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# THE DEGREE AND THE COPRIME-NESS FOR MATRIX-VALUED RATIONAL FUNCTIONS

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ABSTRACT. In this note we give a relationship between the degree and coprime-ness of matrix-valued rational functions.

### 1. Introduction

The aim of this note is to provide a relationship between the degree and coprime-ness of matrix-valued rational functions. We first review a few essential facts for (block) Toeplitz operators and (block) Hankel operators. Let  $L^2 \equiv L^2(\mathbb{T})$  be the set of square-integrable measurable functions on the unit circle  $\mathbb{T} \equiv \partial \mathbb{D}$  in the complex plane and  $H^2 \equiv H^2(\mathbb{T})$  be the corresponding Hardy space. Let  $L^{\infty} \equiv L^{\infty}(\mathbb{T})$  be the set of bounded measurable functions on  $\mathbb{T}$  and let  $H^{\infty} \equiv H^{\infty}(\mathbb{T}) := L^{\infty} \cap H^2$ . For a Hilbert space E, let  $L^2_E \equiv L^2_E(\mathbb{T})$  be the Hilbert space of E-valued norm square-integrable measurable functions on  $\mathbb{T}$  and  $H^2_E \equiv H^2_E(\mathbb{T})$  be the corresponding Hardy space. We observe that  $L^2_{\mathbb{C}^n} = L^2 \otimes \mathbb{C}^n$  and  $H^2_{\mathbb{C}^n} = H^2 \otimes \mathbb{C}^n$ . Let  $M_{n \times m}$  denote the set of  $n \times m$  complex matrices and write  $M_n := M_{n \times n}$ . If  $\Phi$  is a matrix-valued function in  $L^{\infty}_{M_n} \equiv L^{\infty}_{M_n}(\mathbb{T}) (= L^{\infty}(\mathbb{T}) \otimes M_n)$ , then the block Toeplitz operator  $T_{\Phi}$  and the block Hankel operator  $H_{\Phi}$  on  $H^2_{\mathbb{C}^n}$  are defined by

$$T_{\Phi}f = P(\Phi f)$$
 and  $H_{\Phi}f = JP^{\perp}(\Phi f)$   $(f \in H^2_{\mathbb{C}^n}),$ 

where P and  $P^{\perp}$  denote the orthogonal projections that map from  $L^2_{\mathbb{C}^n}$  onto  $H^2_{\mathbb{C}^n}$  and  $(H^2_{\mathbb{C}^n})^{\perp}$ , respectively and J denotes the unitary operator from  $L^2_{\mathbb{C}^n}$  to  $L^2_{\mathbb{C}^n}$  given by  $J(g)(z) = \overline{z}I_ng(\overline{z})$  for  $g \in L^2_{\mathbb{C}^n}$  ( $I_n :=$  the  $n \times n$  identity matrix). If n = 1,  $T_{\Phi}$  and  $H_{\Phi}$  are called the (scalar) Toeplitz operator and the (scalar) Hankel operator, respectively. For brevity we write I for the identity matrix and

$$I_{\zeta} := \zeta I \quad (\zeta \in L^{\infty}).$$

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For  $\Phi \in L^{\infty}_{M_{n \times m}}$ , write

(1.1) 
$$\widetilde{\Phi}(z) := \Phi^*(\overline{z}).$$

A matrix function  $\Theta \in H^{\infty}_{M_{n \times m}}$  is called *inner* if  $\Theta^*(z)\Theta(z) = I_m$  for almost all  $z \in \mathbb{T}$ . The following facts are clear from the definition:

(1.2) 
$$T_{\Phi}^* = T_{\Phi^*}, \quad H_{\Phi}^* = H_{\widetilde{\Phi}} \quad (\Phi \in L_{M_n}^{\infty});$$

(1.3) 
$$T_{\Phi\Psi} - T_{\Phi}T_{\Psi} = H_{\Phi^*}^* H_{\Psi} \quad (\Phi, \Psi \in L_{M_n}^\infty).$$

For a matrix-valued function  $\Phi \in H^2_{M_n \times r}$ , we say that  $\Delta \in H^2_{M_n \times m}$  is a *left* inner divisor of  $\Phi$  if  $\Delta$  is an inner matrix function such that  $\Phi = \Delta A$  for some  $A \in H^2_{M_m \times r}$   $(m \leq n)$ . We also say that two matrix functions  $\Phi \in H^2_{M_n \times r}$  and  $\Psi \in H^2_{M_n \times m}$  are *left coprime* if the only common left inner divisor of both  $\Phi$ and  $\Psi$  is a unitary constant matrix and that  $\Phi \in H^2_{M_n \times r}$  and  $\Psi \in H^2_{M_m \times r}$  are right coprime if  $\tilde{\Phi}$  and  $\tilde{\Psi}$  are left coprime. Two matrix functions  $\Phi$  and  $\Psi$  in  $H^2_{M_n}$  are said to be coprime if they are both left and right coprime. We would remark that if  $\Phi \in H^2_{M_n}$  is such that det  $\Phi$  is not identically zero, then any left inner divisor  $\Delta$  of  $\Phi$  is square, i.e.,  $\Delta \in H^2_{M_n}$ . If  $\Phi \in H^2_{M_n}$  is such that det  $\Phi$ is not identically zero, then we say that  $\Delta \in H^2_{M_n}$  is a right inner divisor of  $\Phi$ if  $\tilde{\Delta}$  is a left inner divisor of  $\tilde{\Phi}$  (cf. [6]).

Let  $\lambda \in \mathbb{D}$  and write  $b_{\lambda} := \frac{z-\lambda}{1-\lambda z}$ , which is called a *Blaschke factor*. If *M* is a closed subspace of  $\mathbb{C}^n$ , then the matrix function of the form

$$e^{i\zeta}B_{\lambda,M} := e^{i\zeta}(B_{\lambda}P_M + P_{M^{\perp}})$$

 $(\zeta \in \mathbb{R}, B_{\lambda} := I_{b_{\lambda}} \text{ and } P_{\mathcal{X}} := \text{the orthogonal projection of } \mathbb{C}^n \text{ onto } \mathcal{X}) \text{ is called}$ a *Blaschke-Potapov factor*. Also the function of the form

$$B := \nu \prod_{k=1}^{n} B_{\lambda_k, M_k} \quad (\nu \text{ is a unitary constant matrix})$$

is called a *finite Blaschke-Potapov product*. It is known [10] that  $\Theta \in H_{M_n}^{\infty}$  is rational and inner if and only if it can be represented as a finite Blaschke-Potapov product. On the other hand, it is also known [2, Lemma 3.1] that if  $F \in H_{M_n}^2$  and M is a non-zero closed subspace of  $\mathbb{C}^n$ , then

(1.4) 
$$F$$
 has  $B_{\lambda,M}$  as a right inner divisor  $\iff M \subseteq \ker F(\lambda)$ 

and that if  $A,B\in H^2_{M_n}$  and B is a rational function such that  $\det B$  is not identically zero, then

(1.5)

A and B are right coprime  $\iff \ker A(\alpha) \cap \ker B(\alpha) = \{0\}$  for any  $\alpha \in \mathbb{D}$ .

For  $\Phi \in L^{\infty}_{M_n}$ , write

(1.6) 
$$\Phi_+ := P_n \Phi \in H^2_{M_n} \quad \text{and} \quad \Phi_- := \left(P_n^\perp \Phi\right)^* \in H^2_{M_n},$$

where  $P_n$  denotes the orthogonal projection from  $L^2_{M_n}$  onto  $H^2_{M_n}$ . Thus we can write  $\Phi = \Phi^*_- + \Phi_+$ . Suppose  $\Phi_+ = [\varphi_{ij}] \in H^2_{M_n}$  is such that  $\Phi^*$  is of bounded type (in other words, each entry is a quotient of two functions in  $H^{\infty}(\mathbb{T})$ ). Then it was ([1]) known that  $\varphi_{ij}$  can be written of the form  $\varphi_{ij} = \theta_{ij}\overline{b_{ij}}$ , where  $\theta_{ij}$  is an inner function,  $b_{ij} \in H^2$ , and  $\theta_{ij}$  and  $b_{ij}$  are coprime. Thus if  $\theta$  is the least common multiple of  $\theta_{ij}$ 's, then we can write

(1.7) 
$$\Phi_{+} = [\varphi_{ij}] = [\theta_{ij}\overline{b_{ij}}] = [\theta\overline{a_{ij}}] = \Theta A^{*} \quad (\Theta = I_{\theta}, \ A \in H^{2}_{M_{n}}).$$

Let  $\Phi \equiv \Phi_{-}^{*} + \Phi_{+} \in L_{M_{n}}^{\infty}$  be such that  $\Phi$  and  $\Phi^{*}$  are of bounded type. Then in view of (1.7) we can write

(1.8) 
$$\Phi_+ = \Theta_1 A^* \quad \text{and} \quad \Phi_- = \Theta_2 B^*$$

where  $\Theta_i = I_{\theta_i}$  with an inner function  $\theta_i$   $(i = 1, 2), A, B \in H^2_{M_n}$ . If  $\Omega$  is the greatest common left inner divisor of A and  $\Theta$  in the representation (1.7):

 $\Phi = \Theta A^* = A^* \Theta \quad (\Theta \equiv I_\theta \text{ for an inner function } \theta),$ 

then  $\Theta = \Omega \Omega_l$  and  $A = \Omega A_l$  for some inner matrix  $\Omega_l$  and some  $A_l \in H^2_{M_n}$ . Therefore if  $\Phi^* \in L^{\infty}_{M_n}$  is of bounded type, then we can write

(1.9) 
$$\Phi = A_l^* \Omega_l$$
, where  $A_l$  and  $\Omega_l$  are left coprime:

in this case,  $A_l^*\Omega_l$  is called the  $\mathit{left}$  coprime factorization of  $\Phi$  and similarly, we can write

(1.10) 
$$\Phi = \Omega_r A_r^*$$
, where  $A_r$  and  $\Omega_r$  are right coprime:

in this case,  $\Omega_r A_r^*$  is called the *right coprime factorization* of  $\Phi$  (cf. [3], [4]).

On the other hand, it was known [7] that for  $\Phi \in L^{\infty}_{M_n}$ , the following statements are equivalent:

- (i)  $\Phi$  is of bounded type;
- (ii) ker  $H_{\Phi} = \Theta H_{\mathbb{C}^n}^2$  for some square inner matrix function  $\Theta$ ;
- (iii)  $\Phi = A\Theta^*$ , where  $A \in H^{\infty}_{M_n}$  and A and  $\Theta$  are right coprime.

## 2. Main results

For an inner matrix function  $\Theta \in H^2_{M_n}$ , we write

$$\mathcal{H}(\Theta) := H^2_{\mathbb{C}^n} \ominus \Theta H^2_{\mathbb{C}^n}.$$

We begin with:

**Definition 2.1.** For  $\Phi \in H_{M_n}^{\infty}$ , define the *(analytic)* degree of  $\Phi$  by

$$\deg\left(\Phi\right) := \operatorname{rank} H_{\Phi^*}.$$

For  $\Phi \in L^{\infty}_{M_n}$ , the analytic degree and co-analytic degree of  $\Phi$  are defined by

 $\deg_+(\Phi) := \operatorname{rank} H_{\Phi^*}$  and  $\deg_-(\Phi) := \operatorname{rank} H_{\Phi}$ .

Even though the degree of matrix-valued functions is defined for square matrices, we may define the degree of any rectangular  $n \times m$  matrix-valued function by defining the Hankel operators with  $n \times m$  matrix-valued symbols, appropriately. However we concentrate on the square matrix cases for our purpose on the Toeplitz and the Hankel operator theory because frequently we want to deal with the commutators of two Hankel operators or the self-commutators of Hankel operators. On the other hand, it is well known that if  $\Phi \in H_{M_n}^{\infty}$  is a matrix-valued rational function, then deg( $\Phi$ ) is equal to the *McMillan* degree of  $\Phi$  (cf. [9, p. 81]).

**Proposition 2.2.** Suppose  $\Phi \in H^{\infty}_{M_n}$  is such that  $\Phi^*$  is of bounded type, so that we may write

$$\Phi = \Theta_1 A^* = B^* \Theta_2 \quad (A, B \in H^{\infty}_{M_r}; \text{ the } \Theta_i \text{ are inner}),$$

where  $\Theta_1$  and A are right coprime and  $\Theta_2$  and B are left coprime. Then

$$\deg(\Phi) = \deg(\det \Theta_1) = \deg(\det \Theta_2).$$

*Proof.* We first observe that if  $\Theta$  is a square inner matrix function, then

(2.1) 
$$\dim \mathcal{H}(\Theta) = \deg \left(\det \Theta\right)$$

Indeed,

$$\dim \mathcal{H}(\Theta) = \dim \ker T_{\Theta^*} = -\operatorname{index} T_{\Theta}$$
$$= -\operatorname{index} T_{\det \Theta} = \dim \ker T_{\overline{\det \Theta}}$$
$$= \dim \mathcal{H}(\det \Theta) = \deg (\det \Theta),$$

where the third equality follows from the Fredholm theory of block Toeplitz operators (cf. [5]). We thus have

$$\deg (\Phi) = \operatorname{rank} H_{\Phi^*} = \dim (\ker H_{\Phi^*}^*)^{\perp}$$
$$= \dim (\ker H_{\widetilde{B}\widetilde{\Theta}_2^*}^*)^{\perp}$$
$$= \dim (\widetilde{\Theta}_2 H_{\mathbb{C}^n}^2)^{\perp} \quad (\text{since } \widetilde{B} \text{ and } \widetilde{\Theta}_2 \text{ are right coprime})$$
$$= \dim \mathcal{H}(\widetilde{\Theta}_2) = \deg (\det \widetilde{\Theta}_2) \quad (\text{by } (2.1)).$$

If  $\Psi = [\psi_{ij}] \in H^{\infty}_{M_n}$ , then  $\widetilde{\Psi} = [\widetilde{\psi_{ji}}] = [\widetilde{\psi_{ij}}]^t$ , so that det  $\widetilde{\Psi} = \det[\widetilde{\psi_{ij}}] = \widetilde{\det\Psi}$ . Therefore deg  $(\Phi) = \deg(\det \widetilde{\Theta}_2) = \deg(\det \Theta_2)$  and similarly, deg  $(\widetilde{\Phi}) = \deg(\det \Theta_1)$ . Since deg  $(\Phi) = \operatorname{rank} H_{\Phi^*} = \operatorname{rank} H^*_{\Phi^*} = \operatorname{rank} H_{\widetilde{\Phi}^*} = \deg(\widetilde{\Phi})$ , the result follows at once.

We here take a chance to compute the degree of a function  $\Phi := \begin{pmatrix} z & -b_{\alpha}z \\ 0 & z^2 \end{pmatrix}$ . First of all we make a right coprime factorization of  $\Phi$ :

$$\Phi \equiv \begin{pmatrix} z & -b_{\alpha}z \\ 0 & z^2 \end{pmatrix} = \begin{pmatrix} b_{\alpha}z & 0 \\ 0 & z^2 \end{pmatrix} \begin{pmatrix} b_{\alpha} & 0 \\ -1 & 1 \end{pmatrix}^*.$$

Indeed by (1.5) we can see that

$$\Theta \equiv \begin{pmatrix} b_{\alpha}z & 0\\ 0 & z^2 \end{pmatrix} \text{ and } A \equiv \begin{pmatrix} b_{\alpha} & 0\\ -1 & 1 \end{pmatrix} \text{ are right coprime}$$

Thus by Proposition 2.2,  $\deg \Phi = \deg (\det \Theta) = \deg (b_{\alpha} z^3) = 4.$ 

**Theorem 2.3.** If  $\Theta \in H^{\infty}_{M_n}$  is an inner matrix function, then deg (det  $\Theta$ )  $< \infty$  if and only if  $\Theta$  is a finite Blaschke-Potapov product.

Proof. If  $\Theta$  is a finite Blaschke-Potapov product of the form  $\Theta = \nu \prod_{j=1}^{m} B_{\alpha_j, M_j}$ , then det  $\Theta = \prod_{j=1}^{m} (b_{\alpha_j})^{\dim M_j}$ , so that deg (det  $\Theta$ ) =  $\sum_{j=1}^{m} \dim M_j < \infty$ . Conversely, if deg (det  $\Theta$ ) = dim $\mathcal{H}(\Theta) < \infty$ , put  $\Theta := [\theta_{ij}]_{ij=1}^{n}$ . Since rank $H^*_{\theta_{ij}}$  $\leq \operatorname{rank} H^*_{\Theta^*} = \dim \mathcal{H}(\widetilde{\Theta}) = \dim \mathcal{H}(\Theta) < \infty$ , it follows from the Kronecker's lemma [8, p. 183] that  $\theta_{ij}$ 's are rational functions. Thus  $\Theta$  is a rational inner matrix function and hence a finite Blaschke-Potapov product.

**Corollary 2.4.** Every left (right) inner divisor of  $B_{\lambda} := I_{b_{\lambda}} \in H_{M_n}^{\infty}$  is a Blaschke-Potapov factor of the form  $e^{i\zeta}B_{\lambda,M}$  with dim  $M \leq n$ .

*Proof.* Let  $\Delta_1$  be a left inner divisor of  $B_{\lambda}$ . Then we can write  $B_{\lambda} = \Delta_1 \Delta_2$  for some inner  $\Delta_2$ . Thus  $b_{\lambda}^n = \det(B_{\lambda}) = \det(\Delta_1)\det(\Delta_2)$ , and hence  $\det(\Delta_1) = e^{i\zeta}b_{\lambda}^m$  ( $\zeta \in \mathbb{R}, m \leq n$ ). Thus by Theorem 2.3,  $\Delta_1$  is a finite Blaschke Potapov product and therefore  $\Delta_1 = e^{i\zeta}B_{\lambda,M}$  (dim M = m), which gives the result.  $\Box$ 

**Theorem 2.5.** Let  $\Phi \in L^{\infty}_{M_n}$  be a matrix-valued rational function, so that we may write

 $\Phi = \Theta_1^* A \quad (left \ coprime \ factorization)$ 

 $= C \Theta_2^* \quad (\textit{right coprime factorization}).$ 

If  $\Theta_1 = \nu \prod_{j=1}^m B_{\alpha_j, M_j}$  ( $\nu$  is a constant unitary matrix), then  $\Theta_2 = \prod_{j=1}^m B_{\alpha_j, N_j}$ (up to right unitary constant matrix), where dim  $M_j$  = dim  $N_j$  for all j = 1,2,...,m. In particular,

 $\det \Theta_1 = \det \Theta_2 \quad and \quad \det A = \det C.$ 

*Proof.* Observe that  $\Phi^* = A^* \Theta_1 = A^* \nu B_{\alpha_1,M_1} \prod_{j=2}^m B_{\alpha_j,M_j}$ . Write  $\Psi := (\nu^* A)^* B_{\alpha_1,M_1}$ . Then  $\Psi B_{\alpha_1,M_1^{\perp}} = (\nu^* A)^* I_{b_{\alpha_1}} = I_{b_{\alpha_1}} (\nu^* A)^*$ , so that  $\Psi = I_{b_{\alpha_1}} (\nu^* A)^* B_{\alpha_1,M_1^{\perp}}^*$ . Thus if  $\Psi = \Delta_1 C_1^*$  ( $\Delta_1$  and  $C_1$  are right coprime), then  $\Delta_1$  is a left inner divisor of  $I_{b_{\alpha_1}}$  and

$$\dim \mathcal{H}(\Delta_1) = \deg_-(\Psi^*) = \deg_-(\Psi^*) = \deg\left(\det B_{\overline{\alpha_1},M_1}\right) = \dim M_1.$$

It thus follows from Corollary 2.4 that  $\Delta_1 = e^{i\zeta} B_{\alpha_1,N_1}$ , where dim  $N_1 = \dim M_1$ . An induction gives that dim  $N_j = \dim M_j$  for  $j = 1, \ldots, m$ , so that

$$\det \Theta_1 = \prod_{j=1}^m (b_{\alpha_j})^{\dim M_j} = \prod_{j=1}^m (b_{\alpha_j})^{\dim N_j} = \det \Theta_2.$$

Moreover,  $\det A = \det B$ . This completes the proof.

**Corollary 2.6.** If  $\Phi$ ,  $\Psi \in H^{\infty}_{M_n}$ , then  $\deg(\Phi\Psi) \leq \deg(\Phi) + \deg(\Psi)$ .

*Proof.* If  $M_{\Phi}$  denotes the multiplication operator with symbol  $\Phi$ , then a straightforward calculation shows that  $JM_{\Phi}J = M_{\tilde{\Phi}}^*$  and  $H_{\Phi} = PJM_{\Phi}|_{H^{2n}_{\mathbb{C}^n}}$ . Using these equalities we can show that  $H_{\Phi\Psi} = T^*_{\tilde{\Phi}}H_{\Psi} + H_{\Phi}T_{\Psi}$ . We thus have  $\deg(\Phi\Psi) = \operatorname{rank} H_{\Psi^*\Phi^*} = \operatorname{rank}(T_{\tilde{\Psi}}H_{\Phi^*} + H_{\Psi^*}T_{\Psi^*}) \leq \operatorname{rank} H_{\Phi^*} + \operatorname{rank} H_{\Psi^*} = \deg(\Phi) + \deg(\Psi)$ .

We need not expect that  $\deg(\Phi) = \deg(\det \Phi)$  for  $\Phi \in H^{\infty}_{M_n}$ . To see this, let

$$\Phi := \begin{pmatrix} z & -b_{\alpha}z \\ 0 & 1 \end{pmatrix}.$$

Then det  $\Phi = z$ , and hence deg (det  $\Phi$ ) = 1. On the other hand, by a straightforward calculation, we can see that  $\Phi$  has the right coprime factorization such as

$$\Phi = \begin{pmatrix} b_{\alpha}z & 0\\ 0 & 1 \end{pmatrix} \begin{pmatrix} b_{\alpha} & 0\\ -1 & 1 \end{pmatrix}^{*} \quad \text{(right coprime factorization)}.$$

Thus by Proposition 2.2,  $\deg(\Phi) = \deg\left(\det\left(\begin{smallmatrix} b_{\alpha}z & 0\\ 0 & 1\end{smallmatrix}\right)\right) = \deg(b_{\alpha}z) = 2.$ 

**Theorem 2.7.** Suppose  $\Theta, A \in H_{M_n}^{\infty}$  with  $\Theta$  a finite Blaschke-Potapov product. Then the following statements are equivalent:

- (i)  $\det \Theta$  and  $\det A$  are coprime;
- (ii)  $A\nu$  and  $\Theta$  are right coprime for each unitary constant matrix  $\nu$ ;
- (iii)  $\tau A$  and  $\Theta$  are left coprime for each unitary constant matrix  $\tau$ .

*Proof.* (i) $\Rightarrow$ (ii) and (i) $\Rightarrow$ (iii): We first claim that if  $A, B \in H_{M_n}^{\infty}$ , then

(2.2)  $\det A$  and  $\det B$  are coprime  $\implies A$  and B are coprime.

For (2.2), we suppose A and B are not right coprime. Then  $A = A_1 \Delta$  and  $B = B_1 \Delta$  for some inner matrix function  $\Delta$  which is not a unitary constant matrix. Thus det  $A = \det A_1 \det \Delta$  and det  $B = \det B_1 \det \Delta$ . But since  $\Delta$  is not a unitary constant matrix it follows that deg (det  $\Delta$ ) = dim  $\mathcal{H}(\Delta) \neq 0$ , which implies that det  $\Delta$  is not constant. Thus det A and det B are not coprime. We thus have

(2.3) det A and det B are coprime  $\implies$  A and B are right coprime.

It then follows from (2.3) that if det A and det B are coprime, and hence det  $\tilde{A}$  and det  $\tilde{B}$  are coprime, then  $\tilde{A}$  and  $\tilde{B}$  are right coprime, so that A and B are left coprime. This together with (2.3) proves (2.2). Now since det  $A\nu = e^{i\zeta} \det A$  and det  $\tau A = e^{i\xi} \det A$  for some  $\zeta, \xi \in \mathbb{R}$ , the implications follow at once from (2.2).

 $(ii) \Rightarrow (i):$  Let

$$\Theta := \nu \prod_{j=1}^m B_{\alpha_j, M_j}$$
 and  $m_j := \dim M_j.$ 

Then det  $\Theta = e^{i\zeta} \prod_{j=1}^{m} (b_{\alpha_j})^{m_j}$ . If det  $\Theta$  and det A are not coprime, then  $A(\alpha_{j_0})$  is not invertible for some  $j_0, 1 \leq j_0 \leq m$ . Thus there exists a non-zero vector  $\mathbf{x} \in \ker A(\alpha_{j_0})$ . Since det  $\Theta(\alpha_{j_0}) = 0$ , it follows that  $\Theta(\alpha_{j_0})$  is not invertible, so that there exists a non-zero vector  $\mathbf{y} \in \ker \Theta(\alpha_{j_0})$ . If we choose a unitary constant matrix  $\nu_0$  such that  $\nu_0 \mathbf{y} = \mathbf{x}$ , then  $\mathbf{y} \in \ker (A\nu_0)(\alpha_{j_0}) \cap \ker \Theta(\alpha_{j_0})$ , which by (1.6), implies that  $A\nu_0$  and  $\Theta$  are not right coprime.

(iii) $\Rightarrow$ (ii): If  $\tau A$  and  $\Theta$  are left coprime for each unitary constant matrix  $\tau$ , then  $\widetilde{A}\widetilde{\tau}$  and  $\widetilde{\Theta}$  are right coprime, so that by the equivalence of (i) and (ii),  $\widetilde{\det A}$  and  $\widetilde{\det \Theta}$  are coprime, and hence  $\det A$  and  $\det \Theta$  are coprime, which implies that  $A\nu$  and  $\Theta$  are right coprime.

The converse of (2.2) is not true in general. For example, let

$$A := \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} b_{\alpha}z & 0 \\ 0 & 1 \end{pmatrix} \quad \text{and} \quad B := \begin{pmatrix} b_{\alpha} & 0 \\ -1 & 1 \end{pmatrix}.$$

Then by (1.5), A and B are right coprime because ker  $A(\alpha) \cap \ker B(\alpha) = \{0\}$  for all  $\alpha \in \mathbb{D}$ . Observe that

$$\widetilde{A} := \frac{1}{\sqrt{2}} \begin{pmatrix} b_{\overline{\alpha}}z & b_{\overline{\alpha}}z \\ -1 & 1 \end{pmatrix}$$
 and  $\widetilde{B} := \begin{pmatrix} b_{\overline{\alpha}} & -1 \\ 0 & 1 \end{pmatrix}$ .

A similar argument shows that  $\hat{A}$  and  $\hat{B}$  are right coprime and hence A and B are left coprime. Therefore A and B are coprime. But evidently, det  $A \equiv b_{\alpha} z$  and det  $B \equiv b_{\alpha}$  are not coprime.

**Corollary 2.8.** Let  $\Phi \in H^{\infty}_{M_n}$  be a matrix-valued rational function, so that we may write

 $\Phi = \Theta_1 A^* = B^* \Theta_2 \ (A, B \in H^{\infty}_{M_n}; \ the \ \Theta_i \ are \ finite \ Blaschke-Potapov \ product).$ Then we have:

- (i) If det  $\Theta_1$  and det A are coprime, then deg  $(\Phi) = deg (det \Theta_1)$ ;
- (ii) If det  $\Theta_2$  and det B are coprime, then deg  $(\Phi) = deg (det \Theta_2)$ .

*Proof.* This follows from Proposition 2.2 and Theorem 2.7.

The following corollary was well-known. Here we give a direct and simple proof by using the coprime factorization.

**Corollary 2.9.** If  $\varphi \in H^{\infty}$  is a rational function of the reduced form  $\varphi = \frac{q}{p}$ , (p and q are polynomials), then rank  $H_{\overline{\varphi}} = \max\{\deg q, \deg p\}$ .

*Proof.* Suppose  $n = \deg p \ge \deg q = m$ . Without loss of generality, we may write  $p(z) = \prod_{i=1}^{n} (1 - \overline{\alpha_i} z) \ (\alpha_i \ne 0)$ . Since  $\varphi = \frac{q}{p} \in H^{\infty}$ , it follows that  $0 < |\alpha_i| < 1$  for all *i*. Write

$$\varphi(z) = \frac{q(z)}{\prod_{i=1}^{n} (1 - \overline{\alpha_i} z)} = \left(\prod_{i=1}^{n} b_{\alpha_i}\right) \overline{a} \qquad (b_{\alpha_i} := \frac{z - \alpha_i}{1 - \overline{\alpha_i} z}),$$

where  $a(z) = \prod_{i=1}^{n} \frac{z^n \overline{q(z)}}{1 - \overline{\alpha_i} z}$ . We want to show that  $\prod_{i=1}^{n} b_{\alpha_i} \text{ and } a \text{ are coprime.}$ 

Note that  $\prod_{i=1}^{n} b_{\alpha_i}$  and a are coprime if and only if  $(z^n \overline{q})(\alpha_i) \neq 0$  for all  $i = 1, 2, \ldots, n$ . If q(z) is a constant, it is trivial. If instead q(z) is not constant, then we can write  $q(z) = c \prod_{i=1}^{m} (z - \beta_i)$ . Then  $z^n \overline{q(z)} = z^{n-m} \cdot z^m \overline{q(z)} = cz^{n-m} \prod_{i=1}^{m} (1 - \overline{\beta_i}z)$   $(1 \leq m \leq n)$ . Thus if  $(z^n \overline{q})(\alpha_i) = 0$ , then  $\beta_i = \frac{1}{\overline{\alpha_j}}$  for some i, j, which implies that p(z) and q(z) have a common zero, a contradiction. This proves that  $\prod_{i=1}^{n} b_{\alpha_i}$  and a are coprime. Therefore rank  $H_{\overline{\varphi}} = \dim \mathcal{H}(\prod_{i=1}^{n} b_{\alpha_i}) = n = \deg p$ .

If deg  $p < \deg q$ , then we can write q = ph + r, where h is a polynomial of degree  $n_0 := m - n > 0$ . Then deg  $r \le \deg q$ . Thus we have  $\varphi = h + \frac{r}{p}$ . Observe that  $h(z) = z^{n_0}\overline{d(z)}$  and  $\frac{r(z)}{p(z)} = \prod_{i=1}^n b_{\alpha_i}\overline{a}$ , where  $\alpha_i \ne 0$ ,  $d(0) \ne 0$ ,  $a(z) = \prod_{i=1}^n \frac{z^n \overline{r(z)}}{1 - \overline{\alpha_i z}}$ , and  $a(\alpha_i) \ne 0$  for all  $i = 1, 2, \ldots, n$ . Hence,

$$\varphi(z) = z^{n_0}\overline{d(z)} + \prod_{i=1}^n b_{\alpha_i}\overline{a} = z^{n_0}\prod_{i=1}^n b_{\alpha_i}\overline{\left(d(z)\prod_{i=1}^n b_{\alpha_i} - z^{n_0}a(z)\right)},$$

where  $z^{n_0} \prod_{i=1}^n b_{\alpha_i}$  and  $d(z) \prod_{i=1}^n b_{\alpha_i} - z^{n_0} a(z)$  are coprime. We thus have that  $\deg \varphi = n_0 + n = m = \deg q$ .

**Corollary 2.10.** Given a complex (possibly finite) sequence  $\{\alpha_n\}$  having no limit point and a sequence  $\{n_i\}$  of natural numbers satisfying  $\sum n_i \leq r$ , there exists a function  $\varphi \in H^{\infty}$  such that

- (i)  $\varphi$  has a zero of order  $n_i$  at each  $\alpha_n$ ;
- (ii) rank  $H_{\overline{\varphi}} = r$ .

Proof. If  $\{\alpha_n\}$  is an infinite sequence, let  $\varphi$  be the entire function appeared in the Weierstrass Product Theorem: i.e., if we arrange  $0 < |\alpha_1| \leq |\alpha_2| \leq \cdots$ , then  $\varphi(z) := \prod_{n=1}^{\infty} (1 - \frac{z}{\alpha_n}) e^{p_n(z)}$  with  $p_n(z) := \frac{z}{\alpha_n} + \frac{1}{2} (\frac{z}{\alpha_n})^2 + \cdots + \frac{1}{n} (\frac{z}{\alpha_n})^n$ . Then rank  $H_{\overline{\varphi}} = \deg \varphi = \infty$ . If  $\{\alpha_n\}$  is a finite sequence and  $r = \infty$ , let s be a singular inner function and  $f(z) = \prod_{n=1}^{N} (1 - \frac{z}{\alpha_n})^{n_i}$ . Putting  $\varphi = sf$  gives the required function. If instead  $\{\alpha_n\}$  is a finite sequence and  $r < \infty$ , choose  $\alpha > \max\{1, |\alpha_n|\}$ . Put  $p(z) = (z - \alpha)^r$  and  $q(z) = \prod_{n=1}^{N} (1 - \frac{z}{\alpha_n})^{n_i}$ . Also putting  $\varphi = \frac{q}{p}$  gives the required function.

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