et al.* used the characteristic crossover diameter (NC size) concept to determine the transition point whereby the role of the number of hops, charging energy, and electronic coupling being responsible for the mobility are changed. Consequently, the crossover diameter of PbSe NCs was estimated to be 6.1 nm, indicating that, for the case with  $d > 6$  nm, the decrease in the electronic coupling was found to deteriorate the electron mobility. This phenomenon was observed with case of  $\text{In}_2\text{O}_3$  NCs with NC sizes ranging from 5.5 nm to 10 nm. The implied crossover diameter was 7.1 nm, where the electron



**Figure 7.** (a) Size dependent charging energy ( $E_\text{C}$ ) along with electronic coupling ( $E_\text{V}$ ) of PbSe NCs; Region I was defined where the diameter of NCs was  $\leq 6$  nm, whereas region II was defined where the diameter of NCs was  $> 6$  nm, according to reference 8. (b) Size dependent mobility of  $\text{In}_2\text{O}_3$  NCs; Region I was defined where diameter  $d \leq 7.1$  nm, whereas, region II was defined where  $d > 7.1$  nm. Crossover diameter was estimated to be 7.1 nm.

mobility increased up to a value of  $2.51 \text{ cm}^2/\text{V}\cdot\text{s}$  before showing a drop off in mobility.

In the consideration of chemically synthesized NCs for electronic applications, the properties of ligand length, size, shape of NCs, and composition of individual NCs have been explored as the building blocks for thin film transistors. As we know, OA capping allows the NCs to form a stable colloidal solution; however, a highly insulating layer of bulky ligands is formed on the surface of the NCs, which displays poor charge transport properties due to weak exchange coupling. In 2005, Talapin *et al.* first reported PbSe NCs capped with oleic acid as a starting material. The NCs film showed to be very insulating, with a conductivity of  $\sigma \approx 10^{-10} \text{ S}\cdot\text{cm}^{-1}$ .<sup>28</sup> In this study, we started with monodisperse  $\text{In}_2\text{O}_3$  capped oleic acid NCs with a well-controlled size and interdistance between NCs.  $\text{In}_2\text{O}_3$  NCs thin film was cured at  $350^\circ\text{C}$ , and its field-effect mobility was found to be significantly affected by the change in size of the NCs, showing an electron mobility of about  $2.51 \text{ cm}^2/\text{V}\cdot\text{s}$  for NCs of 7.1 nm in size. Thus, the OA ligand used in this study was considered to be the main factor that inhibited the transport properties of our NCs thin film. The interdistance between NCs has not



been investigated yet in this paper; the ligand exchange process for shorter ligands was expected to significantly enhance the interaction between NCs, and improve the conductivity of NC solid. This issue will be further investigated with more controlled experiments in a separate study.

### Conclusion

In summary, i) we have demonstrated the formation of  $\text{In}_2\text{O}_3$  nanocrystals with sizes ranging from 5.5–10 nm. Oleic acid was found to be the main coverage on the surface of the NCs (Oleic acid 90%). ii) Oleic acid capped indium oxide nanocrystals ( $\text{In}_2\text{O}_3$ -OA NCs) thin films were fabricated by the spin-coating process, then cured at 350 °C for 3 h, showing a high-mobility TFT performance above 2.5  $\text{cm}^2/\text{V}\cdot\text{s}$  mobility, and  $\sim 10^3$  on off current ratio ( $I_{\text{on}}/I_{\text{off}}$ ). Our material was considered to greatly enhance the mobility exhibited by these devices for solution-processed indium oxide NCs on  $\text{SiO}_2$  substrate. iii) Interestingly, non-monotonic behavior of electron mobility with the  $\text{In}_2\text{O}_3$  NCs size was observed: the electron mobility increased from 0.43  $\text{cm}^2/\text{V}\cdot\text{s}$  for NCs with a 5.5 nm diameter to 2.51  $\text{cm}^2/\text{V}\cdot\text{s}$  for NCs with a 7.1 nm diameter, dropping off after that for larger NCs. The decreasing charging energy  $E_C$  and the smaller number of hops was used to explain the enhanced mobility along with the size increase up to 7.1 nm. Furthermore, the decrease in mobility as the size was increased further was due to the smaller coupling energy  $E_V$ . The cross-over diameter where electron mobility reached its maximum varies was about 7.1 nm.

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