

## METRIZABILITY AND SUBMETRIZABILITY FOR POINT-OPEN, OPEN-POINT AND BI-POINT-OPEN TOPOLOGIES ON $C(X, Y)$

BARKHA AND AZHUTHIL RAGHAVAN PRASANNAN

ABSTRACT. We characterize metrizable and submetrizable for point-open, open-point and bi-point-open topologies on  $C(X, Y)$ , where  $C(X, Y)$  denotes the set of all continuous functions from space  $X$  to  $Y$ ;  $X$  is a completely regular space and  $Y$  is a locally convex space.

### 1. Introduction

Let  $C_p(X, Y)$ ,  $C_h(X, Y)$  and  $C_{ph}(X, Y)$  denote the spaces of all continuous functions from space  $X$  to space  $Y$ , equipped with point-open, open-point and bi-point-open topology, respectively. While studying  $C_\tau(X, Y)$ , it is fundamental problem to establish the correspondence between topological and algebraic properties of spaces  $C_\tau(X, Y)$ ,  $X$  and  $Y$ , where  $C_\tau(X, Y)$  denotes the space  $C(X, Y)$  equipped with topology  $\tau$ . There are various studies in which authors used the topological and algebraic properties of  $\mathbb{R}$  and examined the properties of  $C_\tau(X, \mathbb{R})$  (see [2] and [1]). For locally convex space  $\mathbb{R}$ , it has been studied that  $X$  is countable if and only if  $C_p(X, \mathbb{R})$  is metrizable and  $X$  is separable if and only if  $C_p(X, \mathbb{R})$  is submetrizable (see [2]). Also, in [3] and [4], A. Jindal, R. A. McCoy and S. Kundu characterized the metrizable and submetrizable on  $C_p(X, \mathbb{R})$ ,  $C_h(X, \mathbb{R})$  and  $C_{ph}(X, \mathbb{R})$  with some conditions on  $X$ . In this paper, we generalize the theorems of metrizable and submetrizable on  $C_p(X, Y)$ ,  $C_h(X, Y)$  and  $C_{ph}(X, Y)$ , where  $Y$  is a locally convex space.

### 2. Definitions and notations

A topological linear space  $Y$  (over  $\mathbb{R}$ ) is called a locally convex space [6] if every neighborhood of identity element  $\bar{0}$  contains a convex neighborhood of  $\bar{0}$ . Let  $V_1(p) = \{x \in Y : p(x) < 1\}$ , where  $p$  is a seminorm. Note that,  $V_1(p)$

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Received December 16, 2020; Revised November 11, 2021; Accepted December 24, 2021.  
2020 *Mathematics Subject Classification*. Primary 54C35; Secondary 54D10, 54H11, 54E35.

*Key words and phrases*. Point-open topology, open-point topology, bi-point-open topology, metrizable, submetrizable,  $G_\delta$ -dense, first countable.

This work was financially supported by University Grants Commission, India.

is a convex set. A topological vector space  $Y$  is locally convex if and only if there exists a family  $\mathcal{P}$  of seminorms that generates the topology on  $Y$ . The collection  $\{\bigcap_{i=1}^n r_i V(p_i) : p_i \in \mathcal{P}, r_i > 0\}$  is a neighborhood base at  $\bar{0} \in Y$  for the topology on  $Y$  (see Theorems 11.3 and 12.4 in [6]).

The point-open topology  $p$  on  $C(X, Y)$  has a subbase consisting of the sets of the form  $[x, V]^- = \{f \in C(X, Y) : f(x) \in V\}$ , where  $V$  is an open set in  $Y$  and  $x \in X$ . The space  $C(X, Y)$  equipped with point-open topology is denoted by  $C_p(X, Y)$ .

The open-point topology  $h$  [3] on  $C(X, Y)$  has a subbase consisting of the sets of the form  $[U, y]^- = \{f \in C(X, Y) : f^{-1}(y) \cap U \neq \phi\}$ , where  $U$  is an open set in  $X$  and  $y \in Y$ . The space  $C(X, Y)$  equipped with open-point topology is denoted by  $C_h(X, Y)$ .

The bi-point-open topology  $ph$  [3] has subbasic open sets of both kinds:  $[U, y]^- = \{f \in C(X, Y) : f^{-1}(y) \cap U \neq \phi\}$  and  $[x, V]^+ = \{f \in C(X, Y) : f(x) \in V\}$ , where  $U$  is an open set in  $X$  and  $y \in Y$ ;  $x \in X$  and  $V$  is open in  $Y$ . The space  $C(X, Y)$  equipped with bi-point-open topology is denoted by  $C_{ph}(X, Y)$ .

A nonempty subset of a space  $X$  is said to be  $G_\delta$ -dense [3] provided that it intersects every nonempty  $G_\delta$ -subset of  $X$ .

Throughout this paper,  $X$  is a completely regular and Hausdorff space and  $(Y, +, \times)$  denotes a locally convex Hausdorff space, where  $+$  and  $\times$  denote vector addition and scalar multiplication, respectively.  $r \times y$  is a scalar multiplication of  $r$  and  $y$ , where  $r \in \mathbb{R}$  and  $y \in Y$ . Let  $-y$  denote additive inverse of point  $y$  in  $Y$  and  $-U = \{-1 \times u : u \in U\}$ , where  $-u = -1 \times u$ .  $V + U = \{v + u : u \in U, v \in V\}$ , where  $u + v$  denotes the vector addition in  $Y$ . Let  $\bar{0}$  denote the identity element in the space  $Y$ . Let  $\mathbb{N}$  and  $\mathbb{R}$  denote the set of all natural numbers and set of all real numbers, respectively.  $\mathcal{P}$  denotes the collection of all seminorms that generates the topology of  $Y$ . Let  $X$  and  $Y$  denote two spaces with same underlying set, and  $X \leq Y$  denote that  $X$  and  $Y$  have the same topology. Also,  $\bar{0}_X$  denotes the constant function which maps all points of  $X$  to  $\bar{0}$ .  $|Z|$  denotes the cardinality of set  $Z$ . Let  $X^0$  denote the set of all isolated points in  $X$ .

### 3. Metrizable on $C_p(X, Y)$ , $C_h(X, Y)$ and $C_{ph}(X, Y)$

We know that, each metrizable space is first countable, so first we will discuss about first countability. Recall that, character of a point  $x \in X$ , denoted by  $\chi(X, x)$ , is defined by

$$\chi(X, x) = \aleph_0 + \min\{|\mathcal{B}_x| : \mathcal{B}_x \text{ is a local base for } X \text{ at } x\}.$$

If  $\chi(X, x)$  is countable, then  $X$  is first countable.

**Theorem 3.1.** *Let  $X$  and  $Y$  be any spaces. Then  $|X| \leq \chi(C_p(X, Y))$ .*

*Proof.* Let the family  $\mathcal{B}$  form a base at  $\bar{0}_X$  in  $C_p(X, Y)$  such that  $|\mathcal{B}| \leq \chi(C_p(X, Y), \bar{0}_X)$ . We may assume that each member  $B \in \mathcal{B}$  is of the form

$[x_1, U_1]^+ \cap \dots \cap [x_n, U_n]^+$ . For each  $B \in \mathcal{B}$ , let  $K(B) = \{x_1, \dots, x_n\}$ . Let  $T = \bigcup_{B \in \mathcal{B}} K(B)$ . Clearly,  $|T| \leq \chi(C_p(X, Y), 0_X)$ . Let if possible, there exists  $x_0 \in X \setminus T$ . Then let  $[x_0, V_1(p)]$  be a subbasic open set in  $C_p(X, Y)$ , where  $p \in \mathcal{P}$ . Consider an arbitrary  $B \in \mathcal{B}$ , where  $B = [x_1, U_1]^+ \cap \dots \cap [x_n, U_n]^+$ . Since  $X$  is completely regular, there exists a continuous function  $f : X \rightarrow \mathbb{R}$  such that  $f(x_0) = 1$  and  $f(x_i) = 0$  for all  $i \in 1, \dots, n$ . Take  $y \in Y$ , where  $p(y) = 1$ . Also, since  $Y$  is a locally convex space, there exists a continuous function  $h : \mathbb{R} \rightarrow Y$  defined by  $h(a) = ay$ . So the composition  $h \circ f : X \rightarrow Y$  is also continuous, where  $h \circ f(x_i) = \bar{0}$  for all  $i \in 1, \dots, n$  and  $h \circ f(x_0) = y$ . Note that,  $h \circ f \in B \setminus [x_0, V_1(p)]$ . This contradicts the fact that  $\mathcal{B}$  forms a base at  $\bar{0}_X$  for  $C_p(X, Y)$ .  $\square$

**Corollary 3.2.** *If  $C_p(X, Y)$  is first countable, then  $X$  is countable.*

**Theorem 3.3.** *If  $C_h(X, Y)$  is first countable, then  $X$  has a countable  $\pi$ -base.*

*Proof.* Since  $C_h(X, Y)$  is first countable,  $C_h(X, Y)$  has a countable pseudocharacter. Then there exists countable family  $\alpha$  of open sets in  $C_h(X, Y)$  such that  $\{\bar{0}_X\} = \bigcap \alpha$ . Without loss of generality, we can assume that each member  $A$  of  $\alpha$  is of the form  $[V_1, x_1] \cap \dots \cap [V_n, x_n]$ , where for each  $i \in \{1, \dots, n\}$ ,  $V_i$  is open in  $X$  and  $x_i \in Y$ . Let  $K(A) = \{V_1, \dots, V_n\}$  and let  $\mathcal{B} = \bigcup_{A \in \alpha} K(A)$ . Note that,  $\mathcal{B}$  is a countable family of open sets. We show that  $\mathcal{B}$  forms a  $\pi$ -base for the space  $X$ . Let  $V$  be an open set in  $X$  containing  $x$ . Then there exists a continuous function  $f : X \rightarrow \mathbb{R}$  such that  $f(x) = 1$  and  $f(v) = 0$  for all  $v$  in  $V^c$ . Take  $y \in Y$ , where  $p(y) = 1$  for some  $p \in \mathcal{P}$ . Since  $Y$  is a locally convex space, there exists a continuous function  $h : \mathbb{R} \rightarrow Y$  defined by  $h(a) = ay$ , where  $p(y) = 1$ . So the composition  $h \circ f : X \rightarrow Y$  is also continuous, where  $h \circ f(v) = \bar{0}$  for all  $v$  in  $V^c$  and  $h \circ f(x) = y$ . There exists  $A \in \alpha$  such that  $h \circ f \notin A$ . It follows that there exists  $U \in \mathcal{B}$  such that  $\bar{0} \notin g \circ f(U)$ . We claim that,  $U \subset V$ . Let  $u \in U$ , then  $g \circ f(u) \neq \bar{0}$ , this implies  $u \in V$ . Hence  $U \subset V$  and  $\mathcal{B}$  forms a  $\pi$ -base for  $X$ .  $\square$

Recall that, for any space  $X$  and any point  $x \in X$ , the pseudocharacter of  $x$  in  $X$ , denoted by  $\psi(X, x)$ , is defined by  $\psi(X, x) = \aleph_0 + \min\{|\gamma| : \gamma \text{ is a family of nonempty open subsets in } X \text{ such that } \bigcap \gamma = \{x\}\}$ .

The pseudocharacter  $\psi(X)$  of  $X$  is given by

$$\psi(X) = \sup\{\psi(X, x) : x \in X\}.$$

If  $C_p(X, Y)$  is metrizable, then  $Y$  is metrizable (see Exercise 1 on page 68 in [5]). But a locally convex space  $Y$  is metrizable if and only if it has a countable base at  $\bar{0}$  (see Theorem 13.1 in Chapter 2 of [6]). So, the first countability of  $Y$  is necessary condition for metrizability of  $C_p(X, Y)$ .

**Theorem 3.4.** *Let  $X^0$  be  $G_\delta$ -dense in  $X$  and  $Y$  has a countable base at  $\bar{0}$ . Then the following statements are equivalent:*

- (a)  $C_h(X, Y)$  is metrizable.
- (b)  $C_h(X, Y)$  is first countable.
- (c)  $X$  has a countable  $\pi$ -base.
- (d)  $X^0$  is countable.
- (e)  $X$  is countable and discrete.
- (f)  $C_p(X, Y)$  is metrizable.

*Proof.* (a) $\Leftrightarrow$ (b) Since  $Y$  has a countable base at  $\bar{0}$ , it is first countable. It implies that each singleton set in  $Y$  is a  $G_\delta$ -set. So,  $C_h(X, Y)$  is a topological group (the proof is similar to proof for  $C_h(X, \mathbb{R})$  in [3]). A topological group is metrizable if and only if it is first countable (see Theorem 3.3.12 in [1]).

(b) $\Rightarrow$ (c) It follows from Theorem 3.3.

(c) $\Rightarrow$ (b) We show that the constant function  $\bar{0}_X$  in  $C_h(X, Y)$  has a countable base. Let  $\mathcal{B}' = \{\cap_{i=1}^n [B_i, \bar{0}] : B_i \in \mathcal{B}, n \in \mathbb{N}\}$  be the collection of open sets containing  $\bar{0}_X$ , where  $\mathcal{B}$  denotes the countable  $\pi$ -base of  $X$ . Note that,  $\mathcal{B}'$  is a countable collection. We show that  $\mathcal{B}'$  forms a local base at  $\bar{0}_X$  in  $C_h(X, Y)$ . Let  $B = [V_1, \bar{0}]^- \cap \dots \cap [V_n, \bar{0}]^-$  be any open set in  $C_h(X, Y)$  containing  $\bar{0}_X$ , where  $V_i$  is open in  $X$  for each  $i \in \{1, \dots, n\}$ . Since  $\mathcal{B}$  forms the countable  $\pi$ -base for  $X$ , for each  $i \in \{1, \dots, n\}$ , there exists  $B_i \in \mathcal{B}$  such that  $B_i \subset V_i$ . Clearly,  $\bar{0}_X \in [B_1, \bar{0}]^- \cap \dots \cap [B_n, \bar{0}]^- \subset B$ . Hence  $\mathcal{B}'$  forms a local base at  $\bar{0}_X$  in  $C_h(X, Y)$ . So each element in  $C_h(X, Y)$  has a countable local base, because  $C_h(X, Y)$  is a topological group.

(c) $\Rightarrow$ (d), (d) $\Rightarrow$ (e) and (e) $\Rightarrow$ (c) These are easy to prove.

(e) $\Rightarrow$ (f) If  $Y$  has a countable base at  $\bar{0}$ , then it is metrizable (see Theorem 13.1 in Chapter 2 of [6]). Since  $X$  is countable,  $C_p(X, Y)$  is metrizable (see Exercise 1 on page 68 in [5]).

(f) $\Rightarrow$ (d) Since  $C_p(X, Y)$  is metrizable, it is first countable. By Corollary 3.2,  $X$  is countable. So  $X^0$  is countable.  $\square$

**Theorem 3.5.** Let  $X^0$  be  $G_\delta$ -dense in  $X$  and  $Y$  has a countable base at  $\bar{0}$ . Then following statements are equivalent:

- (a)  $C_{ph}(X, Y)$  is metrizable.
- (b)  $C_{ph}(X, Y)$  is first countable.
- (c)  $X$  is a countable and discrete space.
- (d)  $C_h(X, Y)$  is metrizable.
- (e)  $C_p(X, Y)$  is metrizable.

*Proof.* (a) $\Leftrightarrow$ (b) Since  $Y$  has a countable base at  $\bar{0}$ , it is first countable. It implies that each singleton set in  $Y$  is a  $G_\delta$ -set. So,  $C_{ph}(X, Y)$  is a topological group (the proof is similar to proof for  $C_{ph}(X, \mathbb{R})$  in [3]). A topological group is metrizable if and only if it is first countable (see Theorem 3.3.12 in [1]).

(c) $\Leftrightarrow$ (d) It follows from Theorem 3.4.

(a) $\Rightarrow$ (d) Being a metrizable space,  $C_{ph}(X, Y)$  has a countable pseudocharacter. There exists a countable family  $\alpha$  of open sets such that  $\cap \alpha = \{\bar{0}_X\}$ . Let each member  $A$  of  $\alpha$  be of the form  $A = [V_1, \bar{0}]^- \cap \dots \cap [V_n, \bar{0}]^- \cap [x_1, U_1]^+$

$\cdots \cap [x_m, U_m]^+$ . Since  $X^0$  is  $G_\delta$ -dense in  $X$  for each  $i \in \{1, \dots, n\}$ , there exists  $v_i \in X^0 \cap V_i$ . So  $[\{v_1\}, \bar{0}]^- \cap \cdots \cap [\{v_n\}, \bar{0}]^- \cap [x_1, U_1]^+ \cap \cdots \cap [x_m, U_m]^+ \subset A$ . Let  $K(A) = \{v_1, \dots, v_n, x_1, \dots, x_m\}$  and  $\mathcal{W} = \bigcup_{A \in \alpha} K(A)$ . Note that,  $\mathcal{W}$  is countable. Now we show that  $\bar{\mathcal{W}} = X$ . Let if possible  $\bar{\mathcal{W}} \neq X$ , there exists  $x_0 \in X \setminus \bar{\mathcal{W}}$ . Since  $X$  is completely regular, there exists a continuous function  $f : X \rightarrow \mathbb{R}$  such that  $f(x_0) = 1$  and  $f(a) = 0$  for all  $a$  in  $\bar{\mathcal{W}}$ . Take  $y \in Y$ , where  $p(y) = 1$  for some  $p \in \mathcal{P}$ . Since  $Y$  is a locally convex space, there exists a continuous function  $h : \mathbb{R} \rightarrow Y$  defined by  $h(r) = ry$ . So the composition  $h \circ f : X \rightarrow Y$  is also continuous, where  $h \circ f(a) = \bar{0}$  for all  $a$  in  $\bar{\mathcal{W}}$  and  $h \circ f(x_0) = y$ . This implies that  $h \circ f \in \cap \alpha$ . We arrive at a contradiction. So  $X$  is separable. It follows that  $X^0$  is countable. Therefore,  $C_h(X, Y)$  is metrizable (using Theorem 3.4).

(c) $\Rightarrow$ (b) Since  $X$  is a countable and discrete space,  $C_p(X, Y)$  and  $C_h(X, Y)$  are first countable (use Theorem 3.4). So by definition of bi-point-open topology,  $C_{ph}(X, Y)$  is first countable.

(d) $\Leftrightarrow$ (e) It follows from Theorem 3.4. □

#### 4. Submetrizability on $C_p(X, Y)$ , $C_h(X, Y)$ and $C_{ph}(X, Y)$

Recall that, the weight of a space  $X$  is defined by  $w(X) = \aleph_0 + \min\{\mathcal{B} : \mathcal{B} \text{ is a base for } X\}$ . The  $i$ -weight of a space  $X$  is defined by  $iw(X) = \aleph_0 + \min\{w(Z) : \text{there is a continuous one-to-one map from } X \text{ onto } Z\}$ . The density of  $X$  is given by  $d(X) = \aleph_0 + \min\{|D| : D \text{ is a dense subset of } X\}$ .

**Theorem 4.1.** *Let  $X$  and  $Y$  be spaces. Then  $iw(C_p(X, Y)) \leq d(X) \cdot w(Y)$ .*

*Proof.* Let  $d(X) = \tau$ . Let  $Z$  be a subset of  $X$  such that  $\bar{Z} = X$  and  $\tau = |Z|$ . Let  $\pi_Z : C_p(X, Y) \rightarrow \pi_Z(C_p(X, Y)) \subset C_p(Z, Y)$  be the restriction map defined by  $\pi_Z(f) = f|_Z$ , where  $f|_Z$  denotes the restriction of function  $f$  on subspace  $Z$ . It is easy to see that  $\pi_Z$  is continuous and onto. Let  $f_1, f_2 \in C_p(X, Y)$ ,  $f_1 \neq f_2$ . Then continuity of  $f_1, f_2$  and  $\bar{Z} = X$  imply that  $f_1|_Z \neq f_2|_Z$ . So  $\pi_Z(f_1) \neq \pi_Z(f_2)$ . It follows that map  $\pi_Z$  is one to one. We have  $w(\pi_Z(C_p(X, Y))) \leq w(C_p(Z, Y)) \leq |Z| \cdot w(Y) = d(X) \cdot w(Y)$ , and hence  $iw(C_p(X, Y)) \leq w(\pi_Z(C_p(X, Y))) \leq d(X) \cdot w(Y)$ . □

**Corollary 4.2.** *Let  $X$  be separable and  $Y$  be a second countable space. Then  $C_p(X, Y)$  is submetrizable.*

**Example 4.3.** Let  $X = \{a\}$  and  $Y = C_p(\mathbb{R}, \mathbb{R})$ . Note that,  $X$  being a singleton set with discrete topology is separable and  $C_p(\mathbb{R}, \mathbb{R})$  is not second countable (use Theorem I.1.1 in [2]). But, by Theorem I.1.4 in [2],  $C_p(\mathbb{R}, \mathbb{R})$  is submetrizable. It is easy to see that  $C_p(X, Y)$  is homeomorphic to  $C_p(\mathbb{R}, \mathbb{R})$ . So,  $C_p(X, Y)$  is also submetrizable. Hence, the second countability is not a necessary condition for submetrizability of  $C_p(X, Y)$ .

In Example 4.3,  $Y$  is not even first countable but it has a countable pseudocharacter (use Theorem I.1.4 in [2]). Later, we will see that it is necessary for

$Y$  to have a countable pseudocharacter for submetrizable of  $C_p(X, Y)$ . Now, we will discuss the converse of Corollary 4.2. Since each submetrizable space has a countable pseudocharacter, so first, we will discuss about pseudocharacter character.

**Theorem 4.4.** *Let  $C_p(X, Y)$  has a countable pseudocharacter. Then  $Y$  has a countable pseudocharacter at  $\bar{0}$ .*

*Proof.* Since  $C_p(X, Y)$  has a countable pseudocharacter, there exists a countable family  $\gamma$  of open sets in  $C_p(X, Y)$  such that  $\bigcap \{\gamma\} = \{0_X\}$ . Without loss of generality, we can assume that each  $W$  in  $\gamma$  is of the form  $[x_1, U_1]^+ \cap \cdots \cap [x_m, U_m]^+$ , where  $\bar{0} \in U_i$  for  $1 \leq i \leq m$ . Let  $S(W) = \{U_1, \dots, U_m\}$  and  $\mathcal{C} = \cup \{S(W) : W \in \gamma\}$ . Since  $\gamma$  is countable, so is  $\mathcal{C}$ . Note that,  $\mathcal{C}$  is a countable collection of nonempty open sets in  $Y$ . Let if possible, there exists  $y_0 \in \bigcap \{\mathcal{C}\}$  such that  $y_0 \neq \bar{0}$ . Let  $f$  be the constant function mapping each member of  $X$  to  $y_0$ . Then, it is easy to see that,  $f \in \bigcap \gamma$  and  $f \neq \bar{0}$ . We arrive at contradiction. Hence,  $Y$  has a countable pseudocharacter at  $\bar{0}$ .  $\square$

**Theorem 4.5.** *Let  $X$  and  $Y$  be spaces. Then  $d(X) \leq \psi(C_{ph}(X, Y))$ .*

*Proof.* Let  $\gamma$  be a family of open sets containing  $\bar{0}_X$  such that  $\bigcap \gamma = \{\bar{0}_X\}$  and  $|\gamma| \leq \psi(C_{ph}(X, Y), \bar{0}_X)$ . We can assume that each member  $G$  of  $\gamma$  can be written as  $[x_1, U_1]^+ \cap \cdots \cap [x_n, U_n]^+ \cap [V_1, \bar{0}]^- \cap \cdots \cap [V_m, \bar{0}]^-$ . Let  $y_i \in V_i$  for  $1 \leq i \leq m$ . For each  $G \in \gamma$ , let  $K(G) = \{x_1, \dots, x_n, y_1, \dots, y_m\}$ . Let  $Z = \bigcup_{G \in \gamma} K(G)$ . Then  $|Z| \leq |\gamma|$ . We show that  $\bar{Z} = X$ . Suppose  $x_0 \in X \setminus \bar{Z}$ , then there exists a continuous function  $f$  such that  $f(\bar{Z}) = \{0\}$  and  $f(x_0) = 1$ . Take  $y \in Y$ , where  $p(y) = 1$  for some  $p \in \mathcal{P}$ . Since  $Y$  is a locally convex space, there exists a continuous function  $h : \mathbb{R} \rightarrow Y$  defined by  $h(r) = ry$ . So the composition  $h \circ f : X \rightarrow Y$  is also continuous, where  $h \circ f(a) = \bar{0}$  for all  $a$  in  $\bar{Z}$  and  $h \circ f(x_0) = y$ . This implies that  $h \circ f \in \bigcap \gamma$  but  $h \circ f \neq \bar{0}_X$ . We arrive at a contradiction.  $\square$

**Corollary 4.6.** *Let  $C_{ph}(X, Y)$  be submetrizable. Then  $X$  is separable.*

*Proof.* Since each submetrizable space has a countable pseudocharacter, separability of  $X$  follows from Theorem 4.5.  $\square$

**Corollary 4.7.** *Let  $C_h(X, Y)$  be submetrizable. Then  $X$  is separable.*

*Proof.* Since  $C_h(X, Y) \leq C_{ph}(X, Y)$ ,  $C_{ph}(X, Y)$  is also submetrizable. So by Corollary 4.6,  $X$  is separable.  $\square$

**Corollary 4.8.** *Let  $C_p(X, Y)$  be submetrizable. Then  $X$  is separable and  $Y$  has a countable pseudocharacter at  $\bar{0}$ .*

*Proof.* Since  $C_p(X, Y) \leq C_{ph}(X, Y)$ ,  $C_{ph}(X, Y)$  is also submetrizable. So by Corollary 4.6,  $X$  is separable. Also, since each submetrizable space has a countable pseudocharacter, so by Theorem 4.4,  $Y$  has a countable pseudocharacter at  $\bar{0}$ .  $\square$

**Corollary 4.9.** *Let  $X$  be any space and  $Y$  be a second countable space. Then  $d(X) = iw(C_p(X, Y)) = \psi(C_p(X, Y))$ .*

*Proof.* Since  $iw(C_p(X, Y)) \geq \psi(C_p(X, Y))$ , it is enough to show that

$$iw(C_p(X, Y)) \leq d(X) \leq \psi(C_p(X, Y)).$$

Since  $Y$  is second countable,  $iw(C_p(X, Y)) \leq d(X)$  follows from Theorem 4.1.  $d(X) \leq \psi(C_p(X, Y))$  follows from Theorem 4.5. □

Recall that, if the set  $\{(x, x) : x \in X\}$  is a  $G_\delta$ -set in the product space  $X \times X$ , that is, the space  $X$  has a  $G_\delta$ -diagonal, then every point in  $X$  is a  $G_\delta$ -set. Note that every metrizable space has a  $G_\delta$ -diagonal. Consequently every submetrizable space also has a  $G_\delta$ -diagonal. Also, compact subsets, countably compact subsets and the singletons in a submetrizable space are  $G_\delta$ -sets. So far in this section, we have discussed about necessary conditions on  $X$  and  $Y$  for submetrizability of  $C_p(X, Y)$ ,  $C_h(X, Y)$  and  $C_{ph}(X, Y)$  and we are looking forward to studying sufficient conditions on  $X$  and  $Y$  for the same purpose in future. But for now, we characterize submetrizability of  $C_p(X, Y)$ ,  $C_h(X, Y)$  and  $C_{ph}(X, Y)$  with the condition of second countability on  $Y$ .

**Theorem 4.10.** *Let  $X$  be space and  $Y$  be a second countable space. Then the following statements are equivalent:*

- (a)  $C_{ph}(X, Y)$  is submetrizable.
- (b)  $C_{ph}(X, Y)$  has a  $G_\delta$ -diagonal.
- (c)  $C_{ph}(X, Y)$  has a countable pseudocharacter.
- (d)  $\{\bar{0}_X\}$  is a  $G_\delta$ -set in  $C_{ph}(X, Y)$ .
- (e)  $X$  is separable.
- (f)  $C_p(X, Y)$  is submetrizable.

*Proof.* (a) $\Rightarrow$ (b) $\Rightarrow$ (c) $\Rightarrow$ (d) It follows from the above discussion.

(d) $\Rightarrow$ (e) If  $\bar{0}_X$  is a  $G_\delta$ -set in  $C_{ph}(X, Y)$ , then there exists a countable family  $\alpha$  of open sets such that  $\cap \alpha = \{\bar{0}_X\}$ . We can assume that each member  $A \in \alpha$  is of the form  $[x_1, U_1]^+ \cap \dots \cap [x_n, U_n]^+ \cap [V_1, \bar{0}]^- \cap \dots \cap [V_m, \bar{0}]^-$ , where  $x_i \in X$  and  $U_i$  is open in  $Y$  for each  $i \in \{1, \dots, n\}$ ;  $V_j$  is open in  $X$  for each  $j \in \{1, \dots, m\}$  and  $\bar{0} \in Y$ . Fix  $y_i \in V_j$  for each  $j \in \{1, \dots, m\}$ . For each  $A$  in  $\alpha$ , let  $K(A) = \{x_1, \dots, x_n, y_1, \dots, y_m\}$ , let  $W = \bigcup_{A \in \alpha} K(A)$ . We show that  $\bar{W} = X$ . Suppose  $x_0 \in X \setminus \bar{W}$ , then there exists a continuous function  $f$  such that  $f(\bar{W}) = \{0\}$  and  $f(x_0) = 1$ . Take  $y \in Y$ , where  $p(y) = 1$  for some  $p \in \mathcal{P}$ . Since  $Y$  is a locally convex space, there exists a continuous function  $h : \mathbb{R} \rightarrow Y$  defined by  $h(r) = ry$ . So the composition  $h \circ f : X \rightarrow Y$  is also continuous, where  $h \circ f(a) = \bar{0}$  for all  $a$  in  $\bar{W}$  and  $h \circ f(x_0) = y$ . This implies that  $h \circ f \in \cap \alpha$  but  $h \circ f \neq \bar{0}_X$ . We arrive at a contradiction.

(e) $\Leftrightarrow$ (f) It follows from Corollary 4.9.

(f) $\Rightarrow$ (a) It is immediate since  $C_p(X, Y) \leq C_{ph}(X, Y)$ . □

**Theorem 4.11.** *If  $X^0$  is dense in  $X$  and  $Y$  is a second countable space, then the following statements are equivalent:*

- (a)  $C_h(X, Y)$  is submetrizable.
- (b)  $C_h(X, Y)$  has a  $G_\delta$ -diagonal.
- (c)  $C_h(X, Y)$  has a countable pseudocharacter.
- (d)  $\{\bar{0}_X\}$  in  $C(X, Y)$  is a  $G_\delta$ -set in  $C_h(X, Y)$ .
- (e)  $C_{ph}(X, Y)$  is submetrizable.
- (f)  $C_p(X, Y)$  is submetrizable.
- (g)  $X^0$  is countable.

*Proof.* (a) $\Rightarrow$ (b) $\Rightarrow$ (c) $\Rightarrow$ (d) It follows from the above discussion.

(d) $\Rightarrow$ (e) Since  $C_h(X, Y) \leq C_{ph}(X, Y)$ ,  $\{\bar{0}_X\}$  is also a  $G_\delta$ -set in  $C_{ph}(X, Y)$ . Then Theorem 4.10 implies that  $C_{ph}(X, Y)$  is submetrizable.

(e) $\Rightarrow$ (f) It is immediate from Theorem 4.10.

(f) $\Rightarrow$ (g) If  $C_p(X, Y)$  is submetrizable, then  $X$  is separable. Hence  $X^0$  is countable.

(g) $\Rightarrow$ (a) Consider the restriction map  $\pi_{X^0} : C_h(X, Y) \rightarrow C_h(X^0, Y)$  defined by  $\pi_{X^0}(f) = f|_{X^0}$ . Let  $[V, y]^-$  be any subbasic open set in  $C_h(X^0, Y)$ , where  $V$  is open in  $X^0$  and  $y \in Y$ . Since  $V$  is open in  $X^0$  and  $X^0$  is open in  $X$ ,  $V$  is open in  $X$ . So  $\pi_{X^0}([V, y]^-) \subset [V, y]^-$ . Hence  $\pi_{X^0}$  is continuous. Let  $f, g \in C_p(X, Y)$ . Then the continuity of  $f, g$  and  $\bar{X}^0 = X$  imply that  $f|_{X^0} \neq g|_{X^0}$ . So  $\pi_{X^0}(f) \neq \pi_{X^0}(g)$ . It follows that map  $\pi_{X^0}$  is one to one. Since  $X^0$  is a countable and discrete subspace of  $X$ , the space  $C_h(X^0, Y)$  is metrizable (using Theorem 3.4). Hence  $C_h(X, Y)$  is submetrizable.  $\square$

**Acknowledgment.** The authors are thankful to referee for valuable suggestions and comments which led to a considerable improvement of the earlier version of this paper.

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BARKHA  
DEPARTMENT OF MATHEMATICS  
UNIVERSITY OF DELHI  
DELHI PIN-110007, INDIA  
*Email address:* [barkhaedu@gmail.com](mailto:barkhaedu@gmail.com)

AZHUTHIL RAGHAVAN PRASANNAN  
MAHARAJA AGRASEN COLLEGE  
UNIVERSITY OF DELHI  
VASUNDHARA ENCLAVE, DELHI PIN-110096, INDIA  
*Email address:* [arprasannan@gmail.com](mailto:arprasannan@gmail.com)