Experimental Tracheal Replacement: Angiogenesis and Null Apoptosis Promote Stenosis

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Background: Tracheal replacement is a challenge for thoracic surgeons due to stenosis in the trachea-prosthesis anastomosis. We propose that stenosis occurs due to fibrosis as a result of an abnormal healing process, characterized by an increased expression of wound healing growth factors (vascular endothelial growth factor [VEGF], survivin, and CD31), which promote angiogenesis and decrease apoptosis. We analyzed the immunoreactivity of VEGF, survivin, CD31, and caspase-3 in the development of fibrotic stenosis in prosthetic tracheal replacement.

Methods: Fourteen dogs were operated on: group I (n=7) received a 6-ring cervical tracheal segment autograft, while in group II (n=7), a 6-ring segment of the cervical trachea was resected and tracheal continuity was restored with a Dacron prosthesis. The follow-up was 3 months. Immunoreactivity studies for VEGF, survivin, CD31, and caspase-3 were performed. A statistical analysis was done using the Wilcoxon signed rank test.

Results: Four animals in group I were euthanized on the 10th postoperative day due to autograft necrosis. Three animals completed the study without anastomotic stenosis. Moderate expression of VEGF (p=0.038), survivin (p=0.038), and CD31 (p=0.038) was found. All group II animals developed stenosis in the trachea-prosthesis anastomotic sites. Microscopy showed abundant collagen and neovascularization vessels. Statistically significant immunoreactive expression of VEGF (p=0.015), survivin (p=0.017), and CD31 (p=0.011) was observed. No expression of caspase-3 was found.

Conclusion: We found a strong correlation between fibrosis in trachea-prosthesis anastomoses and excessive angiogenesis, moderate to intense VEGF, CD31, and survivin expression, and null apoptotic activity. These factors led to uncontrolled collagen production.

Keywords: Trachea, Prosthesis, Angiogenesis, Apoptosis, Fibrosis
this complication in depth. In this regard, it has been pos-
tulated that the failure of epithelium migration into the
prosthesis leads to scar formation, but research has failed
to prove this possibility [1,2,5-7]. We hypothesized that fi-
brosis at the trachea-prosthesis anastomotic site results
from an abnormal and uncontrolled healing process char-
acterized by active angiogenesis, proliferation of granula-
tion tissue, collagen formation, and the interaction of many
healing growth factors [8]. Angiogenesis is a key element of
the proliferative phase of healing [8]. Neovascularization is
important for healing, supplying oxygen and nutrients,
and inducing tissue growth factors to promote the de-
velopment of different cell lines, resulting in tissue repair.
Under certain physiological and pathological situations,
angiogenesis is mainly present when there is an increase in
tissue mass, a reduction of oxygen levels, or both. Angio-
genesis is controlled to a large degree by vascular endo-
thelial growth factor (VEGF) [8,9] and PECAM-1/CD31
(CD31) [10-14]. In addition, survivin inhibits caspase acti-
vation and thereby leads to a negative regulation of apopto-
sis [13]. Survivin is highly expressed in most human tumors
and fetal tissue, but is absent in terminally differentiated
cells [15,16]. The endothelium is one of the most critical
sites for the control of apoptosis in vascular injury and vas-
cular remodeling. Inhibition of apoptosis may also be re-
quired during vascular remodeling and angiogenesis [17-
19]. Caspase-3 is a key regulator of apoptosis, and is either
partially or fully responsible for the proteolytic cleavage of
many key proteins [18,19].

The aim of this work was to assess angiogenesis and the
expression of VEGF, CD31, survivin, and caspase-3 associ-
ated with the healing process after prosthetic tracheal re-
placement in an experimental animal model.

Methods

Ethics statement

This protocol was reviewed and approved by the Ethics
Committee of the Instituto Nacional de Enfermedades
Respiratorias “Ismael Cosio Villegas” and was carried out
under the technical specifications for the care and use of
laboratory animals of the Mexican Official Norm and the
Guide for the Care and Use of Laboratory Animals of the
National Institutes of Health of the United States of Amer-
ica. The study was approved by the Institutional Review
Board of the Comité de Ciencia y Bioética en Investigación
(IRB approval no., B30-09).

Prostheses

A straight 20-mm-diameter Dacron vascular graft (He-
mashield Gold; Boston Scientific, Wayne, NJ, USA) was
used to restore trachea continuity. For mechanical support
of the Dacron graft, external surgical wire rings (Aciflex,
surgical stainless-steel suture, Ethicon; Johnson & Johnson,
Langhorne, MO, USA) were fixed with running 6-0 poly-
propylene (Prolene, Ethicon; Johnson & Johnson). The
ringed graft was sterilized using Sterrad (a low-tempera-
ture hydrogen peroxide gas plasma sterilizing process;
Johnson & Johnson Medical Inc., Langhorne, MO, USA)
before surgical implantation.

Surgical technique

Fourteen healthy mongrel dogs chosen regardless of sex
or age, weighing from 15 to 20 kg, were operated on. The
animals were prepared before surgery with a 12-hour fast
for solids and an 8-hour fast for liquids. Initial anesthesia
was induced by intravenous hydrochloric xylazine (0.1 mg/
kg, Rompun; Bayer, Leverkusen, Germany) and propofol (6
mg/kg, Diprivan; AstraZeneca en México, México, Méxi-
co). Anesthesia was maintained with intravenous propofol
(2 mg/kg/min), taking care to sustain spontaneous breath-
ing and thus avoid orotracheal intubation. Each anesthe-
tized animal was positioned in the supine position. The
neck of the animal was shaved and prepared with povi-
done-iodine solution. A vertical incision was made in the
anterior face of the neck, and the cervical trachea was ex-
posed after separating the strap muscles. In group I (n=7),
a 6-ring cervical trachea segment was excised (Fig. 1A),
kept in saline solution (0.9% sodium chloride; Baxter,
Jiutepec, México) for 5 minutes, and re-implanted as an
autograft. Proximal and distal end-to-end anastomoses
were performed with running 5-0 polypropylene sutures
(Prolene, Ethicon; Johnson & Johnson) (Fig. 1B). In group
II (n=7), a 6-ring segment of the cervical trachea was re-
sected. To re-establish airway continuity, a ringed Dacron
graft was implanted with proximal and distal end-to-end
anastomoses were performed using running 5-0 polyprop-
pylene sutures (Fig. 2A).

The animals were allowed to recover spontaneously. All
dogs received postoperative intramuscular enrofloxacin (5
mg/kg, Baytril; Bayer), and metamizole sodium (28 mg/kg)
for one week (Dipiron; Parafarm, México, México). All an-
imals were kept in metabolic cages, and regular observa-
tions were made (daily during the first postoperative week
and every other day throughout the 3-month study period)
to detect any post-surgical respiratory signs or clinical complications.

**Endoscopic evaluation**

Under intravenous propofol anesthesia as previously described, the autograft, the prosthesis and native trachea were evaluated immediately after the surgical procedure. Every week, a rigid laparoscope (Surgiview, multi-use 0°, 10-mm diameter; United States Surgical Corp., Norwalk, CT, USA), was used to evaluate changes in the autograft and fibrotic tissue ingrowth at the trachea-prosthesis anas-

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**Fig. 1.** Native trachea autotransplantation. Clinical course of the autografted trachea. (A) Resection of a 6-ring cervical tracheal segment. (B) Autotransplantation of the native tracheal segment. End-to-end anastomosis with a 5-0 running polypropylene suture. (C) A 6-ring cervical tracheal segment at the moment of euthanasia (10 days after autotransplantation). The tracheal segment shows pale, disrupted tracheal rings due to necrosis. (D) A 6-ring cervical tracheal segment at the moment of euthanasia (3 months after autotransplantation). The autograft is viable. It shows length shortening and mild tortuosity.

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**Fig. 2.** Prosthetic tracheal replacement with a modified Dacron graft. Clinical course of the Dacron prosthesis. (A) A Dacron prosthesis reinforced with surgical wire used to restore the continuity of the resected 6-ring cervical tracheal segment. (B) The Dacron prosthesis at the moment of euthanasia. The exterior of the prosthesis is completely covered by fibrotic tissue. (C) The prosthesis shows intraluminal fibrous tissue. (D, E) The fibrous tissue was removed from the prosthesis to show the abundant fibrotic growth. (F) Lateral radiograph of the cervical trachea with the Dacron graft prosthesis with the external surgical wire. The arrow shows mild proximal stenosis. Postoperative day 30. (G) Bronchoscopy of the Dacron prosthesis: arrow shows proximal prosthesis trachea anastomosis. The arrow head shows fibrosis in the proximal anastomosis and the triple arrow shows fibrosis in the distal anastomosis. Postoperative day 41.
tomotic sites. An image digitalization software program (IMAGE Pro-Plus 4.0; Media Cybernetics, Bethesda, MD, USA) was used to calculate the area. Throughout the study time (in vivo) the stenosis grade was calculated by dividing the internal cut surface area of the stenosed portion of the graft by the native trachea cut surface area on bronchoscopic views. At the end of the study time, the area of the autograft lumen or the fibrotic tissue was traced and compared with the native trachea or prosthesis to obtain the relative final area.

Macroscopic evaluation

At the time of euthanasia, the surgical area was carefully examined for fibrosis formation around the prosthesis or healing complications (necrosis, dehiscence, fistula formation, and infection as well as graft shrinkage, deformation, or loss). The native trachea with the prosthetic graft was removed. The anastomotic sites were cut and examined carefully on both sides (native trachea and prosthesis) for the development of fibrous tissue or calcifications and to detect respiratory epithelium on the graft.

Microscopic evaluation

The tissue samples collected from the operated area were fixed in 10% buffered formalin for 24 hours. They were dehydrated in ascending grades of ethanol, cleared in xylol, embedded and blocked in paraffin wax, and then cut into 4-µm-thick sections using a rotatory microtome. The sections were mounted on glass slides and stained with hematoxylin and eosin for general histology, Masson trichrome for the demonstration of collagenous tissue, and Sirius red to identify the type of collagen. Immunoreactivity analyses were used to detect VEGF, CD31, survivin, and caspase-3 immunoreactivity.

The scoring method for angiogenesis activity was to count the newly formed vessels following a modified version of the technique described by Sinicrope [20], where the number of neoformation vessels was determined in at least 5 areas of the slide at 10-fold magnification and assigned one of the following 5 categories: 0–3, weak; 4–7, moderate; and >8, intense.

Immunohistochemical staining for VEGF, CD31, survivin, caspase-3, and the immunoreactivity scoring method

Specimens obtained after euthanasia were routinely fixed in 10% neutral formalin and embedded in paraffin. Serial 4-µm-thick sections were cut. In brief, the sections were dewaxed with xylene, rehydrated through graded ethanol, and soaked in Dulbecco’s modified phosphate-buffered saline with Ca<sup>2+</sup> and Mg<sup>2+</sup> (DPBS). The immunoreactivity of VEGF, CD31, survivin, and caspase-3 proteins was evaluated using the standard avidin-biotin-peroxidase complex technique. An antigen retrieval technique (boiling slides at 80°C for 15 minutes in a 0.01 M citrate buffer at a pH of 6.0) was applied and sections were incubated with normal goat serum to block non-specific binding, which was also minimized with several washes with DPBS after each step. The sections were next incubated overnight with the VEGF-A antibody (goat anti-canine VEGF polyclonal antibodies; R&D Systems, Minneapolis, MN, USA), CD31 antibody (goat anti-canine CD31 polyclonal antibodies; R&D Systems), survivin antibody (goat anti-canine survivin polyclonal antibody; R&D Systems), or caspase-3 polyclonal antibody (caspase 3 [CPP32] Ab-4, rabbit polyclonal antibody, Neo Markers; LabVision Co., Fremont, CA, USA) at the indicated concentrations in DPBS. Immune complexes were revealed using biotin-conjugated anti-dog immunoglobulin G conjugated to streptavidin-peroxidase (Zymed, San Francisco, CA, USA), followed by the chromogen reaction (0.06% 3,3’-diaminobenzidine [DAB] tetrahydrochloride, 0.01% hydrogen peroxide in DAB). Sections were counterstained with hematoxylin and mounted. The slides were examined microscopically using an established scoring system [16,20-22]. A known VEGF, CD31, and survivin-positive slide served as a positive control. Dog tissues that were negative for VEGF, CD31, and survivin were considered as an internal negative control. The intensity of VEGF, CD31, and survivin immunostaining was scored as follows: null, 0; weak, 1+; moderate, 2+; and intense, 3+[16,20-22].

Detection of apoptosis

Cell apoptosis was detected using an in-situ apoptosis detection method (terminal deoxynucleotidyl transferase dUTP nick end labeling). The sections were fixed and embedded conventionally. The manipulation was conducted according to the manufacturers’ instructions (ApopTag Plus Peroxidase in Situ Apoptosis Detection Kit; Chemicon International Inc., Temecula, CA, USA). The number of apoptotic cells was obtained from the formula for the apoptosis index (AI): AI=(apoptotic cells/total cells)×100%. To detect positive apoptotic cells, candidate positive slides were compared with negative control slides that were treat-
ed without primary antibody from the ApopTag kit. The slides were analyzed in 5 areas at ×10 magnification. Two independent investigators judged and scored all visualized immunohistochemically stained slides.

Statistical analysis

Statistical analysis was performed using SPSS ver. 6.1 (SPSS Inc., Chicago, IL, USA). The Wilcoxon signed rank test was used to compare clinical, macroscopic, microscopic, and immunohistochemical findings. All p-values <0.05 were considered to indicate statistical significance.

Results

All animals survived the surgical procedure. Four animals in group I were euthanized on the 10th postoperative day due to partial autograft necrosis. The remaining 3 animals completed the study. All group II animals were followed until the end of the study and were euthanized with an intravenous pentobarbital overdose and 1 mg of KCl.

Four dogs from group I presented mild dyspnea, stridor, and halitosis on postoperative day 7. Dyspnea, stridor, and halitosis became worse on postoperative day 10, and bronchoscopy confirmed that these animals had developed severe partial autograft necrosis. Thus, for ethical reasons and to avoid suffering, these 4 dogs were euthanized. A macroscopic examination exhibited necrotic autografts with trachea ring disruption, mainly on the middle third of the autografts (Fig. 1C). A microscopic examination revealed necrotic changes in the cartilage and mucosa in the middle third.

The 3 dogs in group I that completed the study had a good clinical course without complications. Endoscopically, the autografts showed a few scattered areas of pale trachea mucosa 7 days after the surgical procedure. After 2 weeks, the autograft mucosa was completely restored. These 3 animals did not develop fibrosis or stenosis at the anastomotic areas. After euthanasia, the macroscopic examination showed decreases of 2 mm, 2 mm, and 3 mm in the autograft diameter and shortening of 0.9 cm, 0.8 cm, and 1.2 cm in the autograft length, respectively, as well as areas of mild tortuosity (Fig. 1D).

Microscopically, the animals from group I that completed the study without clinical complications exhibited scattered neovascularization vessels. The immunohistochemical analysis found positive expression of VEGF, CD-31 and survivin, negative caspase-3 expression, and an AI of 0 (Table 1).

Group II: None of the dogs showed dehiscence, fistula formation, infection, graft shrinkage, deformation, or loss. All animals presented with mild dyspnea and stridor when the trachea-prosthesis anastomotic stenosis became ≥50%; from the second postoperative week, they presented halitosis.

In the endoscopic evaluation, the graft kept its tubular structure in all the animals. After euthanasia, the macroscopic examination, all the animals showed good prosthesis integration with the native trachea and surrounding cervical structures, without necrosis, fistulae, or infection. The external prosthetic surface was covered with fibrotic tissue (Fig. 2B), without erosion or invasion into neighboring structures (the cervical esophagus, neck vessels, and neck muscles). All the dogs developed fibrotic tissue ingrowth that was confined to the anastomotic sites, which narrowed the trachea-prosthesis anastomotic lumen (Fig. 2C–G).

Upon microscopic evaluation, there was no evidence of tracheal epithelial growth. The fibrous tissue ingrowth on the trachea-graft anastomosis showed abundant, well-organized collagenous fibers, abundant neovascularization vessels (>8/×10 power field) (Fig. 3A), positive VEGF, CD31, and survivin immunoreactivity, negative caspase-3 expression and an AI of 0 (Fig. 3B–E, Table 2).

<table>
<thead>
<tr>
<th>Dog</th>
<th>VEGF</th>
<th>CD-31</th>
<th>Survivin</th>
<th>Caspase-3</th>
<th>AI (%)</th>
<th>Survived</th>
<th>Stenosis</th>
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AI, apoptosis index; VEGF, vascular endothelial growth factor; -, no immunoreactivity; +, low immunoreactivity; ++, moderate immunoreactivity; ++++, high immunoreactivity; NA, nonassessable.
Sirius red staining with polarization microscopy showed that the fibrotic tissue was composed of type I and type III collagen fibers (Fig. 4A, B).

In the animals that received the autograft (group I), VEGF (p=0.038) and survivin (p=0.038) were significantly overexpressed, whereas caspase-3 was not detected in the tracheal tissue. Similar findings were observed in the animals treated with the Dacron prosthesis (group II). In general, the expression of VEGF was lower than that of survivin (p=0.096) in group I, but higher than that of survivin (p=0.860) in group II. We observed that survivin immunoreactivity was significantly higher than CD31 immunoreactivity in tissues from both groups of animals. In group I, VEGF, survivin, and CD31 were expressed in the 3 dogs that completed the study, whereas VEGF (p=0.046) and survivin (p=0.038) were detected in all dogs from group II that developed fibrosis and stenosis. In addition, caspase-3 was notably absent in all group II dogs (p=0.008) with stenosis due to fibrosis.

Discussion

The continued proliferation of scar tissue, leading to obstruction and stenosis, is a common finding in prosthetic tracheal replacement. It has therefore been postulated that the failure of epithelial migration into the prosthesis perpetuates scar formation, but research has failed to demonstrate this possibility [1-7]. We hypothesized that the prolif-
eration of scar tissue results from a chronic and uncontrolled healing process, with a marked imbalance between angiogenesis and apoptosis. To date, to our knowledge, no study has explored the role of VEGF, survivin, CD31, and apoptosis in the perpetuation of scar formation in prosthetic tracheal replacement.

In this study, we chose Dacron because it is commonly used in clinical practice and possesses good tissue compatibility, and it is known that Dacron prostheses (as well as other prosthetic materials used in tracheal replacement) produce fibrotic stenosis. Therefore, Dacron prostheses were appropriate for investigating the role of these 3 angiogenic factors in fibrosis formation.

We found increased angiogenesis and absent apoptotic activity in the fibrotic scar tissue of trachea-prosthesis anastomotic sites. The 3 proangiogenic factors involved in wound healing (VEGF, CD31, and survivin) studied in this investigation were present in the healing fibrotic tissue. Our findings can be explained as follows: The proliferative phase of healing is characterized by angiogenesis, collagen deposition, granulation tissue formation, epithelialization, and wound contraction [8]. The angiogenesis process occurs concurrently with fibroblast proliferation and migration of endothelial cells to the wound. These cells need oxygen and nutrients, thus making angiogenesis imperative. Tissue in which angiogenesis has occurred appears erythematous due to the presence of capillaries. Eventually, blood vessels and other types of cells that are no longer needed are removed by apoptosis [23]. The fibroblasts produce collagen, and collagen deposition increases wound strength. Type III collagen and fibronectin are produced during the very early stages of wound healing, with deposition peaking during the first 3 weeks, until the later phase of maturation, in which they are replaced by the stronger type I collagen [24].

During angiogenesis, VEGF acts to form new vessels and upregulate survivin, which in turn inhibits caspase-3 [11]. Survivin is undetectable in terminally differentiated adult tissue, but is expressed in human cancers such as stomach, colorectal, lung, breast, pancreatic, and prostate cancers, as well as non-Hodgkin lymphoma. Survivin reduces apoptotic activity, and this reduction is strongly correlated with a poor prognosis in gastric and colorectal cancers. Thus, our findings indicate that during trachea-prosthesis healing, increased angiogenesis resulted from increased VEGF, CD31, and survivin expression and null apoptotic activity, thereby perpetuating the proliferative phase of the healing process and resulting in prolonged angiogenesis and collagen production [24]. The continuous building of fibrotic tissue results in stenosis at the trachea-prosthesis anastomotic sites. As we have mentioned previously, the healing process is a well-orchestrated and complex process, in which many growth factors interact.

The omentum has been successfully used in intrathoracic structures [25]; however, we did not wrap the cervical prosthesis due to the difficulty in reaching the dog’s cervical trachea and due to its immunological properties, because it releases angiogenic factors. The omentum has the highest VEGF secretion rate [25,26]. It can promote tracheal epithelium growth, but we think that it also leads to excessive granulation tissue, which could explain the presence of stenosis (luminal or anastomotic) in prosthetic tracheal replacement [1-4,7]. It is desirable for the tracheal prosthesis’s inner surface to be covered with ciliated respiratory epithelium, but it is not essential, because patients can clear secretions by coughing [1].

Blood supply to the trachea represents a major challenge for trachea transplantation or experimental autotransplantation, because it is usually difficult to restore blood perfusion due to the trachea’s fine vascular structures [27]. Experiments with autografts have found that regeneration of the local blood supply is too slow to nourish the complex tissues of the trachea. However, variable results have been reported experimentally with devascularized autografts of different lengths [1-4]. We found that in trachea-trachea (autotransplantation) healing, angiogenesis played a key role in revascularizing the autograft. Revascularization of the autograft was achieved due to the expression of the 3 proangiogenic factors (VEGF, CD31, and survivin), and we can infer that CD31 was the key angiogenic factor, because the autografts that did not express CD31 suffered necrosis. Clinical and experimental non-circumferential autograft tracheal repair (trachea autograft anterior patch plasty) showed freedom from recurrent granulation tissue, in concordance with our results, with the difference that the previous studies applied topical VEGF and we did not [28,29], and we autografted a 6-ring tracheal segment. Dodge-Khatami et al. [30] stated that topical VEGF accelerated autograft revascularization, reduced mucosal fibrosis and inflammation, and preserved normal trachea architecture. Our autografted tracheal segment that became revascularized maintained the tracheal architecture and structure, and was free from stenotic fibrous tissue, probably as a result of not only VEGF expression, but also CD31 and survivin expression, which together exerted a proangiogenic effect and inhibited apoptosis.

We must be very cautious when proposing to use topical VEGF as an angiogenic and healing enhancement factor.
because its excessive use can lead to fibrosis, and has carcinogenic risk; as we found, native VEGF with other native growth factors (CD31 and survivin) could be sufficient to promote angiogenesis, resulting in a normal healing process.

The findings of this study raise many possible research avenues in prosthetic tracheal replacement to try to avoid fibrotic stenosis, which is a catastrophic complication. For example, future studies could seek to identify possible antiangiogenic agents that modulate or stop proangiogenic growth factors, healing modulators, decellularized tracheal scaffolds, or cell culture of tracheal epithelial cells to seed in tracheal prostheses aiming to stop VEGF production—all of which may result in a fibrosis-free anastomosis.

Angiogenesis is the key to obtaining a revascularized autograft. The expression of VEGF, CD31, and survivin promoted angiogenesis on the autograft, maintained its anatomical and functional activity, and avoided fibrosis production.

Further research on healing growth factors, as well as proangiogenic or antiangiogenic factors, must be carried out to obtain a deeper understanding of trachea-prosthesis and trachea-trachea healing processes.

Conflict of interest

No potential conflict of interest relevant to this article was reported.

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