

## JACOBSON RADICAL AND NILPOTENT ELEMENTS

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ABSTRACT. In this article we consider rings whose Jacobson radical contains all the nilpotent elements, and call such a ring an  $NJ$ -ring. The class of  $NJ$ -rings contains  $NI$ -rings and one-sided quasi-duo rings. We also prove that the Koethe conjecture holds if and only if the polynomial ring  $R[x]$  is  $NJ$  for every  $NI$ -ring  $R$ .

### 1. Introduction

Throughout  $R$  denotes an associative ring with identity unless otherwise stated. An element  $a \in R$  is *nilpotent* if  $a^n = 0$  for some integer  $n \geq 1$ , and an (one-sided) ideal is *nil* if all the elements are nilpotent.  $R$  is *reduced* if it has no nonzero nilpotent elements. For a ring  $R$ ,  $Nil(R)$ ,  $N(R)$ , and  $J(R)$  denote the set of all the nilpotent elements, the nil radical, and the Jacobson radical of  $R$ , respectively. Note that  $N(R) \subseteq Nil(R)$  and  $N(R) \subseteq J(R)$ . Due to Marks [14],  $R$  is called an *NI-ring* if  $Nil(R) \subseteq N(R)$  (or equivalently  $N(R) = Nil(R)$ ). Thus  $R$  is *NI* if and only if  $Nil(R)$  forms an ideal if and only if the factor ring  $R/N(R)$  is reduced. Hong et al [8, corollary 13] proved that  $R$  is *NI* if and only if every minimal strongly prime ideal of  $R$  is completely prime. Since  $N(R) \subseteq J(R)$ , it is natural to consider the rings in which  $J(R)$  contains  $Nil(R)$ . We call  $R$  an *NJ-ring* if  $Nil(R) \subseteq J(R)$ . Note that an element  $a \in R$  is *left quasi-regular* if  $1 - a$  is left invertible, and a one-sided ideal  $I$  is *left quasi-regular* if every element of  $I$  is left quasi-regular. Since  $J(R)$  is the (unique) largest left quasi-regular left ideal and contains all the left quasi-regular left ideals [7, Theorem 1.2.3], a ring  $R$  is *NJ* if and only if  $ra$  is left quasi-regular for every  $a \in Nil(R)$  and  $r \in R$ .

*Remark 1.1.* If  $R$  is an *NJ* ring and  $ab = 1$  for  $a, b \in R$ , then  $ba = 1$ . To see this, first note that  $ba$  and  $1 - ba$  are idempotents and  $(1 - ba)b = b - bab = b - b(ab) = 0$ . So  $[b(1 - ba)]^2 = 0$ , and  $b(1 - ba) \in J(R)$ . Now  $1 - ba =$

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$ab(1 - ba) = a(b - (1 - ba)) \in J(R)$ . This means that the idempotent  $1 - ba$  is left quasi-regular, so must be zero, thus  $ba = 1$ .

A ring  $R$  is called *left(resp. right) quasi-duo* [17] if every maximal left(resp. right) ideal is two sided, thus  $R$  is left(resp. right) quasi-duo if and only if every left(resp. right) primitive factor ring of  $R$  is a division ring. Since  $J(R)$  is the intersection of all the (left) primitive ideals, every one-sided quasi-duo rings is  $NJ$ . By Remark 1.1, if  $R$  is a one-sided quasi-duo ring and  $ab = 1$  for  $a, b \in R$  then  $ba = 1$ .

A ring  $R$  is called *semicommutative* if  $ab = 0$  for  $a, b \in R$  implies that  $aRb = 0$ . If  $R$  is semicommutative and  $a^2 = 0$  for  $a \in R$ , then  $aRa = 0$ . This means that  $(Ra)^2 = 0$ , hence  $a \in Ra \subseteq J(R)$ . So  $R$  is  $NJ$ .

## 2. Examples and Properties of $NJ$ ness

In this section, we investigate properties of  $NJ$ -rings and construct several examples related to the rings.

$NJ$ -rings need not be  $NI$  or one-sided quasi-duo, by the following examples. For a ring  $R$  and an integer  $n \geq 1$ ,  $Mat_n(R)$  denotes the  $n \times n$  matrix ring over  $R$ .

**Example 2.1.** (1) Let  $F$  be any field of characteristic 0 and

$$A = M_2(F), B = \left\{ \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} \mid a, b \in F \right\} \text{ and } C = \left\{ \begin{pmatrix} 0 & b \\ 0 & 0 \end{pmatrix} \mid b \in F \right\}.$$

Then  $B$  is a subring of  $A$  and  $C$  is a nilpotent ideal of  $B$ . Let  $R = B + A[[x]]x$ , then  $J(R) = C + A[[x]]x$ . This means that  $R$  is  $NJ$ . On the other hand, consider  $f(x) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} x$  and  $g(x) = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} x \in N(R)$ . Then  $(f + g)^k = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}^k x^k \neq 0$  for each  $k \geq 1$ . Therefore,  $R$  is  $NJ$  which is not  $NI$ .

(2) If a field  $F$  has characteristic 0, then the first Weyl algebra  $W(F)$  over  $F$  is a simple domain but not a division ring, So  $W(F)$  is  $NJ$ , but not left quasi-duo.

For an ideal  $I$  of  $R$  and an idempotent  $e = e^2 \in R$ ,  $J(I) = I \cap J(R)$  and  $J(eRe) = eRe \cap J(R)$ . Hence the followings hold.

**Proposition 2.2.** (1)  $R$  is  $NJ$  if and only if so is any ideal  $I$  of  $R$  (as a ring which may not have identity).

(2)  $R$  is  $NJ$  if and only if so is  $eRe$  for any idempotent  $0 \neq e$  in  $R$ .

**Theorem 2.3.** Let  $\{R_\lambda \mid \lambda \in \Lambda\}$  be a class of  $NJ$  rings. Then we have the following :

(1)  $\prod_{\lambda \in \Lambda} R_\lambda$  is  $NJ$ .

(2) If  $\{R_\lambda | \lambda \in \Lambda\}$  is a directed system, then the direct limit of  $\{R_\lambda | \lambda \in \Lambda\}$  is  $NJ$ .

(3) If the index set  $\Lambda$  is finite, then the subdirect product of  $R_\lambda$  is  $NJ$ .

*Proof.* (1) holds by the fact that

$$J\left(\prod_{\lambda \in \Lambda} R_\lambda\right) = \prod_{\lambda \in \Lambda} J(R_\lambda).$$

(2) is trivial by the definition.

For (3), it satisfies to show that the subdirect product  $R$  of two  $NJ$  rings  $R_1$  and  $R_2$  is also  $NJ$ . By the property of subdirect products, there are two ideals  $A_1$  and  $A_2$  of  $R$  such that  $A_1 \cap A_2 = 0$  and  $R_i \cong R/A_i$  for any  $i = 1, 2$ . Let  $r \in R$  and  $x \in Nil(R)$ . Since  $R_1$  and  $R_2$  are  $NJ$ , we have the following :  $b_1(1-rx) = 1+a_1$  and  $b_2(1-rx) = 1+a_2$ , for some  $b_1, b_2 \in R$ ,  $a_1 \in A_1$ ,  $a_2 \in A_2$ . This implies that  $[b_1 + b_2 - b_1(1-rx)b_2](1-rx) = 1 - a_1a_2 = 1$ , since  $a_1a_2 \in A_1 \cap A_2 = 0$ . Therefore,  $1-rx$  is left invertible, entailing that  $R$  is  $NJ$ .  $\square$

*Remark 2.4.* For a ring  $R$ ,

(1) if  $R$  is one-sided quasi-duo, then  $\frac{R}{J(R)}$  is reduced.

(2)  $\frac{R}{J(R)}$  is reduced if and only if  $\frac{R}{J(R)}$  is  $NJ$ .

(3) if  $\frac{R}{J(R)}$  is  $NJ$ , then  $R$  is  $NJ$ .

*Proof.* (1) If  $a^2 \in J(R)$ , then  $a^2 \in P$  for every left primitive ideal  $P$  of  $R$ . Hence  $a \in P$  since  $\frac{R}{P}$  is a division ring, and so  $a \in \bigcap \{P \mid P \text{ a left primitive ideal of } R\} = J(R)$ . Thus  $\frac{R}{J(R)}$  is reduced.

(2) Since reduced rings are  $NJ$ , it suffices to prove that the sufficient condition. Suppose  $\frac{R}{J(R)}$  is  $NJ$  and  $a^2 \in J(R)$ ; then  $\bar{a} = a + J(R)$  is nilpotent, so  $\bar{a} = a + J(R) \in J(\frac{R}{J(R)}) = (0)$ . Thus  $\frac{R}{J(R)}$  is reduced.

(3) If  $a \in R$  and  $a^2 = 0$ , then  $\bar{a}^2 = 0$  in  $\frac{R}{J(R)}$  and so  $\bar{a} = 0$ . This shows that  $a \in J(R)$ .  $\square$

By Remark 2.4 (3) if  $\frac{R}{J(R)}$  is  $NJ$  then  $R$  is  $NJ$ , but the converse is not true in general by the following example.

**Example 2.5.** Let  $A = \{\frac{b}{a} \mid a, b \in \mathbb{Z}, 3 \nmid a\}$  be the localization of  $\mathbb{Z}$  at 3 and let  $B = \{\frac{3b}{a} \mid a, b \in \mathbb{Z}, 3 \nmid a\}$  the unique maximal ideal of  $A$ . Then  $B = J(A)$  and  $A/B \cong \mathbb{Z}_3$ . Let  $R = A + Ai + Aj + Ak$  be a subring of the Hamilton quaternions and  $M = B + Bi + Bj + Bk = 3R$ . Trivially  $R$  is  $NJ$ .

Now we claim that  $M = J(R)$ . First we prove that  $M$  is left quasi-regular. Indeed, let  $0 \neq \alpha \in M$  then  $\alpha = \frac{3}{s}(a + bi + cj + dk)$ , where  $a, b, c, d \in \mathbb{Z}$  and  $3 \nmid s$  and so that  $1 - \alpha = \frac{s-3a}{s} + \frac{-3b}{s}i + \frac{-3c}{s}j + \frac{-3d}{s}k$ . Put  $t = s - 3a$ , then  $u = s/t \in A$  is a central unit in  $A$  and  $u(1 - \alpha) = 1 + \frac{-3b}{t}i + \frac{-3c}{t}j + \frac{-3d}{t}k$ . Let  $\beta = 1 + \frac{3b}{t}i + \frac{3c}{t}j + \frac{3d}{t}k$ , then  $v\beta u(1 - \alpha) = 1$  where  $v = \frac{t^2}{t^2 + 9b^2 + 9c^2 + 9d^2} \in A$ . Thus  $\alpha$  is a left quasi-regular element and  $M$  is a left quasi-regular ideal. In order to

show that  $M$  is a maximal ideal of  $R$ , let  $\alpha = \frac{1}{s}(a + bi + cj + dk) \in R$ ,  $\alpha \notin M$ , say  $3 \nmid b$ . Let  $\beta = k\alpha - \alpha k$ . Then  $\beta = \frac{2}{s}(bj - ci) \notin M$  and  $i\beta - \beta i = \frac{4}{s}bk$ . Since  $\frac{4}{s}b$  is a unit in  $A$ , we have  $1 \in R\alpha R$ . Thus  $M = 3R$  is maximal. Hence  $M = J(R)$ . Now let  $a = (i + j + k) + J(R)$ , then  $a \neq 0$  and  $a^2 = 0$  in  $\frac{R}{J(R)}$ . Thus  $\frac{R}{J(R)}$  is not reduced, hence is not  $NJ$  by Remark 2.4.

### 3. Related Rings to $NJ$ ness

In this last section, we investigate related rings to  $NJ$ -rings and prove some equivalent conditions to the Koethe conjecture. Note that a subring  $S$  of  $R$  is *unital* if  $1_R \in S$ . The following results are given by Rowen [16].

**Lemma 3.1.** ([16, Proposition 2.5.17]). *Let  $S$  be a unital subring of a ring  $R$ .*

(1) *If every elements of  $S$  which is invertible in  $R$  is already invertible in  $S$ , then  $S \cap J(R) \subseteq J(S)$ .*

(2) *If  $S$  is left Artinian then  $S \cap J(R) \subseteq J(S)$  is nilpotent.*

Note that  $Nil(S) = S \cap Nil(R) \subseteq S \cap J(R)$  for any subring  $S$  of an  $NJ$  ring  $R$ .

**Proposition 3.2.** *For each case of Lemma 3.1, if  $R$  is  $NJ$ , then so is  $S$ .*

For any ring  $R$ , we have  $J(R[[x; \theta]]) = J(R) + J[[x; \theta]]x$ , where  $\theta$  is an endomorphism of  $R$ . An endomorphism  $\theta$  of  $R$  is *locally finite order* if for any  $r \in R$  there is an integer  $n \geq 1$ , depending on  $r$ , such that  $\theta^n(r) = r$ . Thus, we conclude the following theorem.

**Theorem 3.3.** *Let  $\theta$  be an endomorphism of a ring  $R$ . Then  $R$  is  $NJ$  if and only if  $R[[x; \theta]]$  is  $NJ$ .*

Due to Bedi and Ram [3, Theorem 3.1] for any automorphism  $\theta$  of a ring  $R$ , we have the following:

(1)  $J(R[x; \theta]) = I \cap J(R) + I[x; \theta]x$ ;

(2)  $J(R[x, x^{-1}; \theta]) = K[x, x^{-1}; \theta] \subseteq J(R)[x, x^{-1}; \theta]$  and  $J(R[x, x^{-1}; \theta]) \cap R[x; \theta] \subseteq J(R[x; \theta])$ , where  $I = \{r \in R \mid rx \in J(R[x; \theta])\}$  and  $K = J(R[x, x^{-1}; \theta]) \cap R$ .

In addition, if  $\theta$  is of locally finite order then  $I$  and  $K$  are nil ideals, and so  $J(R[x; \theta]) = I[x; \theta]$ .

**Lemma 3.4.** *Let  $\theta$  be an automorphism of locally finite order and  $R[x; \theta]$  is  $NJ$ . Then  $R$  is  $NI$  and  $J(R[x; \theta]) = N(R)[x; \theta]$ .*

*Proof.* By [3, Theorem 3.1]  $J(R[x; \theta]) = N[x; \theta]$  for some nil ideal  $N$  of  $R$ . Since  $R[x]$  is  $NJ$ ,  $Nil(R) \subseteq J(R[x; \theta]) \subset N(R)[x; \theta]$ . Thus  $Nil(R) = N(R)$ , and hence  $R$  is  $NI$ ,  $J(R[x; \theta]) = N(R)[x; \theta]$ . Hence  $R$  is  $NI$  and  $J(R[x; \theta]) = N(R)[x; \theta]$ .  $\square$

**Corollary 3.5.** *If  $R[x]$  is  $NJ$ , then  $R$  is  $NI$  and  $J(R[x]) = N(R)[x]$ .*

*Remark 3.6.* By Lemma 3.4 and [13, Theorem 4.1], if an automorphism  $\theta$  of a ring  $R$  is of locally finite order, then  $R[x; \theta]$  is  $NJ$  if and only if it is one-sided quasi-duo. Therefore, in this case, one-sided quasi-duo condition is left right symmetric.

**Proposition 3.7.** *For a ring  $R$ , the following conditions are equivalent:*

- (1)  $R[x]$  is  $NJ$ .
- (2)  $R$  is  $NI$  and  $J(R[x]) = N(R)[x]$ .
- (3)  $\frac{R[x]}{J(R[x])}$  is reduced.

*In particular, if  $R[x]$  is  $NJ$  then so is  $\frac{R[x]}{J(R[x])}$ .*

*Proof.* (1)  $\Rightarrow$  (2) is by Corollary 3.5.

(2)  $\Rightarrow$  (3) Suppose  $R$  is  $NI$  and  $J(R[x]) = N(R)[x]$ . Then  $\frac{R[x]}{J(R[x])} = \frac{R[x]}{N(R)[x]} \cong (\frac{R}{N(R)})[x]$  is a reduced ring, since  $\frac{R}{N(R)}$  is reduced.

(3)  $\Rightarrow$  (1) is trivial. □

In 1930, G.Koethe raised the following question which is known as the Koethe conjecture:

”Does a ring  $R$  with nonzero one-sided nil ideal have  
a nonzero two-sided ideal?”

In spite of great effort of many reserchers, it remains still open. However many equivalent properties have been found. Below we list some of them.

*Remark 3.8.* The followings are equivalent:

- (1) The Koethe conjecture holds.
- (2) For any ring  $R$  if  $A$  and  $B$  are left nil ideals then  $A + B$  is nil.
- (3)  $J(R[x]) = N(R)[x]$  for any ring  $R$ .
- (4)  $N(Mat_2(R)) = Mat_2(N(R))$  for any ring  $R$
- (5)  $N(Mat_n(R)) = Mat_n(N(R))$  for any ring  $R$  and integer  $n \geq 1$

*Proof.* See [5, 6, 10, 11, 15]. □

Note that if  $R$  is  $NI$ , then  $Nil(R)$  forms a subring, and if  $Nil(R)$  forms a subring, then the sum of any two nil left ideals is nil. So the Koethe conjecture holds for this kind of rings.

There is an example of  $NJ$ -ring  $R$  in which  $Nil(R)$  is not a subring, and an example of non  $NJ$ -ring in which  $Nil(R)$  is a subring.

**Example 3.9.** (1) Let  $R$  be the ring in Example 2.1(1). Then  $R$  is  $NJ$ , but  $Nil(R)$  is not a subring of  $R$  as can be seen by the nilpotent elements

$$f(x) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} x \text{ and } g(x) = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} x.$$

(2) Let  $K$  be a field and  $A = K\{a, b\}$  be the free algebra generated by the noncommuting indeterminates  $a, b$  over  $K$ . Let  $I$  be the ideal of  $A$  generated by  $b^2$  and set  $R = A/I$ . Let  $\bar{c} = c+I$  be the image of  $c \in A$  in  $R$ . Then  $\text{Nil}(R)$  forms a subring of  $R$  by [2, Corollary 3.3 and Example 4.8]. Note that  $\bar{b} \in \text{Nil}(R)$ . Assume  $\bar{b} \in J(R)$ , then there exists  $\bar{c} \in R$  such that  $1 = (1 - \bar{c})(1 - \bar{a}\bar{b}) = (1 - \bar{a}\bar{b})(1 - \bar{c})$ . Then  $\bar{c}\bar{a}\bar{b} = \bar{a}\bar{b}\bar{c} = \bar{c} + \bar{a}\bar{b}$ . However, note that  $A$  is  $F$ -graded and  $I$  is a homogeneous ideal, so  $R$  is  $F$ -graded. Therefore (by comparing the degrees of the homogeneous components) the equalities  $\bar{c}\bar{a}\bar{b} = \bar{c} + \bar{a}\bar{b}$  is impossible, since  $\bar{a}\bar{b}\bar{a}\bar{b} \neq 0$ , hence  $R$  is not  $NJ$ .

The converse of Corollary 3.5 is equivalent to the Koethe's conjecture, and the following is a main result of this article.

**Theorem 3.10.** *The following are equivalent.*

- (1) *The Koethe conjecture holds.*
- (2) *For any ring  $R$ ,  $J(R[x]) = N(R)[x]$ .*
- (3) *For any NI-ring  $R$ ,  $R[x]$  is an  $NJ$ -ring.*

*Proof.* (1)  $\Leftrightarrow$  (2) is obtained by [10, Theorem 22].

(2)  $\Rightarrow$  (3) Suppose  $R$  is  $NI$ , then by the condition(2)  $J(R[x]) = N(R)[x] = \text{Nil}(R)[x]$ . Thus  $\frac{R[x]}{J(R[x])} = \frac{R[x]}{N(R)[x]} = \left(\frac{R}{N(R)}\right)[x]$  is reduced, hence  $R[x]$  is  $NJ$ .

(3)  $\Rightarrow$  (2) Let  $R$  be a ring and  $S = \{(m, a) \mid m \in \mathbb{Z}, a \in N(R)\}$ .

Define addition and multiplication in  $S$  by

$$(m, a) + (n, b) = (m + n, a + b), (m, a)(n, b) = (mn, mb + na + ab)$$

for  $m, n \in \mathbb{Z}$ ,  $a, b \in N(R)$ . Then  $S$  is an  $NI$  ring with identity  $1_S = (1, 0)$  and  $\text{Nil}(S) = \{(0, a) \mid a \in N(R)\} = N(S)$ . So by condition (3),  $S[x]$  is  $NJ$  and so  $J(S[x]) = N(S)[x] \cong N(R)[x]$ . Thus  $N(R)[x] (\cong J(S[x]))$  is a left quasi-regular ideal of  $R[x]$ , and hence  $N(R)[x] \subseteq J(R[x])$ . Therefore we have  $J(R[x]) = N(R)[x]$  by a Theorem of Amitsur [1, Theorem 2.5.23].  $\square$

Using the above theorem, we can construct an example that  $NJ$  condition is not closed under subrings.

**Example 3.11.** Let  $R$  be the ring in Example 2.1(1). Then  $R$  is  $NJ$  but not  $NI$ . Thus,  $S = R[[x]]$  is also  $NJ$  by the Theorem 3.3. However its subring  $T = R[x]$  is not  $NJ$  by Lemma 3.4.

Due to Lam and Leory [12, 13] a subring  $S$  of a ring  $R$  is called a (*right*) *corner ring* of  $R$  if there exists an additive subgroup  $C$  of  $R$  such that  $R = S \oplus C, CS \subseteq C$ . The subgroup  $C$  is called a *complement* of  $S$ .

A corner ring  $S$  of a ring  $R$  is called *Peirce corner* if there is an idempotent  $e = e^2 \in R$  such that  $S = eRe$ . Lam [12] showed that every corner ring of a ring  $R$  is a unital corner of some Peirce corner of  $R$  and is also a Peirce corner of some unital corner of  $R$ .

We have the following result on corner rings.

**Theorem 3.12.** *A ring  $R$  is  $NJ$  if and only if so is every (right) corner ring of  $R$ .*

*Proof.* If we choose  $e = 1_R \in R$  then  $R = eRe$  is a corner ring of itself. Thus, we only prove that the necessary condition of this theorem. Let  $R$  be an  $NJ$  ring. By Proposition 2.2(2) every Peirce corner ring of  $R$  is  $NJ$ . Now consider the case of right unital corner ring of  $R$ . Let  $S$  be a right unital corner ring of  $R$ . Let  $a \in N(S)$  and  $s \in S$ . Since  $R$  is  $NJ$ , there is an element  $1 - r \in R$  such that  $(1 - r)(1 - sa) = 1$ . By the definition  $r = t + c$  with  $t \in S$ ,  $c \in C$ . Now  $c(1 - sa) = 1 - (1 - t)(1 - sa) = sa + t - tsa \in C \cap S = (0)$ . Thus  $1 = (1 - r)(1 - sa) = (1 - t)(1 - sa) - c(1 - sa) = (1 - t)(1 - sa)$ , and hence  $sa$  is left quasi-regular in  $S$ . Therefore  $S$  is  $NJ$ . □

Since  $R$  is a corner of  $R[x; \theta, \delta]$  and also is a corner of upper triangular matrix rings of itself, we have the following corollary for any endomorphism  $\theta$  and a  $\theta$ -derivation  $\delta$  of  $R$ .

**Corollary 3.13.** (1) *If  $R[x; \theta, \delta]$  is  $NJ$ , then so is  $R$ .*

(2)  *$R$  is  $NJ$  if and only if the  $n \times n$  upper triangular matrix ring over  $R$  is  $NJ$  for any  $n \geq 1$ .*

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